

**National Hygiene Services
Monitoring Assessment Report**

St John's Hospital, Limerick

Date of monitoring assessment: 21 May 2010

About the Health Information and Quality Authority

The Health Information and Quality Authority is the independent Authority established under the Health Act 2007 to drive continuous improvement in Ireland's health and social care services. The Authority was established as part of the Government's overall Health Service Reform Programme.

The Authority's mandate extends across the quality and safety of the public, private (within its social care function) and voluntary sectors. Reporting directly to the Minister for Health and Children, the Health Information and Quality Authority has statutory responsibility for:

Setting Standards for Health and Social Services – Developing the quality and safety standards, based on evidence and best international practice, for health and social care services in Ireland (except mental health services).

Monitoring Healthcare Quality – Monitoring standards of quality and safety in our health services and investigating as necessary serious concerns about the health and welfare of service users. .

Health Technology Assessment – Ensuring the best outcome for the service user by evaluating the clinical and economic effectiveness of drugs, equipment, diagnostic techniques and health promotion activities.

Health Information – Advising on the collection and sharing of information across the services, evaluating, and publishing information about the delivery and performance of Ireland's health and social care services.

Social Services Inspectorate – Registration and inspection of residential homes for children, older people and people with disabilities, where applicable. Monitoring day- and pre-school facilities and children's detention centres; inspecting foster care services.

1 Background and Context

Good hygiene practices play a key role in the reduction of the occurrence of Healthcare Associated Infections. In Ireland, the cleanliness of hospitals has been assessed since 2004. In 2007 and 2008, the Health Information and Quality Authority (the Authority) undertook two independent national hygiene services quality reviews to monitor the compliance of 50 hospitals with the National Hygiene Services Quality Standards. As part of these reviews, the Authority carried out a number of follow-up visits to hospitals where serious risks had been identified.

The Authority published national and individual hospital reports following the 2007 and 2008 reviews which are available on the Authority's website www.higa.ie. These reports contained several recommendations for the hospitals as well as the Health Service Executive (HSE).

Following the Authority's hygiene review in 2008, each hospital was asked to prepare plans and implement the necessary improvements that reflected the findings from the 2008 National Hygiene Services Quality Review. In response to these recommendations, the HSE applied "targeted interventions" to hospitals that had been identified as needing more support. In May 2009, the HSE made its findings publicly available in a national improvement strategy for all hospitals (available from www.hse.ie). The main conclusions from the 2008 National Review were that hospitals should continue to strive towards excellence in their management of hygiene and aim to achieve the highest level of compliance against the Standards.

In May 2009, the Authority launched the new *National Standards for the Prevention and Control of Healthcare Associated Infections*. Whilst incorporating the key quality and safety requirements for hygiene services, these standards focus on a broader set of issues rather than solely on hygiene. They were approved by the Board of the Authority and mandated by the Minister for Health and Children. They represent a critical component in supporting the ongoing requirement to prevent and control Healthcare Associated Infections in Ireland.

All services are expected to undertake a gap analysis¹ in relation to the new National Standards for the Prevention and Control of Healthcare Associated Infections. Implementation plans should encompass a programme of changes leading to compliance with these standards in acute hospitals by 1 June 2010.

¹ The Authority expects the HSE to carry out national and local gap analyses for its directly managed services and to develop a nationally coordinated, prioritised implementation plan informed by these gap analyses. In the voluntary and independent sectors, and services led by independent practitioners, the Authority expects that a local plan will be developed. For such services funded by the HSE, its network or local health office management structure should ensure facilities have a local implementation plan, the progress against which should become part of the reporting requirements within any service level agreement between the provider and commissioner of the service.

Each hospital in Ireland should provide care in an environment that is clean. Patients and their families expect to receive high quality, safe care that meets their needs. Recent Healthcare Associated Infection and communicable/transmissible disease outbreaks, have highlighted the continued need to “raise the bar” in the delivery of hygiene services.

At this stage the Authority expects that all hospitals in Ireland are achieving levels of compliance greater than 85%, with the essential requirements to deliver safe, efficient and effective hygiene services as set out in the National Hygiene Services Quality Standards. This latest series of randomised unannounced monitoring assessments focuses specifically on the day-to-day delivery of hygiene services and in particular cleanliness, hand hygiene and waste and linen management practices.

This report presents the findings of such a monitoring assessment.

2 Focus of the Monitoring Assessment

For this monitoring assessment, the Authority's focus was on the delivery of hygiene services. The Service Delivery (SD) Standard 4 contains seven core criteria. Each criterion contains specific compliance requirements that, taken together, are essential elements for the provision of safe, efficient and effective hygiene services (Appendix 1 contains the full list of the National Hygiene Services Quality Standards).

Service Delivery (SD) Standard 4:

Hygiene services are delivered safely, efficiently and effectively.

SD 4.1 The team ensures the organisation's physical environment and facilities are clean.

This refers to the overall cleanliness of the physical environment. Services should be provided in an environment that is clean and hospitals should have systems in place to ensure that high levels of cleanliness are maintained.

SD 4.2 The team ensures the organisation's equipment, medical devices and cleaning devices are managed and clean.

This refers to the implementation of cleaning practices to ensure that all medical and cleaning devices are clean and that systems exist to ensure that high levels of cleanliness are maintained.

SD 4.3 The team ensures the organisation's cleaning equipment is managed and clean.

This refers to the implementation of cleaning practices to ensure that all cleaning equipment is clean and that systems exist to ensure that the equipment is maintained to a high standard.

SD 4.4 The team ensures the organisation's kitchens, including ward/departmental kitchen, are managed and maintained in accordance with evidence-based best practice and current legislation.

This refers to the overall cleanliness of ward/departmental kitchens to ensure that areas where food is prepared, organised and/or handled is clean and that systems exist to maintain appropriate hygiene practices in kitchens.

SD 4.5 The team ensures the inventory, handling, storage, use and disposal of hygiene services hazardous materials, sharps and waste in accordance with evidence-based codes of best practice and current legislation.

This refers to the management of hazardous materials, sharps and waste, including inventory, handling, segregation, storage, use and disposal, to ensure the safety of all service users is protected.

SD 4.6 The team ensures the organisation's linen supply and soft furnishings are managed and maintained.

This refers to the management, segregation, maintenance and safe handling of linen and soft furnishings to ensure that all linen and soft furnishings are clean and that systems exist to ensure that they are maintained to a high standard.

SD 4.7 The team works with the Governing Body and / or its Executive Management Team to manage hand hygiene effectively and in accordance with Strategy for the Control of Antimicrobial Resistance in Ireland (SARI) guidelines.

Hand hygiene is recognised as the single most important preventative measure in the transmission of Healthcare Associated Infections, particularly in health services. In the 2008 national hygiene review the Authority focused on hand-washing technique. The H1N1 influenza pandemic, emphasised how essential it is that a culture of hand hygiene is embedded and that every opportunity for hand hygiene is taken. During this monitoring assessment, the Authority focused on opportunities for hand hygiene and whether or not staff took these opportunities and used the correct technique.

The Authority expects hospitals to have in place well-established arrangements to achieve levels of compliance greater than 85% with the requirements of these core criteria, and the necessary evidence to demonstrate such compliance.

It must be emphasised that findings from these monitoring assessments reflect a point in time and may not reflect the fluctuations in the quality of hygiene services (improvement or deterioration) over an extended period of time. However, as patients do not always choose which day they attend hospital, the Authority's unannounced one-day assessment is an indicator of how patients, in a given hospital, experience arrangements for hygiene.

3 Monitoring Process

The monitoring methodology consisted of an unannounced on-site assessment to monitor compliance with the Service Delivery Standard 4 listed previously. The process (outlined in table 1 below) sought to draw on multiple sources of information to assess compliance with Service Delivery Standard 4.

Table 1: Evidence gathering processes

Process or instrument for gathering evidence	Contribution to the monitoring process
Observation	To obtain information about the environment, practices and patient experience. Structural and equipment observation undertaken in a range of clinical and non-clinical areas.
Documentation review	To assess documentary evidence to establish whether the hospital complied with the requirements of the service delivery standard.
Patient interview	To elicit the views of service users to assist the monitoring team in their deliberations.
Staff interview	To assess the roles, responsibility and quality assurance mechanisms.

There were three phases to this monitoring process: pre-visit, on-site visit, and follow up and reporting.

3.1 Before the on-site visit

The main elements of the process prior to the site visit were as follows:

- The Authority prepared a confidential schedule for the monitoring assessments, with the unannounced assessment dates for each hospital selected at random.
- Selection of patient areas: the number of patient areas selected was proportionate to the type of services provided and the size of the hospital and at a minimum included, as relevant, one medical and one surgical ward, the emergency department, outpatient department, laundry and waste areas:
 - Category one hospitals (up to 150 beds): the Authority selected a minimum of four patient areas to be visited
 - Category two hospitals (151 – 450 beds): the Authority selected a minimum of six patient areas to be visited
 - Category three hospitals (greater than 450 beds): the Authority selected a minimum of eight patient areas to be visited.

3.2 During the visit

During the visit the following took place:

- The monitoring assessment of compliance with the National Hygiene Services Quality Standards, with a focus on Service Delivery Standard 4, was undertaken by a team of trained assessors from the Authority. Each team member had been authorised by the Minister for Health and Children to conduct the assessments under section 70 of the Health Act 2007.
- Where assessors identified specific issues that they believed could present a significant risk to the health or welfare of patients, hospitals were formally notified in writing of where action was needed, with the requirement to report back to the Authority with a plan to reduce and effectively manage the risk within a specified period of time.
- Hospitals were rated by the team against Service Delivery Standard 4. Evidence was gathered in a number of ways outlined in table 1 and based on this evidence the monitoring team assigned a rating to the seven core criteria. The compliance rating scale used for this is shown in table 2.

Table 2: Compliance rating score

A	The organisation demonstrated exceptional compliance of greater than 85% with the requirements of the criterion.
B	The organisation demonstrated extensive compliance of between 66% and 85% with the requirements of the criterion.
C	The organisation demonstrated broad compliance of between 41% and 65% with the requirements of the criterion.
D	The organisation demonstrated minor compliance of between 15% and 40% with the requirements of the criterion.
E	The organisation demonstrated negligible compliance of less than 15% with the requirements of the criterion.

Please note, the percentage compliance within any rating covers a range of performance. For example, 66% compliance will be rated as a B as would 85% compliance.

3.3 Following the visit

Following the visit, the quality assurance process was as follows:

- A draft report was compiled based on the findings of the monitoring assessment. The report highlights the areas where the hospital has not achieved greater than 85% compliance with the requirements of the criteria.
- Each report was reviewed by the Authority to ensure consistency and accuracy.
- The draft report was provided to the hospital, outlining their compliance ratings. The hospital was given an opportunity to comment on the factual accuracy of the findings and all comments were considered fully by the Authority.
- The final published report was based on the findings, the level of compliance of each organisation with the Standard and confirmation of the factual accuracy of these findings.
- The Authority reports on its findings publicly and, in accordance with section 8(1)(I) of the Act, will (as necessary) advise the Minister for Health and Children and the Health Service Executive as to the level of compliance with the Standard by the hospital visited.

4 Hospital profile

4.1 Hospital - organisational profile

Founded in 1780, St John's Hospital is an acute, general public, voluntary hospital in the centre of Limerick city providing a range of inpatient and outpatient services. Inpatient consultant services provided include breast surgery, general surgery, urology, gynaecology and general medicine.

The hospital has a three-bedded combined intensive care/coronary care unit and a 10-bedded day-care unit for general surgery, general medicine, gynaecology, maxillo-facial surgery, gastroenterology and pain management.

St John's provides a range of inpatient and outpatient diagnostic services in pathology, radiology and endoscopy. There is a Medical Assessment Unit with six spaces and outpatient clinics in general surgery, general medicine, gynaecology, ENT and paediatrics.

St John's Hospital is actively participating in the reconfiguration of acute hospital services project in the Mid-West Region. Emergency surgery has ceased in St. John's

Hospital since 1 July 2009 and the hospital now carries out five-day elective surgery in the two in-patient theatres and day surgery in one day theatre.

The hospital has an in-patient bed complement of 93. On the day of the monitoring assessment visit 10 beds were out of commission.

4.2 Areas visited

St. John's Hospital was visited by the assessment team on the 21 May 2010 between 8.15hrs and 11.45hrs. During the monitoring of compliance with the *National Hygiene Services Quality Standards*, the following areas were visited:

Ground Floor
Emergency Department
Waste Compound

Top Floor
Outpatients Department
Laundry Services.

5 Findings and Compliance Ratings

5.1 Main findings

Service Delivery (SD) Standard 4:

Hygiene services are delivered safely, efficiently and effectively.

The Service Delivery (SD) Standard 4 describes seven core criteria that must be complied with to meet the requirements for the provision of safe, efficient and effective hygiene services.

SD 4.1 The team ensures the organisation's physical environment and facilities are clean.

Rating: B (66-85% compliance with this criterion)

- Overall, the standard of hygiene was good in the areas visited.
- In the Emergency Department no dust was observed however, there was light dust on high and low surfaces in the other three areas visited.
- Cleaning checklists were observed to be completed consistently in the areas visited.
- The bathrooms/washrooms were clean in two of the areas visited. However, in the other two areas, a number of toilets were observed to be unclean. In one of these areas a shower and toilet were observed to be unclean even though they had just been signed-off on the cleaning checklist. The frame supporting a bath had a moderate level of dust and the inside of this bath was visibly unclean. At the time of the visit there was a blocked toilet in the Emergency Department patient waiting area - staff reported that this was a recurring issue.
- In each area visited, chipped paint was observed on walls and skirting boards.
- In two of the clinical areas visited there were visibly unclean commodes stored with clean commodes.

SD 4.2 The team ensures the organisation's equipment, medical devices and cleaning devices are managed and clean.

Rating: B (66-85% compliance with this criterion)

- The organisation's equipment and medical devices were clean in three of the four areas visited. However, in the Outpatient Department, light dust was observed on medical equipment including a cardiac monitor, equipment stands and an oxygen saturation probe and an examination couch had sticky residue.

- A process for managing and cleaning medical equipment was demonstrated. However, in the Outpatient Department there were no records available to demonstrate adherence to this process.

SD 4.3 The team ensures the organisation's cleaning equipment is managed and clean.

Rating: B (66-85% compliance with this criterion)

- Cleaning equipment, in the areas visited, was generally clean. However, a floor-buffer in one area was visibly unclean
- Cleaning policies and a colour-coding system were demonstrated
- Personal protective equipment (PPE) was readily available and used appropriately in the areas visited.
- Cleaning equipment was stored centrally in a modular building in the car park.
- A cleaning trolley was not used to segregate and transport cleaning equipment during the cleaning process.

SD 4.4 The team ensures the organisation's kitchens (including ward/department kitchens) are managed and maintained in accordance with evidence-based best practice and current legislation.

Rating: B (66-85% compliance with this criterion)

- The two ward-kitchen areas visited were observed to be clean.
- Personal protective equipment (PPE) was readily available and used appropriately.
- Fly screens and bait boxes were observed. However, one of the fly screens was visibly unclean.
- In both areas the wash hand basins were not compliant with best practice. A domestic waste disposal bin was not available beside the wash-hand basin in one area.
- Access to ward kitchens was restricted to designated personnel through appropriate signage on kitchen doors. However, the kitchen door was held open in one of the areas visited.
- A food safety policy was not demonstrated.

SD 4.5 The team ensures the inventory, handling, storage, use and disposal of Hygiene Services hazardous materials, sharps and waste is in accordance with evidence-based codes of best practice and current legislation.

Rating: A (66-85% compliance with this criterion)

- Segregation of clinical and non-clinical waste was observed to be in line with best practice.
- The waste compound had lockable gates and all clinical waste bins contained within it were locked.
- The appropriate waste destruction documentation (C1 and destruction certificates) was demonstrated.
- An inventory of safety data sheets was also demonstrated.
- The waste management policy was not demonstrated in two of the areas visited. In the other two areas a different waste management policy was demonstrated one of which was for review in November 2009 and the other stated 'review 2010'.
- Empty large domestic waste bins were observed to be visibly unclean.

SD 4.6 The team ensures the organisations linen supply and soft furnishings are managed and maintained.

Rating: B (66-85% compliance with this criterion)

- Linen was observed to be clean and in good condition in the areas visited and appropriately segregated into colour-coded bags. However, linen was stored in open cupboards in two of the clinical areas visited and, uncovered, on open shelving in one area with other inappropriate items, including stationary, newspapers and medical equipment.
- The central storage area was clean and free from dust.
- The linen policy was not demonstrated in two of the areas visited. In the other two areas a different linen policy was demonstrated, one of which was for review in November 2009 and the other stated 'review 2010'.

SD 4.7 The team works with the Governing Body and/or its Executive Management team to manage hand hygiene effectively and in accordance with the Strategy for the control of Antimicrobial Resistance in Ireland guidelines.

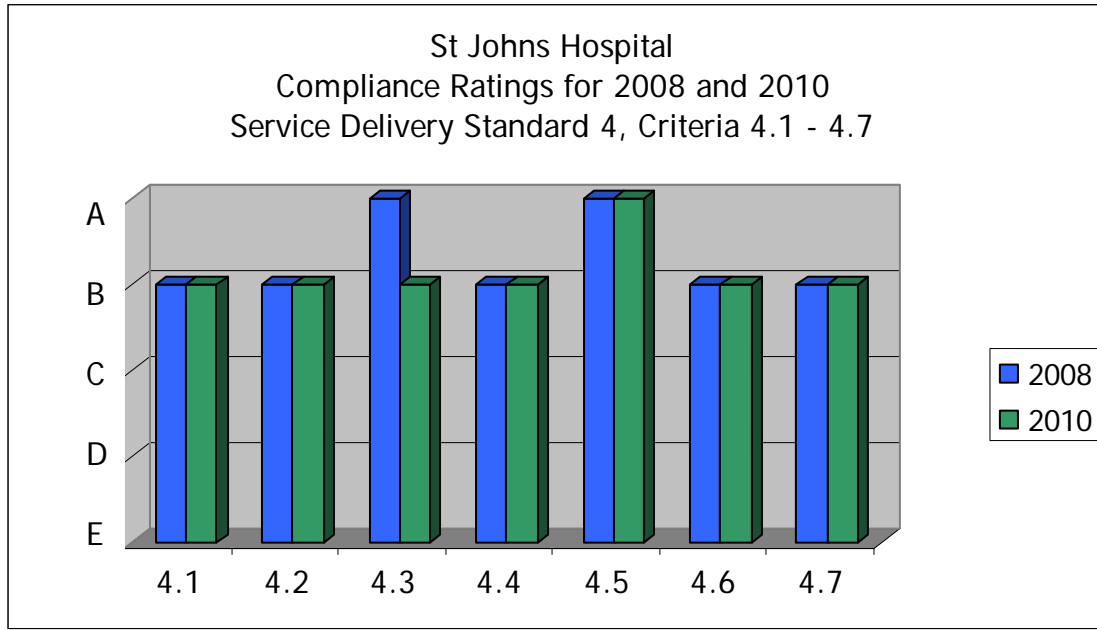
Rating: B (66-85% compliance with this criterion)

- The majority of hand-wash sinks in the areas visited complied with the Health Service Executive (HSE) *Health Protection Surveillance Centre's Guidelines for Hand Hygiene* (2005).
- Alcohol-based hand gel was widely available for use.

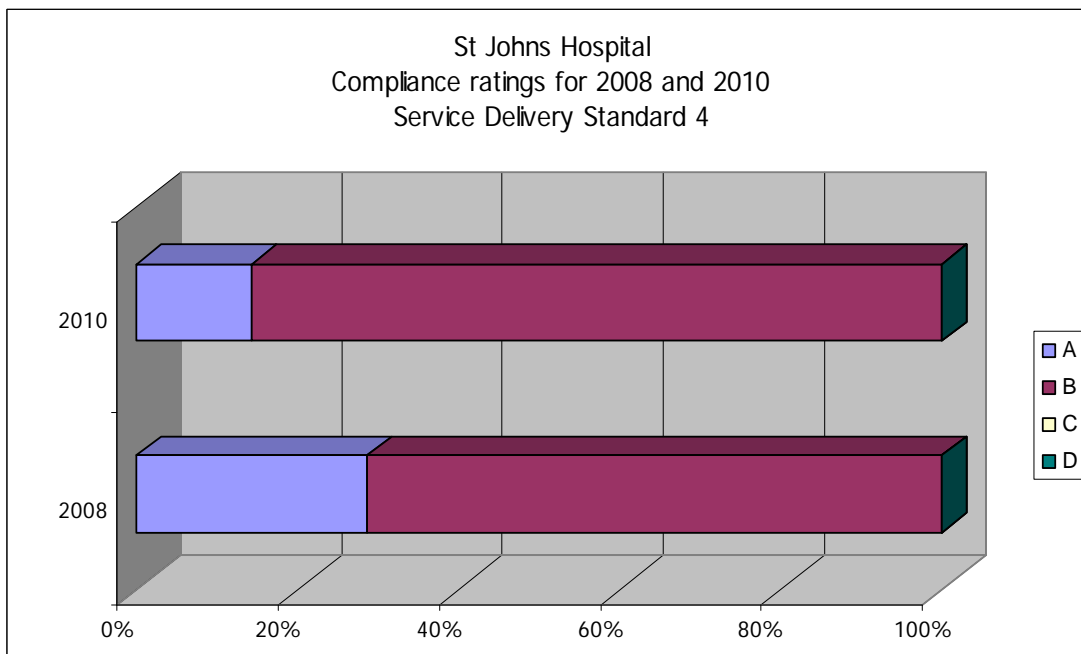
- Staff interviewed reported that attendance at hand-hygiene training was mandatory for all staff on an annual basis, with training being provided by the Infection Control Team.
- Hand-hygiene training records were demonstrated for nursing staff in clinical areas visited.
- It was reported that the Risk Management Department held a central record of hand-hygiene training. However, the organisation was unable to demonstrate a corporate approach to training all staff and to monitoring and trending attendance at hand-hygiene training.
- The organisation reported that hand-hygiene audits were undertaken by the Infection Control Team however, no audits were demonstrated either in the clinical areas or during the review of central documentation.
- Hand-hygiene opportunities were observed in clinical areas. However, during observation:
 - not all opportunities to practice hand hygiene were taken
 - the hand-hygiene technique used did not always comply with best practice
 - posters to demonstrate appropriate hand-hygiene technique were not widely displayed throughout the organisation.

5.2 Ratings Summary

The graph below (graph 1) illustrates the organisation's individual ratings for each of the criteria under Service Delivery Standard 4 – implementing hygiene services – in comparison with the equivalent 2008 and 2010 ratings.



The graph below (graph 2) illustrates the organisation's level of compliance (A to D) with the seven core criteria of Service Delivery Standard 4 in comparison with 2008 and 2010.



5.3 Conclusion

The monitoring assessment found that St. John's Hospital did not meet the requirements in relation to Service Delivery Standard 4 to ensure that the key aspects of hygiene services are delivered safely, efficiently and effectively.

The Authority expects that all hospitals achieve levels of compliance over 85% with all of the *National Hygiene Services Quality Standards*, including the seven core criteria of Service Delivery Standard 4.

St. John's Hospital achieved greater than 85% compliance in one of the seven core criteria of Service Delivery Standard 4.

St. John's Hospital must address the shortfalls in compliance with the Standards in order to provide safe, efficient and effective hygiene services.

Appendix 1

The National Hygiene Services Quality Standards

Standards for Corporate Management

The Corporate Management Standards allow an organisation to assess and evaluate its activities in relation to Hygiene Services at an organisational management level. Responsibility for these standards lies with the Governing Body and Executive Management Team in conjunction with the Hygiene Services Committee. There are fourteen standards within the Corporate Management Standards, all of which are focused on four critical areas that are leadership and partnerships, environment and facilities, human resources and information management. Eight criteria within these standards are core.

The 14 standards are as follows:

- 1. Planning and Developing Hygiene Services:** Organisational planning in response to the changing needs of the population it serves in relation to hygiene services.
- 2. Linkages and Partnerships:** Organisational linkages and how it works in partnership with patients/clients, staff, other organisations and the community.
- 3. Corporate Planning:** Strategic planning to achieve identified goals in relation to hygiene services.
- 4. Governing and Managing Hygiene Services:** Effective and efficient governance for hygiene services.
- 5. Organisational Structure:** Defined organisational structures to ensure the co-ordinated provision of hygiene services.
- 6. Allocating and Managing Resources:** Allocation, protection, management and control of human, physical and financial resources for the hygiene services.
- 7. Managing Risk:** Assessment, management and prevention of risk in relation to hygiene services.
- 8. Contractual Agreements:** Shared responsibility for the delivery of hygiene services involving contractual services.

9. Physical Environment, Facilities and Resources: Effective and efficient planning and management of the organisation's physical environment, facilities and resources.

10. Selection and Recruitment of Hygiene Staff: Selection, recruitment and retention of adequate and appropriate human resources.

11. Enhancing Staff Performance: Orientation/induction, ongoing education, training and continuous professional development and evaluation of Hygiene Services staff performance.

12. Providing a Healthy Work Environment: Safe, healthy and positive work environment for all Hygiene Services staff.

13. Collecting and Reporting Data and Information: Timely, efficient, accurate and complete collection and reporting of relevant hygiene services data and information.

14. Assessing and Improving Performance: Quality improvement systems for monitoring, evaluating and improving the quality of the organisation's Hygiene Service delivery.

Standards for Services Delivery

The Service Delivery Standards allow an organisation to assess and evaluate its activities in relation to Hygiene Services at a team level. These standards relate directly to operational day-to-day work and responsibility for these standards lies primarily with the Hygiene Services Team (the team) in conjunction with ward/departmental managers and the Hygiene Services Committee. There are seven core criteria within these standards.

The 6 Service Delivery Standards are as follows:

1. Evidence Based Best Practice and New Interventions in Hygiene Services: Establishment, adoption, maintenance and evaluation of best practice guidelines and establishing processes for new interventions.

2. Prevention and Health Promotion: Health and hygiene promotion and encouraging individuals to take responsibility for their own health.

3. Integrating and Coordinating Hygiene Services: Integration and coordination of hygiene services.

4. Implementing Hygiene Services: Safe, efficient and effective hygiene services.

5. Patients'/Clients' Rights: Promoting and protecting patients'/clients' Rights

6. Assessing and Improving Performance: Quality improvement, managing risk and managing utilisation of services to improve the quality of the hygiene services and the performance of the team.