

# Duration of immunity (protection from reinfection) following SARS-CoV-2 infection

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#### **About the Health Information and Quality Authority**

The Health Information and Quality Authority (HIQA) is an independent statutory authority established to promote safety and quality in the provision of health and social care services for the benefit of the health and welfare of the public.

HIQA's mandate to date extends across a wide range of public, private and voluntary sector services. Reporting to the Minister for Health and engaging with the Minister for Children and Youth Affairs, HIQA has responsibility for the following:

- Setting standards for health and social care services Developing person-centred standards and guidance, based on evidence and international best practice, for health and social care services in Ireland.
- Regulating social care services The Chief Inspector within HIQA is responsible for registering and inspecting residential services for older people and people with a disability, and children's special care units.
- Regulating health services Regulating medical exposure to ionising radiation.
- Monitoring services Monitoring the safety and quality of health services and children's social services, and investigating as necessary serious concerns about the health and welfare of people who use these services.
- Health technology assessment Evaluating the clinical and costeffectiveness of health programmes, policies, medicines, medical equipment, diagnostic and surgical techniques, health promotion and protection activities, and providing advice to enable the best use of resources and the best outcomes for people who use our health service.
- **Health information** Advising on the efficient and secure collection and sharing of health information, setting standards, evaluating information resources and publishing information on the delivery and performance of Ireland's health and social care services.
- **National Care Experience Programme** Carrying out national serviceuser experience surveys across a range of health services, in conjunction with the Department of Health and the HSE.

## List of abbreviations used in this report

COVID-19	Coronavirus disease 2019	
CDC	Centers for Disease Control and Prevention	
CI	confidence interval	
COVID-19	Coronavirus disease 2019	
Ct	cycle threshold	
EAG	expert advisory group	
ECDC	European Centre for Disease Prevention and Control	
HIQA	Health Information and Quality Authority	
HSE	Health Service Executive	
НТА	health technology assessment	
IgA	immunoglobulin A	
IgM	immunoglobulin M	
IgG	immunoglobulin G	
NAAT	Nucleic acid amplification test	
NPHET	National Public Health Emergency Team	
NCP	nucleocapsid protein	
RBD	receptor-binding domain	
RNA	ribonucleic Acid	
RQ	research question	
RT-PCR	reverse transcription polymerase chain reaction	
SARS-CoV-2	Severe Acute Respiratory Syndrome Coronavirus 2	
S protein	spike protein	
WHO	World Health Organization	

# **Glossary of terms/explanatory notes**

Antibody	An antibody is a protein produced by the immune system that binds specifically to a particular substance (its antigen). Each antibody molecule has a unique structure that enables it to bind specifically to its corresponding antigen, but all antibodies have a similar overall structure and are known collectively as immunoglobulins or Igs.  Antibodies are produced by plasma cells in response to infection or vaccination, and bind to and may neutralise pathogens (invading microorganisms) or prepare them for uptake and destruction by phagocytes (cells that destrey pathogens). Antibodies do not enter calls, and can only
	destroy pathogens). Antibodies do not enter cells, and can only play a protective role before the virus enters the cell.
B cell	A B cell, or B lymphocyte, is one of the two major types of lymphocyte. On activation by an antigen, B cells differentiate into plasma cells, which produce antibody molecules.
CD4 and CD4 T cells	CD4 is a cell-surface protein important for recognition by T-cells. CD4 T cells are T cells that carry the co-receptor protein CD4, and play a central role in the immune system, acting as 'helper' T cells, providing essential help for B cells and other T cells.
Cell-mediated immunity (or cellular immunity)	Cell-mediated immunity, or a cell-mediated immune response, describes any adaptive immune response in which antigen-specific T cells have the main role in protection. Once a virus enters a cell, cell-mediated immunity is the only effective immune response.
Convalescent period	The convalescent period is the time during which an individual has recovered from an infectious disease (e.g. COVID-19) and during which blood serum may contain antibodies against the infectious agent of the disease.
Cycle threshold (Ct)	In RT-PCR, a positive reaction is detected by accumulation of a fluorescent signal. The Ct (cycle threshold) is defined as the number of cycles required for the fluorescent signal to cross the threshold (therefore exceed background level). The lower the Ct level, the greater the amount of target nucleic acid in the sample.
Genome	The genetic material of an organism.

Humoral immunity	Humoral immunity is another term for antibody-mediated immunity and the term 'humoral immune response' refers to the antibody response to a specific antigen.		
Immunoglobuli ns	All antibody molecules belong to a family of plasma proteins called immunoglobulins (Ig). Membrane-bound immunoglobulin serves as the specific antigen receptor on B lymphocytes.		
IgG	IgG is the class of immunoglobulin characterised by $\gamma$ heavy chains. It is the most abundant class of immunoglobulin found in the plasma and is also found in tissues.		
Immunity	Immunity is the ability to resist infection.		
Lineage	Descent in a line from a common ancestor. Viruses can be grouped into lineages (families), based on the evolutionary trajectories of the virions and their production mechanisms.		
Memory cells	Memory cells are the lymphocytes that facilitate immunological memory. They are more sensitive to antigen than naive lymphocytes and respond rapidly on re-exposure to the antigen that originally induced them. Both memory B cells and memory T cells have been defined.		
Mucosal immunity	Mucosal immunity is the study of the immune system associated with mucosal sites, such as the lining of the respiratory and gastrointestinal tracts.		
Neutralising antibodies (NAb)	A neutralising antibody (NAb) is an antibody that is responsible for defending cells from pathogens, which are organisms that cause disease. They are produced naturally by the body as part of its immune response, and their production is triggered by both infections and vaccinations against infections. Specific pathogen proteins bind to proteins on human cells, which act as receptors. Neutralising antibodies usually bind the pathogen protein, which binds the receptor.		
Pathogen	Pathogens are microorganisms that can cause disease when they infect a host.		
Receptor- binding domain (RBD)	A receptor-binding domain (RBD) is part of a virus, located on its 'spike' domain, which allows it to dock to body receptors to gain entry into cells and lead to infection. In the case of coronaviruses, the RBD is found on the 'spike' domain.		
Reverse transcriptase-	The reverse transcriptase—polymerase chain reaction (RT-PCR) is used to amplify RNA sequences. The enzyme reverse		

polymerase chain reaction	transcriptase is used to convert an RNA sequence into a cDNA sequence, which is then amplified by PCR.		
Seroconversion	Seroconversion timing refers to the first time an individual tests positive for antibodies (based on serial serological samples).		
Seropositive	When someone has a blood test (serologic test) and detectable antibodies against a specific antigen are found.		
Seronegative	When someone has a blood test (serologic test) and detectable antibodies against a specific antigen are not found.		
Single nucleotide polymorphisms (SNPs)	Single nucleotide polymorphisms (SNPs) are the most common type of genetic variation among people or organisms. Each SNP represents a difference in a single DNA building block, called a nucleotide.		
T cells	T cells, or T lymphocytes, are a subset of lymphocytes defined by their development in the thymus (organ). T cells play a key role in co-ordinating the immune response, and protection against viruses and fungi.		
Titre(s)	The strength of a solution or the concentration of a substance in solution as determined by titration.		
Whole genome sequencing (WGS)	Whole-genome sequencing (WGS) is the analysis of the entire genomic DNA sequence of a cell at a single time, providing the most comprehensive characterisation of the genome.		

## **Version History**

Version number	Date	Details
V1.0	13 May 2020	
V2.0	9 June 2020	Updated search with 35 new studies
V3.0	6 August 2020	Updated search with 28 new studies
V4.0	11 November 2020	Refined search with 28 new studies
V5.0	5 March 2021	Refined search with 5 new studies and scoping review on the long-term duration of immune response following SARS-CoV-2 infection

# **Duration of immunity (protection from reinfection) following SARS-CoV-2 infection**

#### **Key points**

- A systematic search was conducted to identify studies that investigated the risk of SARS-CoV-2 reinfection in previously infected individuals over time.
- Five studies were identified that met the inclusion criteria. Three studies were conducted in the UK, and one each in Qatar and the US. All were observational cohort studies, of which two were prospective and three were retrospective.
- Across studies, the median number of PCR- or antibody-positive participants at baseline was 6,614 (range: 1,038 to 378,606) and the median duration of follow-up was 4.6 months (range: 1.8 to 6.7 months). Maximum follow-up in individual studies ranged from 3.1 to 8.1 months.
- The estimated risk of reinfection was low (0.1% [95% CI: 0.08 to 0.11%]) in the Qatar study comprising a large cohort of 43,044 anti-SARS-CoV-2 nucleocapsid antibody positive participants with over seven months of follow-up. No evidence of waning immunity over time was seen. Reinfection events were confirmed by whole genome sequencing in a subset of study participants.
- Three UK studies estimated the risk of reinfection based on PCR testing among healthcare workers (HCW) (median follow-up ranged from 4.6 to 6.7 months):
  - The first study detected no symptomatic infections out of 1,038 HCWs with evidence of previous infection (0%, 95% CI: 0−0.4%), compared with 290 out of 10,137 HCWs without evidence of prior infection (2.9%, 95% CI: 2.6−3.2%, p<0.0001).</li>
  - The second study detected two asymptomatic infections (and no symptomatic infections) out of 1,265 seropositive HCWs, compared with 223 infections (100 asymptomatic and 123 symptomatic) out of 11,364 seronegative HCWs; the adjusted incidence rate ratio in HCWs who were seropositive at baseline was 0.11 (95% CI: 0.03 to 0.44) (adjusted for age, gender and month of testing).
  - The third study reported 44 reinfections (15 of which were symptomatic) out of 6,614 seropositive HCWs, compared with 318 new PCR positive infections (249 of which were symptomatic) and 94 antibody seroconversions in the seronegative cohort of 14,173 individuals. The adjusted odds ratio was 0.17 in HCWs who were

seropositive at baseline for all reinfections (95% CI: 0.13 to 0.24) and 0.08 (95% CI 0.05-0.13) for symptomatic reinfections.

- One large retrospective database analysis of 3,257,478 unique patients in the US found that patients who display positive antibody tests are initially more likely to have a positive nucleic acid amplification test (NAAT), consistent with prolonged RNA shedding. However, over time, patients became less likely to test positive by NAAT when followed for three months. There were concerns regarding bias in this study ('poor quality') and the outcome measure used (NAAT) was a proxy measure for both reinfection and redetection.
- When only the four studies of 'good' or 'fair' methodological quality were considered, the evidence suggests that the reinfection risk is low for at least seven months following primary infection (maximum duration of follow-up was ≥7 months in all four studies).
- There are a number of limitations associated with this review. As all studies
  were observational in nature, they cannot be used to demonstrate causality.
  Therefore, only longitudinal associations between prior infection and protective
  immunity can be measured.
- The applicability of included studies may be limited due the completion of all studies before December 2020, preceding the widespread identification and spread of a number of new variants of international concern since December 2020 and preceding vaccine roll-out. Thus, the applicability of the findings to recent variants of concern and vaccinated populations is unknown. Separately, as all studies provided estimates in the general population or HCWs, it is unclear how generalisable the findings are to other populations such as the elderly, those with comorbidities and immunocompromised individuals.
- A scoping review was conducted to evaluate the long-term duration of immune responses following SARS-CoV-2 infection. Five studies were identified that investigated immune responses at ≥6 months post-infection, including two studies at ≥8 months post-infection. In general, studies reported a waning of antibody responses in the late convalescent period (3-6 months post-infection). However, T-cell and memory B-cell responses were still present, and in many cases increased, up to eight months post-infection in all study participants.
- In conclusion, five studies were identified that reported low rates of SARS-CoV-2 reinfection up to seven months following initial infection. Additionally, a scoping review of the long-term duration of immune responses found that while there may be a waning of antibody responses over time, T- and B-cell responses persist for up to eight months post-infection.

# **Duration of protective immunity (protection from reinfection) following SARS-CoV-2 infection**

#### **Background**

The Health Information and Quality Authority (HIQA) has developed a series of evidence syntheses to inform advice from HIQA to the National Public Health Emergency Team (NPHET). The advice takes into account expert interpretation of the evidence by HIQA's COVID-19 Expert Advisory Group.

The following specific research question was developed and forms the basis of this evidence summary:

How long does protective immunity (that is, prevention of antigen or RT-PCR confirmed reinfection) last in individuals who were previously infected with SARS-CoV-2 and subsequently recovered?

This evidence summary is expected to inform a range of policy questions relating to the duration of protective immunity following infection with SARS-CoV-2. Relevant policy questions include the following:

- 1. How long can asymptomatic individuals (including healthcare workers) who have recovered from a prior SARS-CoV-2 infection be exempted from restriction of movement policies if they become a close contact of a confirmed COVID-19 case?
- 2. How long can asymptomatic individuals who have recovered from a prior SARS-CoV-2 infection be exempted from serial testing, for example serial testing in indoor settings where social distancing is difficult (such as food processing facilities)?
- 3. How long can asymptomatic patients who have recovered from a prior SARS-CoV-2 infection be exempted from the requirement for testing prior to scheduled admission to hospital or inter institutional transfer?

Prior to this review, four evidence summaries relating to immunity following SARS-CoV-2 infection were published by HIQA (13 May 2020, 9 June 2020, 6 August 2020 and 11 November 2020).

In the November update, (1) the following research questions were addressed:

- 1) Is reinfection with SARS-CoV-2 possible following recovery?
- 2) What is the long-term duration of the antibody response (≥2 months)?

The November 2020 update concluded that SARS-CoV-2 reinfection is possible, although a rarely reported event, and that antibody-mediated immune responses can be detected in most patients beyond two months and up to six months post-symptom onset. These data were limited by the longest duration of follow-up in identified studies, and cell-mediated responses were not considered. Due to the evolving nature of these data, a scoping review of the long term ( $\geq$ 6 months) duration of antibody-mediated (humoral) and cell-mediated immunity, including the development of immune memory, was also undertaken for the current review.

Sections below report both components to this review: 1) a systematic search of databases to identify cohort studies that estimated the risk of reinfection over time and 2) a scoping review of the long-term duration of humoral and cellular responses following SARS-CoV-2 infection.

#### Part 1: Evidence summary - prevention of reinfection

#### **Methods – systematic search**

The processes outlined in HIQA's protocol for this review (<a href="www.hiqa.ie">www.hiqa.ie</a>) were followed. Databases (PubMed, Embase and EuropePMC) were searched on 4 February 2021.

Table 1 outlines the Population Outcome Study design (POS) criteria for study selection relating to the systematic search.

# **Table 1. Population Outcome Study design (POS) criteria for systematic** search

Population	Individuals (of any age) with evidence of prior SARS-CoV-2 infection, who subsequently recovered.*			
	Evidence of prior infection includes diagnosis by RT-PCR or antigen testing, or evidence of an immune response through antibody detection (seropositivity).			
	Subgroups include healthcare workers, age groups and high risk/very high risk groups (HSE definitions**)			
Outcomes	Prevention of reinfection			
	Primary outcomes:			
	<ol> <li>Relative risk of RT-PCR or antigen-confirmed SARS-CoV-2 reinfection, comparing populations with evidence of prior infection with populations with no prior evidence of infection, at specified time points</li> </ol>			
	<ol><li>Risk of RT-PCR or antigen-confirmed SARS-CoV-2 reinfection over time</li></ol>			
	3. Time interval between first and second infections			
	4. RT-PCR cycle threshold (Ct) results, if reported			
	<ol><li>Whole genome sequencing (WGS) results of reinfected cases comparing first and second infections, if reported</li></ol>			
Types of	Include:			
studies	<ul> <li>Observational studies (prospective or retrospective)</li> </ul>			
	Exclude:			
	<ul> <li>Cohort studies that enrolled fewer than 100 participants unless the study reported comparative WGS on all reinfection cases (comparing first and second infections)</li> </ul>			
	<ul> <li>Studies with durations of follow-up of less than 3 months</li> </ul>			

<sup>\*&#</sup>x27;Recovered' refers to molecular or clinical evidence of viral clearance following initial infection; definitions of recovery in primary studies will be used. Common definitions include two consecutive negative respiratory RT-PCR tests 24 hours apart and WHO clinical criteria of viral clearance (27 May 2020).<sup>(2)</sup> \*\*Definitions used by HSE<sup>(3)</sup>

Animal studies.

#### **Results – systematic search**

The collective database search resulted in 1,561 citations, with four citations retrieved from other sources (grey literature search). Following removal of duplicates, 1,437 citations were screened for relevance. This resulted in 55 studies eligible for full text review (Figure 1), where a further 50 studies were excluded (Appendix 1).

Five studies were identified that met the inclusion criteria. Three studies were conducted in the UK, (5, 6, 8) and one each in Qatar and the US. All were observational cohort studies, of which two were prospective and three were retrospective. Three studies are currently published as preprints. Across studies, the median number of PCR- or antibody-positive participants at baseline was 6,614 (range: 1,038 to 378,606); no individuals were identified on the basis of antigen testing. The median follow-up of individuals within studies was 139 days (range of medians: 54-202) and the maximum follow-up was 242 days. Studies reported a range of primary endpoints (Table 2 and Appendix 2).

Figure 1. PRISMA diagram of study selection

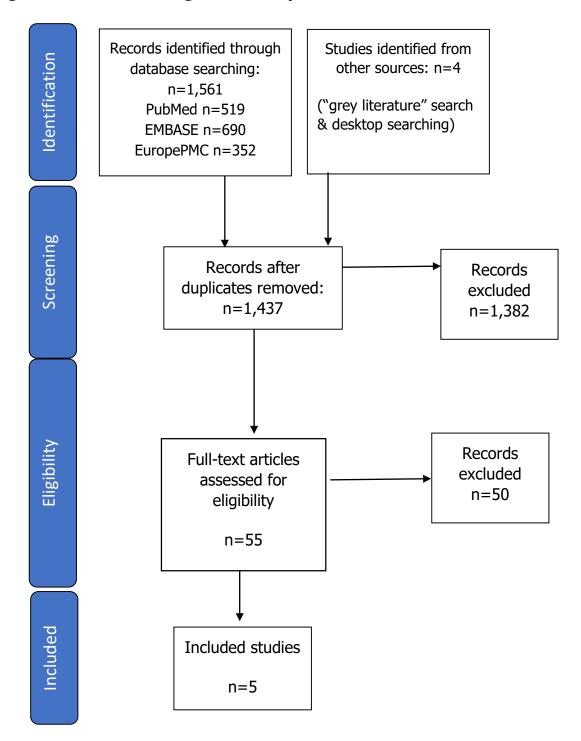


Table 2 Summary of included studies and primary outcome results

First author	Participants <sup>a</sup>	Primary endpoints	Quality
Country	Follow-up		appraisal <sup>g</sup>
Population			
Abu-Raddad 2021 <sup>(4)</sup> Qatar General population	N=43,044  Median f/u: 114 days (3.8 months)  Maximum f/u: 242 days (8.1 months)	Risk of reinfection (confirmed by WGS) <sup>b</sup> : 0.10% (95% CI: 0.08 to 0.11%)  Risk over time: Incidence rate of reinfection by month of follow-up did not show any evidence of waning of immunity over seven months of follow-up	`Fair' quality
Hall 2021 <sup>(5)</sup> UK HCWs	N=6,614  Median f/u: 202 days (6.7 months)  Maximum f/u: 227 days (7.6 months)	Adjusted odds ratio of reinfection comparing antibody or PCR-positive group with negative group  'Probable' reinfection: aOR: 0.01 (95% CI 0.00-0.03)  All 'possible' and 'probable' reinfections: aOR: 0.17 (95% CI: 0.13 to 0.24)  Symptomatic reinfection: aOR: 0.08 (95% CI 0.05-0.13)	`Good' quality
Hanrath 2020 <sup>(6)</sup> UK HCWs	N=1,038  Median f/u: 173 days (5.8 months)  Maximum f/u: 229 days (7.6 months)	<b>Symptomatic reinfection:</b> A positive PCR test was returned in $0/1,038$ (0% [95% CI: 0–0.4) of those with previous infection, compared with 290/10,137 (2.9% [95% CI: 2.6–3.2) of those without (P<0.0001 $\chi$ 2 test).	`Fair' quality
Harvey 2020 <sup>(7)</sup> USA General population	N=378,606  Median f/u: 54 days (1.8 months)  Maximum f/u: 92 days (3.1 months)	Ratio of positive NAAT results (comparing patients who had a positive antibody test at index versus those without) <sup>d</sup> :  2.85 (95% CI: 2.73 to 2.97) at 0-30 days; 0.67 (95% CI: 0.6 to 0.74) at 31-60 days; 0.29 (95% CI: 0.24 to 0.35) at 60-90 days; 0.10 (95% CI: 0.05 to 0.19) at >90 days	'Poor' quality
Lumley 2020 <sup>(8)</sup> UK HCWs	N=1,265  Median f/u: 139 days (4.6 months)  Maximum f/u: 217 days (7.2 months)	<b>Incidence rate ratio</b> (IRR <sup>e</sup> ): 0.12 (95% CI, 0.03 to 0.47; p=0.002); 2/1,265 seropositive (both asymptomatic reinfections) and N=223/11,364 seronegative had positive PCR <b>Adjusted IRR</b> <sup>f</sup> : 0.11 (95% CI, 0.03 to 0.44; p=0.002)	`Good' quality

Key: aOR – adjusted odds ratio (adjusted for week group); CI – confidence interval; f/u – follow-up; NAAT – nucleic acid amplification test; WGS – whole genome sequencing

<sup>&</sup>lt;sup>a</sup>In the baseline antibody and or PCR positive group ('seronegative' or prior positive cohort)

<sup>&</sup>lt;sup>b</sup>Based on cases with whole genome sequencing confirming the first and second infections were from different viral strains (N=16)

<sup>&</sup>quot;Possible' reinfection was defined as a participant with two PCR positive samples ≥90days apart with available genomic data, or an antibody positive participant with a new positive PCR at least four weeks after the first antibody positive result. A 'probable' case additionally required supportive quantitative serological data and or supportive viral genomic data from confirmatory samples

<sup>&</sup>lt;sup>d</sup>NAAT used as proxy; includes all symptomatic reinfections and prolonged viral shedding, comparing patients who had a positive antibody test at index versus those with a negative antibody

eIRR is the relative incidence of subsequent positive SARS-CoV-2 PCR tests and symptomatic infections comparing antibody-positive and antibody-negative groups at baseline

fAfter adjustment for age, gender, and month of testing or calendar time as a continuous variable. Based on National Institutes of Health (NIH) quality appraisal criteria

#### Abu Raddad 2021

In the study by Abu-Raddad et al., 43,044 anti-SARS-CoV-2 nucleocapsid antibody positive participants were followed for a median of 16.3 weeks (maximum follow-up: 34.6 weeks) for evidence of reinfection. (4) This retrospective cohort was identified from a database that covers all serological testing for SARS-CoV-2 conducted in Qatar.

'Suspected cases' of reinfection included all SARS-CoV-2 antibody-positive individuals with at least one PCR positive swab that occurred  $\geq 14$  days after the first positive antibody test. These were further classified as showing either 'good' evidence, 'some' evidence, or 'weak'/'no' evidence of reinfection based on cycle threshold (Ct) and epidemiological criteria. Only 314 individuals had a PCR positive swab  $\geq 14$  days after the first-positive antibody test, and thus qualified for inclusion in the analysis. There were 1,099 swabs (551 positive and 548 negative) collected from these 314 individuals after the first positive antibody test. Investigation of these 314 suspected cases of reinfection yielded 32 cases with good evidence for reinfection (Ct≤30 for reinfection swab), 97 cases with some evidence (Ct>30 for reinfection swab), while evidence was weak for the remaining 185 cases.

Individuals with good or some evidence of reinfection had a median age of 37 years (range: <1 to 72 years) and included 92 men (71.3%). The median interval between the first positive antibody test and the reinfection swab was 52 days (range: 15 to 212 days). The median Ct value of the reinfection swab was 32.9 (range: 13.9 to 38.3). Slightly over a third of cases were diagnosed based on clinical suspicion (n=34; 26.4%) or individual request (n=9; 7.0%), while the rest (n=86) were identified incidentally either through random PCR-testing campaigns/surveys (n=47; 36.4%), healthcare routine testing (n=18; 14.0%), contact tracing (n=15; 11.6%), or at a port of entry (n=6; 4.7%). At the time of reinfection, eight cases had records in the severity database. One of these was classified as "severe" and two as "moderate", while the other five were classified as "asymptomatic." At time of primary infection, 14 cases had records in the severity database, one of whom was classified as "critical", three as "severe", five as "moderate", two as "mild", and three as "asymptomatic."

Among the 129 cases with good or some evidence for reinfection, 62 had records indicating prior diagnosis of a primary infection. Of these, viral genome sequencing evidence was available for 16 cases. Five of these 16 cases were confirmed as reinfections (confirmation rate: 31.3%). For one pair, there were few changes of allele frequency offering supporting evidence for reinfection. For the four other pairs, there were multiple clear changes of allele frequency indicating strong evidence for reinfection. One of the latter pairs also documented the presence of the D614G

mutation (23403bp A>G) at the reinfection swab, a variant that has progressively replaced the original D614 form. For seven additional pairs, while there were one to several changes of allele frequency indicative of a shifting balance of quasi-species, there was no evidence for reinfection. For four pairs, there was strong evidence for *no* reinfection as both genomes were of high quality, yet no differences were found. Three of these four cases had a Ct<30 for the reinfection swab, indicating persistent active infection.

Applying the confirmation rate obtained through viral genome sequencing, the risk of documented reinfection was 0.1% (95% CI: 0.08 to 0.11%); that is, 31.3% of the suspected 129 reinfections in the cohort of 42,272 anti-SARS-CoV-2 positive participants (followed for 610,832 person-weeks). The incidence rate of documented reinfection was estimated at 0.66 per 10,000 person-weeks (95% CI: 0.56 to 0.78). There was evidence of a decreasing trend in the incidence rate of reinfection with each additional month of follow-up from the first month (incidence rate: 0.97 per 10,000; 52 cases per 167,149 person-weeks) to the sixth month (zero cases per 19,148 person-weeks) (Mantel-Haenszel trend analysis p-value: <0.001). There was an increase at  $\geq 7$  months, however this was only based on one case of reinfection (per 3,094 person-weeks).

These reinfections were compared to a cohort of 149,923 antibody-negative individuals followed for a median of 17 weeks (range: 0-45.6 weeks). Risk of infection was estimated at 2.15% (95% CI: 2.08-2.22%) and the incidence rate of infection was estimated at 13.69 per 10,000 person-weeks (95% CI: 13.22-14.14). The efficacy of natural infection against reinfection was estimated at 95.2% (95% CI: 94.1-96.0%).

#### Hall 2021

In the study by Hall et al.,<sup>(5)</sup> interim results from Public Health England's 'SIREN' study are reported. In total, 20,787 hospital staff (including healthcare workers, support staff and administrative staff) were followed between 18 June and 9 November 2020 for evidence of reinfection. Of these, 32% (n=6,614) were assigned to the positive cohort (antibody or PCR positive) and 68% (n=14,173) to the negative cohort (antibody negative, not previously known to be PCR or antibody positive). Enrolment began on 1 February 2020 with data censorship on 24 November 2020. Questionnaires and PCR testing was undertaken every two weeks and antibody testing every four weeks. In total, 1,339,078 days of follow-up data was analysed from the baseline positive cohort.

A 'possible' reinfection was defined as a participant with two PCR positive samples 90 or more days apart with available genomic data, or an antibody positive participant with a new positive PCR at least four weeks after the first antibody

positive result. A 'probable' case additionally required supportive quantitative serological data and or supportive viral genomic data from confirmatory samples. The median interval between primary infection and reinfection beyond 90 days was 172 days (range: 90-227).

In total, 44 reinfections (2 probable, 42 possible) were detected in the baseline positive cohort (15 of which were symptomatic), compared with 318 new PCR positive infections (249 of which were symptomatic) and 94 antibody seroconversions in the negative cohort. The incidence density per 100,000 person days was 3.3 reinfections in the positive cohort compared with 22.4 new PCR confirmed infections in the negative cohort.

The adjusted odds ratio was 0.17 for all reinfections ('possible' or 'probable'; 95% CI: 0.13 to 0.24). Restricting reinfections to probable reinfections only, participants in the positive cohort had a 99% lower odds of probable reinfection, adjusted odds ratio (aOR) 0.01 (95% CI 0.00-0.03). Restricting reinfections to those who were symptomatic, investigators estimated that participants in the positive cohort had an aOR of 0.08 (95% CI 0.05-0.13).

The two probable reinfections from this cohort are described in a separate paper that is awaiting publication.<sup>(9)</sup> Both of these cases were symptomatic with high viral loads and there was a boosted antibody response. Genome sequencing demonstrated phylogenetic relatedness to concurrently circulating strains.

#### Hanrath 2021

In the study by Hanrath et al.,<sup>(6)</sup> symptomatic reinfection in UK healthcare workers during the second wave of the UK pandemic was investigated, comparing those who had evidence of prior SARS-CoV-2 infection from the first wave with those who had no evidence of prior infection. In the first wave (10 March to 6 July 2020), 481/3,338 symptomatic healthcare workers tested positive for SARS-CoV-2 by PCR, while SARS-CoV-2 IgG was detected in 937/11,103 (8.4%). From these, 1,038 healthcare workers were identified with evidence of previous infection (PCR and or antibody positive) and 10,137 without (negative antibody and PCR). The primary endpoint for analysis was symptomatic SARS-CoV-2 infection, defined as a positive PCR for SARS-CoV-2 from a combined nasopharyngeal/oropharyngeal swab taken as part of a symptomatic staff testing programme in the period from 7 July 2020 to 20 November 2020.

During the second time period, 2,243 symptomatic healthcare workers underwent PCR testing; 128 of these had previous confirmed SARS-CoV-2 infection while 2,115 had not. In those previously infected, there was a median of 173 (IQR: 162–229) days from the date of first positive PCR or antibody result to the end of the analysis

period. Test positivity rates were 0% (0/128 [95% CI: 0–2.9]) in those with previous infection compared to 13.7% (290/2,115 [95% CI: 12.3–15.2]) in those without (p<0.0001,  $\chi$ 2 test). Considering the population as a whole, a positive PCR test was returned in 0/1,038 (0% [95% CI: 0–0.4) of those with previous infection, compared to 290/10,137 (2.9% [95% CI: 2.6–3.2]) of those without (p<0.0001,  $\chi$ 2 test).

Fewer healthcare workers in the previous infection group presented for symptomatic testing in the second period: 128/1,038 (12.3% [95% CI: 10.5-14.5]) compared with 2,115/10,137 (20.8% [95% CI: 20.1-21.6]) in the group without previous infection (P<0.0001  $\chi2$  test). Asymptomatic PCR screening was undertaken on a pilot basis in an additional 481 healthcare workers, 106 with past infection and 375 without. These healthcare workers were distinct from the study population. There were similarly no positive results in the group with previous infection, 0/106 (0% [95% CI: 0-3.5]), compared with 22/375 (5.9% [95% CI: 3.9-8.7], p=0.011) positive PCR results in the group without previous infection, consistent with results of symptomatic testing.

In summary, there were no reinfection events in healthcare workers with prior evidence of infection (compared with 2.9% positivity in those without evidence of prior infection). Additionally, in a separate population, there were no asymptomatic reinfections in healthcare workers with evidence of prior infection (compared with 5.9% positivity in those without evidence of prior infection).

#### Harvey 2020

In the study by Harvey et al.,<sup>(7)</sup> a retrospective database analysis of electronic health records in the US was used to determine the risk of nucleic acid amplification test (NAAT) positivity, a proxy for reinfection, in a cohort of antibody-positive versus antibody-negative individuals. NAAT was used as a proxy for new infections or continued viral shedding.

A total of 3,257,478 unique patients with an index antibody test were identified after excluding 132 patients with discordant antibody tests on the index day. Of these, 2,876,773 (88.3%) had a negative index antibody result (seronegatives), 378,606 (11.6%) had a positive index antibody result (seropositives), and 2,099 (0.1%) had an inconclusive index antibody result (sero-uncertain). The linked data permitted individual longitudinal follow-up for a median of 47 days for the seronegative group (interquartile range: 8 to 88 days) and a median of 54 days for the seropositive group (IQR: 17 to 92 days).

Among patients with a positive index antibody result, 3,226 (11.3%) had a positive diagnostic NAAT during follow-up that occurred within 30 days of index, decreasing consistently to 2.7% from 31-60 days, 1.1% from 61-90 days, and 0.3% at >90

days. For the seronegative patients, 5,638 (3.9%) showed a positive NAAT result within 30 days. That proportion remained relatively consistent at  $\sim 3.0\%$  over all subsequent periods of observation, including at > 90 days. The ratio of positive NAAT results among patients who had a positive antibody test at index versus those with a negative antibody test at index declined from 2.85 (95% CI: 2.73 to 2.97) at 0.30 days; to 0.67 (95% CI: 0.6 to 0.74) at 31-60 days; to 0.29 (95% CI: 0.24 to 0.35) at 60-90 days; and to 0.10 (95% CI: 0.05 to 0.19) at > 90 days.

#### Lumley 2020

In the study by Lumley et al.,<sup>(8)</sup> a cohort of 12,541 UK healthcare workers were followed for up to 31 weeks (from 23 April to 30 November 2020) to compare the incidence of SARS-CoV-2 infection in the group that was antibody seropositive versus seronegative at baseline. After initial assessment of antibody status, the researchers tracked the presence of viral RNA using polymerase chain reaction (PCR) over time. Baseline antibody status was determined by anti-spike (primary analysis) and anti-nucleocapsid IgG assays. PCR testing was undertaken in those who became symptomatic; asymptomatic screening (serial testing) was also undertaken (PCR testing every two weeks and serological testing every two months).

In total, 12,541 healthcare workers participated and had anti-spike IgG measured; 11,364 were followed up after negative antibody results and 1,265 after positive results, including 88 in whom seroconversion occurred during follow-up. A total of 223 anti-spike seronegative healthcare workers had a positive PCR test (1.09 per 10,000 days at risk), 100 during screening while they were asymptomatic and 123 while symptomatic, whereas 2 anti-spike seropositive healthcare workers had a positive PCR test (0.13 per 10,000 days at risk); both workers were asymptomatic when tested. Incidence varied by calendar time, reflecting the first (March through April) and second (October and November) waves of the pandemic in the UK, and was consistently higher in seronegative healthcare workers.

After adjustment for age, gender, and month of testing or calendar time as a continuous variable, the incidence rate ratio in seropositive workers was 0.11 (95% CI: 0.03 to 0.44) compared with those who were seronegative at baseline.

Parallel testing for antibodies against another SARS-CoV-2 antigen, the nucleocapsid protein, revealed similar results. Taking 'any' antibody positive cases, three healthcare workers subsequently had PCR positive tests (one with anti-spike IgG only, one with anti-nucleocapsid IgG only, and one with both antibodies). The time between initial symptoms or seropositivity and subsequent positive PCR testing ranged from 160 to 199 days. Only the healthcare worker with both antibodies had a history of PCR-confirmed symptomatic infection that preceded serologic testing; after five negative PCR tests, this worker had one positive PCR test (low viral load:

cycle number, 21 [approximate equivalent cycle threshold: 31]) at day 190 after infection while the worker was asymptomatic, with subsequent negative PCR tests 2 and 4 days later and no subsequent rise in antibody titres. Whole genome sequencing was not performed.

#### **Quality of included studies**

The National Heart, Lung and Blood Institute (NIH) quality assessment tools was used for appraisal of observational cohort studies. Four studies were considered of 'good' or 'fair' methodological quality (Appendix 3), with one study that used a proxy measure for outcomes (NAAT positivity) considered to be of poor quality. The baseline exposure ('any' antibody) testing and subsequent reinfection events (NAAT positivity) in this study were derived from a database analysis and the specific tests used, and the validity of these tests, cannot be evaluated. The clinical characteristics of seropositive individuals who subsequently tested positive by NAAT, and the course of disease, could not be determined. The reason for NAAT testing (screening or symptomatic testing) is unknown. Additionally, the follow-up as not considered long enough to adequately capture reinfection events (median 1.8 months).

As all studies were observational in nature, they cannot be used to demonstrate causality. Therefore, only associations between prior infection and reinfection risk can be measured. While estimates of the efficacy of natural infection to prevent reinfection were reported in one study,<sup>(4)</sup> such measures cannot be reliably estimated on the basis of these data. Observational studies are prone to bias and confounding. In particular, three cohorts were determined retrospectively which introduces a range of biases.<sup>(4, 6, 7)</sup> For example, individuals who are aware of their infection status may have altered testing behaviour, introducing potential ascertainment bias.

Three studies are currently published as preprints,<sup>(4, 5, 7)</sup> so have not yet been formally peer-reviewed, raising additional concerns about overall quality and the potential for results to change prior to formal publication.

Each of the four studies of 'good' or 'fair' methodological quality were considered large enough to adequately capture reinfection events in their respective populations. While studies followed individuals for a prolonged duration of time (≥7 months), it is notable that all studies preceded the widespread emergence and spread of a number of new viral strains of international concern (for example, variant 202012/01 from the UK and 501Y.V2 from South Africa, both identified in December 2020<sup>(11)</sup>). Therefore, the applicability of these studies to populations that are experiencing the emergence and spread of new variants of concern is unknown.

#### **Discussion – systematic search**

#### Summary of findings

Five large cohort studies estimated the risk and relative risk of SARS-CoV-2 reinfection in individuals who were either antibody-positive or who had a history of PCR-confirmed COVID-19 at baseline, compared with those who did not, for up to eight months (median: 4.6 months). Across studies, the median number of study participants with prior evidence of SARS-CoV-2 infection (antibody or PCR-positive at baseline) was 6,614 (range: 1,038 to 378,606 participants). Reinfection was an uncommon event (absolute rate 0% to 0.15% across studies), with no study reporting an increase in the risk of reinfection over time.

Only one study estimated the risk of reinfection based on whole genome sequencing to confirm reinfection events. (4) The estimated risk was low (0.1% [95% CI: 0.08 to 0.11%]) in this large cohort of 43,044 anti-SARS-CoV-2 nucleocapsid antibody positive participants. Importantly, the incidence rate of reinfection by month did not show any evidence of waning of immunity over the seven months of follow-up. Compared with a cohort of 149,923 antibody-negative individuals, authors report an effectiveness of natural immunity against reinfection of 95.2% (95% CI: 94.1-96.0%) for at least seven months. However, given the observational nature of the data, any estimate of effectiveness is uncertain and subject to bias.

Three UK studies estimated the risk of reinfection among healthcare workers (median follow-up ranged from 4.6 to 6.7 months in individuals with evidence of prior infection). (5, 6, 8) Risk was expressed as odds ratios or incidence rate ratios, comparing individuals with evidence of prior infection (antibody and or PCR positive tests) with healthcare workers with no evidence of prior infection (antibodynegative, no prior PCR positive test). The first study detected zero symptomatic infections in 1,038 healthcare workers with evidence of a prior infection, compared with 290 in 10,137 without evidence of prior infection (p<0.0001). (6) The second study detected two asymptomatic infections (and no symptomatic infections) out of 1,265 seropositive individuals, compared with 223 infections (100 during screening while they were asymptomatic and 123 while symptomatic) out of 11,364 seronegative individuals. (8) After adjustment for age, gender, and month of testing or calendar time as a continuous variable, the incidence rate ratio in seropositive healthcare workers was 0.11 (95% CI: 0.03 to 0.44). The third study reported 44 reinfections in the baseline positive cohort of 6,614 individuals (15 of which were symptomatic), compared with 318 new PCR positive infections (249 of which were symptomatic) and 94 antibody seroconversions in the negative cohort of 14,173 individuals. (5) The adjusted odds ratio (aOR) was 0.17 for all reinfections (95% CI: 0.13 to 0.24), and restricting reinfections to those who were symptomatic, the aOR was 0.08 (95% CI 0.05-0.13).

Finally, one large retrospective analysis of 3,257,478 unique patients found that patients who display positive antibody tests are initially more likely to have a positive nucleic acid amplification test, consistent with prolonged RNA shedding, but over time become markedly less likely to have a positive nucleic acid amplification test. (7) However, this study was limited by the proxy nature of the outcome and inability to distinguish prolonged RNA shedding from reinfection events and was considered of poor methodological quality.

HIQA's previous evidence summary (November 2020<sup>(1)</sup>) gathered information on potential individual SARS-CoV-2 reinfection cases (based on whole genome sequencing) to determine whether reinfection is possible. While the aim of the present review was to estimate the risk of reinfection and thus only considered cohort studies, a number of individual reinfection cases have been reported since the last review, including a number of cases involving emerging variants of concern. During the period 1 November 2020 to 22 February 2021, 28 new reinfections have been reported (Appendix 4). The sequencing results of six of these 28 reinfection cases identified variants of concern. Five reinfection cases from Brazil (three in the state of Amazonas, including one specifically from the city of Manaus, (12, 13) one in the state of Rio Grande do Norte(14) and one in the state of Bahia(15)) were attributable to the new Brazilian variant of concern (P1 lineage, which includes lineages B.1.1.28 and B.1.1.248). Additionally, one reinfection case in the UK<sup>(16)</sup> was attributable to the new UK variant of concern (B.1.1.7 lineage). The median time interval between infection events was 199 days across all cases, over six months after initial infection.

#### Strengths and limitations

In this review, all studies were considered large enough to adequately capture reinfection events in their respective populations (the median number of PCR- or antibody-positive participants in each population at baseline was 6,614 [range: 1,038 to 378,606]). The duration of follow-up varied between studies and for individuals within studies, with a median follow-up of 4.6 months and a maximum of eight months. Results across studies consistently demonstrated a substantially lower risk of reinfection in previously infected individuals without a waning of the protective response over time. However, despite these strengths, there are a number of limitations associated with this review.

In terms of limitations, firstly, as the studies are observational in nature, the prevention of reinfection cannot be causally confirmed, although longitudinal associations can be estimated. Additional concerns relating to observational studies include the greater potential for bias. Across all studies, it is possible that antibody test results affected individual behaviour. Individuals with evidence of prior infection may have believed that they possessed immunity to SARS-CoV-2, resulting in a reduction in health-seeking behaviour and testing (outcome ascertainment bias).

Conversely, these individuals may have increased their engagement in social behaviour, placing them at greater risk for infection. The overall direction of bias (whether over- or under-estimating reinfection) cannot be determined.

Second, these serological studies cannot determine whether past seroconversion, or current antibody levels, determine protection from infection. Furthermore, none could define which characteristics are associated with reinfection. The role of T-cell immunity was not assessed in any study, therefore it is not possible to determine whether protection from reinfection is conferred through the measured antibodies or T-cell immunity.

Third, only one study undertook genomic sequencing of reinfected cases. Therefore, the results of four studies are only based on potential reinfections. The effect of this, however, is to overestimate the number of reinfections, thereby affirming the conclusion that reinfection is uncommon.

In addition to these limitations, there are a number of issues relating to the applicability and generalisability of the presented results. First, all studies preceded the widespread identification and spread of a number of new viral strains of international concern (for example, variant 202012/01 from the UK and 501Y.V2 from South Africa, both identified in December 2020<sup>(11)</sup>). The applicability of included studies to populations that are experiencing the emergence and spread of new variants of concern is therefore unknown. Second, all presented data relate to unvaccinated cohorts as they precede vaccine roll-out, and the applicability of the data to vaccinated populations is therefore unknown. Third, all studies reported estimates for either general populations or healthcare workers. Therefore, the generalisability of findings to other at-risk populations such as the elderly, those with comorbidities and the immunocompromised, is unknown.

Future longitudinal studies should focus on the following issues that were not addressed in the aforementioned studies, including:

- the durability of immunity beyond six months
- immune correlates of protection
- protective immunity in at-risk populations such as the elderly, those with comorbidities and the immunocompromised.

### Part 2: Scoping review of long-term humoral and cellmediated responses

#### Introduction - scoping review

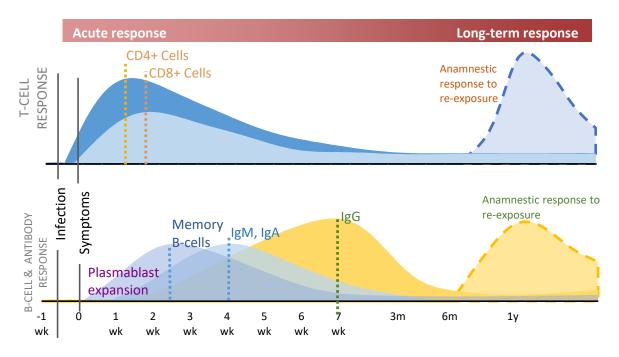
In November 2020, HIQA conducted a review of the long-term duration of antibody responses following SARS-CoV-2 infection. Twenty-two studies were identified that examined the duration of immunoglobulin G (IgG) and or neutralising antibodies for longer than 60 days post-infection. This review concluded that antibody-mediated immune responses can be detected beyond two months and up to six months post-symptom onset in most individuals. In terms of antibody titres, just over half of studies (n=7/12) found that IgG titres were maintained, or increased, until the end of follow-up, while five studies reported a reduction in IgG titres over time. All but one study that reported neutralising antibody titres reported a substantial decline over time, in particular at the later stages of follow-up.

While the review focussed on the primary humoral immune response to SARS-CoV-2, (that is, the response to the first exposure to the antigen), some data were extracted that related to immune memory (secondary response). For example, one study found that cell-mediated T-cell responses were maintained in 96% (22/23) of patients three to eight months post-symptom onset. Notably, the only patient who had no T-cell response at four months had a detectable memory B-cell response.<sup>(17)</sup> Another study reported IgG specific memory B-cells increase over time, and another found that virus-specific memory T and B-cells persisted and in some cases increased over three months. These data were limited by the longest duration of follow-up in identified studies (across studies, mean maximum follow-up was 97 days) and the review focused mainly on the primary humoral immune response to SARS-CoV-2.

The purpose of this scoping review was to investigate longer-term duration (≥6 months) persistence of humoral *and* cell-mediated responses following SARS-CoV-2 infection.

For illustration, Figure 2 outlines the projected acute and long-term adaptive responses following SARS-CoV-2 infection (adapted from Stephens and McElrath<sup>(20)</sup>).

Figure 2. Projected acute and long-term immune responses following SARS-CoV-2 infection



Adapted from: Stephens and Mc Elrath; JAMA, 2020: Generalized model of T-cell and B-cell (plasmablast, antibody) responses to severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection projected over 1 year following infection. Neutralising antibodies, memory B cells, and CD4+ and CD8+ memory T cells to SARS-CoV-2, which are generated by infection, vaccination, or after re-exposure, are key to the path to immunity. The dotted lines represent peak B-cell, T-cell, and antibody responses following infection.

#### Methods – scoping review

In line with HIQA standard operating procedure for the conduct of scoping reports, a search of the literature was undertaken using the PubMed Clinical Queries Tool. The results were limited to English-language studies conducted in humans and published between 9 September 2020 and 4 February 2021. The following search terms were used, in combination with the PubMed filters for identifying COVID-19 literature and transmission-related topics within COVID-19 literature: ((SARS-CoV-2 OR COVID-19) AND (antibody OR antibodies OR immunity)). This search was complemented by a desktop search (Google, Google Scholar and international public health websites).

#### **Results – scoping review**

The database search (PubMed clinical queries) resulted in the screening of 412 citations. A number of individual studies and narrative reviews of studies were identified that described the long-term duration of immune responses beyond six months post-infection, including:

- two studies that reported immune responses ≥8 months post-infection,<sup>(21, 22)</sup>
- three studies at 6-8 months post-infection, (23-25)

- one narrative review of studies reporting secondary cellular responses<sup>(20)</sup> and
- two narrative reviews of studies that report mucosal immunity. (26, 27)

#### Studies with ≥8 months follow-up

Two studies were identified that demonstrate SARS-CoV-2 immune responses at  $\geq 8$  months post-infection, however the durability of antibody responses differed between studies. (21, 22, 25) The first study followed a small cohort (n=25) of convalescent patients in Australia  $\geq 8$  months post-infection. (21) Serum antibodies and B-cell responses were measured between 4 and 242 days post-symptom onset, and while serum IgG to receptor binding domain (RBD) and nucleocapsid protein (NCP) was identified in all patients, antibody titres began declining at 20 days post-symptom onset. All patients demonstrated the presence of memory B cells (immune cells that "remember" viral proteins and can trigger rapid production of antibodies when re-exposed to the virus). RBD- and NCP-specific memory B cells predominantly expressed IgM+ or IgG1+ and continued to rise until 150 days. RBD-specific IgG+memory B-cells were predominantly CD27+ and numbers significantly correlated with circulating follicular helper T cell numbers. Authors concluded that the SARS-CoV-2 antibody response contracts in convalescence, with persistence of RBD- and NCP-specific memory B cells.

The second study followed a small cohort (n=58) of COVID-19 patients in South Korea for ≥8 months post-infection. The cohort consisted of seven participants with asymptomatic SARS-CoV-2 infection and 51 patients with mildly symptomatic COVID-19. Four different assays were used to detect SARS-CoV-2—specific antibodies, and to evaluate neutralising activity targeting the spike receptor—binding domain, a surrogate virus neutralisation test (sVNT) was used. Rates of antibody positivity according to three commercial kits was still high at eight months after infection (up to 91.4% positivity). Neutralising activity was detected in 53.4% of asymptomatic or mildly symptomatic participants after eight months of infection, which was considerably lower than the rate of positivity detected by binding immunoassays. The differences in antibody detection rates both within this study and compared with the first study are likely due to variations in immunoassay test characteristics and performance.

**Studies with 6-8 months follow-up**Three studies were identified that followed patients for 6-8 months post-infection. (23-25) The first study analysed multiple compartments of circulating immune memory to SARS-CoV-2 in 254 samples from 188 Covid-19 cases, including 43 samples at ≥6 months post-infection. (23) IgG to spike protein was relatively stable over six months or more, while CD4+ T cells and CD8+ T cells declined with a half-life of 3-5 months. However, spike-specific memory B-cells were more abundant at six months compared with one month post-symptom onset. Study authors note that it is well-recognised that the magnitude of

the antibody response against SARS-CoV-2 is highly heterogeneous between individuals. The authors observed that heterogeneous initial antibody responses did not collapse into a homogeneous circulating antibody memory; rather, heterogeneity is also a central feature of immune memory to the virus. While acknowledging that direct conclusions about protective immunity cannot be made on the basis of quantifying circulating antibodies, memory B cells, CD4+ and CD8+ T cells, immune memory in at least three immunological compartments was measurable in approximately 95% of subjects five to eight months post-symptom onset, indicating that durable immunity against secondary SARS-CoV-2 is a possibility in most individuals.

The second study investigated the durability of neutralising antibodies and T-cell responses in serum specimens collected from 17 COVID-19 patients six to seven months post-infection, comparing the results to those from cases investigated two weeks to two months post-infection. All samples were positive for IgG against the S- and N-proteins of SARS-CoV-2. Notably, 14 samples available at six to seven months post-infection all showed significant neutralising activities in a pseudovirus assay, with no difference in blocking the cell-entry of the 614D and 614G variants of SARS-CoV-2. Furthermore, in ten serum samples from cases at six to seven months post-infection used for memory T-cell tests, interferon  $\gamma$ -producing CD4+ and CD8+ cells were increased upon SARS-CoV-2 antigen stimulation. Together, these results indicate that durable anti-SARS-CoV-2 immune responses are common in convalescent patients.

The third study sampled a small cohort (n=32) of COVID-19 patients at four longitudinal time points between 16 and 233 days post-infection. Even though overall circulating anti-spike antibodies contracted over time during convalescence, RBD-specific B cells increased and persisted up to eight months post symptom onset. The total RBD-specific immunoglobulin levels, comprising of IgG, IgM, and IgA, gradually decreased between six and 31 weeks after the onset of symptoms. However, the percentage of convalescent individuals presenting detectable RBD-specific Ig levels remained stable, with a consistent seropositivity rate above 90% throughout the sampling time frame. Notably, 100% of patients still had detectable IgG at the last time point, while IgM and IgA declined more rapidly. There was also evidence of a waning neutralising response. Neutralising antibody titres were detected in 63% of the donors at six weeks post-symptom onset, however titres declined between six and 31 weeks post-symptom onset, with 77% of donors having undetectable neutralisation activity at the last time point. IgG+ RBD-specific memory B cells were detected in 100% of patients and increased up to 31 weeks.

#### **Narrative reviews**

One narrative review (Stephens and McElrath 2020) was identified that highlights the importance of ascertaining long-term B-cell and T-cell immunological memory against SARS-CoV-2 in our understanding of durable immunity. (20) Citing studies by Grifoni et al., (28) Le Bert et al. (29) and Braun et al., (30) they note that SARS-CoV-2 specific memory CD4+ T cells and CD8+ T cells have been identified in up to 100% and in up to 70% of patients recovering from COVID-19, respectively. Although concerns have been expressed about declining IgG neutralising antibodies to SARS-CoV-2 in convalescence, the authors describe how serological memory is maintained by smaller numbers of long-lived plasma cells. The antibody recall response comes from this pool of plasma cells and memory B-cells, which secrete antibody in the absence of antigen, including when serum antibodies are low. SARS-CoV-2-specific CD4+ and CD8+ memory T cells are also generated. While individuals with mild or asymptomatic disease are reported to exhibit robust memory T-cell responses months after infection, it is unknown whether these cells, in the absence of detectable circulating antibodies, protect against SARS-CoV-2. The authors note that 'substantial data' now demonstrate the presence of pre-existing T-cell immunity in those who have not been infected with SARS-CoV-2, which may be associated with previous infection with other coronaviruses. Cross reactive T-cells have been described in household contacts of Covid-19 cases and 'further studies may determine if cross-reactive T cells from previous coronavirus infections have been boosted with exposure to SARS-CoV-2'.

Two narrative reviews explored the mucosal immune response to SARS-CoV-2. (26, 27) Russell et al. argue that consideration of this response has been neglected in favour of studies of antibody and cell-mediated immune responses. (26) Given that the mucosal immune system is the largest component of the entire immune system, studies to determine the characteristics of IgA antibody secreting and memory Bcells should be undertaken, particularly in terms of their implications for onward transmission of disease. (26) Cervia et al. examined SARS-CoV-2—specific IgA and IgG in sera and mucosal fluids of 64 SARS-CoV-2 PCR positive patients and 109 PCR negative healthcare workers. (27) They report that systemic antibody production against SARS-CoV-2 develops mainly in patients with severe COVID-19, with very high IgA titres seen in patients with severe acute respiratory distress syndrome, whereas mild disease may be associated with transient production of SARS-CoV-2specific antibodies, but may stimulate mucosal SARS-CoV-2-specific IgA secretion. Whether these responses confer immunity to secondary infection is not clear. The authors are following up this patient cohort longitudinally to address these uncertainties.

#### **Discussion – scoping review**

Previous reviews by HIQA concluded that most patients mount an antibody-mediated immune responses following SARS-CoV-2 infection, however some studies report a waning antibody response from two to six months post-infection. This phenomenon is not unexpected and does not preclude protective immunity against subsequent infection. Subsequent encounters with the same antigen typically lead to responses called secondary immune responses that usually are more rapid, larger and better able to eliminate the antigen than primary antibody responses. (31) Therefore, studying both primary and memory immune responses (antibody, memory B cell, CD4+T cell, and CD8+T cell memory) to SARS-CoV-2 in an integrated manner is important in the understanding of the durability of protective immunity. Indeed, it may be the case that evaluation of memory, diversity and durability of immune responses are more important than initial IgG responses.

This scoping review identified a range of studies that demonstrate the durability of antibody- and cell-mediated immune responses beyond six months post-infection. Detection rates and titres of antibodies, and the proportion of individuals who mount memory B- and T-cell responses, differ across studies, which may be partly explained by differences in testing platforms. Reports of declining IgG and neutralising antibodies to SARS-CoV-2 in the convalescent period have raised concerns about susceptibility to reinfection, (20) however, antibody levels always decline after the acute phase of infection as most of the circulating antibody secreting cells induced during the first weeks after infection are short-lived. Following this reduction, serological memory is maintained by long-lived plasma cells that reside in the bone marrow, from which the antibody recall response comes. This review did not identify reductions in B-cell responses in the late (≥6 months) convalescent period.

While no Irish studies were identified that investigated the duration of antibody responses beyond six months post-infection, one completed study and two ongoing studies were identified that investigated the seroprevalence of SARS-CoV-2 antibodies among HCWs based in Ireland. $^{(33-35)}$  In the study with final results, currently published as a preprint, symptomatic and asymptomatic HCWs employed at the Rotunda Maternity Hospital, Dublin, were enrolled. $^{(34)}$  SARS-CoV-2 incidence was assessed using oropharyngeal or nasopharyngeal RT-PCR, accompanied by serological assessment for the presence of both the spike and nucleocapsid SARS-CoV-2 antibodies. The study enrolled 137 HCWs overall, 86 symptomatic and 51 asymptomatic at time of swab collection. SARS-CoV-2 RNA was detected in 52% (n=45/86) of symptomatic study participants with a seropositivity rate of 98% (n=44/45). Asymptomatic SARS-CoV-2 RNA infection was detected in 4% (n=2/51) of control participants with a seropositivity rate of 100% (n=2/2). Overall, 95% of

SARS-CoV-2 PCR positive participants had detectable levels of antibodies at 100 days (3.3 months) post-infection, which persisted in 91% of participants beyond 160 days ( $\geq$ 5.3 months).

The two ongoing Irish seroprevalence studies have published interim results. In the first study, HCWs from St. James' Hospital (SJH) in Dublin and University Hospital Galway (UHG) were enrolled in a longitudinal seroprevalence study, consisting of two sero-surveys six months apart, the first in October 2020 and the second planned for April 2021. (35) This publication is an analysis of the results of the sero-survey from 14 to 23 October 2020. All staff working in SJH and UHG (9,038 people) were invited to participate in the study. Participation rates in both a questionnaire and serology testing was 65% (3,042/4,692) in SJH and 63% (2,745/4,395) in UHG. SARS-CoV-2 antibodies were detected in 15% (464/3,042) of all participants in SJH and 4.1% (112/2,745) in UHG. In total, 95% of those who had a previously confirmed infection by RT-PCR had a detectable antibody. Thirty nine percent (226/576) of those with positive antibodies had never been diagnosed with SARS-CoV-2 infection. In the second study, 1,176 staff at Tallaght University Hospital (TUH) were enrolled in a 12-month longitudinal study. (33) Interim results after three months follow-up found that antibodies were detected in 18% of participants overall. Before this study, 12% of participants had been diagnosed with COVID-19.

On a final note, it must be acknowledged that most studies on immunity to SARS-CoV-2 have focussed on serum antibodies and cell-mediated immunity, whereas the mucosal immune system is the largest component of the immune system. (26) As SARS-CoV-2 initially infects the upper respiratory tract, its first interactions with the immune system occur in the respiratory mucosae. It is possible that the generation of memory cells at the mucosal portals could prevent viral entry. (36) Therefore, determining the characteristics of IgA and their homing potential for mucosal or systematic tissues could inform derogation policy for healthcare workers as well vaccine development and policy. (26) It is possible that analysis of cells from the peripheral blood does not represent resident SARS-CoV-2 reactive memory T- and B-cells in lymphoid tissues of the upper respiratory tract and lungs which could result in more rapid and effective immunity. (32)

#### **Conclusion**

This review consisted of a systematic search of studies that estimated the risk of SARS-CoV-2 reinfection over time, and a scoping review of the long-term duration of immune responses following SARS-CoV-2 infection.

Five large cohort studies were identified that estimated the risk of SARS-CoV-2 reinfection, including three that enrolled healthcare workers. All studies reported very low relative SARS-CoV-2 reinfection rates, in individuals with prior evidence of infection compared with those without, over a median follow-up of 4.6 months. Additionally, one study reported no increase in reinfection risk comparing each month up to seven months following initial infection. There were a number of issues regarding the applicability and generalisability of the presented data. As all studies were conducted prior to December 2020, the applicability of the findings to new variants of concern and to vaccinated populations is unknown. Also as all studies investigated reinfection in general populations and healthcare workers, the generalisability to other groups, such as the elderly, individuals with comorbidities and the immunocompromised is unclear.

A scoping review was conducted to evaluate the long-term duration of immune responses following SARS-CoV-2 infection. Five studies were identified that investigated immune responses at ≥6 months post-infection, including two studies at ≥8 months post-infection. In general, studies reported a waning of antibody responses in the late convalescent period. However, T-cell and memory B-cell responses were still present, and in many cases increased, up to eight months post-infection. The findings of low SARS-CoV-2 reinfection rates in the systematic review are supported by these observations of long-lasting secondary immune responses ≥6 months post-SARS-CoV-2 infection.

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# **Appendices**

## **Appendix 1: Excluded studies with reasons**

Study (year)	Title	DOI	Notes
Abu-Raddad 2020	Two prolonged viremic SARS-CoV-2 infections with conserved viral genome for two months	10.1016/j.meegid.2020.104684	Exclusion reason: Wrong outcomes
Abu-Raddad 2021	Two prolonged viremic SARS-CoV-2 infections with conserved viral genome for two months	10.1016/j.meegid.2020.104684	Exclusion reason: Wrong outcomes
Alhusseini 2021	Persistence of SARS-CoV-2: a new paradigm of COVID-19 management	10.7416/ai.2021.2414	Exclusion reason: Wrong study design
Alturaif 2020	Recurrence of Positive SARS-CoV-2 RNA in a COVID- 19 Patient: Two Case Reports from Saudi Arabia	10.21203/rs.3.rs-86920/v1	Exclusion reason: Wrong study design
Alvarez-Moreno 2020	Testing Dilemmas: Post negative, positive SARS-CoV-2 RT-PCR - is it a reinfection?	10.1016/j.tmaid.2020.101743	Exclusion reason: Wrong study design
Aran 2020	Prior presumed coronavirus infection reduces COVID- 19 risk: A cohort study	10.1016/j.jinf.2020.10.023	Exclusion reason: Wrong outcomes
Ariza 2021	Seroprevalence and seroconversion rates to SARS- CoV-2 in interns, residents, and medical doctors in a University Hospital in BogotÃi, Colombia	10.22354/IN.V25I3.938	Exclusion reason: <100 patients
Boonyaratanakornkit 2020	Clinical, laboratory, and temporal predictors of neutralizing antibodies to SARS-CoV-2 after COVID-19	10.1101/2020.10.06.20207472	Exclusion reason: Wrong outcomes
Brehm 2020	Seroprevalence of SARS-CoV-2 antibodies among hospital workers in a German tertiary care center: A sequential follow-up study	10.1016/j.ijheh.2020.113671	Exclusion reason: Wrong outcomes
Bruni 2020	Persistence of anti-SARS-CoV-2 antibodies in non- hospitalized COVID-19 convalescent health care workers	10.3390/jcm9103188	Exclusion reason: Wrong outcomes;
Cerutti 2020	Clinical immunity in discharged medical patients with COVID-19	10.1016/j.ijid.2020.07.065.	Exclusion reason: Follow up < 3 months (individual cases)
Cervia 2020	Systemic and mucosal antibody responses specific to SARS-CoV-2 during mild versus severe COVID-19	10.1016/j.jaci.2020.10.040	Exclusion reason: Wrong outcomes

Chen 2020	Clinical course and risk factors for recurrence of	10.18632/aging.103795	Exclusion reason: Follow up
Chen 2020	positive SARS-CoV-2 RNA: a retrospective cohort	10.10032/aging.103/33	< 3 months (individual cases)
	study from Wuhan, China		vo menene (marriadar cases)
Choi 2020	Low Seroprevalence of SARS-CoV-2 Antibodies during	10.3390/jcm9113401	Exclusion reason: Wrong
	Systematic Antibody Screening and Serum Responses		outcomes
	in Patients after COVID-19 in a German Transplant		
	Center		
Corr 2020	Seroprevalence of SARS-CoV-2 antibodies in children	10.1136/bmjopen-2020-041661	Exclusion reason: Study
	of United Kingdom healthcare workers: A prospective		protocol only
	multicentre cohort study protocol	40.400=4.40005.000	
Dao 2021	Recurrence of SARS-CoV-2 viral RNA in recovered	10.1007/s10096-020-04088-z	Exclusion reason: Wrong
FillMalfarthair ar 2020	COVID-19 patients: a narrative review	10 1016/: : 2020 104575	study design
FillMalfertheiner 2020	Immune response to SARS-CoV-2 in health care workers following a COVID-19 outbreak: A	10.1016/j.jcv.2020.104575	Exclusion reason: Wrong outcomes
	prospective longitudinal study		outcomes
Galanis 2020	Seroprevalence of SARS-CoV-2 antibodies and	10.1101/2020.10.23.20218289	Exclusion reason: Wrong
	associated factors in health care workers: a	10.1101/2020.10.25.20210205	outcomes
	systematic review and meta-analysis		
Gallichotte 2020	Longitudinal Surveillance for SARS-CoV-2 Among Staff	10.2139/ssrn.3724248	Exclusion reason: Wrong
	in Six Colorado Long Term Care Facilities:		outcomes
	Epidemiologic, Virologic and Sequence Analysis		
Ganz-Lord 2020	Title: Covid-19 symptoms, duration, and prevalence	10.1017/ice.2020.1334	Exclusion reason: Wrong
	among healthcare workers in the New York		outcomes
	metropolitan area	10.1016# 1.0000.07	
Jin 2020	Correlation between viral RNA shedding and serum	10.1016/j.cmi.2020.05.022	Exclusion reason: Wrong
	antibodies in individuals with coronavirus disease 2019		outcomes
Lai 2020	Population-based seroprevalence surveys of anti-	10.1016/j.ijid.2020.10.011	Exclusion reason: Wrong
Edi 2020	SARS-CoV-2 antibody: An up-to-date review	10.1010/j.ijid.2020.10.011	study design
Lampasona 2020	Antibody response to multiple antigens of SARS-CoV-	10.1007/s00125-020-05284-4	Exclusion reason: Wrong
	2 in patients with diabetes: an observational cohort	, , , , , , , , , , , , , , , , , , , ,	outcomes
	study		
Laursen 2021	Prevalence of SARS-CoV-2 IgG/IgM antibodies among	10.3390/ijerph18030923	Exclusion reason: Wrong
	Danish and Swedish Falck emergency and non-		outcomes
	emergency healthcare workers		

Li 2020	Molecular and serological characterization of SARS- CoV-2 infection among COVID-19 patients	10.1016/j.virol.2020.09.008	Exclusion reason: Wrong outcomes
Ling 2020	Persistence and clearance of viral RNA in 2019 novel coronavirus disease rehabilitation patients	10.1097/cm9.0000000000000774	Exclusion reason: Wrong outcomes
Liu 2021	Clinical characteristics and follow-up analysis of 324 discharged covid-19 patients in Shenzhen during the recovery period		Exclusion reason: Follow up < 3 months (individual cases)
Luo 2020	Clinical Characteristics, Risk Factor and Transmission of the COVID-19 Discharged Cases with Positive Retest in Guangzhou, China: A Retrospective Cohort Study	10.2139/ssrn.3732143	Exclusion reason: Follow up < 3 months (individual cases)
Mattiuzzi 2020	SARS-CoV-2 recurrent RNA positivity after recovering from coronavirus disease 2019 (COVID-19): A meta-analysis	10.23750/abm.v91i3.10303	Exclusion reason: Wrong study design
Mumoli 2020	Clinical immunity in discharged medical patients with COVID-19	10.1016/j.ijid.2020.07.065	Exclusion reason: Follow up < 3 months (individual cases)
Murillo-Zamora 2020	Predictors of severe symptomatic laboratory- confirmed SARS-COV-2 reinfection	10.1101/2020.10.14.20212720	Exclusion reason: Follow up < 3 months (individual cases)
Nag 2020	A Prospective Study on Rapidly Declining SARS-CoV-2 IgG Antibodies Within One to Three Months of Testing IgG Positive: Can It Lead to Potential Reinfections?	10.7759/cureus.11845	Exclusion reason: Follow up < 3 months (individual cases)
Nielsen 2020	SARS-CoV-2 elicits robust adaptive immune responses regardless of disease severity	10.1101/2020.10.08.331645	Exclusion reason: Wrong outcomes
Osman 2020	Re-positive coronavirus disease 2019 PCR test: could it be a reinfection?	10.1016/j.nmni.2020.100748	Exclusion reason: Wrong study design
Piri 2021	A systematic review on the recurrence of SARS-CoV-2 virus: frequency, risk factors, and possible explanations	10.1080/23744235.2020.1871066	Exclusion reason: Wrong study design
Self 2020	Decline in SARS-CoV-2 Antibodies After Mild Infection Among Frontline Health Care Personnel in a Multistate Hospital Network - 12 States, April-August 2020	10.15585/mmwr.mm6947a2	Exclusion reason: Wrong outcomes
Shah 2020	Immunity status of Health Care Workers post recovery from COVID-19: An online longitudinal panel survey	10.1101/2020.11.27.20239426	Exclusion reason: Wrong outcomes

Song 2021	Dynamics of viral load and anti-SARS-CoV-2 antibodies in patients with positive RT-PCR results after recovery from COVID-19	10.3904/kjim.2020.325	Exclusion reason: <100 patients
Vibholm 2021	SARS-CoV-2 persistence is associated with antigen- specific CD8 T-cell responses	10.1016/j.ebiom.2021.103230	Exclusion reason: Wrong outcomes
Wang 2020	Ct suggests discharged covid-19 patients who were retested RT-PCR positive again for sars-cov-2 more likely had false negative RT-PCR tests before discharging	10.21037/QIMS-2020-19	Exclusion reason: Wrong study design
Wallace 2020	SIREN protocol: Impact of detectable anti-SARS-CoV-2 on the subsequent incidence of COVID-19 in 100,000 healthcare workers: do antibody positive healthcare workers have less reinfection than antibody negative healthcare workers?	10.1101/2020.12.15.20247981	Exclusion reason: Study protocol only
Wu 2020	A follow-up study shows no new infections caused by patients with repeat positive of COVID-19 in Wuhan	10.1101/2020.11.18.20232892	Exclusion reason: Follow up < 3 months (individual cases)
Yuan 2020	Recurrence of positive SARS-CoV-2 viral RNA in recovered COVID-19 patients during medical isolation observation	10.1038/s41598-020-68782-w	Exclusion reason: Follow up < 3 months (individual cases)
Zheng 2020	Incidence, clinical course and risk factor for recurrent PCR positivity in discharged COVID-19 patients in Guangzhou, China: A prospective cohort study	10.1371/journal.pntd.0008648	Exclusion reason: Follow up < 3 months (individual cases)

## Annondiy 2: Data extraction

Author	Population (number of	Primary endpoints	Relative risk of reinfection (or Odds Ratio
DOI	participants, follow-up duration)	Test parameters:	Adjusted estimates (for covariates)
Title	Patient demographics	Serial testing intervals	Absolute (/crude) reinfection events
Country		SARS-CoV-2 confirmation	Conclusion/relevance
Study design		Serological confirmation	
Publication status		Clinical description	
<b>Abu-Raddad 2021</b> 10.1101/2021.01.15.21	N=43,044 anti-SARS-CoV-2 antibody positive persons	<b>Primary endpoint:</b> Risk of reinfection and efficacy of natural immunity	314 individuals (0.7%) had at least one PCR positive swab ≥14 days after the first-positive
249731	Median follow-up: 16.3 weeks	Risk calculations:	antibody test.
SARS-CoV-2 reinfection in a cohort of 43,000 antibody positive individuals followed for up to 35 weeks  Qatar  Retrospective cohort study  Preprint	Maximum duration of follow-up: 34.6 weeks  Criteria for cases:  Suspected reinfection: All SARS-CoV-2 antibody-positive persons in Qatar with at least one PCR-positive swab that occurred ≥14 days after the first-positive antibody test.  Good evidence for reinfection: Suspected	<ul> <li>Risk of reinfection: proportion of cases with good or some evidence for reinfection among all eligible anti-SARS-CoV-2 +ve cases (with an antibody-positive test ≥14 days from end-of-study censoring).</li> <li>Incidence rate of reinfection: number of cases with good or some evidence for reinfection divided by the number of person-weeks contributed by all anti-SARS-CoV-2 positive cases.</li> <li>Follow-up person-time: starting 14 days after the first positive antibody test until the reinfection</li> </ul>	Of these 314 individuals, 129 (41.1%) had supporting epidemiological (with good or some) evidence for reinfection.  Applying the viral-genome-sequencing confirmation rate, the risk of reinfection was estimated at 0.10% (95% CI: 0.08-0.11%).  Incidence rate of reinfection: 0.66 per 10,0 person-weeks (95% CI: 0.56-0.78).  Risk over time: Incidence rate of reinfection by month of follow-up did not show any

Seronegative comparison: Adjusted estimates for the risk of reinfection and

> N=149,923 antibody-negative persons followed for a median of 17.0 weeks (range: 0-45.6), risk of infection was estimated at 2.15% (95% CI: 2.08-2.22%) and incidence rate of infection was estimated at 13.69 per 10,000 person-weeks (95% CI: 13.22-14.14).

## Efficacy (of natural infection against reinfection):

genome sequencing analysis.

SARS-CoV-2 incidence was also assessed in a complement cohort including all those testing

the incidence rate of reinfection derived by

applying the confirmation rate obtained from viral

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## Some evidence for reinfection: Suspected

fragments).

to non-viable virus

Ct  $\leq$ 30 for the reinfection

swab (suggestive of a recent

active infection) and who had

not had a PCR-positive swab

reinfection swab (to rule out

persisting PCR positivity due

for 45 days preceding the

reinfection cases who had not had a PCR-positive swab for 45 days preceding the reinfection swab, but whose Ct value for the reinfection swab was >30.

 Weak evidence for reinfection: Suspected reinfection cases who had a PCR-positive swab within the 45 days preceding the reinfection swab.

Demographics: The cohort included 8,953 (20.8%) women and 34,091 men (79.2%) of 158 nationalities. Median age was 35 years for women (interquartile range (IQR): 28-45 years) and 38 years for men (IQR: 31-47 years)

SARS-CoV-2 antibody-negative in Qatar, to provide an antibody-negative comparator group and to assess the efficacy of natural infection against reinfection.

Efficacy=1-(Risk in exposed)/(Risk in unexposed)

#### **Test parameters**

RT-qPCR: TaqPath<sup>™</sup> COVID-19 Combo Kits (Thermo Fisher Scientific, USA) on ABI 7500 FAST (Thermo Fisher, USA)

Serology: Roche Elecsys® Anti-SARS-CoV-2 assay (Roche, Switzerland) [ECLIA]

#### Viral genome sequencing:

For a subset of investigated reinfection cases with good or some evidence for reinfection (where it was possible to retrieve the first infection PCR+ve swab and the reinfection swab), sequencing was conducted to confirm reinfection

Efficacy of natural infection against reinfection: 95.2% (95% CI: 94.1-96.0%).

**Severity:** Of the 8 reinfection cases that received severity classification, only 1 reinfection was severe, 2 were moderate, and 0 were critical or fatal.

**Symptomatic/serial testing:** Most reinfections (N=86/129, 66.7%) were diagnosed incidentally through random or routine testing, or through contact tracing.

#### Whole genome sequencing:

- Of the 16 cases where viral genome sequencing evidence was available, 5 cases were confirmed as reinfections, a confirmation rate of 31.3%.
- For 1 pair, there were few changes of allele frequency offering supporting evidence for reinfection. For 4 other pairs, there were multiple clear changes of allele frequency indicating strong evidence for reinfection. 1 of the latter pairs also documented the presence of the D614G mutation (23403bp A>G) at the reinfection swab—a variant that has progressively replaced the original D614 form.

#### Hanrath 2020

10.1016/j.jinf.2020.12. 023

Prior SARS-CoV-2 infection is associated with protection against symptomatic reinfection

UK

# Analysis period and time interval:

- Two periods for analysis: 1<sup>st</sup> wave: 10 March 6 July 2020; 2<sup>nd</sup> wave: 7 July 20 November.
- Follow-up: median 5.8 months (173 days, IQR: 162– 229 days, between first positive test and end of follow-up period).

**Primary endpoint:** symptomatic SARS-CoV-2 infection.

**Time interval:** In those previously infected, there was a median of 173 (IQR: 162–229) days from the date of first positive PCR/antibody result to the end of the analysis period.

### **Test parameters:**

 Public Health England (PHE) approved RT-PCR assays containing two SARS-CoV-2 gene targets.

#### Risk difference:

- During 2<sup>nd</sup> time period, 2,243 HCWs underwent PCR testing for symptoms. 128 had previous confirmed SARS-CoV-2 infection, while 2,115 had not.
- A positive PCR test was returned in 0/1,038 (0% [95% CI: 0–0.4) of those with previous infection, compared to 290/10,137 (2.9% [95% CI: 2.6–3.2) of those without (*P*<0.0001 χ2 test).</li>

### Symptomatic testing:

Retrospective cohort study Published (Journal of Infection)	Number of participants:  1st wave: N=1,038 HCWs with prior SARS-CoV-2 infection (PCR and or antibody testing) and N=10,137 HCWs without prior exposure.  Of those with prior exposure: 481/3,338 symptomatic HCWs tested positive for SARS-CoV-2 by PCR, while SARS-CoV-2 IgG was detected in 937/11,103.  Demographics: Median age: 39.5 (prior infection), 40 (no infection) Female: 82.5% (prior infection), 80.5% (no infection)	SARS-CoV-2 nucleocapsid IgG antibody testing using the Roche Anti-SARS-CoV-2 IgG assay	<ul> <li>Fewer HCWs in the previous infection group presented for symptomatic testing. 128/1,038 (12.3% [95% CI: 10.5–14.5]) of those with evidence of prior infection had a test due to symptoms in the second period compared to 2115/10,137 (20.8% [95% CI: 20.1–21.6]) in the group without previous infection (P&lt;0.0001 χ2 test).</li> <li>Asymptomatic screening:         <ul> <li>Asymptomatic PCR screening was undertaken on a pilot basis in an additional 481 HCWs, 106 with past infection and 375 without. There were similarly no positive results in the group with previous infection 0/106 (0% [95% CI: 0–3.5]), compared to 22/375 (5.9% [95% CI: 3.9–8.7], P = 0.011) positive PCR results in the group without previous infection.</li> </ul> </li> </ul>
			<ul> <li>Author conclusions:</li> <li>There were no symptomatic reinfections in a cohort of healthcare workers</li> </ul>
Harvey 2020  10.1101/2020.12.18.20 248336  Real-world data suggest antibody positivity to SARS-CoV-2 is associated with a decreased risk of future infection  USA  Retrospective cohort study	N=3,257,478 (national sample from EHRs) with an index antibody test. 88.3% (n=2,876,773) had negative index test; 11.6% (n=378,606) positive and 0.1% (n=2,099) inconclusive (the latter excluded from follow-up)  Demographics: (negative index test group/positive index test group) Mean age =47.66/44.34 years; Female 56.7%/54.1%	Primary endpoints: index antibody test results and post-index diagnostic NAAT* results, with infection defined as a positive diagnostic test post-index, as measured in 30-day intervals (0-30, 31-60, 61-90, >90 days).  Test: Antibody test and/or diagnostic nucleic acid amplification test (NAAT). NAAT is considered a proxy representing a new infection or may represent continued viral shedding depending on the context and timing  Cycle threshold: N/R  Median follow-up:  47 days for the seronegative group (IQR 8 to 88	Duration of seropositivity in the index positive cohort: 2.6% (n=9,895) of those with a positive antibody test at index had at least one subsequent antibody test during follow-up. Of these:  12.4% (n=1,227) tested negative when retested within 0-30 days 18.4% (n=unclear) testing seronegative when the subsequent antibody test occurred >90 days  Ratio (CI) of positive NAAT results in those with positive antibody test at index versus those with negative:
Pre-print		days)	<ul> <li>2.85 (2.73 - 2.97) at 0-30 days</li> </ul>

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•	54 days for the seropositive group (IQR: 17 to 92
	days).

11.0% seropositives and 9.5% seronegatives had >1NAAT during follow-up, (mean of 3.3 NAAT for seropositives and 2.3 seronegatives over the follow-up period)

2.6% of those with a positive antibody test at index had at least one subsequent antibody test during follow-up

**Serology:** The commercial laboratories antibody testing included a limited set of high throughput antibody tests with validation against a known standard providing between 98% to 100% agreement with both known antibody-positive and antibodynegative specimens, with a 95% confidence interval of 99-100% agreement. The majority of tests performed during the study period were IgG (>91%).

Most COVID-19 signs and symptoms were similar between the seropositive and seronegative groups.

- 0.67 (0.6 0.74) at 31-60 days
- 0.29 (0.24 0.35) at 61-90 days)
- 0.10 (0.05 0.19) at >90 days.

#### **Duration of NAAT positivity:**

Those seropositive at baseline:

- 11.3% (n=3,226) had a positive NAAT 0 to 30 days
- 2.7% (n=771) from 31-60 days\*
- 1.1% (n=314) from 61-90 days\*
- 0.3% (n=86) at >90 days\*

\*Based on calculation

Those seronegative at baseline:

- 3.9% (n=5,638) had positive NAAT result 0 to 30 days
- ~3.0% had positive NAAT over all subsequent periods of observation, including at >90 days

#### Hall 2020

10.1101/2021.01.13.21 249642

Do antibody positive healthcare workers have lower SARS-CoV-2 infection rates than antibody negative healthcare workers? Large multi-centre prospective cohort study (the SIREN study), England: June to November 2020

UK

N=20,787; Study period: 18 June to 09 November 2020

#### **Baseline:**

- 32% (n=6,614) positive cohort (antibody positive or prior PCR/antibody test positive
- 68% (n=14,173)
   negative cohort
   (antibody negative, not previously known to be PCR/antibody positive)

**Demographics:** 84% female; 88% white; median age 45.9 years

Study duration: Enrolment began 1 February 2020; data

**Primary endpoint:** reinfection and incidence rates in those that had evidence of prior infection compared with those that without evidence of a prior infection. Study definitions of reinfection available ranging from confirmed to possible dependent on the strength of serological, genetic and virological evidence

**Test:** SARS-CoV-2 antibody (Roche cobas® or Abbott immunoassay®) and Nucleic Acid Amplification Testing (NAAT)

**Cycle threshold:** 'Probable' Ct=21 to 24; 'symptomatic possible' Ct=13 to 37; 'all probable/possible' Ct=13 to 45

**Frequency of testing:** Questionnaires and PCR every two weeks, antibody every four weeks Median no. post-enrolment PCR and antibody tests=5 (IQR 3-7) and 3 (IQR 2-5), June to November 2020

#### Rate of reinfection:

- 44 reinfections (2 probable, 42 possible) in positive cohort (1,339,078 days of follow-up) – event rate of 0.67% (44/6614) [CI: 0.48-0.86%]
- Cumulative incidence of 6.7 per 1.000
- Risk of infection OR: 0.17 (0.13-0.24), aOR: 0.17 (0.12-0.23)
- 318 new PCR positive infections and 94 antibody seroconversions (not included) in the negative cohort (1,868,646 days of follow-up) event rate of 2.24% (318/14,173) [2.00-0.49%]
- Cumulative incidence of 22.4 per 1,000

### **Incidence density per 100,000 person days:**

- 3.3 reinfections in the positive cohort
- 22.4 new PCR confirmed infections in the negative cohort.

Prospective cohort study Pre-print	censored on 24 November 2020. : Between 18 June and 09 November 2020, 1,339,078 days of follow-up data was analysed from the baseline positive cohort of 6,614 participants	Clinical description: Of 44 possible and probable reinfections, 15 (34%) symptomatic; 2 'probable' were symptomatic; of 42 possible; 13 symptomatic, two (23%) of whom reported typical COVID-19 symptoms	Odds ratio:  Using a symptomatic case definition aligned with positive PCR results, previous infection reduced the odds of infection by at least 90% - adjusted OR 0.06 (95%CI of 0.03 to 0.09)  When all possible and probable reinfections were included previous infection reduced the odds of reinfection by at least 75% Adjusted OR was 0.17 for all reinfections (95% CI 0.13-0.24) compared to PCR confirmed primary infections
			Median interval between primary infection and reinfection: The median interval between primary infection and reinfection beyond 90 days was 172 days (90-227) and for the 21 reinfections with a historic PCR positive test before enrolment, the median interval between the historic PCR positive date and the reinfection PCR positive date was 162 days (95-223)
			Conclusions/relevance: A prior history of SARS-CoV-2 infection was associated with an 83% lower risk of infection, with median protective effect observed 5 months following primary infection. This is the minimum likely effect as seroconversions were not included.
Lumley 2020 10.1056/NEJMoa20345 45 Antibody status and incidence of SARS-CoV-2 infection in health care workers UK	N=12,541 HCWs: 90.6% (N=11,364) seronegative, 9.4% (N=1,265) seropositive at baseline (anti-spike IgG assay) including 88 who seroconverted during the study  Median follow-up: 200 days (IQR 180 to 207) after a seronegative test and 139 days (IQR 117 to 147) after a	Primary endpoint: Relative incidence of subsequent positive SARS-CoV-2 PCR tests and symptomatic infections in HCWs (seropositive <i>or</i> seronegative for SARS-CoV-2 antibodies at baseline)  Those seropositive considered at risk for infection/reinfection from 60 days of first PCR positive test  Test: Anti-trimeric spike IgG enzyme-linked immunosorbent assay (ELISA) and anti-nucleocapsid	Participants were followed for up to 31 weeks. During this time no symptomatic infections and only 2 PCR-positive results in asymptomatic HCWs were seen out of 1,026 HCWs with anti-spike antibodies, compared with 223 PCR-positive results out of 11,364 seronegative HCWs (adjusted IRR: 0.11).  This suggests that previous infection resulting in antibodies to SARS-CoV-2 is associated with protection from reinfection for most people for at
Prospective longitudinal cohort study	seropositive test.	IgG assay (Abbott)	least 6 months  Relative risk of reinfection

### **Quality Authority**

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Published NEJM	<b>Duration of study:</b> participants were followed up to 31 weeks <b>Demographics</b>	<b>Intervals:</b> PCR testing every 2 weeks; serological testing every 2 months from April 2020 to November 2020	3 of those seropositive at baseline had PCR-positive tests i.e., possible reinfection (1 anti-spike IgG only, 1 antinucleocapsid IgG only, 1 with b
	(seronegative/seropositive): Mean age 38/41; Female 74.1%/77%; White 73.1%/66%.	In those seropositive at baseline, days between episodes:  Worker 1 (anti-nucleocapsid positive at baseline) 160 days  Worker 2 (both anti-spike and anti-nucleotide positive) 190 days  Worker 3 (anti-spike positive at baseline) 199 days	1. In those who were baseling for anti-spike  n=2/1,265 seropositive (both mild 1st and asymptomatic on 2nd episod n=223/11,364 seronegative had poin seropositive: 0.12 (95% CI, 0.03 0.002)
		WGS: Not performed	After adjustment for age, gender, a
		<b>Cycle threshold</b> : N/R overall-only reported for the HCW positive for both antibodies and who tested PCR positive: Ct=31 (CN=21)	testing or calendar time as a contin the IRR in seropositive: 0.11 (95% 0.44; p=0.002). 2. In those who were baseline for anti-nucleotide n=2/1,172 seropositive(1 asymptor and mild symptomatic 2 <sup>nd</sup> ; 1 mild s
			and asymptomatic 2 <sup>nd</sup> episode) and had positive PCR; IRR 0.11 (95% C P = 0.002) <b>3. In those who were baseline</b>

e subsequently ble SARS-CoV-2 both) as follows:

# ine seropositive

d symptomatic on ode) and positive PCR; IRR 03 to 0.47; P =

and month of tinuous variable, % CI, 0.03 to

# ine seropositive

tomatic 1st episode symptomatic 1st nd n=226/11,543 CI, 0.03 to 0.45;

### ine positive for both anti-spike and anti-nucleocapsid

n=1/1021 seropositive (mild symptomatic 1st episode and asymptomatic 2<sup>nd</sup>) and n=218/11,182 seronegative; IRR, 0.06; (95% CI, 0.01 to 0.46).

Note: This is the only HCW with a history of PCRconfirmed symptomatic infection. After 5 negative tests, 1 positive with low viral load (Ct=31)

If this result was a false positive, the IRR for PCR positivity:

- if anti-spike IgG–seropositive would fall to 0.05 (95% CI, 0.01 to 0.39)
- if anti-nucleocapsid IgG-seropositive would fall to 0.06 (95% CI, 0.01 to 0.40).

Duration of immunity (protection from reinfection) following SARS-CoV-2 infection
Health Information and Quality Authority

4. In those with baseline mixed
seropositivity
n=2/344 workers with mixed antibody assay
results had subsequent PCR-positive tests; IRR
0.42; 95% CI, 0.10 to 1.69)

Key: Ct – cycle threshold value; RT-qPCR – real time reverse transcription polymerase chain reaction.

## **Appendix 3: Quality Appraisal (NIH assessment tool)**

Tool: The National Institutes of Health (NIH) quality assessment tool for observational cohort and cross-sectional studies, available at: <a href="https://www.nhlbi.nih.gov/health-topics/study-quality-assessment-tools">https://www.nhlbi.nih.gov/health-topics/study-quality-assessment-tools</a>

Quality appraisal question	Response				
	Abu-Raddad 2021 [assessment: 'fair']	Hall 2020 [assessment: 'good']	Hanrath 2021 [assessment: 'fair']	Harvey 2020 [assessment: 'poor']	Lumley 2020 [assessment: 'good']
1. Was the research question or objective in this paper clearly stated?	Yes	Yes	Yes	Yes	Yes
2. Was the study population clearly specified and defined?	Yes	Yes	Yes	Yes	Yes
3. Was the participation rate of eligible persons at least 50%?	Yes	Yes	Yes	Yes	Yes
4. Were all the subjects selected or recruited from the same or similar populations (including the same time period)? Were inclusion and exclusion criteria for being in the study prespecified and applied uniformly to all participants?	Yes	Yes	Yes	Yes	Yes
5. Was a sample size justification, power description, or variance and effect estimates provided?	N/A	N/A	N/A	N/A	N/A
6. For the analyses in this paper, were the exposure(s) of interest measured prior to the outcome(s) being measured?	Yes	Yes	Yes	Yes	Yes
7. Was the timeframe sufficient so that one could reasonably expect to see an association between exposure and outcome if it existed?	Yes	Yes	Yes	Unclear	Yes
8. For exposures that can vary in amount or level, did the study examine different levels of the exposure as related to the outcome (e.g., categories of exposure, or exposure measured as continuous variable)?	N/A	Yes	N/A	Yes	Yes
9. Were the exposure measures (independent variables) clearly defined, valid, reliable, and implemented consistently across all study participants?	Yes	Yes	Yes	No – All had an antibody test in the database, but	Yes

				type of antibody test and validity cannot be determined	
10. Was the exposure(s) assessed more than once over time?	Yes	Yes	Yes	No	Yes
11. Were the outcome measures (dependent variables) clearly defined, valid, reliable, and implemented consistently across all study participants?	Yes	Yes	Yes	No – All had NAAT, but type of NAAT cannot be determined	Yes
12. Were the outcome assessors blinded to the exposure status of participants?	No	Unclear	No	No	Unclear
13. Was loss to follow-up after baseline 20% or less?	Yes	Yes	Yes	Not Reported	Yes
14. Were key potential confounding variables measured and adjusted statistically for their impact on the relationship between exposure(s) and outcome(s)?	Database analysis; adjustment for WGS (removing viral shedding); unclear if all confounders measured	Yes	No obvious confounders	Statistical analysis and adjustment for confounders not reported	Yes

## **Appendix 4 Reinfection cases (1 November 2020 to 22 February 2021)**

Source/First Author  Date of reinfection	Location	Patient details	Interval (days)	Symptoms (initial infection)	Symptoms (reinfection) z	Whole genome sequencing & details of variants‡
Case 1 Media report 20/2/2021	Panama	Not Reported	180 (approx.)	Not Reported	Not Reported	Not Reported
Case 2 Fels 2021 18/2/2021	United States (Bronx, NY)	10-15/F	142	Mild	Mild	The first and second samples fall in different local phylogenetic clades in the Bronx phylogenetic tree
Case 3 Media report 18/2/2021	Paraguay	М	120 (approx.)	Not Reported	Not Reported	Not Reported
Case 4 Government press release 9/2/2021	Brazil (Amazonas)	50/F	92	Not Reported	Not Reported	Reinfection: P1 variant (initial infection not reported)
Case 5 Government press release 9/2/2021	Brazil (Amazonas)	40/F	282	Not Reported	Not Reported	Reinfection: P1 variant (initial infection not reported)
Case 6 Personal communication - Corey Egel 27/1/2021	United States	Not Reported	≥120	Symptomatic	Symptomatic	Both were different strains (strain not reported)
Case 7 Personal communication - Corey Egel 27/1/2021	United States	Not Reported	≥120	Symptomatic	Symptomatic	Both were different strains (strain not reported)
Cases 8-13 Zhang 2021	China	F	34	Serious	Mild	D614G haplotype present on reinfection event in five out of six reinfection cases
26/1/2021	China	Not Reported	19	Moderate	Mild	SIA TOTHLECTION COSES
	China	Not Reported	57	Moderate	Mild	
	China	Not Reported	37	Moderate	Not Reported	

	China	Not Reported	24	Moderate	Not Reported	
	China	Not Reported	24	Serious	Not Reported	
Cases 14-18	Qatar	40-44/F	84	Not Reported	Moderate	Included in our review
Abu-Raddad 2021 16/1/2021	Qatar	35-39/F	110	None	None	
	Qatar	35-39/M	59	Not Reported	None	
	Qatar	30-34/M	81	Serious	Serious	
	Qatar	35-39/M	84	Not Reported	None	
Case 19	Brazil	29/F	281	Mild	Mild	Initial infection: B.1 lineage
Naveca 2021 13/1/2021	(Manaus, Amazonas)					Reinfection: P.1 lineage (alias of B.1.1.28.1)
Case 20 <u>Harrington 2021</u> 10/1/2021	UK	78/M	250	Mild	Serious	Initial infection: B.2 lineage, with no mutations observed in the S region.  Reinfection: B.1.1.7 lineage, and accumulated 18 amino-acid replacements across the genome
Case 21 Government press release 8/1/2021	Brazil (Bahia)	45/F	147	Mild	Mild (more intense)	Initial infection: B.1.1.33 lineage Reinfection: B.1.1.248 lineage with mutation found in the new South African variant in the protein Spike located in the RDB (E484K)
Case 22 <u>News report</u> 22/12/2020	Israel	74/M	90 (approx.)	Symptomatic	Symptomatic	Not reported
Case 23 Facebook post 17/12/2020	Mexico	М	64 (approx.)	Symptomatic	Symptomatic	
Case 24 Government press release 16/12/2020	Brazil	41/F	145	Symptomatic	Symptomatic	Following details on sequencing data: "One of them [strains] was found exclusively in Brazil, and the other has already been identified both in Brazil and in the United States, United Kingdom, Australia and Chile"
Case 25 Facebook post 11/12/2020	Peru	6/F	97	Mild	Mild	
Case 26 <u>Resende 2020</u> 9/12/2020	Brazil (Rio Grande do Norte)	37/F	116	Mild	Mild	Initial infection: B.1.1.33 lineage Reinfection: B.1.1.28 lineage. "Notably, the B.1.1.28 virus detected at reinfection corresponds to a new emergent Brazilian viral lineage, initially detected in

						the Rio de Janeiro state, containing the mutation E484K in the Spike protein"
Case 27 Lee 2020 21/11/2020	South Korea	21/F	10	Mild	Mild	Initial infection: V clade (nsp6 L37F and ORF3a G251V) Reinfection: G clade, with the spike protein D614G substitution
Case 28 <u>Selhorst 2020</u> 10/11/2020	Belgium	39/F	185	Mild (long)	Mild (milder)	Initial infection: V clade Reinfection: G clade Transmissibility: Although contact tracing and viral culture remained inconclusive, the healthcare worker formed a transmission cluster with 3 patients and showed evidence of virus replication but not of neutralising antibodies in her nasopharyngeal swabs

Source: Covid-19 reinfection tracker (https://bnonews.com/index.php/2020/08/covid-19-reinfection-tracker/)

<sup>‡</sup> Newly identified variants of concern (VoC) are identified in bold text. These comprise: P.1 lineage (alias of B.1.1.28.1), B.1.1.248, B.1.1.28 lineage

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