

Duration of protective immunity following COVID-19 vaccination of individuals with underlying conditions (efficacy and effectiveness)

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The Health Information and Quality Authority (HIQA) is an independent statutory authority established to promote safety and quality in the provision of health and social care services for the benefit of the health and welfare of the public.

HIQA's mandate to date extends across a wide range of public, private and voluntary sector services. Reporting to the Minister for Health and engaging with the Minister for Children, Equality, Disability, Integration and Youth, HIQA has responsibility for the following:

**Setting standards for health and social care services** — Developing personcentred standards and guidance, based on evidence and international best practice, for health and social care services in Ireland.

**Regulating social care services** — The Chief Inspector within HIQA is responsible for registering and inspecting residential services for older people and people with a disability, and children's special care units.

**Regulating health services** — Regulating medical exposure to ionising radiation.

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**National Care Experience Programme** — Carrying out national service-user experience surveys across a range of health services, in conjunction with the Department of Health and the HSE.

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# List of abbreviations used in this report

aIRR	adjusted incident rate ratio
aOR	adjusted odds ratio
CDC	Centers for Disease Control and Prevention
CI	confidence interval
COVID-19	Coronavirus disease 2019
СМА	Conditional Marketing Authorisation
Ct	cycle threshold
EMA	European Medicines Agency
HCWs	healthcare workers
HIQA	Health Information and Quality Authority
HSE	Health Service Executive
ICU	intensive care unit
IRR	incidence rate ratio
IQR	interquartile range
LTC	long term care
NE	non estimable
NIH	National Institutes of Health
NPHET	National Public Health Emergency Team

RCT	randomised controlled trial
RT-PCR	reverse transcription polymerase chain reaction
SARS-CoV-2	Severe Acute Respiratory Syndrome Coronavirus 2
VE	vaccine efficacy / effectiveness
WHO	World Health Organization

# **Acknowledgements**

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# **Key points**

- The duration of protective immunity from COVID-19 following vaccination is an important consideration for Ireland's vaccination strategy, particularly in relation to groups who may have a less than optimal response to vaccination or for whom there is evidence that immunity may wane over time.
- As of November 2021, following conditional marketing authorisation from the European Medicines Agency, four vaccines against COVID-19 are licensed and distributed for use in Ireland. These are ChAdOx1 (AstraZeneca), Ad26.COV2.S (Janssen), and the mRNA vaccines, mRNA-1273 (Moderna) and BNT162b2 (Pfizer/BioNTech). Over 92% of those aged 18 years and older in Ireland are fully vaccinated.
- This review aimed to assess the duration of vaccine efficacy and effectiveness against COVID-19, specifically in individuals with underlying health conditions.
- The distinction between vaccine efficacy and vaccine effectiveness is noted. Efficacy studies provide data on an intervention under highly controlled conditions, such as in randomised controlled trials. In contrast, effectiveness studies provide data on how well a treatment works in the real-world setting.
- Eighteen papers reporting 14 unique studies, with outcomes for individuals with underlying conditions, were included in this evidence summary: three randomised clinical trials (RCTs) and 11 observational studies, of which six were cohort studies and five were case-control studies.
- For the three RCTs included in this evidence summary, the study size ranged from 28,207 to 44,060 participants, with a maximum follow-up period of six months.
  - Two studies enrolled individuals in good health and those with comorbidities. One study included adults at high risk of infection or severe COVID-19.
  - All three RCTs were peer-reviewed and considered to be at low risk of bias.

- Eleven observational studies reported on vaccine effectiveness; the number of participants within studies ranged from 782 to 4.8 million with a maximum follow-up period of up to nine months in one study. Of these 11 studies:
  - Seven observational studies examined vaccine effectiveness in the general population, two studies exclusively enrolled healthcare and other frontline workers, and two studies were conducted exclusively in populations with underlying conditions. All 11 observational studies presented information on individuals with underlying conditions either as subgroups of a larger population or as the sole focus of the study.
  - The quality varied; five were rated as good quality, five were appraised as being of fair quality and one of poor quality. The primary reasons for concern were bias relating to the measurement of the outcome and lack of adjustment for confounding factors. The majority of the observational studies (7/11) included are currently published as preprints and hence have not been formally peer-reviewed, raising additional concerns about overall quality and the potential for results to change prior to formal publication.
- Overall, there was limited and inconsistent evidence regarding vaccine efficacy and effectiveness in those with underlying conditions. Across both primary (that is, severe disease and mortality) and secondary outcomes (that is, SARS-CoV-2 infection), overall vaccine efficacy or effectiveness in those with underlying conditions were found to be comparable to or lower than estimates for the general population.
- When stratified by age, any statistically significant reduction in protection against severe disease or mortality over time in those with underlying conditions was limited to older adults (either >60 or ≥ 65 years), with protection in younger age groups with underlying conditions found to be largely comparable to that offered to the general population. Additionally, where those with immunocompromising conditions were analysed as a subgroup, vaccine effectiveness against both primary and secondary outcomes was found to be reduced in this group. Thus, it is unclear whether any reduction in vaccine protection in those with underlying conditions is driven by those of older age or with immunocompromising conditions.

- Randomised controlled trials have compared vaccine efficacy (VE) in the general population to those with underlying conditions.
  - In the BNT162b2 (Pfizer/BioNTech) trial, similar VE estimates against symptomatic infection were found for participants at risk of severe disease to those not at risk.
  - In the mRNA-1273 (Moderna) trial, VE point estimates for symptomatic infection were lower for those at risk of severe disease than those who were not at risk, particularly those aged 65 and older. However, the confidence intervals overlapped. Lower VE was observed for those with a greater number of risk factors for severe disease.
  - In the Ad26.COV2.S (Janssen) trial, VE point estimates for moderate to severe/critical disease were lower for individuals with comorbid conditions than those without such conditions, particularly those aged 60 and older. However, there was substantial uncertainty associated with these estimates.
- There was some evidence from observational studies that vaccine effectiveness, particularly against infection, waned over time in those with underlying conditions. However, it is unclear whether this waning occurs any faster than in the general population:
  - An observational study based on national Swedish registry data with up to nine months follow-up reported greater reductions in vaccine effectiveness, particularly against infection, in those with underlying conditions, compared with the general population.
  - An observational study conducted by Public Health England observed greater reductions in vaccine effectiveness against hospitalisation in those in a clinical risk group, compared with those not in a clinical risk group, but only in those who were 65 years and older. The ChAdOx1 (AstraZeneca) vaccine was associated with lower protection against hospitalisation compared with BNT162b2 (Pfizer/BioNTech) vaccine across all age groups, particularly for those in clinical risk groups.
  - An observational study conducted by the US Centers for Disease Control and Prevention (CDC) found significant reductions in vaccine effectiveness against hospitalisations over time in those with

immunocompromising conditions compared with trends in those without immunocompromising conditions. However no such significant difference was observed for those with multiple morbidities compared with the overall population.

- In contrast, an observational study conducted in Qatar reported an initially low but increasing protection over time, against severe, critical, or fatal COVID-19 disease among immunosuppressed kidney transplant recipients.
- The comprehensive rollout of COVID-19 vaccines during the conduct of all the included observational studies led to low numbers in the unvaccinated group, making any comparison between groups less certain over time. Furthermore, the vaccine rollout schedule varied by country, with typically those at highest risk either due to high risk of exposure or risk of severe disease outcomes offered vaccination earlier than those deemed to be at lower risk. These factors together, with changes in the prevalence of disease or variants of concern over time as well as varying public health measures and associated behaviour, make it difficult to ascertain if observed reductions in effectiveness over time relate to waning immunity, reduced effectiveness due to the emergence of a new variant of concern, other unmeasured confounding or a combination of all of these factors.
- A particular limitation in calculating vaccine efficacy and effectiveness in those with underlying conditions is the smaller sample size of these subgroups. As a result, substantial uncertainty in the estimates was observed, with wide and overlapping confidence intervals noted, particularly as the length of follow-up increased or the size of the group decreased. Longer follow-up of larger cohorts is required to provide better information regarding long-term vaccine effectiveness in those with underlying conditions.
- For those with underlying health conditions, the following were the main evidence gaps identified in relation to estimates of effect:
  - vaccine efficacy or effectiveness beyond six months, either for those with underlying conditions as a combined group or by individual condition
  - o comparative effectiveness between different vaccines
  - o the impact of new variants of concern on vaccine effectiveness.

Overall, the evidence suggests that vaccination against COVID-19 continues to provide robust protection against severe disease and mortality for at least six months post-vaccination. However, there are data to suggest potential waning of vaccine effectiveness for severe disease, mortality and infection in individuals with underlying conditions, particularly for those aged 65 years and older and in those with immunocompromising conditions. National and international data support a higher risk of severe disease outcomes in older individuals and those with underlying health conditions. Given this and the noted lower initial vaccine efficacy and effectiveness for these populations in many of the included studies, any additional reduction in effect would be of concern. It is important to note that evidence is rapidly emerging in this area and that the conclusions of this review may change as longer-term studies are published.

# **Background**

As of 1 November 2021, the European Medicines Agency (EMA) has granted conditional marketing authorisation (CMA) for four vaccines to prevent Coronavirus Disease 2019 (COVID-19), with additional candidate vaccines under rolling review. (1) Upon receiving CMA, authorisation for the use of each COVID-19 vaccine is valid across all EU member states, including Ireland. (2) The COVID-19 vaccine developed by Pfizer in collaboration with BioNTech (BNT162b2) became the first to receive authorisation on the 21 December 2020. (3) Moderna's COVID-19 vaccine (mRNA-1273 or Spikevax) was approved on the 6 January 2021, (4, 5) followed by the ChAdOx1 vaccine, developed by AstraZeneca in collaboration with the University of Oxford, on the 29 January 2021. (6, 7) More recently, the Janssen vaccine (Ad26.COV2.S) was authorised on the 11 March 2021. (8, 9) The EMA subsequently recommended an extension of indication for the BNT162b2 (Pfizer/BioNTech) and mRNA-1273 (Moderna) vaccines to those aged 12 and above on the 28 May 2021 and the 23 July 2021, respectively. (10, 11)

The vaccine rollout in Ireland is detailed in the National COVID-19 Vaccination Programme Strategy. (12) In summary, vaccination began on the 26 December 2020 in a sequenced manner, starting with those at greatest risk of severe illness and death, followed by those at very high or high risk of exposure and transmission receiving priority for the available vaccines. The first group to receive the vaccine included adults aged 65 years or older who were residents of long term care (LTC) facilities, with vaccination also extended to staff working on site. The next priority group included frontline healthcare workers (HCWs) with the sequential rollout based on age and existing medical conditions. As vaccine availability increased, through the approval and acquisition of additional vaccines, the rollout accelerated. As of 28 October 2021, a total of 7.3 million vaccine doses have been administered in Ireland, with an estimated 92.2% of those aged 18 and older considered to be fully vaccinated. (13, 14) The most commonly administered vaccine to date in Ireland is BNT162b2 (Pfizer/BioNTech) with 5.3 million total doses administered, followed by ChAdOx1 (AstraZeneca) with 1.2 million doses, mRNA-1273 (Moderna) with 0.6 million doses, and Ad26.COV2.S (Janssen) with 0.2 million doses. (12)

The approved vaccines fall under two categories, messenger ribonucleic acid (mRNA) and viral vector vaccines. BNT162b2 (Pfizer/BioNTech) and mRNA-1273 (Moderna) are both mRNA vaccines. These vaccines contain the genetic code that allows the host to produce the same proteins, which are known as 'spike proteins', found on the surface of the SARS-CoV-2 virus that causes COVID-19. After vaccination, the host's immune cells will produce and display these proteins and trigger an immune response. (13, 15) The viral vector vaccines, which include ChAdOx1

(AstraZeneca) and Ad26.COV2.S (Janssen), work by using a weakened form of a different virus as a vector to transport the genetic code for the spike proteins. Once the vaccine is administered, the adenovirus vector enters the immune cells of the host and delivers the genetic code. The host immune cells then produce and display these proteins, triggering an immune response. (13, 15) The immune reaction brought about by both mRNA, and viral vector vaccines lead to the production of antibodies and defensive white blood cells, offering the host protection against the SARS-CoV-2 virus. An individual is considered to be protected once they are fully vaccinated. This occurs once the required time has elapsed since the second or final dose of their respective vaccination schedule is complete. The dosing schedule for each vaccine and additional vaccine identifiers are detailed in Table 1.

Table 1. Vaccination schedule for licensed COVID-19 vaccines in Ireland

Vaccine	Number of doses required	Days from final dose to being considered fully vaccinated	Other vaccine identifiers
BNT162b2	2	7	Comirnaty®
Pfizer/BioNTech.(16, 17)			Tozinameran®
mRNA-1273	2	14	Spikevax®
Moderna. <sup>(15)</sup>			• CX-024414
			• TAK-919
ChAdOx1	2	14	Vaxzevria®
AstraZeneca/Oxford. (18)			<ul> <li>ChAdOx1-SARS-CoV-2</li> </ul>
			Covishield® (Manufactured)
			in India)
			• AZD1222
Ad26.COV2.S	1	14	• JNJ-78436735
Janssen. <sup>(19)</sup>			• VAC31518

When considering the emerging evidence, it is important to note the distinction between vaccine efficacy and vaccine effectiveness. Efficacy studies provide data on the benefits and harms of an intervention under highly controlled conditions, such as in randomised controlled trials (RCTs), whereas effectiveness studies provide data on how well a treatment works in the real world setting (observational studies).

Given the unique threat posed by the COVID-19 pandemic, there was limited evidence on the duration of vaccine efficacy when the CMAs were issued, (3, 5, 7, 20, 21) with a median duration of follow-up in trials of approximately two months. All four vaccines were granted their CMA on the basis that the respective applicants were in a position to provide comprehensive clinical data in the future. (22)

With the increasing duration of RCT follow-up and the availability of population-level effectiveness studies, it should be possible to derive a more robust estimate of the duration of vaccine effectiveness. The data will also help identify groups with less than optimal response to vaccination or for whom there is evidence that effectiveness may be waning so that the need for additional mitigation or protective measures, such as additional doses, can be considered.

The Health Information and Quality Authority (HIQA) conducts evidence synthesis to inform national strategic decision-making. These evidence syntheses are conducted at the request of the National Public Health Emergency Team (NPHET) and related groups tasked with the national COVID-19 response.

The following policy question for this evidence summary was outlined by NPHET to inform the work of the National Immunisation Advisory Committee (NIAC):

"What is the evidence relating to the duration of protective immunity (vaccine efficacy and effectiveness) following COVID-19 vaccination?"

There is no defined threshold of efficacy or effectiveness below which efficacy or effectiveness is classified as lost. Given this and the limited follow-up since the vaccines became available, the following specific research question was developed and forms the basis of this evidence summary:

"To what extent and over what period of time does the efficacy and effectiveness of COVID-19 vaccination change?"

The current review focuses on those with underlying health conditions.

# **Methods**

The aim of this review was to examine the change in efficacy and effectiveness of COVID-19 vaccination over time, specifically in individuals with underlying health conditions. All underlying conditions with relevant vaccine efficacy or effectiveness outcomes were examined in this evidence summary. A detailed summary of the methods used is provided in the protocol, available <a href="here.">here.</a>(23) This evidence summary comprises an update of a review that examined the duration of protective immunity following COVID-19 vaccination more broadly (that is, in the general population, in older adults, in healthcare workers, in residents and staff of long term care facilities, as well as in patients with underlying conditions). However, the focus of the current review is specifically on those with underlying health conditions.

An updated systematic search of published peer-reviewed articles and non-peer-reviewed preprints was undertaken. The databases Medline (OVID), Embase (OVID) and Cochrane Library were searched up to 27 October 2021. A preprint search in Europe PMC, MedRxiv and Google Scholar was also conducted on 27 October 2021. For the original review, the literature searches of the electronic databases and preprint servers were conducted on 30 September and 31 August 2021, respectively. No language restrictions were applied. All potentially eligible papers were exported to Covidence (<a href="www.covidence.org">www.covidence.org</a>) for single screening of titles, abstracts, and full texts for relevance based on the criteria outlined in Table 2.

Data extraction and quality appraisal of included studies was completed by a single reviewer and checked by a second reviewer. All studies excluded following full-text review are reported with their reasons for exclusion in Appendix A. Where appropriate, graphical data were extracted using WebPlotDigitiser (Version 4.4) software. The full data extraction tables are located in Appendix C. Quality appraisal of RCTs was completed using the Cochrane risk of bias tool version 1. The relevant National Institutes of Health (NIH) Quality Assessment Tool was used for the quality appraisal of observational studies (Appendix B). Where available, vaccine efficacy or effectiveness data stratified by underlying condition were extracted from individual studies and plotted on a common chart for visual comparison purposes. Plotting of data was performed using RStudio statistical software Version 1.2.5019 using R version 3.6.2.

At the request of the National Immunisation Advisory Committee (NIAC) additional data extraction and quality appraisal was undertaken to examine the change in efficacy and effectiveness of one-dose Janssen (Ad26.COV2.S) vaccination over time (in all populations), based on an updated literature search conducted on 8

November 2021. The updated evidence tables for 17 relevant reports describing 14 unique studies are located in Appendix  $D.^{(21, 27-42)}$ 

Table 2. Population Intervention Outcome Study design (PICOS) criteria

Population	<ul> <li>Any persons aged ≥12 years.</li> <li>Persons from special populations (to include, immunocompromised, people with cancer or severe respiratory disease, older adults (70 years or older), healthcare workers, and residents and staff of long term care facilities.</li> <li>Depending on data availability, results from relevant subgroups (for example age group, or immunocompromised).</li> </ul>
Intervention	Included:
	Vaccines against COVID-19 which are licensed and distributed in Ireland:
	<ul><li>ChAdOx1 (AstraZeneca)^</li><li>Ad26 COV2 S (Jansson)</li></ul>
	<ul> <li>Ad26.COV2.S (Janssen).</li> <li>mRNA-1273 (Moderna).</li> </ul>
	<ul> <li>BNT162b2 (Pfizer/BioNTech).</li> </ul>
	Studies which include vaccine regimens with extended intervals between first and second doses or heterologous vaccine regimens were also included.
	Exclude:
	Studies which only include a single dose regimens (of what are routinely 2-dose vaccine schedules) for those previously infected or which include booster doses.
Comparators	<ul> <li>Alternative COVID-19 vaccine licensed in Ireland.</li> <li>Placebo (or alternative vaccine given as placebo)</li> </ul>
	<ul><li>Placebo (or alternative vaccine given as placebo).</li><li>No vaccination.</li></ul>
	<ul> <li>Vaccination at a different time point.</li> </ul>
Outcomes*	Primary Outcomes
	<ul> <li>Severe disease as measured by hospitalisations and or ICU admissions for COVID-19.</li> </ul>

COVID-19 mortality and or all-cause mortality.

## **Secondary Outcomes**

SARS-CoV-2 infection (RT-PCR or antigen-confirmed) by disease severity as defined by study authors (asymptomatic/mild/moderate) and duration (<12 weeks and ≥12 weeks (chronic COVID-19)). Outcomes were extracted for study-defined time points since vaccination. Changes in absolute and relative efficacy or effectiveness were noted. Disaggregated data by variant were extracted if reported.</p>

#### **Excluded:**

 Outcomes relating to time points in the period when individuals are waiting for the second dose of a two-dose schedule and in the period immediately after full vaccination, but before immunity is expected to occur.

# Types of studies

#### Included:

- Randomised controlled trials.
- Non-randomised controlled trials.
- Quasi-experimental studies.
- Prospective and retrospective cohort studies
- Case-control studies.
- Test-negative case-control studies.
- Analytical cross sectional studies.
- Studies where the median time from administration of the final regimen dose to outcome ascertainment is ≥ eight weeks~ or studies which report outcomes eight weeks after administration of the final regimen dose.

#### **Excluded:**

- Studies that enrolled fewer than 1,000 participants from the general population.
- Studies that enrolled fewer than 100 participants of special populations, as defined above.
- Animal studies.

However, if a subgroup analysis from a study meeting the exclusion criteria above, would have been eligible for inclusion if reported as a study in its own right, data from the relevant subgroups were included and extracted.

<sup>\*</sup>Safety outcomes were considered beyond the scope of this review. Outcomes related to immunogenicity (where there was no long-term efficacy/effectiveness data) and transmission were not included in the review.

<sup>^</sup>Brands of ChAdOx1 which are not licensed in Ireland (for example Covishield) were included.

<sup>&</sup>quot;Where median is not reported, the mean time was used to assess eligibility.

# **Results**

An overview of the search findings is presented in the PRISMA diagram (Figure 1).

The original database search of Embase and Medline returned 2,212 citations. An additional 585 citations were identified from MedRxiv/EuropePMC/Google Scholar, ten from EMA and United States' Food and Drug Administration (FDA) reports, and nine from other sources. Following the removal of duplicates, the titles and abstracts of 2,184 citations were screened for relevance. This resulted in 463 reports eligible for full-text review, where a further 406 records were excluded (Appendix A). Following the screening process, 57 papers describing 49 unique studies that met the broad inclusion criteria were identified.

The updated search on 27 October 2021 identified an additional 309 records through electronic database searching and another 300 from other sources. After removing duplicates, 387 records were screened by titles and abstracts, with 76 full text reports subsequently screened for eligibility. A total of 63 records were excluded in this update (Appendix A). Following the screening, an additional 13 studies were identified that met the broad inclusion criteria.

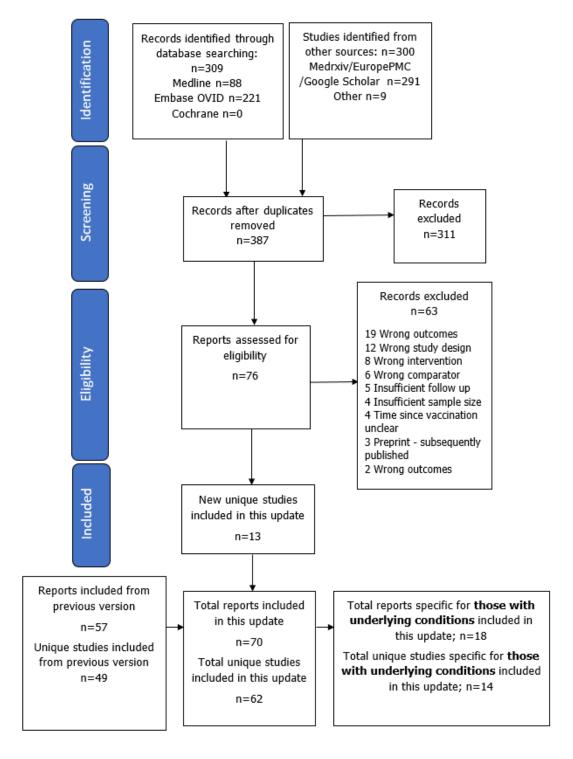
Combining the output of both the original database search and the updated search, a total of 70 papers describing 62 unique studies were identified that met the broad inclusion criteria. Of these, 18 papers describing 14 unique studies provided outcomes specifically in individuals with underlying conditions and were included in the current review. (20, 21, 35, 38, 41, 44-56) Two papers were identified as part of the updated search. (48, 50) Seven of the 18 included papers were only available as preprints. (35, 44, 46, 48-50, 54)

Of the studies identified, three were RCTs,<sup>(20, 21, 38, 45, 52, 56, 57)</sup> and the remaining 11 were observational study designs.<sup>(35, 41, 44, 46, 48-51, 53-55)</sup> Characteristics of included studies and study findings are described separately for vaccine efficacy (RCTs) and effectiveness (observational studies). The following conditions or categories of conditions (as defined by the study authors) that had relevant outcomes were reported across the included studies:

- at least one underlying condition<sup>(38, 41, 50, 53)</sup>
- clinical risk group<sup>(44, 45, 49, 52)</sup>
- immunocompromised<sup>(35, 46, 48)</sup>
- hypertension<sup>(38, 51, 52, 54)</sup>
- diabetes<sup>(38, 47, 51, 54)</sup>
- overweight or obese (38, 47, 51, 52, 54, 56)

- HIV<sup>(38, 47)</sup>
- chronic lung disease<sup>(41, 47)</sup>
- serious cardiac disease<sup>(38, 47)</sup>
- liver disease<sup>(47)</sup>
- kidney transplant recipient<sup>(46)</sup>
- asthma.<sup>(51)</sup>

Figure 1: PRISMA diagram of study selection



# **Vaccine efficacy**

#### **Characteristics of included studies**

Five papers describing the results of three RCTs were identified (summarised in Table 3). (38, 45, 47, 52, 56) Studies with a follow-up of at least eight weeks post final

vaccination and with outcomes for those with underlying conditions were identified for Ad26.COV2.S (Janssen), mRNA-1273 (Moderna) and BNT162b2 (Pfizer/BioNTech). No relevant RCT was identified for ChAdOx1 (AstraZeneca). Additional data (primarily for subgroup analysis) are provided in reports published by the EMA,<sup>(5)</sup> and the FDA.<sup>(21)</sup> All three included RCTs have been peer-reviewed.<sup>(38, 45, 47, 52, 56)</sup> The pivotal trials for each of the licensed vaccines were extensively reviewed by regulatory agencies to inform conditional market authorisation. Consistent with the aim of this review, this section focuses on information relating to the length of follow-up and presents updated data from the pivotal RCTs, specifically in those with underlying conditions. The maximum follow-up reported was six months.

Two studies enrolled individuals in good health and those with co-morbidities, <sup>(38, 52, 56)</sup> and one study included adults at high risk of infection or severe COVID-19. <sup>(45)</sup>

RCTs required at least eight weeks of follow-up before authorisation could be approved. Hence, two of the three RCTs initially published interim results with median cut-offs close to this date. (38, 45, 52) Updated analyses with up to six months of follow-up have been published for the pivotal BNT162b2 (Pfizer/BioNTech)(56) and mRNA-1273 (Moderna) trials. (47) All of the trials were set across multiple countries, further details are available in Appendix C.

All three RCTs were conducted before substantial data on variants of concern emerged, although some efficacy data are available for the Beta variant. The studies are presented by vaccine type.

Table 3. Summary of RCTs reporting data for primary outcomes (vaccine efficacy against COVID-19 related severe disease and mortality), secondary outcomes (vaccine efficacy against any symptomatic SARS-CoV-2 infection) and change in efficacy over time

Author, Country	Exposure#	Sample Size	Time since final vaccination (weeks)	Primary outcomes: overall follow up VE (95% CI)		Secondary Outcomes: overall follow-up		Change in vaccine efficacy over time	Risk of bias
				Severe Disease	Mortal ity	Any infection	Symptomatic infection		
Sadoff , <sup>(38)</sup> peer-reviewed International	Ad26.COV2.S (Janssen)	N: 39,321 Intervention: 19,630 Placebo:19,691	Median: 8.3 (range 0.1 - 17 )	≥28 days post vaccination  For total population VE: 100% (74.3 to 100)  Underlying Conditions Outcomes <sup>£</sup> Serious heart conditions:  VE = 79.4% (-83.7 to 99.6)  HIV:  VE = 47.5% (-266 to 95.3)  Hypertension:  VE = 35.7% (-45.6 to 72.8)  Obesity:	≥28 days post vaccina tion VE: 75% (- 25.2 to 97.4)	NR	≥28 days post vaccination  VE 66.5% (55.5 to 75.1)	The onset of efficacy was evident as of 14 days after administration for moderate to severe—critical disease and as of 7 days after administration for severe—critical disease. Efficacy continued to increase through approximately 8 weeks after administration, especially for severe—critical Covid-19. No evidence of waning efficacy was noted among the approximately 3000 participants who were followed for 11 weeks or among 1000 participants who were followed for 15 weeks .	Low

Author, Country	Exposure#	Exposure#	Sample Size	Time since final vaccination (weeks)	Primary outcomes: overall follow up VE (95% CI)		Secondary Outcomes: overall follow-up		Change in vaccine efficacy over time	Risk of bias
			(Wester)	Severe Disease	Mortal ity	Any infection	Symptomatic infection			
				VE = 65.9% (47.8 to 78.3)						
				Diabetes Mellitus, type 2						
				VE: 23.0% (- 90.1 to 69.8)						
				Moderate to Severe-Critical COVID-19						
				With comorbidities <sup>®</sup> (and stratified by age)						
				VE = 58.6% (40.6 to 71.6)						
				18-59, VE 64.0% (44.3, 77.3)						
				≥60 years VE 42.3%						
				(-13.1, 71.6)						
				Without comorbidities <sup>®</sup> (and stratified by age) VE = 68.8% (59.0 to 76.6)						

Author, Country	Exposure#	Sample Size	Time since final vaccination (weeks)	overall follow	Primary outcomes: overall follow up VE (95% CI)		y Outcomes: ollow-up	Change in vaccine efficacy over time	Risk of bias
			(112012)	Severe Disease	Mortal ity	Any infection	Symptomatic infection	]	
				18-59 VE= 68.0% (56.8, 76.6)					
				≥60 years, VE 72.4% (45.0, 87.3)					
Polack, (52)* peer-reviewed	BNT162b2 (Pfizer/	N:43,448 Intervention:	Mean: 7.6 Maximum: 14	VE: 75% (- 52.0 to 99.5)	NR	NR	Overall population	No evidence of waning VE against	low
International	BioNTech)	21,720 Placebo: 21,728					VE 95.0% (90.3 to 97.6)	symptomatic infection after dose 1 in Kaplan Meier plot for the	
							Underlying conditions population	overall population.	
							At risk <sup>\$</sup>		
							VE = 95.3 (87.7 to 98.8)		
							Not at risk <sup>\$</sup>		
							94.7 (85.9 to 98.6)		
							Obese€		
							VE = 95.4 (86.0 to 99.1)		
							Non-Obese €		
							VE = 94.8 (87.4 to 98.3)		

Author, Country	Author, Country Exposure#	Sample Size	Time since final vaccination (weeks)	Primary out overall follo VE (95% C	w up	Secondar overall fo	y Outcomes: llow-up	Change in vaccine efficacy over time	Risk of bias
				Severe Disease	Mortal ity	Any infection	Symptomatic infection		
							Hypertension VE = 94.6 (68.7 to 99.9)		
							By Risk Group and Age		
							16 – 64 years and not at risk 94.2 (84.4 to 98.5)		
							16 – 64 and at risk 95.9 (87.6 to 99.2)		
							≥65 and not at risk 100 (29.0 to 100)		
							≥65 and at risk 91.7 (44.2 to 99.8)		
							Obese and age group		
							16–64 and not obese 95.2 (87.3 to 98.7)		

Author, Country	Exposure#	Sample Size	Time since final vaccination (weeks)	Primary outcoverall follow VE (95% CI)		Secondar overall fo	y Outcomes: llow-up	Change in vaccine efficacy over time	Risk of bias
			, ,	Severe Disease	Mortal ity	Any infection	Symptomatic infection		
Thomas, (56)* peer-reviewed, follow-up of Polack (52) International	BNT162b2 (Pfizer/BioNTe ch)	22,030	Mean: 16.7 51% of the participants in each group had 4 to < 6 months of follow- up; 8% (6%) of the participants in the treatment (placebo) group had ≥6 months of follow-up		NR	NR	16–64 and obese 94.9 (84.4 to 99.0) ≥65 and not obese 91.8 (44.5 to 99.8) ≥65 and obese 100 (27.1 to 100)  Overall population 91.3% (89.0 to 93.2) Underlying condition population At risk\$ VE = 91.6 (88.2 to 94.3) Not at risk\$ VE = 91.0 (87.6 to 93.6) 16–64 and at risk\$ VE = 91.5 (87.5 to 94.4) ≥65 and at risk\$	VE against symptomatic infection Overall population ≥7 days to <2 months: VE 96.2% (93.3 to 98.1) ≥ 2 months to < 4 months: VE 90.1% (86.6 to 92.2) ≥ 4 months: VE 83.7% (74.7 to 89.9)	

Author, Country	hor, Country Exposure#		vaccination		rimary outcomes: Secondar verall follow up overall fo E (95% CI)		y Outcomes: llow-up	Change in vaccine efficacy over time	Risk of bias
				Severe Disease	Mortal ity	Any infection	Symptomatic infection		<u>'</u>
							VE = 91.8 (81.4 to 97.1)		
							By age and obesity		
							Obese <sup>€</sup>		
							91.6 (87.6 to 94.6)		
							Not Obese <sup>€</sup>		
							VE = 91.1 (88.1 to 93.5)		
							16-64 and obese		
							VE = 91.3 (86.7 to 94.5)		
							≥65 and obese <sup>€</sup>		
							VE = 93.2 (78.9 to 98.7)		
<b>Baden,</b> <sup>(45)</sup> peer- reviewed US	mRNA-1273 (Moderna)	<b>N:</b> 28,207 Intervention: 14,134	Median: 9 (Range 0 – 13.9)	Overall population VE: 100% (NE	NR		Overall population	No evidence of waning efficacy in Kaplan Meier for the 2,381 patients	low
		Control:14,073		to 1.0)			VE 94.1% (89.3 to 96.8%)	followed for 12 weeks post dose 2, in the overall population.	
							<u>Underlying</u> <u>conditions</u>	overall population.	
							<u>population</u>		
							At risk #		

Author, Country	Exposure#	Sample Size	Time since final vaccination (weeks)	Primary outcomes: overall follow up VE (95% CI)		Secondary Outcomes: overall follow-up		Change in vaccine efficacy over time	Risk of bias
				Severe Disease	Mortal ity	Any infection	Symptomatic infection		
							VE = 90.9 (74.7 to 96.7)  Not at risk# VE 95.1% (85.2 to 96.8)  18 and <65 and at risk# VE = 94.4% (76.9 to 98.7)  ≥65 and at risk# VE = 75.2% (NE, 94.7)  No risk factors # VE = 95.1 (89.6 to 97.7)  1 risk factor # VE = 91.7 (73 to 97.4)  ≥ 2 risk factors #,% VE = 87.2 (-2.7 to 98.4)		
El Sahly, <sup>(47)</sup> US	mRNA-1273 (Moderna)	N:	Median – 26.1 (IQR 37.5 to 32.1).	VE: 98.2% (92.8 to 99.6)	VE: 100%	VE: 82.0% (79.5 to 84.2)	to 98.4) Overall population	Symptomatic infection over time (PP)	low

Author, Country	Exposure#	Sample Size	Time since final vaccination (weeks)	Primary outcomes: overall follow up VE (95% CI)		Secondary Outcomes: overall follow-up		Change in vaccine efficacy over time	Risk of bias
				Severe Disease	Mortal ity	Any infection	Symptomatic infection		
Follow up of Baden (45)~		Efficacy population - 28,451 FAS – 30,346  Significant Cardiac Disease – 5.0% Severe obesity (BMI >40) - 7.0% Diabetes – 9.6% Liver disease – 0.7% HIV – 0.6%			(NE to 100)		VE: 93.2 (90.9 to 94.8)  Chronic lung disease VE = 87.2 (63.8 to 95.5)  Significant cardiac disease VE = 88.0 (65.9 to 95.8)  Severe obesity (BMI >40) VE = 91.4 (81.4 to 96.0)  Diabetes VE = 96.2 (87.9 to 98.8)  Liver disease VE = 81.0 (-64.8 to 97.8)  HIV VE = 100 (NE to 100)	Overall population ≥14 Days to <2 months: VE 91.8% (86.9 to 95.1) 2 months to <4 months: VE 94.0% (91.2 to 96.1) ≥ 4 months: VE 92.4% (84.3 to 96.8)	

<sup>\*</sup>The studies highlighted yellow are part of the same trial, with Polack, (52) publishing initial results, and Thomas (56) updating the Polack paper with longer follow-up time for trial participants.

<sup>@</sup>Co-morbidities defined as asthma; chronic lung diseases such as chronic obstructive pulmonary disease (COPD) (including emphysema and chronic bronchitis), idiopathic pulmonary fibrosis and cystic fibrosis; diabetes (including type 1, type 2, or gestational); serious heart conditions, including heart failure, coronary artery disease, congenital heart disease, cardiomyopathies, and

(pulmonary) hypertension or high blood pressure; obesity (body mass index (BMI)  $\geq$ 30 kg/m²); chronic liver disease, including cirrhosis; sickle cell disease; thalassemia; cerebrovascular disease; neurologic conditions (dementia); end stage renal disease; organ transplantation; cancer; HIV infection and other immunodeficiencies; hepatitis B infection; sleep apnea; Parkinson's disease; seizures; ischemic strokes; Intracranial hemorrhage; Guillain-Barré syndrome; encephalopathy; meningoencephalitis; and participants who live in nursing homes or long-term care facilities.

- ~The studies highlighted orange are part of the same trial, with El Sahly publishing six month follow-up data of Baden.
- £ Data on further comorbidities are available however vaccine effectiveness could not be calculated due to insufficient sample sizes. Further data is available in Appendix C.
- \$ At risk is defined as having at least one of the Charlson Comorbidity Index categories or obesity
- # Definition for at risk of severe COVID 19. includes Chronic lung disease (e.g., emphysema and chronic bronchitis), idiopathic pulmonary fibrosis and cystic fibrosis) or moderate to severe asthma, Significant cardiac disease (e.g., heart failure, coronary artery disease, congenital heart disease, cardiomyopathies, and pulmonary hypertension), Severe obesity (BMI  $\geq$  40 kg/m²), Diabetes (Type 1, Type 2 or gestational), Liver disease, HIV infection
- € Obese defined as BMI > 30 kg/m<sup>2</sup>

Key: CI – confidence interval, IQR interquartile range, NE – not estimated NR - not reported, PP – per protocol, VE – vaccine efficacy, US – United States.

# **BNT162b2** (Pfizer/BioNTech)

Polack et al. (52) report on the pivotal placebo-controlled RCT of BNT162b2 (Pfizer/BioNTech) in participants aged 16 years or older. The mean follow-up time in the BNT162b2 (Pfizer/BioNTech) arm was eight weeks. Despite the large sample size, (n=43,448), there were very few events to inform vaccine efficacy (VE) estimates against severe disease or mortality thus, the estimates are associated with substantial uncertainty. For VE for symptomatic disease, there is less uncertainty. The estimated VE for the seronegative population for symptomatic disease, was 95.0% (95% CI 90.3 to 97.6). Polack et al. also reported VE for symptomatic disease for those with an underlying condition associated with a high risk of COVID-19 complications. In this study, 'at risk' was defined as having at least one of the Charlson Comorbidity Index categories<sup>(58)</sup> (for example, HIV, malignancies, cerebrovascular disease, dementia and diabetes) or obesity (body mass index (BMI) ≥30 kg/m<sup>2</sup>). A total of 7,743 (20.5%) participants had at least one Charlson comorbidity. The number of individuals with obesity was not reported. VE for participants at risk was found to be similar to that for participants not at risk (95.3%) (95% CI, 87.7 to 98.8) vs. 94.7% (95% CI, 85.9 to 98.6)). VE was also found to be similar for participants with obesity compared with those without obesity (95.4% (95% CI, 86 to 99.1) vs. 94.8% (95% CI, 87.4 to 98.3)). When stratified by age, VE for the symptomatic disease was found to be similar in participants aged between 16 and 64 years who were at risk compared with those who were not at risk (95.9%) (95% CI, 87.6 to 99.2) vs. 94.2% (95% CI, 84.4 to 98.5)). Additionally, similar VE estimates were found in participants aged between 16 and 64 with obesity compared with those without obesity (94.9% (95% CI, 84.4 to 99) vs. 95.2% (95% CI, 87.3 to 98.7)).

From December 2020, participants aged 16 years and older had an option for unblinding if they became eligible for COVID-19 vaccination according to national or local recommendations. Unblinded participants were followed in an open-label study (no data available). Thomas et al.<sup>(56)</sup> present updated results for all participants who were followed in the blinded portion of the trial.

Vaccine efficacy for severe disease (regardless of the previous history of SARS-CoV-2) was 95.7% (95% CI 73.9 to 99.9). Thomas et al. (56) also present information on efficacy data for symptomatic disease for the total seronegative population ( $\geq$ 12 years) both overall and over time. Overall VE against the symptomatic disease was 91.3% (95% CI 89.0 to 93.2). VE was reported, stratified by time since the second dose was administered, at three time intervals:  $\geq$ 7 days to <2 months,  $\geq$ 2 months <4 months, and  $\geq$ 4 months to data cut-off (six months post-dose 2), with VE of

96.2% (95% CI 93.3 to 98.1), 90.1% (95% CI 86.6-92.2) and 83.7% (95% CI 74.7 to 89.9), respectively.

Thomas et al.<sup>(56)</sup> also reported vaccine efficacy for symptomatic disease for those with an underlying condition associated with a high risk of COVID-19 complications, using the same definition of 'at risk' adopted by Polack et al..<sup>(52)</sup> Similar to Polack et al., this updated study found comparable vaccine efficacy for participants at risk and those not at risk (91.6% (95% CI, 88.2 to 94.3) vs. 91.0% (95% CI, 87.6 to 93.6), and when comparing participants with, and without obesity (91.6% (95% CI, 87.6 to 94.6) vs. 91.1% (95% CI, 88.1 to 93.5)). No notable differences in vaccine efficacy were observed when stratified by age, with participants aged between 16 and 64 who were at risk, or those with obesity, found to have similar protection levels against symptomatic disease compared with total population estimates for those who were not at risk or those without obesity. Of note, no age breakdown was provided in this study for those who were not at risk or without obesity.

While the risk of bias for the trial as a whole was considered low, a number of elements of the trial design may have biased the results towards showing a decline in vaccine efficacy over time. Participants who chose to be unblinded and discontinue the trial will have shorter follow-up than those who did not. Many countries prioritised vaccine rollout by age, resulting in older individuals being more likely to have discontinued earlier than younger patients and thus being more likely to have a shorter follow-up. Furthermore, in countries where vaccine rollout was slower, the impact of different prioritisation policies may have been amplified. Participants who remain in the trial may therefore systematically differ from the cohort as a whole which could lead to differences in vaccines efficacy over time. For example, the authors report a subgroup analysis that indicated that vaccine efficacy may differ by region with lower efficacy in Latin America; however, insufficient information is provided to ascertain if there are systematic differences in the characteristics between those who remained in the study and those who discontinued.

Thomas et al.<sup>(56)</sup> also explicitly report data in relation to the Beta variant. In South Africa, where the Beta variant was dominant, vaccine efficacy was 100% (95% CI 53.5 to 100) for symptomatic disease. No disaggregated data were presented for those with underlying conditions.

### mRNA-1273 (Moderna)

Baden et al.<sup>(45)</sup> report on a phase three, multicentre, observer-blinded placebocontrolled RCT of mRNA-1273 (Moderna) in patients aged 18 years or over with no

known history of SARS-CoV-2 infection living or working in locations or circumstances that put them at an increased risk of severe COVID-19 (n=28,207 per protocol). The median time since the final vaccination dose was nine weeks. Vaccine efficacy for symptomatic infection was estimated at 94.1% (95% CI 89.3 to 96.8). Estimates of vaccine efficacy for severe disease were lower for those aged 65 years or older compared with those aged less than 65 years, but the confidence intervals of the estimates overlap. Baden et al. undertook subgroup analysis in those at risk of severe disease. The 'at risk' category comprised those with chronic lung disease, moderate to severe asthma, significant cardiac disease, severe obesity (body mass index  $\geq$  40 kg/m<sup>2</sup>), diabetes (Type 1, Type 2 or gestational), liver disease and HIV infection. In terms of vaccine efficacy for symptomatic infection, estimates were lower for those at risk of severe disease compared with those who were not at risk, though the confidence intervals overlapped (VE 90.9% (95% CI, 74.7 to 96.7) vs. VE 95.1% (95% CI 85.2 to 96.8)). Estimates of vaccine efficacy were found to be lower in those with two or more risk factors (VE 87.2% (95% CI, -2.7 to 98.4)) compared with those with one risk factor (VE 91.7% (95% CI, 73.0 to 97.4)) which was in turn lower than the estimate for those without any risk factors (VE 95.1% (95% CI, 89.6 to 97.7)). However, the confidence intervals were very wide and overlapping and so caution is required in their interpretation. Among participants aged between 18 and 64 there did not appear to be any reduction in protection against symptomatic infection for those at risk compared with those not at risk (VE 94.4% (95% CI, 76.9 to 98.7) vs. VE 95.9% (95% CI, 90.0 to 98.3)). The trial was not powered to estimate vaccine efficacy for severe disease and no conclusions regarding this outcome can be drawn.

El Sahly et al. published an updated analysis of the mRNA-1273 (Moderna) trial originally published by Baden et al. (45) with a median follow-up of 26 weeks (IQR 24 to 28) after dose two. (47) The mRNA-1273 (Moderna) overall vaccine efficacy estimates were 98.2% (95% CI 92.8 to 99.6) and 93.2% (95% CI 90.9 to 94.8) for severe and symptomatic disease, respectively. When stratified by time since the second dose was administered, there was no evidence of waning efficacy for symptomatic disease, with vaccine efficacy of 91.8% (95% CI 86.9 to 95.1) and 92.4% (95% CI 84.3 to 96.8) for the intervals  $\geq$ 14 days and < two months and at  $\geq$  four months after dose two, respectively. Overall efficacy for symptomatic disease over the total follow-up period, did not appear to differ for healthcare providers (VE 94.4%, 95% CI 90.3 to 96.8), those in older age groups (VE 91.5%, 83.2 to 95.7) or for those with a chronic lung disease (VE 87.2%, 95% CI 63.8 to 95.5). No apparent differences in VE were observed in any of the other included underlying conditions, though small sample sizes and event rates for some conditions resulted in very wide

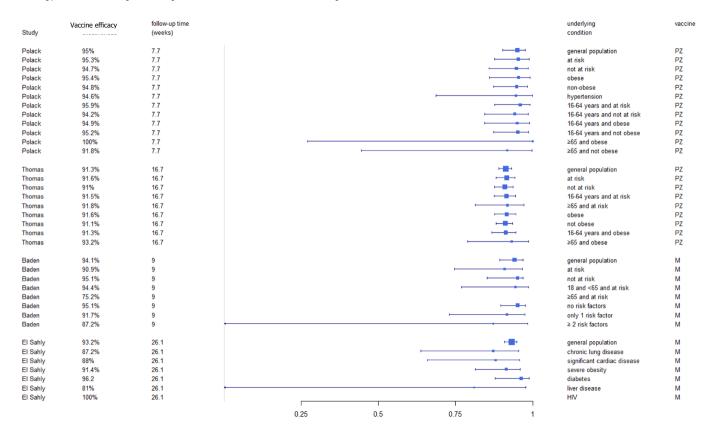
confidence intervals: significant cardiac disease (VE 88% (95% CI, 65.9 to 95.8)), severe obesity (BMI >40) (VE 91.4% (95% CI, 81.4 to 96.0)), diabetes (VE 96.2% (95% CI, 87.9 to 98.8)), liver disease (VE 81% (-64.8 to 97.8)) and HIV (VE 100% (not estimable to 100)).

# Summary of vaccine efficacy in those with underlying conditions across RCTs of mRNA vaccines

The mRNA vaccine trials (BNT162b2 (Pfizer/BioNTech) and mRNA-1273 (Moderna)), described above, examined efficacy against symptomatic SARS-CoV-2 infection for various underlying conditions and risk categories (Figure 2). In general, vaccine efficacy was high across all underlying conditions and risk categories. The BNT162b2 (Pfizer/BioNTech) report by Polack et al. (52) and the longer term follow-up by Thomas et al. (56) found broadly comparable vaccine efficacy estimates between individuals with and without underlying conditions or risk factors. However, confidence intervals in these subgroups were wide indicating substantial uncertainty. Conversely, the mRNA-1273 (Moderna) report by Baden et al. (45) found lower point estimates in those with two or more risk factors than those with either one or no risk factor. The longer term follow-up mRNA-1273 (Moderna) study by El Sahly et al. (47) found lower point estimates in those with certain conditions such as chronic lung disease, significant cardiac disease, severe obesity and HIV than that found for the general population. Importantly, for both mRNA-1273 (Moderna) reports, the confidence intervals were wide and overlapping, and so caution is required in the interpretation of these estimates.

Figure 2: Vaccine efficacy against symptomatic infection, by underlying condition/risk group, as reported in the published RCTs for BNT162b2 (Pfizer/BioNTech) and mRNA-1273 (Moderna)

Vaccine efficacy (95% CI) against symptomatic infection as reported by Polack et al. (27 Jul 2020 – 14 Nov 2020), Thomas et al. (27 Jul 2020 – 13 Mar 2021), Baden et al. (27 Jul 2020 – 21 Nov 2020), and El Sahly et al. (27 Jul 2020 – 26 Mar 2021)



Key: M - mRNA-1273(Moderna), PZ - BNT162b2 (Pfizer/BioNTech)

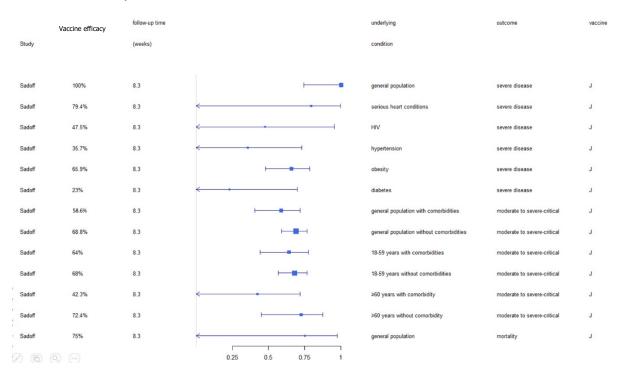
#### Ad26.COV2.S (Janssen)

Sadoff et al.<sup>(38)</sup> report on a phase three, multicentre double blind, RCT of the Janssen (Ad26.COV2.S) vaccine versus placebo in patients aged 18 years or older with no known history of SARS-CoV-2 (n=39,321 per protocol).<sup>(38)</sup> The trial was conducted in two stages. Stage A enrolled patients in good health. Stage B was initiated later and included patients with co-morbidities. The median time since vaccination was 8.3 weeks. Outcomes reported here represent those more than 28 days post vaccination. The trial was not powered to estimate vaccine efficacy for mortality and no conclusions regarding this outcome can be drawn. For the total population: vaccine efficacy for severe disease (COVID-19 related hospitalisation)

and for symptomatic infection was estimated at 100% (95% CI 74.3 to 100) and 66.5% (95% CI 55.5 to 75.1), respectively. There was also some evidence to suggest efficacy against the Beta variant. Despite the high prevalence of the Beta variant in South Africa (94.5% of sequenced cases), efficacy was 64.0% (95% CI 41.2 to 78.7) against moderate to severe-critical disease and 81.7% (95% CI 46.2 to 95.4) against severe—critical disease with onset at ≥28 days post vaccination. Vaccine efficacy for the first occurrence of moderate to severe/critical COVID-19 with onset at least 28 days after vaccination was estimated for an extensive range of conditions (Figure 3). Vaccine efficacy was lower for individuals with comorbid conditions than for those without such conditions (VE 58.6% (95% CI 40.6 to 71.6) vs. VE 68.8% (CI 59.0 to 76.6)), with greater disparity seen in those aged 60 years and older (VE 42.3% (95% CI, -13.1 to 71.6) vs. VE 72.4% (95% CI, 45.0 to 87.3)) compared to those aged 18 to 59 years (VE 64% (95%CI, 44.3 to 77.3) vs VE 68% (95% CI, 56.8 to 76.6) for those with and without a comorbidity, respectively). However, the confidence intervals are wide, and the uncertainty in the point estimate is large, thus limited conclusions can be drawn. Vaccine efficacy estimates for each individual comorbidity were as follows: serious heart conditions (VE 79.4% (95% CI, -83.7 to 99.6), HIV (VE 47.5% (95% CI -266 to 95.3), hypertension (VE 35.7% (-45.6 to 72.8), obesity (VE 65.9% (47.8 to 78.3), type 2 diabetes mellitus (VE 23.0% (-90.1 to 69.8). Given the limited number of events, vaccine efficacy was not estimable specifically for those with asthma, cancer, chronic kidney disease, COPD, immunocompromised from blood transplants, liver disease or those with neurological conditions.

Figure 3: Vaccine efficacy against moderate/severe/critical disease or mortality, by underlying condition, as reported in the published RCT of Ad26.COV2 (Janssen)

Vaccine efficacy (95% CI) against severe disease or mortality as reported by Sadoff et al. (21 Sep 2020 – 5 Feb 2021)



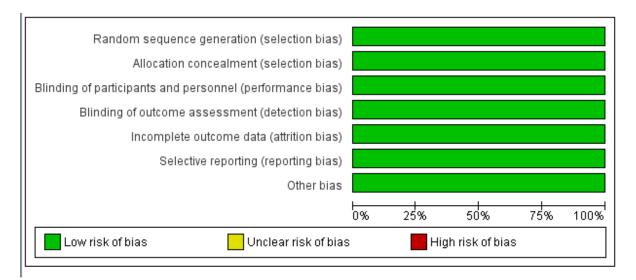
Key: J - Ad26.COV2.S (Janssen)

Sadoff et al.<sup>(38)</sup> also analysed efficacy over time. The authors report no evidence of waning efficacy among the approximately 30,000 participants who were followed for 11 weeks or among 1,000 participants who were followed for 15 weeks for the moderate to severe-critical COVID-19 endpoint (VE 66.1 (95% CI 55.0 to 74.8)). However, there were little data to inform the analysis after eight weeks and confidence intervals beyond this time are very wide.<sup>(38)</sup> The onset of efficacy was evident as of 14 days after administration for moderate to severe–critical disease and as of seven days after administration for severe–critical disease. Efficacy continued to increase through approximately eight weeks after administration, especially for severe–critical COVID-19. Vaccine efficacy against moderate to severe–critical cases in participants greater than 60 years of age, with and without comorbidities, had limited follow-up beyond 56 days, and is reflected in CIs around estimated vaccine efficacy beyond that time point.<sup>(38)</sup>

#### Risk of bias of randomised controlled trials

The risk of bias assessment of the RCTs included in this evidence summary is presented in Figure 4. Collectively, the three studies were considered at low risk of bias across all the domains examined. (38, 45, 47, 52, 56)

Figure 4: Risk of bias summary across RCTs



#### **Vaccine effectiveness**

#### **Characteristics of included studies**

Of the 11 observational studies included, six were cohort studies, (35, 46, 48, 50, 53, 54) and five were case-control studies, (41, 44, 49, 51, 55) of which three were a test-negative case-control design (Appendix C). (41, 44, 51) Seven studies examined vaccine effectiveness in the general population, (35, 41, 44, 48, 50, 53, 54) two studies exclusively enrolled healthcare and other frontline workers, (51, 55) and two studies were conducted exclusively in populations with underlying conditions. (46, 59) All 11 studies presented information on individuals with underlying conditions either as subgroups of a larger population, (35, 38, 41, 44, 45, 47, 48, 50-56) or as the sole focus of the study. (46, 49)

Table 4. Summary of primary outcomes (vaccine effectiveness against COVID-19 related severe disease and mortality) and secondary outcomes (vaccine effectiveness against any or symptomatic SAR-CoV-2 infection) for included studies

Author, Country Study Design					Primary outcomes: overall follow up VE % (95% CI)		Secondary Outcomes: overall follow-up VE % (95% CI)		
Andrews <sup>(44)</sup> , England Test-negative case-control design	BNT162b2 (Pfizer/ BioNTech)	N: 4,774,735 individuals - Of these, AstraZeneca: 38.7% Pfizer: 31.7% Moderna: 2.4%	NR	Severe Disease  Delta hospitalisation (VE):  Week 1: 99.7 (97.6 to 100) 2-9 weeks: 98.4 (97.9 to 98.8) 10-14 weeks: 96.5 (95.9 to 97.1) 15-19 weeks: 94.4 (93.4 to 95.2) 20+ weeks: 92.7 (90.3 to 94.6)  Subgroups: 1. 65+years clinically extremely vulnerable (CEV)  Week1: 100 (0 case, 139 con)  2-9 weeks: 94.6 (80.6 to 98.5)  10-14 weeks: 91.7 (84.1 to 95.7)	Mortality  Delta mortality  2-9 weeks:  98.2 (95.9 to 99.2)  10 to 14 weeks:  95.2 (93.0 to 96.7)  15 to 19 weeks:  93.9 (91.1 to 95.8)  20+ weeks:  90.4 (85.1 to 93.8)		Symptomatic infection  Delta symptomatic infection (VE)  Week 1: 92.4 (92.1 to 92.7)  2 to 9 weeks: 89.8 (89.6 to 90.0) 10 to 14 weeks: 80.3 (79.9 to 80.6) 15 to 19 weeks: 73.4 (72.9 to 73.9) 20+ weeks: 69.7 (68.7 to 70.5)	Good	

Author, Country Study Design	Exposure#	Sample Size	Time since final vaccination	Primary outcomes: overall follow up CCINATION  VE % (95% CI)		Secondary Outcomes: VE % (	Quality appraisal	
				Severe Disease	Mortality	Any infection	Symptomatic infection	
	ChAdOx1 (AstraZeneca)			15-19 weeks: 83.4 (70.6 to 90.7)  20+ weeks: 71.4 (40.9 to 86.1)  2. 40-64 years CEV/ clinical risk  Week 1: 100 (0 case, 992 con)  2-9 weeks: 98.1 (97 to 98.8)  10-14 weeks: 96.8 (95.6 to 97.8)  15-19 weeks: 95.4 (92.6 to 97.2)  Delta hospitalisation (VE):  Week 1 93.9 (91.3 to 95.7)  2 to 9 weeks 95.2 94.6 to 95.6)  10 to 14 weeks 91.4 (90.5 to 92.2)  15 to 19 weeks 86.8	Delta mortality  2 to 9 weeks 94.1	Any infection	Delta symptomatic infection Week 1 62.7 (61.7 to 63.8) 2 to 9 weeks 66.7 (66.3 to 67.0) 10 to 14 weeks 59.3 (58.8 to 59.9) 15 to 19 weeks 52.6 (51.7 to 53.5)	
				(85.1 to 88.4)			20+ weeks 47.3 (45.0 to 49.6)	

Author, Country Study Design	Exposure#	Sample Size	Time since final vaccination	Primary outcomes: VE % (9	overall follow up 5% CI)	Secondary Outcom VE	es: overall follow-up % (95% CI)	Quality appraisal
				Severe Disease	Mortality	Any infection	Symptomatic infection	
				20+ weeks 77.0 (70.3 to 82.3)				
				Subgroups: 1. 65+years CEV				
				Week1: N too small				
				2-9 weeks: 79.3 (59.2 to 89.5)				
				10-14 weeks: 78.6 (63.1 to 87.6)				
				15-19 weeks: 75.1 (56.3 to 85.8)				
				20+ weeks: 59.4 (14.1 to 80.8)				
				2. 40-64 years CEV/ clinical risk Week1: 94.3 (86.1 to 97.7)				
				2-9 weeks: 93.7 (92.3 to 94.8)				
				10-14 weeks: 90.2 (88.2 to 91.9)				
				15-19 weeks:				

Author, Country Study Design	Exposure#	Exposure# Sample Size		Primary outcomes: VE % (9		Secondary Outcomes: 0 VE % (9	overall follow-up 95% CI)	Quality appraisal
				Severe Disease	Mortality	Any infection	Symptomatic infection	
	mRNA-1273 (Moderna)			86.6 (82.2 to 89.9)  20+ weeks: 69.7 (29.7 to 86.9)  Delta hospitalisation:  Week 1 97.5 (82.3 to 99.7)  2-9 weeks 100 (0 cases, 6,363	<u>NR</u>	<u>NR</u>	Delta symptomatic infection Week 1 95.2 (94.4 to 95.9) 2-9 weeks 94.5 (94.1 to 95.0)	
Chemaitelly, <sup>(46)</sup> Preprint Qatar Retrospective cohort study with crossover	BNT162b2 (Pfizer/ BioNTech): 93% mRNA-1273 (Moderna): 7%	<b>N:</b> 782	Mean 10.5 weeks (max = 24 weeks)	controls)  ≥14 days VE: 72.3 (0.0 to 90.9). ≥42 days VE: 85.0 (35.7 to 96.5) ≥56 days: VE: 83.8 (31.3 to 96.2)	NR	VE:  ≥14 days 46.6 (0.0 to 73.7)  42 days 66.0 (21.3 to 85.3)  ≥56 days 73.9 (33.0 to 89.9)	10-14 weeks 90.3 (67.2 to 97.1) NR	Fair
Liu, <sup>(48)</sup> Pre-print  US  Retrospective cohort study with crossover	Exposure: BNT162b2 (67.5%), mRNA-1273 (32.5%)  Comparator: No vaccination	N: Vax positive 198 Vax negative 14,164 Pre-vax positive (Positive PCR test before vaccination period) 6,462	Mean: 14.4 weeks	NR	NR	Comparing Vax cohort to a matched Pre-Vax cohort before 11 Dec 2020 N (pre-vax/vax) 14,362/14,362 OR 0.115 (0.099-0.134) aOR 0.116 (0.0998- 0.135) VE 88.4% (86.5 to 90)	NR	Fair

Author, Country Study Design	Exposure#	Exposure# Sample Size	Time since final vaccination		es: overall follow up (95% CI)	Secondary Outcomes: VE % (9	overall follow-up 95% CI)	Quality appraisal	
			<u>'</u>	Severe Disease	Mortality	Any infection	Symptomatic infection		
		Pre-vax negative				Comparing Vax cohort to			
		(negative PCR				a matched Pre-Vax			
		test and without				cohort after 18 Jan 2021			
		any evidence of				N (pre-vax/vax)			
		SARS-CoV-2				14,362/14,362			
		before the				IRR 0.42 (0.36-0.49)			
		vaccination				aIRR 0.41 (0.35 -0.48)			
		period)							
		55,580				Subgroups:			
		Un-Vax positive				Comparing Vax cohort to			
		(positive PCR test				a matched pre-vax			
		after entry date				cohort before 11 Dec			
		and before				2020.			
		administration of				Immunocompromised:			
		first vaccine				Prevalence (pre-vax/vax)			
		dose, with no				642/90			
		evidence of				OR 0.127 (0.101-0.159)			
		SARS-CoV-2				aOR 0.129 (0.103-0.162)			
		infection before				VE 87.1% (83.8 to 89.7)			
		entry date)							
		3,902				Not			
		Un-vax negative				immunocompromised:			
		(negative PCVR				Prevalence (pre-vax/vax)			
		test after entry				914/108 OR 0.107 (0.087-0.131)			
		date and before administration of				aOR 0.106 (0.086-0.129)			
		first vaccine dose, with no				VE 89.4% (87.1 to 91.4)			
		evidence if SARS-				Comparing Vax cohort to			
		CoV-2 infection				a matched un-vax cohort			
		before entry				after 18 Jan 2021.			
		date)				arter 10 Jan 2021.			
		33,850				Immunocompromised:			

Author, Country Study Design	Exposure#	Sample Size	Time since final vaccination	Primary outcomes: overall follow up VE % (95% CI)		Secondary Outcomes: overall follow-up VE % (95% CI)		Quality appraisal
				Severe Disease	Mortality	Any infection	Symptomatic infection	
		Subgroups:  Is immune compromised: Pre-vax 5,287 Vax 5,223  Not immune compromised: Pre-vax 9,075 Vax 9,139		Severe Disease	Mortality	Incident rate/1,000 person-days (unvax/vax) 0.41/0.19 aIRR 1.49 (1.1-2).  Incident Rate Ratio (95%CI) 0.47 (0.37-0.59) aIRR 0.43 (0.34-0.55)  Not immunocompromised: Incident rate/1000 person-days (unvax/vax) 0.36/0.14  Incident Rate Ratio (95%CI) 0.38 (0.31-0.47) aIRR 0.38 (0.31-0.47) aIRR 0.38 (0.31-0.46)  Risk factors associated with breakthrough case rate compared to not immunocompromised group: Immunocompromised: aIRR 1.48 (1.09-2) Active tumour: aIRR 1.56 (1.1-2.2) CKD: aIRR 1.33 (9.86-2.06) HIV:		

Author, Country Study Design	Exposure#	Sample Size	Time since final vaccination	Primary outcomes: VE % (9		Secondary Outcomes: VE % (	overall follow-up 95% CI)	Quality appraisal
				Severe Disease	Mortality	Any infection aIRR 1.25 (0.63-2.47) On immunosuppressant therapy: aIRR 1.45 (1.03-2.04) Primary immunodeficiency: aIRR 2.53 (1.4-4.58) Organ transplant: aIRR 1.99 (0.98-3.71)	Symptomatic infection	
McKeigue, <sup>(49)</sup> Preprint  Scotland  Case-control	Vaccination with AstraZeneca or mRNA vaccine (Pfizer or Moderna).	N:* 223,742 (53,264 fully vaccinated)	There is at least a median of 9.57 weeks. [IQR = 6 - 12.7 weeks. Max 26 weeks], with an additional 2.3 weeks follow up in the updated report	Severe Disease** No risk condition RR# 0.06 (0.04 to 0.07) VE 94% (93% to 96%) Moderate risk condition RR 0.11 (0.09 to 0.14) VE= 89% (86% to 91%) Condition eligible for shielding RR 0.27 (0.21 to 0.36) VE = 73% (64% to 79%)	Hospitalisation or mortality*** No risk condition RR# 0.14 (0.12 to 0.15) VE = 86% (85 to 88) Moderate risk condition RR 0.17 (0.15 to 0.18) VE = 83% (82 to 85) Condition eligible for shielding RR 0.32 (0.29 to 0.37) VE = 68% (63% to 71%)	NR	NR	Good
	ChAdOx1 (AstraZeneca)			RR for severe disease: No risk condition RR# 0.07 (0.05, 0.09)	RR for hospitalisation or mortality: No risk condition RR# 0.19 (0.17 to 0.2))			

Author, Country Study Design	Exposure#	xposure# Sample Size		Primary outcomes: VE % (95		Secondary Outcomes: 0 VE % (9		Quality appraisal
				Severe Disease	Mortality	Any infection	Symptomatic infection	
				Moderate risk condition RR 0.13 (0.10, 0.17) Condition eligible for shielding RR 0.28 (0.21, 0.37) VE = (72% (63% to 79%))	Moderate risk condition RR 0.22 (0.2 to 0.25) Condition eligible for shielding RR 0.38 (0.33, 0.43)			
	BNT162b2 (Pfizer/ BioNTech) Or mRNA-1273 (Moderna)			RR for severe disease: No risk condition RR# 0.04 (0.03, 0.06) Moderate risk condition RR 0.07 (0.05, 0.09) Condition eligible for shielding RR 0.27 (0.17, 0.371) VE= 73% (59% to 83%)).	RR for hospitalisation or mortality: No risk condition RR# 0.08 (0.07, 0.09) Moderate risk condition RR 0.09 (0.08, 0.11) Condition eligible for shielding RR 0.23 (0.19, 0.29)			
	Vaccination with AstraZeneca or mRNA vaccine (Pfizer or Moderna) and underlying condition (Solid organ transplant)			RR for severe disease 0.6 (0.24 to 1.51) + VE 40% (-51% to 76%)	RR for hospitalisation or mortality 0.6 (0.38 to 0.95) ~ VE 40% (0.05 to 0.62)			
Nordstrom <sup>(50)</sup> Pre-print	:	N:	Symptomati c Infection	>14 days after second	/final dose	NR	Overall population 15-30 days	Fair

Author, Country Study Design	Exposure#	Sample Size	Time since final vaccination	Primary outcomes: overall follow up VE % (95% CI)	Secondary Outcomes: overall follow-up VE % (95% CI)	Quality appraisal
			·	Severe Disease Mortality	Any infection Symptomatic infection	
Sweden Retrospective Cohort Study	ChAdOx1 (AstraZeneca) mRNA-1273 (Moderna) BNT162b2 (Pfizer/BioNT ech)  Comparator /Control: Unvaccinated	Vaccinated – 842,974 Unvaccinated – 842,974 ChAdOx1 – 76,597 mRNA-1273 – 76,880 BNT162b2 – 637,107 BNT162b2/mRN A – 51,766 Co-morbidities/Special Populations: N (%) Myocardial infarction Vaccinated – 21,885 (2.6) Unvaccinated – 18,350 (2.2) Stroke Vaccinated – 29,493 (3.5) Unvaccinated – 16,808 (2.0)	Mean 16.6 weeks) (Range: 2.1 to 40)  Hospitalisati ons and mortality Mean =16.1 weeks (Range 2.1 to 39.1 weeks) )	Overall population  Hospitalisation or death Vaccinated (277) vs unvaccinated (825)  Day 15 to 30  VE = 89% (83 to 93, P<0.001)  Day 121 to 180  VE = 74% (47 to 87, P<0.001)  Day 180+  VE = 42%; (-35 to 75, P=0.21)  Second matched cohort: Underlying conditions Hospitalisation or death Day 15-30  VE 89 (85-92)  Day 31-60  VE 88 (85-90)  Day 61-120  VE 88 (86-90)  Day 121-180  VE 88 (82-92)  Day >180  VE 62 (34-78)	VE = 92 (91 to 93) 31-60 days VE = 89 (88 to 89) 61 -120 days VE = 82 (81 to 83) 121-180 days VE = 48 (41 to 54) 181 - 210 days VE = 32 (19 to 44) >210 days VE = 23 (-2 to 41)  Underlying conditions over time Day 15-30 VE = 86 (84 to 89)  Day 31-60 VE = 85 (83 to 86)  Day 61-120 VE = 79 (77 to 80)  Day 121-180 VE = 55 (42 to 65)  Day >180 VE = 15 (-17 to 38)  Second matched cohord Underlying conditions over time Day 15-30	t:

ithor, Country Exposure# udy Design	osure# Sample Size	Time since final vaccination		es: overall follow up (95% CI)	Secondary Outcomes: overall follow-up VE % (95% CI)		Quality appraisal
			Severe Disease	Mortality	Any infection	Symptomatic infection	
	Diabetes Vaccinated - 91,203 (10.6 Unvaccinated 62,198 (7.4)  Hypertension Vaccinated - 262,659 (31 Unvaccinated 207,862 (24  Kidney failur Vaccinated - 20,027 (2.4) Unvaccinated 10,317 (1.2)  COPD Vaccinated - 17,257 (2.0) Unvaccinated 13,353 (1.6)  Asthma Vaccinated - 50,341 (6.0)	5) d2) d7) re0 d1	Severe Disease	Mortality	Any infection	Symptomatic infection  VE = 86 (84 to 87)  Day 31-60  VE = 80 (80 to 83)  Day 61-120  VE = 74 (72 to 75)  Day 121-180  VE = 60 (54 to 66)  Day 180-210  VE = 41 (24 to 55)  Day >210  VE = 1 (-147 to 33)	

Author, Country Study Design			Time since final vaccination	Primary outcomes: VE % (9		Secondary Outcomes: VE % (	overall follow-up 95% CI)	Quality appraisal
				Severe Disease	Mortality	Any infection	Symptomatic infection	
		Cancer Vaccinated – 48,512 (5.8) Unvaccinated – 37,092 (4.4) Included a second matched cohort (less strict matching criteria) N Vaccinated – 1,983,315 Unvaccinated - 1,983,315						
Pilishvili, <sup>(51)</sup> Peer-reviewed US Test negative case-control	BNT162b2 (Pfizer/ BioNTech) (Cases: 78%, Controls 79%) mRNA-1273 (Moderna) (Cases: 21%, Controls 20%)	N: Cases – 1,482 Controls – 3,449	Median – 5.98 weeks (range 1 to 23.5 weeks)	Hospitalisation in cases Completely vaccinated: 4 (2%) Partially vaccinated: 1 (1%) Unvaccinated: 21 (3%)	NR	NR	Any COVID vaccine VE: 90.4 (87.0 to 92.9) BNT162b2 VE: 88.8 (84.6 to 91.8) mRNA-1273 VE: 96.3 (91.2 to 98.4) Subgroups: <50 years	Good

Author, Country Study Design	ry final		Time since final vaccination	Primary outcome VE %	es: overall follow up (95% CI)	Secondary Outcor VE	Quality appraisal	
				Severe Disease	Mortality	Any infection	Symptomatic infection	
							VE: 90.3% (86.5% to 93.0%)	
							≥50 years	
							VE: 90.7% (84.2% to 94.6%)	
							<u>Asthma</u>	
							VE: 90.5% (81.9% to 95.0%)	
							<u>Obesity</u>	
							VE: 92.1% (87.6% to 95.0%)	
							Obesity or overweight	
							VE: 91.0% (87.0% to 93.7%)	
							<u>Hypertension</u>	
							VE: 91.8% (83.9% to 95.8%)	
							<u>Diabetes</u>	
							VE: 80.2% (45.8% to 92.7%)	
							Pregnancy (assessed for partial and complete vaccination) <sup>©</sup>	
							VE: 77.1% (32.2% to 92.2%)	

Author, Country Study Design	Exposure#	Sample Size	Time since final vaccination	Primary outcomes: overall follow up VE % (95% CI)		Secondary Outcomes: 6	Quality appraisal	
				Severe Disease	Mortality	Any infection	Symptomatic infection  Any immunocompromising condition, (assessed for partial and complete vaccination)  VE: 39.1% (-45.0% to 74.4%)	
Polinski, (35) Preprint US Matched cohort study with crossover	Ad26.COV2.S (Janssen)	N: 390,517 vaccinated 1,524,153 matched with no record of vaccination	Mean 15.4 weeks Maximum 152 days = 21.7 weeks	VE: 73 (69 to 76) Subgroup: Immunocompromise d VE = 54% (35 to 67)	NR	VE: 69 (67 to 71) Subgroup: Immunocompromised VE = 52% (42% to 60%)	NR	Poor
Pouwels, (53) Peer-reviewed UK National longitudinal survey from UK National statistics agency.	BNT162b2 (Pfizer/ BioNTech)	N: 743,526 individuals 384,543 (alpha dominant phase) 358,983 (delta dominant phase)	Median (IQR) weeks 8.43 (5 to 12.29)	NR	NR	Overall population BNT162b2 Alpha: 78% (68 to 84) Delta: 80% (77 to 83)  ChAdOx1 Alpha: 79% (56 to 90%) Delta: 67% (62 to 71%)  Long term health condition BNT162b2 (Delta): 81% (69-89%) ChAdOx1 (Delta): 58% (39 to 71%)	Overall population BNT162b2 Alpha: VE: 97 (96 to 98) Delta: VE: 84 (82 to 86)  ChAdOx1 Alpha: 97 (93 to 98) Delta: 71% (66 to 74)  Long term health condition BNT162b2 (Delta) VE: 92 (84 to 96) ChAdOx1 (Delta) VE: 64% (44 to 77)	Good

Author, Country Study Design	Exposure#	Sample Size	Time since final vaccination	Primary outcomes: overall follow to VE % (95% CI)		Secondary Outcomes: 0 VE % (9		Quality appraisal
				Severe Disease	Mortality	Any infection	Symptomatic infection	
Saciuk, <sup>(54)</sup> preprint preprint Israel Retrospective cohort study (crossover)	BNT162b2 (Pfizer/BioNTec h)	<b>N:</b> 1,650,885	Median: 10.1 weeks 14 weeks (maximum)	VE: 93.4 (91.9 to 94.7) Subgroups: Crude VE - Hypertension: 95. 3 (93.5, 96.7) - Diabetes: 95.1 (93.7, 96.2) - Obesity: 97.6 (96.2, 98.4)	VE: 91.1 (87 to 94) Subgroups: Crude VE against Mortality - Hypertension: 91.7 (85.9, 95.1) - Diabetes: 91.7 (87.1, 94.6) - Obesity: 83.3 (14.1, 96.8)	VE: 93% (92.6 to 93.4) Subgroup: Crude VE against any COVID-19 Infection Hypertension: 94 (93.2, 94.7) Diabetes: 94.5 (93.9, 95.0) Obesity: 96.5 (96.2, 96.9) Adjusted VE against any COVID-19 infection: Hypertension: 89.7 (88.6,91.7) Diabetes: 88.9 (87.3-90.2) Obesity: 89.7 (88.6-90.7)	NR	Fair
Tenforde, <sup>(55)</sup> Published report (CDC) US Case-control	BNT162b2 (Pfizer/ BioNTech) 59% MRNA-1273 (Moderna) 41%	N: Cases: 1,194 Controls: 1,895 Immunocompromising condition: Cases 205 (21% of the overall study population) Controls 447	Median 9.3 weeks, (IQR 5.84 to 13.25 weeks)	VE: 86 (82 to 88) Subgroups: Hospitalisation: Those with immunocompromisin g condition: 63 (44 to 76) Those without immunocompromisin g conditions: 90 (87% to 92%)  VE for immunocompromised patients at: - 2-12 weeks = 64.3 (48.5-79.6)	NR	NR	NR	Fair

Author, Country Study Design	Exposure#	Sample Size	Time since final vaccination	Primary outcomes: overall follow up VE % (95% CI)		Secondary Outcomes: 0 VE % (9	Quality appraisal	
				Severe Disease	Mortality	Any infection	Symptomatic infection	
				-13-24 weeks = 53.6 (12.8 to 77.8)				
Thompson <sup>(41)</sup>	BNT162b2 (Pfizer/BioNTec	Hospitalisations: BNT162b2	Hospitalisatio n – Median -	Hospitalisation:	NR	Infection leading to emergency department	NR	Good
Peer-reviewed US	h) mRNA-1273 (Moderna)	(Pfizer/BioNtech) 8,500	53 IQR (33 to 75) 7.6 weeks	BNT162b2 vaccine 87 (85 to 90)		or urgent care clinic visit: ≥50 years of age with ≥		
Test negative case-control study	Ad26.Cov2.S (Janssen)	mRNA-1273 (Moderna) 6,374	(4.7-10.7) ICU admission	mRNA1273 vaccine 91 (89 to 93)		1 chronic respiratory condition 90 (86 to 93)		
		Ad26.COV2.S (Janssen)	<ul><li>– Median</li><li>7.4 weeks</li><li>(4.9-10.4)</li></ul>	Ad26.COV2.S vaccine 68 (50 to 79)		≥50 years of age with ≥ 1 chronic non-respiratory		
		707 Unvaccinated	ED/ Urgent Care –	ICU admissions: BNT162b2 or		condition 90 (87 to 92)		
		20,406	Median 7.1 weeks (4.4-	mRNA1273 vaccine 90 (86 to 93)				
		Subgroups: Hospitalisation: ≥50 years of age	10.4)	ED or urgent care visit:				
		with ≥ 1 chronic respiratory condition		BNT162b2 vaccine 89 (85 to 91)				
		Fully vaccinated 10,257		mRNA1273 vaccine 92 (89 to 94)				
		10,237		Ad26.COV2.S vaccine				

Exposure#	Sample Size	Time since final vaccination	Primary outcomes: VE % (9	Primary outcomes: overall follow up VE % (95% CI)		Secondary Outcomes: overall follow-up VE % (95% CI)		
		<u>'</u>	Severe Disease	Mortality	Any infection	Symptomatic infection		
	Unvaccinated 13,018  ≥50 years of age with ≥ 1 chronic non-respiratory condition  Fully vaccinated 13,999  Unvaccinated 18,089  ED or urgent care clinic visit: ≥50 years of age with ≥ 1 chronic respiratory condition  Fully vaccinated 2,206  Unvaccinated 3,832  ≥50 years of age with ≥ 1 chronic non-respiratory condition		Severe Disease  73 (59 to 82)  Hospitalisation ≥50 years of age with ≥ 1 chronic respiratory condition 90 (88-92) ≥50 years of age with ≥ 1 chronic non-respiratory condition 88 (86-90)	Mortality	Any infection	Symptomatic infection		
	Fully vaccinated							
	Exposure#	Unvaccinated 13,018  ≥50 years of age with ≥ 1 chronic non-respiratory condition  Fully vaccinated 13,999  Unvaccinated 18,089  ED or urgent care clinic visit: ≥50 years of age with ≥ 1 chronic respiratory condition  Fully vaccinated 2,206  Unvaccinated 3,832  ≥50 years of age with ≥ 1 chronic non-respiratory condition	Unvaccinated 13,018  ≥50 years of age with ≥ 1 chronic non-respiratory condition  Fully vaccinated 13,999  Unvaccinated 18,089  ED or urgent care clinic visit: ≥50 years of age with ≥ 1 chronic respiratory condition  Fully vaccinated 2,206  Unvaccinated 3,832  ≥50 years of age with ≥ 1 chronic respiratory condition	Sample Size   Final vaccination   Primary outcomes: VE % (9)	Sample Size   final vaccination   Primary outcomes: overall follow up VE % (95% CI)	Sample Size   Final vaccination   Primary outcomes: overall follow up   Secondary Outcome	Exposure*   Sample Size   Final vaccination   Primary outcomes; overall follow up VE % (95% CI)   Secondary Outcomes; overall follow-up VE % (95% CI)	

Author, Country Study Design	Exposure#	Sample Size	Time since final vaccination	Primary outcomes: overall follow up VE % (95% CI)		Secondary Outcomes: o VE % (9	Quality appraisal	
				Severe Disease	Mortality	Any infection	Symptomatic infection	
		3,947 Unvaccinated 6,483						

All effectiveness results are  $\geq$  7 days or  $\geq$  14 days (except where stated) after the final dose depending on when the individual was defined as being fully vaccinated.

**Key**: CDC - Centers for Disease Control and Prevention, CEV – Clinically Extremely Vulnerable, CI – confidence interval, ED – Emergency Department, HCW – healthcare worker, IQR - inter-quartile range, LTC – long-term care, sd – standard deviation, NR - not reported, US – United States, Vax – vaccinated, VE – vaccine effectiveness

**Table 5 Summary of change in vaccine effectiveness over time for included studies** 

Author, Country Study Design	Exposure#	Sample Size	Time since final Vaccination	Change in vaccine effectiveness over time VE (95% CI)
Andrews, (44) Preprint England Test- negative case-control design	BNT162b2 (Pfizer/BioNTech)	N: 4,774,735 individuals - Of these, AstraZeneca: 38.7% Pfizer: 31.7% Moderna: 2.4%	Up to 20+ weeks	VE against mortality by weeks after second dose (Delta) 2-9 weeks: 98.2% (95.9 to 99.2) 10 to 14 weeks: 95.2% (93.0 to 96.7) 15 to 19 weeks: 93.9% (91.1 to 95.8) 20+ weeks: 90.4% (85.1 to 93.8)  VE against hospitalisation by weeks after second dose (Delta): 2-9 weeks: 98.4% (97.9 to 98.8) 10-14 weeks: 96.5% (95.9 to 97.1) 15-19 weeks: 94.4% (93.4 to 95.2) 20+ weeks: 92.7% (90.3 to 94.6)  VE against symptomatic infection by weeks after second dose (Delta) week 1: 92.4 (92.1 to 92.7) 2 to 9 weeks: 89.8 (89.6 to 90.0) 10 to 14 weeks: 80.3 (79.9 to 80.6) 15 to 19 weeks: 73.4 (72.9 to 73.9) 20+ weeks: 69.7 (68.7 to 70.5)  Subgroups: VE against hospitalisation by weeks after second dose (Delta):  Age 65+ years and clinically extremely vulnerable (CEV) 1 week: 100% (0 to 139) 2-9 weeks: 94.6% (80.6 to 98.5) 10-14 weeks: 91.7% (84.1 to 95.7) 15-19 weeks: 71.4% (40.9 to 86.1)
				Age 65+ years and Not CEV

Author, Country Study Design	Exposure#	Sample Size	Time since final Vaccination	Change in vaccine effectiveness over time VE (95% CI)
				1 week:_100 (0 case, 769 con) 2-9 weeks: 98.3 (96.2 to 99.3) 10-14 weeks: 96.2 (94.7 to 97.3) 15-19 weeks: 94.6 (92.7 to 96.1) 20+ weeks: 94.6 (90.5 to 97.0)  Age 40-64 years and in clinical risk/CEV group 1 week: 100% (0 to 992) 2-9 weeks: 98.1% (97 to 98.8) 10-14 weeks: 96.8% (95.6 to 97.8) 15-19 weeks: 95.5% (92.6 to 97.2)  Age 40-64 years and Not in clinical risk/CEV group 1 week: 100 (0 case, 1695 con) 2-9 weeks: 98.7 (97.1 to 99.4) 10-14 weeks: 98.4 (96.4 to 99.3)
	ChAdOx1 (AstraZeneca)		Up to 20+ weeks	15-19 weeks: 97.6 (92.6 to 99.2)  Overall population  VE against mortality by weeks after second dose (Delta)  2 to 9 weeks: 94.1 (95% CI 91.8 to 95.8)  10 to 14 weeks: 92.4 (95% CI 89.7 to 94.4)  15 to 19 weeks: 89.1 (95% CI 84.2 to 92.5)  20+ weeks: 78.7 (95% CI 52.7 to 90.4)  VE against hospitalisation by weeks after second dose (Delta):  week 1: 93.9 (91.3 to 95.7)  2 to 9 weeks: 95.2 (94.6 to 95.6)  10 to 14 weeks: 91.4 (90.5 to 92.2)  15 to 19 weeks: 86.8 (85.1 to 88.4)  20+ weeks: 77.0 (70.3 to 82.3)  VE against symptomatic infection by weeks after second dose (Delta)

Author, Country Study Design	Exposure#	Sample Size	Time since final Vaccination	Change in vaccine effectiveness over time VE (95% CI)
Design				2 to 9 weeks: 66.7 (66.3 to 67.0) 10 to 14 weeks: 59.3 (58.8 to 59.9) 15 to 19 weeks: 52.6 (51.7 to 53.5) 20+ weeks: 47.3 (45.0 to 49.6)  Subgroups: VE against hospitalisation by weeks after second dose (Delta): Age 65+ years and CEV 1 week: N to small 2-9 weeks: 79.3% (59.2 to 89.5) 10-14 weeks: 78.6% (63.1 to 87.6) 15-19 weeks: 75.1% (56.3 to 85.8)
				20+ weeks: 59.4% (14.1 to 80.8)  Age 65+ years and not in clinical risk/CEV group  1 week: 92.5 (43.4 to 99.0)  2-9 weeks: 93.7 (91.0 to 95.6)  10-14 weeks: 91.7 (89.3 to 93.6)  15-19 weeks: 86.5 (82.5 to 89.7)  20+ weeks: 78.4 (65.7 to 86.4)
				40-64 years and in clinical risk/CEV group Week1: 94.3 (86.1 to 97.7) 2-9weeks: 93.7 (92.3 to 94.8) 10-14weeks: 90.2 (88.2 to 91.9) 15-19weeks: 86.6 (82.2 to 89.9) 20+ weeks: 69.7 (29.7 to 86.9)
				40-64 years and not in clinical risk/CEV group  1 week: 95.3 (92.5 to 97.0)  2-9 weeks: 97.4 (96.9 to 97.8)  10-14 weeks: 94.5 (93.1 to 95.6)  15-19 weeks: 93.0 (87.5 to 96.1)

Author, Country Study Design	Exposure#	Sample Size	Time since final Vaccination	Change in vaccine effectiveness over time VE (95% CI)
Chemaitelly, (46) preprint Qatar, Retrospective cohort study with crossover	mRNA-1273 (Moderna)  BNT162b2 (Pfizer/BioNTech): 93% MRNA-1273 (Moderna): 7%	<b>N:</b> 782	Mean 10.5 weeks (max = 24 weeks)	VE against hospitalisation by weeks after second dose (Delta):  Overall population  Week 1: 97.5 (82.3 to 99.7)  2-9week: 100.0 (0 cases, 6363 con)  10- 14 weeks: NR  VE against symptomatic infection by weeks after second dose (Delta)  Week 1: 95.2 (94.4 to 95.9)  2-9 weeks: 94.5 (94.1 to 95.0)  10-14 weeks: 90.3 (67.2 to 97.1)  Kidney transplant recipients  VE against any severe critical or fatal disease:  Days after the second dose:  ≥14 days VE 72.3% (95% CI: 0.0 to 90.9%).  ≥42 days VE 85.0% (95% CI: 35.7 to 96.5%)  ≥56 days:  VE 83.8% (95% CI: 31.3 to 96.2%)
Liu, (48) preprint US, Retrospectiv e cohort study (with matching for some analyses)	BNT162b2 (67.5%), mRNA-1273 (32.5%)	6 cohorts (some individuals are in multiple cohorts at different times) 1). "Vax positive" (N = 198) 2). "Vax negative" (N = 14,164)	Mean: 14.4 weeks	Change of Incidence rate from time to fully vaccination:    Pfizer/BNT162b2

Author, Country Study Design	Exposure#	Sample Size	Time since final Vaccination	Change in v VE (95% C		ectiveness	over time				
with crossover		3). "Pre-Vax positive" (N =		180-210 days	16,811	24	1.428		ncidence rate / 1,000 person-days		
		6,462) 4). "Pre-Vax		150-180 days	34,847	16	0.459	inte	re calculated for each time erval relative to the fully		
		negative" (N = 55,580)		120-150 days	66,486	27	0.406	— vac	ccinated date		
		5). "Un-Vax positive" (N =		90-120 days	105,697	15	0.142				
		3,902) 6). "Un-Vax negative" (N =		60-90 days	150,864	16	0.106				
		33,850)		30-60 days	203,392	26	0.128				
				0-30 days	259,596	26	0.100				
				150-180 da	ays	16,525		6	0.363		
					120-150 da	<u>'</u>	32,243		7	0.217	
				90-120 day		52,162		5	0.096		
				60-90 days		74,806		5	0.067		
				30-60 days	S	100,706 126,977		5 8	0.050		
Nordstrom <sup>(5</sup>	Intervention/Expos	N:	Time since	VE against	hosnitalisa	-	ath	0	0.003		
0)	ure: ChAdOx1 (AstraZeneca) mRNA-1273 (Moderna)	Vaccinated – 842,974 Unvaccinated – 842,974	Vaccinated – 842,974 Unvaccinated –	Vaccinated – 842,974 final vaccina dose: 842,974 Symptom	final vaccination		lation: prima ) /E = 83 to 9 .80	ary analysis 3, P<0·001)	(any vaccine)		

Author, Country Study Design	Exposure#	Sample Size	Time since final Vaccination	Change in vaccine effectiveness over time VE (95% CI)
	BNT162b2 (Pfizer/BioNTech)  Comparator/Contro	ChAdOx1 – 76,597 mRNA-1273 – 76,880	Mean 16.6 weeks) (Range: 2.1 to 40)	Day 180+ VE = 42%; (-35-75, P=0·21)
	l: Unvaccinated	BNT162b2 – 637,107 BNT162b2/mR	Hospitalisations and mortality Mean =16.1	Second matched cohort: Underlying conditions  Day 15-30  VE 86 (84-87)
		NA – 51,766 Co- morbidities/Speci	weeks (Range 2.1 to 39.1 weeks)	Day 31-60 VE 87 (85-90) Day 61-120
		al Populations: N (%) <i>Myocardial</i>		VE 86 (83-89)  Day 121-180
		infarction Vaccinated - 21,885 (2.6) Unvaccinated -		VE 85 (77-90)  Day >180  VE 58 (26-77)
		18,350 (2.2)  Stroke		VE against symptomatic infection Overall population: primary analysis (any vaccine) 15-30 days VE 03 (01.03)
		Vaccinated – 29,493 (3.5) Unvaccinated – 16,808 (2.0)		VE = 92 (91-93)  31-60 days VE = 89 (88-89)
		Diabetes Vaccinated –		61 -120 days VE = 82 (81-83)
		91,203 (10.6) Unvaccinated – 62,198 (7.4)		121-180 days VE = 48 (41-54)

Author, Country Study Design	Exposure#	Sample Size	Time since final Vaccination	Change in vaccine effectiveness over time VE (95% CI)
		Hypertension Vaccinated - 262,659 (31.2) Unvaccinated - 207,862 (24.7)  Kidney failure Vaccinated - 20,027 (2.4) Unvaccinated - 10,317 (1.2)  COPD Vaccinated - 17,257 (2.0) Unvaccinated - 13,353 (1.6)  Asthma Vaccinated - 50,341 (6.0) Unvaccinated - 36,671 (4.4)  Cancer Vaccinated - 48,512 (5.8) Unvaccinated - 37,092 (4.4)		181 – 210 days VE = 32 (19-44)  >210 days VE = 23 (-2-41)  Underlying conditions: primary analysis Day 15-30 VE = 86 (84-89)  Day 31-60 VE = 85 (83-86)  Day 61-120 VE = 79 (77-80)  Day 121-180 VE = 55 (42-65)  Day >180 VE = 15 (-17-38)  Second matched cohort: Underlying conditions over time Day 15-30 VE = 86 (84-87)  Day 31-60 VE = 80 (80-83)  Day 61-120 VE = 74 (72-75)

Author, Country Study Design	Exposure#	Sample Size	Time since final Vaccination	Change in vaccine effectiveness over time VE (95% CI)
Pilishvili, (51)	BNT162b2	Included a second matched cohort (less strict matching criteria)  Vaccinated – 1,983,315 Unvaccinated - 1,983,315 N:	Median - 5.98	Day 121-180 VE = 60 (54-66)  Day 180-210 VE = 41 (24-55)  Day >210 VE = 1 (-147-33)  VE against symptomatic infection
US Test negative case-control	(Pfizer/BioNTech) (Cases: 78%, Controls 79%) mRNA-1273 (Moderna) (Cases: 21%, Controls 20%)	Cases – 1,482 Controls – 3,449	weeks (range 1 to 23.5 weeks	Overall population         1-2 weeks       92.73% (89.1 to 95.03)         3-4 weeks       96.55% (92.73 to 98.47)         5-6 weeks       91.77% (83.56 to 95.98)         7-8 weeks       88.71% (79.92 to 94.07)         9-10 weeks       83.74% (68.26 to 91.59)         11-12 weeks       82.79% (68.45 to 90.44)         13-14 weeks       80.88% (60.99 to 90.44)
Polinski, <sup>(35)</sup>	Ad26.COV2.S (Janssen)	N: 390,517 vaccinated 1,524,153 matched with no record of vaccination	Mean 15.4 weeks Maximum 152 days = 21.7 weeks	The authors concluded there was no decline in effectiveness over time based on plot of Schoenfeld residuals.

Author, Country Study Design	Exposure#	Sample Size	Time since final Vaccination	Change in vaccine effectiveness over time VE (95% CI)														
Pouwels, (53)	BNT162b2	<b>N</b> : 743,526	Median (IQR)	VE over Time														
peer-reviewed UK National	(Pfizer/BioNTech)	individuals 384,543 (alpha dominant phase)	weeks: 8.4 (5 to 12.3)	Overall pop	ulation													
longitudinal survey from UK National		358,983 (delta dominant phase)		VE 18 – 64 years	Days dose	since second	BNT162b2	(Pfizer)	ChAdOx1	(Astra Zeneca)								
statistics agency				Any infect	tion	14	1	85% (79	-90%)	68% (61–73%)								
,						30		83% (78	-88%)	66% (61–71%)								
						60		80% (76	-83%)	64% (58–69%)								
						90		75% (70	-80%)	61% (53–68%)								
						effectiveness	Relative reduction in effectiveness per month from second dose		lineª (6% o 41%	7% decline (18% decline to 2% increase)								
				cycle thre (Ct) value		14		92% (87	-95%)	69% (61–75%)								
						30		90% (86	-93%)	67% (61–73%)								
					60		85% (81		65% (58–70%)									
					90			-82%)	61% (52–69%)									
						Relative redu	per month	decline to	lineª (26% o 84%	9% decline (22% decline to 3%								
						from second Test for evid		decline) < 0.0001	1	increase) 0.14								
						change over second dose	time from	< 0.0001	L	U.1-T								
				symptoma infection	atic	14		93% (89	•	72% (64–78%)								
						30		92% (87	–95%)	70% (64–76%)								

Author, Country Study Design	Exposure#	Sample Size	Time since final Vaccination	Change in vaccine effectiveness over time VE (95% CI)
J				60 86% (82–90%) 67% (60–72%)
				90 78% (72–82%) 63% (53–71%)
				Relative reduction in effectiveness per month from second dose  Relative reduction in decline a (30% decline (26% decline to 103% decline to 2% increase)
				Test for evidence of change over time from second dose
	ChAdOx1 (AstraZeneca		5.86 (3.86 to	Subgroup:  Long term health condition (LTHC): VE estimated from interception points in Fig 2 in the report BNT162b2 - Day 14: Without LTHC: 86% (80-90%) With LTHC: 81% (69-89%). ChAdOx1 - Day 14: Without LTHC: 69% (62-74%) With LTHC: 58% (39-71%)  No numerical estimates are given for any later days. (Measure as above)
	`		8.14)	OR 1.07 0.98-1.18, p=0.15)
Tenforde (55) published report (CDC) US, Case-	BNT162b2 (Pfizer/BioNTech): 59% MRNA-1273 (Moderna): 41%	Overall population N: Cases: 1,194 Controls: 1,895	Median 9.3 weeks, (IQR 5.8 to 13.3 weeks)	VE against hospitalisation Overall population Weeks 2-12: VE 86% (82% to 90%) Weeks 13-24: VE 84% (77% to 90%)
Control		<u>Underlying</u> <u>condition</u> <b>N:</b> Cases: 205 Controls: 447	Median 9.3 weeks, (IQR 5.8 to 13.3 weeks) in study overall	VE against <b>hospitalisation</b> Subgroups: Immunocompromised

Author, Country Study Design	Exposure#	Sample Size	Time since final Vaccination	VF (950)	in vaccine effe 6 CI)	ctiveness ove	r time		
		(21% of the overa study population )	II	13-24 week With multi 2-12 week 13-24 week	, -	9 77.8) 82.2)			
<b>Thompson<sup>(55</sup></b> ) US	BNT162b2 (Pfizer/BioNTech) mRNA-1273	BNT162b2	Hospitalisation – Median - 53 IQR (33 to 75)	VE against hosp Overall populat					
Test negative	(Moderna) Ad26.Cov2.S	,	ICU admission – Median - 52	Days post dose 2	Pfizer- BioNTech	Days post dose 2	Moderna	Days post dose	Janssen
case-control	(Janssen)	(	IQR 34 to 73) Emergency	14-27	87% (80 to 91)	14-27	90% (81 to 94)	14-27	72% (38 to 88)
		6,374	department/Ur gent Care –	28 to 41	95% (91 to 97)	28 to 41	89% (83 to 93)	28 to 41	69% (34 to 86)
		Ad26.COV2.S	Median 50 (IQR 31 to 73)	42-55	86% (79 to 91)	42-55	93% (87 to 97)	42-55	68% (18 – 87)
		(Janssen) 707		56 to 69	83 (75 to 89)	≥ 56 post dose 2	(91% (85% to 94)	≥ 56 post dose 2	79% (48 to 91)
		Unvaccinated		70 to 83	90% (82 to 94)	59 to 69	96%(92 to 98)		
		20,406		84 to 97	87% (76 to 93)	70-83	86% (75 to 92)		
				98 to 111	75% (57 to 85)	84-97	93%(82 to 97)		-
				≥112	83% (64 to 92)	≥112	95% (79 to 99)		
				<u>VE against <b>eme</b></u> <u>Overall populat</u>	ergency depart i <u>on</u>	ment and urg	ent care (ED/U	IC) medical eve	ents

Author, Country Study Design	Exposure#	Sample Size	Time since final Vaccination	VE (95% CT)						
				days post dose 2	Pfizer- BioNTech	days post dose 2	Moderna	Days post dose	Janssen	
				14-27 days	93% (87 to 96)	14-27	90% (81 to 95)	14-27	67% (30 to 84)	
				28 to 41	94% ( 90 to 97)	28 to 41	96% (92 to 98)	28 to 41	80% (52 to 92)	
				42-55 days	93% (81 to 87)	42-55	93% (85-96)	42-55	58% (5 to 81)	
				56 to 69 days	82% (68 to 90)	≥ 56 post dose 2	90% (79-95)	≥ 56 post dose 2	87% (71 to 94)	
				70 to 83 days	80% (66 to 88)	59 to 69	91%(79 – 96)			
				84 to 97 days	91% (82 to 96)	70-83	91% (79 – 97)			
				98 to 111 days	78% (61 to 87)	84-97	NR - no breakthrough cases			
				≥112 days	83% (64 to 92)	≥112	90% (52 to 98)			

**Key**: aOR – Adjusted Odds Ratio, CDC - Centers for Disease Control and Prevention, CI – confidence interval, HCWs – healthcare workers, ICU – Intensive care unit, IQR inter-quartile range, LTC – long-term care, LTHC – Long term health condition, N – sample size, NR - not reported, US – United States of America, VE – vaccine effectiveness

This section describes 11 included observational studies of vaccine effectiveness in vaccinated compared with unvaccinated individuals. Of the 11 observational studies, five were conducted in the US,<sup>(35, 41, 48, 51, 55)</sup> three were conducted in the UK,<sup>(44, 49, 53)</sup> and one each was conducted in Qatar,<sup>(46)</sup> Sweden<sup>(50)</sup> and Israel.<sup>(54)</sup> Studies are described below grouped by country.

#### US

Thompson et al.<sup>(41)</sup> is a test-negative case-control study conducted in the US of over 41,000 hospital admissions and 21,000 emergency or urgent care visits in patients aged 50 years or older. The Alpha variant was dominant at the time of the analysis. Vaccine effectiveness was estimated for the BNT162b2 (Pfizer/BioNTech), mRNA-1273 (Moderna) and Ad26.COV.S (Janssen) vaccines for hospitalisation, ICU admission, and emergency or urgent care visit. Results were adjusted with weights based on propensity for vaccination, age, region, calendar time and local virus circulation.

No evidence of a decline in vaccine effectiveness over time was observed for hospitalisation and emergency/urgent care visits. The vaccine effectiveness (mRNAbased vaccines combined) for hospitalisation was 88% (95% CI 84 to 92) and 86% (95% CI 74 to 93) at 14-27 days and ≥112 days after vaccination, respectively. When broken down by vaccine type, similar results were observed with vaccine effectiveness for hospitalisation at ≥112 days post-dose two estimated at 86% (95% CI 74 to 93) and 95% (95% CI 79 to 99) for BNT162b2 (Pfizer/BioNTech) and mRNA-1273 (Moderna), respectively. Data for Ad26.COV.S (Janssen) were limited to ≥56 days after vaccination (VE 79% (95% CI 48 to 91)). Vaccine effectiveness (mRNA based vaccines combined) for emergency or urgent care visits was 92% (95% CI 88 to 95) and 86% (95% CI 74 to 93) at 14-27 days and ≥112 days after dose two, respectively. The overall vaccine-specific estimates for hospitalisation were estimated at 87% (95% CI 85 to 90), 91% (95% CI 89 to 93) and 68% (95% CI 50 to 79) for BNT162b2 (Pfizer/BioNTech), mRNA-1273 (Moderna) and Ad26.COV2.S (Janssen), respectively. No formal tests for statistical significance between the vaccine-specific estimates or changes in VE over time were reported.

Subgroup analysis over a median follow-up of 12 weeks showed vaccine effectiveness for emergency department or urgent care clinic visits of 84% (95% CI 73 to 91) in patients 85 years of age or older, 90% (95% CI 86 to 93) in those aged  $\geq$  50 years with one or more chronic respiratory conditions, and 90% (95% CI 87 to 92) in those aged  $\geq$  50 years with one or more chronic non-respiratory conditions. With regards to VE for hospitalisations, similar high levels of protection among these

subgroups were observed. No difference in effectiveness for these groups was apparent compared to the total cohort for either outcome.

Tenforde et al.<sup>(60)</sup> is a Centers for Disease Control and Prevention (CDC) case-control study of hospitalised patients from 11 March to 14 July 2021. The dominant variant of concern varied during this period, with the Alpha variant dominant from March to May and Delta dominant from June to July.

Cases (n=1,194) were matched to controls (n=1,895) using admission date, region, age, sex and race. Overall, 141 (11.8%) cases and 988 (52.1%) controls were fully vaccinated (defined as receipt of the second dose of BNT162b2 (Pfizer/BioNTech) or mRNA-1273 (Moderna) mRNA COVID-19 vaccines ≥14 days before illness onset). Most patients had at least one chronic condition (82%), with 26% and 21% having a history of pulmonary disease or an immunocompromising condition, respectively. A small proportion of patients were long term care (LTC) residents, but subgroup-specific results were not provided for this cohort.

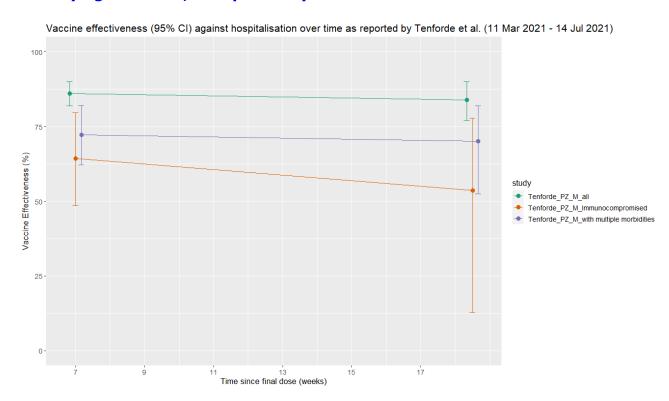
Vaccine effectiveness was estimated using logistic regression adjusted for admission date, region, age group (18-49, 60-64 or ≥65 years), sex and race, with no socioeconomic variables or comorbidities adjustment. Over the total surveillance period (median 53 days (IQR: 33 to 75)), VE against hospitalisation for COVID-19 was 86% (95% CI 82 to 88) with similar effectiveness estimates when assessed for the Alpha and Delta dominant periods separately.

No significant change in vaccine effectiveness was observed over time. Vaccine effectiveness for hospitalisation was 86% (95% CI 82 to 90) and 84% (95% CI 77 to 90) for the periods 2–12 weeks and 13–24 weeks after the second dose, respectively. Sensitivity analysis using alternative statistical models for time were consistent with the primary analysis. The authors report no statistically significant change in vaccine effectiveness over time for those aged 65 years or older or for those with multiple morbidities. Results were only presented graphically, but data suggest vaccine effectiveness for those aged over 65 years was 86.7% (95% CI 81.7 to 91.1) and 80.1% (95% CI 70.0 to 88.1) for the periods 2–12 weeks and 13–24 weeks after the second dose, respectively. For those with multiple morbidities, vaccine effectiveness was estimated at 72.3% (95% CI 62.2 to 82.2) and 70.0% (95% CI 52.4 to 81.9) at the equivalent time points.

Vaccine effectiveness for hospitalisation associated with COVID-19 was lower for those with an immunocompromising condition (VE 63%, 95% CI 44 to 76) compared to those without (VE 90%, 95% CI 87 to 92). No formal interaction tests are reported, but the confidence intervals do not overlap. The authors report that no

statistically significant change in vaccine effectiveness over time was observed within the subgroup of people with immunocompromising conditions, but further numerical results were not presented. Results derived by digitising the corresponding graph show that estimates of effectiveness were 64.3% (95% CI 48.5 to 79.6) and 53.6% (95% CI 12.8 to 77.8) at 2-12 weeks and at 12-24 weeks, respectively after the second dose. Figure 5 graphically depicts the changes in vaccine effectiveness against hospitalisation over time in the total population (green line), in those with multiple morbidities (purple line) and in those with immunocompromising conditions (red line).

Figure 5: Vaccine effectiveness against hospitalisation over time, by underlying condition, as reported by Tenforde et al.



Key: M - mRNA-1273 (Moderna), PZ - BNT162b2 (Pfizer/BioNTech).

Polinski et al. examined the effectiveness of the Ad26.COV2.S (Janssen) vaccine in a matched cohort study with cross over. (35) Vaccinated individuals were matched with to up to ten controls by age, sex, date location, comorbidity index, plus 17 COVID-19 risk factors via propensity score matching. The mean time since vaccination was 15 weeks. The authors assumed that 40% of participants in the unvaccinated cohort were actually vaccinated and applied a correction factor to all vaccine effectiveness estimates which increased the vaccine effectiveness results presented. For example, the authors estimated vaccine effectiveness of 79% (95% CI 79 to 80) for any

infection with the correction factor versus 69% (95% CI 57% to 71%) without. As insufficient justification was given for application of this correction factor, remaining results are presented without the correction factor. For COVID-19 related hospitalisation, vaccine effectiveness was estimated at 81% (95% CI 79% to 84%). Vaccine effectiveness for COVID-19 related hospitalisation was lower for those aged 60 years and older compared to those aged less than 60 years with effectiveness of 68% (95% CI 63 to 73) compared with 79% (95% CI 74 to 84). Vaccine effectiveness was lower for those with immunocompromising conditions with estimates of 54% (95% CI 35 to 67) and 52% (95% CI 42 to 60%) for COVID-19-related hospitalisation and symptomatic disease, respectively. In the general study population, the authors report that no difference in effectiveness for either hospitalisation or any infection was observed over time. However, the vaccine effectiveness over time was not analysed by subgroup in this study.

Pilishvili et al. conducted a test negative case-control study to examine the effectiveness of mRNA vaccines in HCW across 25 US states. (51) Cases (n=1,482) were defined as positive PCR or antigen-based tests for SARS-CoV-2 and the presence of at least one COVID-19 like symptom. Controls (n=3,449) were defined on the basis of a negative PCR test for SARS-CoV-2 regardless of symptoms and were matched by week of test date and site. Results were adjusted for sociodemographics, underlying conditions and exposure to a person with COVID-19. The median time since final vaccination dose was six weeks (range 1 to 24). Vaccine effectiveness for symptomatic infection more than seven days after dose two were presented for mRNA vaccines combined (VE 90.4%; 95% CI 87 to 92.9), for BNT162b2 (Pfizer/BioNTech) (VE 88.8%; 95% CI 84.6 to 91.8), and for mRNA-1273 (Moderna) (VE 96.3%; 95% CI 91.3 to 98.4). Multiple subgroup analysis were presented for the mRNA combined analysis. Vaccine effectiveness, did not differ by age (<50 versus ≥50 years), for people with asthma, obesity, obesity or overweight, hypertension, or for those with underlying conditions or risk factors for severe COVID-19. Vaccine effectiveness was found to be numerically lower in those with diabetes (VE 80.2% (95% CI 45.8% to 92.7%)) compared with those with no underlying risk factor (VE 91.1% (95% CI 85.5% to 94.6%)), however the confidence intervals were wide and overlapping, and no statistical tests were reported to determine if differences observed between subgroups were statistically significant.

Vaccine effectiveness by time was presented up to 14 weeks after receipt of dose two. While effectiveness estimates during weeks nine through 14 were lower than the maximum vaccine effectiveness observed during weeks three and four, the authors considered that wide and overlapping confidence intervals did not support a

conclusion of waning immunity, but suggested that the data warrant longer-term monitoring of vaccine effects. Digitised estimates taken from the figure presented in the publication estimate the effectiveness as 96.6% (95% CI 92.7 to 98.5) and 80.9% (95% CI 61.0 to 90.4) at 3-4 weeks and 13-14 weeks after dose two, respectively.

Liu et al.<sup>(48)</sup> conducted a retrospective matched cohort study with crossover based on electronic health records (EHRs) of Columbia University Irving Medical Center/New York Presbyterian (CUIMC/NYP) up to 21 September 2021. Adults 18 years or older residing in New York State who received routine clinical care from this healthcare system were included. The aim of this study was to assess the association between breakthrough infection rate in vaccinated individuals (two doses of BNT162b2 (Pfizer/BioNTech) or mRNA-1273 (Moderna), with a mean of 14.4 weeks of follow-up since final dose) and multiple risk factors. In a separate analysis, logistic regression was used to assess the association between vaccine administration and infection rate by comparing a vaccinated cohort to a historically matched cohort in the period before vaccines were available. Infection incident rates were also compared between vaccinated individuals and longitudinally matched unvaccinated individuals. Matching was based on previous visit counts, observational days, demographics, underlying immune conditions and the incidence of SARS-CoV-2 at the PCR test date.

Vaccine effectiveness for SARS-CoV-2 infection was estimated to be 88.4% (95% CI, 86.5 to 90) in a vaccinated cohort compared with a historically matched cohort (n=14,362 pairs) recruited before 11 December 2020. Vaccine effectiveness did not appear to differ by age (≤65 versus >65 years), sex, or for those with immunocompromising conditions, though no statistical tests were reported to determine if differences observed between subgroups were statistically significant. Vaccine effectiveness for SARS-CoV-2 infection was also estimated comparing a matched vaccinated and unvaccinated cohort (n=10,283 pairs) recruited since 18 January 2021. For this analysis, the authors estimated effectiveness in terms of adjusted incident rate ratio (aIRR) using incident rates per 1,000 person-days and are thus, the estimates are not directly comparable to VE estimated using odds ratios, hazard ratios or rate ratios. In this cohort, the aIRR was estimated at 0.41 (95% CI, 0.35 to 0.48). As above, vaccine effectiveness did not appear to differ by age ( $\leq$ 65 versus >65 years), sex, or for those with immunocompromising conditions, though no statistical tests were reported to determine if differences observed between subgroups were statistically significant.

The authors reported an increase in breakthrough infections over time, with a notable rise in the incident rate observed after 120 days post final vaccination for

BNT162b2 (Pfizer/BioNTech) and mRNA-1273 (Moderna) vaccines. For example, with regards to BNT162b2 (Pfizer/BioNTech), the incident rate per 1,000 persondays increased from 0.1 to 0.41 up to 1.95 for the periods 0-30 days, 120-150 days, and 210-240 days post final dose, respectively. A similar pattern was observed for mRNA-1273 (Moderna).

The authors examined risk factors associated with breakthrough infections in those who were fully vaccinated by comparing incidence rates between vaccinated individuals who experienced breakthrough infections (n=198) and vaccinated individuals who remained infection-free (n=14,164). Compared with those who were not immunocompromised, individuals who were immunocompromised (IRR 1.48 (95% CI, 1.09 to 2.0)), had an active tumour (IRR 1.56 (95% CI, 1.1 to 2.2)), were on immunosuppressive therapies (IRR 1.45 (95% CI, 1.03-2.04) or who had a primary immunodeficiency disorder (IRR 2.53 (95% CI, 1.4 to 4.58)) were found to be at a significantly increased risk of breakthrough infections. However, compared with those who were not immunocompromised, those who had chronic kidney disease (IRR 1.33 (95% CI, 0.86 to 2.06)), HIV (IRR 1.25 (95% CI, 0.63 to 2.47) or an organ transplant (IRR 1.9 (95% CI, 0.98 to 3.71) had a higher IRR but were not found to be at a significantly increased risk of breakthrough infections, which may be as a result of the small sample sizes.

The authors also examined the association between individual drugs/conditions and breakthrough infection. The individual conditions that were most statistically significantly associated with breakthrough infections (ranked by p-value) were as follows:

- chronic pulmonary heart disease (IRR 4.07 (95% CI, 2.07 to 7.99) p<0.001)</li>
- asteatosis cutis (xerosis) (IRR 2.6 (95% CI, 1.56 to 4.33) p<0.001)</li>
- immunodeficiency disorder (IRR 3.62 (95% CI, 1.81 to 7.22) p<0.001)</li>
- post-inflammatory pulmonary fibrosis (IRR 3.34 (95% CI 1.69 to 6.59) p<0.001)</li>
- tubulointerstitial nephritis (IRR 3.84 (95% CI, 1.78 to 8.28) p=0.001)
- Alzheimer's disease (IRR 3.5 (95% CI, 1.68 to 7.28) p=0.001)
- bacterial pneumonia (IRR 2.97 (95% CI, 1.5 to 5.87) p=0.002)
- epidermoid cyst (IRR 2.45 (95% CI, 1.39 to 4.32) p=0.002)
- peripheral circulatory disorder due to type 2 diabetes mellitus (IRR 2.78 (95% CI, 1.45 to 5.36) p=0.002)
- acute deep venous thrombosis of femoral vein (IRR 3.62 (95% CI, 1.58 to 8.27) p=0.002).

The drugs that were statistically significantly associated with breakthrough infections were those that are used to treat and manage the above listed conditions (for example, valganciclovir to prevent viral infections in those with immunocompromising conditions, donepezil for individuals with Alzheimer's disease, and salbutamol for those with respiratory conditions). There is no link necessarily between the use of these drugs and an increased risk of breakthrough infections.

Some caution is required in the interpretation of the findings of this study given the use of historical controls for some of the analysis, the comparator populations were affected by different variants of SARS-CoV-2. In additional, it is unclear whether all key confounders were controlled for in this retrospective analysis. Statistical tests are not adjusted for multiplicity, and therefore the possibility of spurious associations should be considered when interpreting the results of the analysis.

#### UK

Three UK studies, from Public Health England, <sup>(44)</sup> Public Health Scotland, <sup>(49)</sup> and the UK Office for National Statistics/Oxford <sup>(53)</sup> provide important information for the review question.

#### Public Health England

In a preprint, Andrews et al.<sup>(44)</sup> describe a national test-negative case-control study of vaccine effectiveness conducted by Public Health England using linked data from national registries and databases. Vaccine effectiveness was adjusted for a wide range of potential confounders including calendar time, clinical risk group status, health and social care worker status and a wide range of sociodemographic and socioeconomic variables.

Vaccine effectiveness over time for both the Alpha and Delta dominant periods was presented for three outcomes (symptomatic disease, hospitalisation and death). Results were stratified by vaccine, age and clinical risk group status. Results from the Delta period are summarised here. No statistical tests were reported to determine if differences observed between vaccines types, subgroups or over time were statistically significant. Confidence intervals for some of the analyses were wide and overlapping. Therefore, no firm conclusions can be drawn from these analyses.

The analysis estimated the vaccine effectiveness for the BNT162b2 (Pfizer/BioNTech) and ChAdOx1 (AstraZeneca) vaccines with up to 20 weeks of follow-up after the second dose.

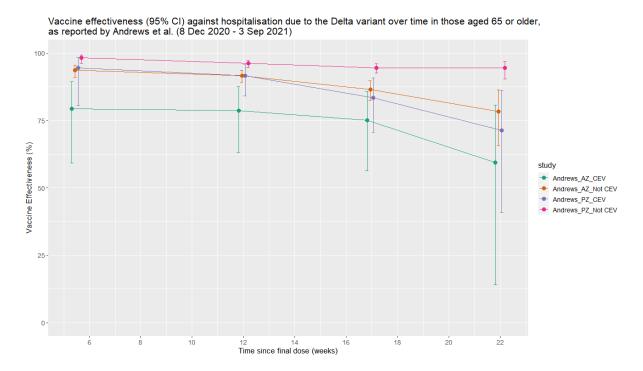
In the population, aged 16 years and over, BNT162b2 (Pfizer/BioNTech) vaccine effectiveness for mortality ranged from 98.2% (95% CI 95.9 to 99.2) to 90.4%

(95% CI 85.1 to 93.8) for the periods 2-9 weeks and ≥20 weeks after the second dose, respectively. For the ChAdOx1 (AstraZeneca) vaccine, VE for these two intervals ranged from 94.1% (95% CI 91.8 to 95.8) to 78.7% (95% CI 52.7 to 90.4), respectively. Results for those aged 65 years or older were similar to the primary analysis.

Similar patterns were observed for hospitalisations for both vaccines. For the BNT162b2 (Pfizer/BioNTech) vaccine, vaccine effectiveness remained at 92.7% (95% CI 90.3 to 94.6) and 90.7% (95% CI 86.0 to 93.8)  $\geq$ 20 weeks after the second dose for those aged  $\geq$ 16 years and  $\geq$ 65 years, respectively. For the ChAdOx1 (AstraZeneca) vaccine, VE for those aged 16 years or older declined from 95.2% (95% CI 94.6 to 95.6) to 77% (95% 70.3 to 82.3) over the periods from 2-9 weeks to  $\geq$ 20 weeks after the second dose. When limited to the subgroup aged 65 years or older, VE ranged from 92.2% (95% CI 89.4 to 94.3) to 76.3% (95% CI 65.3 to 83.8) over the same periods.

Vaccine effectiveness against hospitalisation over time, in the context of Delta dominance, in those aged 65 years and older, stratified by risk category is illustrated in Figure 6. For BNT162b2 (Pfizer/BioNTech), vaccine effectiveness for hospitalisation was 71.4% (95% CI 40.9 to 86.1) in adults aged 65 years or older in a clinically extremely vulnerable group at  $\geq$ 20 weeks after dose two (purple line). This is in contrast to VE of 94.6% (95% CI, 90.5 to 97) in adults aged 65 years or older not in a clinically extremely vulnerable group beyond 20 weeks after dose two (pink line). For ChAdOx1 (AstraZeneca), effectiveness for hospitalisation (in the context of Delta as the dominant variant) in those aged 65 years or older in the clinically extremely vulnerable group (61) was estimated as 59.4% (95% CI 14.1 to 80.8) at  $\geq$ 20 weeks after dose two (green line). In contrast, a VE of 78.4% (95% CI, 65.7 to 86.4) was observed in adults aged 65 years or older not in a clinically extremely vulnerable group beyond 20 weeks after dose two (orange line).

Figure 6: Vaccine effectiveness against Delta hospitalisation over time, in those aged 65 years and older by risk category, as reported by Andrews et al.

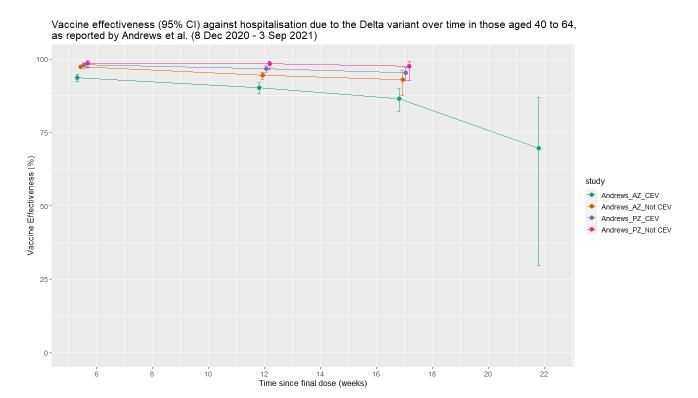


Key: AZ – ChAdOx1 (Astra Zeneca), hosp – hospitalisation, CEV – Clinically Extremely Vulnerable, PZ – BNT162b2 (Pfizer/BioNTech).

Vaccine effectiveness against hospitalisation over time, in the context of Delta dominance, in those aged 40 to 64, stratified by risk category is illustrated in Figure 7. For BNT162b2 (Pfizer/BioNTech), effectiveness for hospitalisation was 95.4% (95% CI 92.6 to 97.2) at weeks 15-19 in adults aged 40 to 64 in a clinically extremely vulnerable group (purple line). This is broadly similar to VE of 97.6% (95% CI, 92.6 to 99.2) in adults aged 40 to 64 not in a clinically extremely vulnerable group 15 to 19 weeks after dose two (of note, data were not reported beyond week 20) (pink line). Vaccine effectiveness for hospitalisation for the ChAdOx1 (AstraZeneca) vaccine in those aged 40 to 64 years in either a clinical risk group or in the clinically extremely vulnerable risk group was 86.6% (95% CI, 82.2 to 89.9) and 69.7% (95% CI 29.7 to 86.9) at 15-19 weeks and ≥20 weeks, respectively (green line). This is broadly similar to the effectiveness of 93% (95%) CI, 87.5 to 96.1) in adults aged 40 to 64 not in a clinically extremely vulnerable group 15 to 19 weeks after dose two (of note, equivalent data are not presented beyond week 20) (orange line). These findings are suggestive of a potential reduction in vaccine effectiveness for those in clinical risk groups aged 65 years and older, but not necessarily those aged between 40 and 64 compared with the general

population. However, the confidence intervals were wide and overlapping and so caution is required in the interpretation of these data.

Figure 7: Vaccine effectiveness against Delta hospitalisation over time, in those aged 40-64, by risk category, as reported by Andrews et al.



Key: Abbreviations: AZ – ChAdOx1 (Astra Zeneca), hosp – hospitalisation, CEV – clinically extremely vulnerable, PZ – BNT162b2 (Pfizer/BioNTech).

In relation to the total population, in the context of Delta dominance, greater variation over time was observed for the symptomatic disease endpoints. ChAdOx1 (AstraZeneca) effectiveness ranged from 66.7% (95% CI 66.3 to 67.0) 2-9 weeks after the second dose to 47.3% (95% CI 45.0 to 49.6)  $\geq 20$  weeks after the second dose. BNT162b2 (Pfizer/BioNTech) effectiveness ranged from 80.1% (95% CI 77.5 to 82.4) to 55.3% (95% CI 50.2 to 60.0) for the time periods 2-9 weeks and  $\geq 20$  weeks after the second dose, respectively. There was shorter follow-up for those who received the mRNA-1273 (Moderna) vaccine, the estimated VE was 90.3% (95% CI 67.2 to 97.1) 10-14 weeks after the second dose with no longer term data presented.

The authors reported that the results suggest greater waning with the ChAdOx1 (AstraZeneca) compared with the BNT162b2 (Pfizer/BioNTech) vaccine, but

cautioned that there were differences in the groups who received the different vaccines.

#### REACT-SCOT - Public Health Scotland.

REACT-SCOT (McKeique et al.)<sup>(49)</sup> is an ongoing matched case-control study by Public Health Scotland. Data from 1 December 2020 to 8 September 2021 were examined to estimate the effectiveness of ChAdOx1 (AstraZeneca) and mRNA vaccines (BNT162b2 (Pfizer/BioNTech) or mRNA-1273 Moderna) for severe disease and for a composite outcome of hospitalisation or fatal COVID-19. (62) Potential cofounders were accounted for by matching each case (severe disease n = 5,644; hospitalisation/fatal disease n= 21,671) to ten controls by matching on some covariates (age, sex, primary care practice and calendar time) and by adjusting for others (risk category, number of non-CV drug classes and recent hospital stay). Effectiveness was reported as rate ratios with lower rate ratios indicating higher vaccine effectiveness (vaccine effectiveness = 1 - rate ratio). Over the total cohort, the median time since vaccination was 10 weeks with a maximum of 26 weeks. In the Delta dominant period, the estimated overall rate ratios for the composite outcome of hospitalisation or COVID-19 mortality for ChAdOx1 (AstraZeneca) and mRNA vaccines, were 0.19 (95% CI 0.17 to 0.2) and 0.08 (95% CI 0.07 to 0.09), respectively.

Vaccine effectiveness over time was also reported up to approximately 26 weeks after the second dose. Vaccine effectiveness was seen to decrease (increasing log rate ratio) for both the severe disease and hospitalisation/fatal disease outcomes, over the first two months after the second dose for both the ChAdOx1 (AstraZeneca) and mRNA vaccines, plateauing after that. While the mRNA vaccines were initially more effective for the severe disease outcome than ChAdOx1 (AstraZeneca), this difference diminished over time.

Further analyses were undertaken to model the rate of waning for the three vaccines combined. (49) Two types of model were compared: (1) a "waning to zero efficacy" model in which the effect of vaccination on the scale of log rate ratio decays exponentially to zero with time since second dose; (2) a "waning to constant efficacy" model in which the effect of vaccination is the sum of two terms: a time-invariant effect and a waning effect that decays exponentially with time since second dose. The "waning to constant efficacy" was the best fitting model for both the hospitalised or fatal COVID-19 (p = 0.001) and the severe disease outcomes (p=0.05), with a calculated waning effect half-life of 17 (95% CI 9 to 39) days and 27 (95% CI 14 to 143) days, respectively, and reaching a constant effectiveness of 83% and 82% for these outcomes, respectively. Limitations of this study include a

lack of information about the models used to examine waning of efficacy with no explanation as to how these were chosen, or what alternatives may have also fitted the data. While the relative fit of the two models was compared, goodness-of-fit was not reported separately for each model limiting the ability to ascertain whether either model is a good fit for the data.

The authors report effectiveness for severe disease of 94% (95% CI 93 to 96) in those without risk conditions, 86% (95% CI 86 to 91) in those with moderate risk conditions, and 73% (95% CI 64% to 79%) in the clinically extremely vulnerable (CEV) category (eligible for shielding). (61) Effectiveness against hospitalisation or mortality was also lower at 68% (95% CI 63% to 71%) in the CEV group than in the other two risk categories (VE 86% (95% CI, 85 to 88) in those without any risk condition and VE 83% (95% CI, 82 to 85) in those with moderate risk conditions. Results by CEV subgroups (solid organ transplant, specific cancers, severe respiratory, rare diseases, on immunosuppressants, additional conditions) were presented in the form of rate ratios compared to unvaccinated matched controls, but confidence intervals were too wide for comparison of effectiveness between groups. Point estimates (RR) for severe disease ranged from 0.1 (95% CI 0.03 to 0.28) for rare diseases to 0.6 (95% CI 0.24 to 1.51) for solid organ transplant recipients, and for the composite of hospitalisation and mortality, these estimates ranged from 0.28 (95% CI, 0.24 to 0.33) for severe respiratory disease to 0.6 (95% CI, 0.38 to 0.95) for solid organ transplant recipients.

Results by risk condition and vaccine type (ChAdOx1 (AstraZeneca), any mRNA – BNT162b2 (Pfizer/BioNTech) or mRNA-1273 (Moderna) were also presented. Effectiveness of two doses against severe COVID-19 in the CEV group were lower for those who received the ChAdOx1 (AstraZeneca) vaccine (VE 72%; 95 CI 63% to 79%) and the mRNA vaccines (VE 73%; 95% CI 59% to 83%), compared with those without any risk conditions, ((VE 93%; 95% CI 91 to 95%) and VE 96% (95% CI, 94 to 97)), respectively. Similar reductions in effectiveness for those in the CEV group were observed in relation to the composite outcome of hospitalisation and mortality. Vaccine effectiveness over time was not examined for this clinically vulnerable cohort.

#### Pouwels et al.

One general population study by Pouwels et al. (53) using data from the UK Office for National Statistics COVID-19 infection survey was identified. This peer-reviewed study is an analysis of the effectiveness of BNT162b2(Pfizer/BioNTech) and ChAdOx1(AstraZeneca). They examined differences in effectiveness by subgroup (age, self-reported long term health condition and evidence of prior infection), time since second vaccination, and the interaction by time and subgroup. This is a large community-based survey of randomly selected households providing a representative sample across the UK with data linked to administrative records from the UK National Immunisation Management Service. Individuals had follow-up visits every week for the first month after enrolment, then monthly for 12 months from enrolment. To minimise potential bias from differences in test-seeking behaviour between vaccinated and unvaccinated participants, all enrolled individuals had monthly reverse transcriptase polymerase chain reaction (RT-PCR) tests for SARS-CoV-2 infection. At each visit, a person's vaccination and previous infection status was updated, therefore each person could contribute visits attributed to vaccinated and unvaccinated cohorts over the time frame of the analysis.

Participants aged 18 years or older were included with results reported separately for the Alpha and Delta dominant phases (1 December 2020 to 17 May 2021 and 17 May 2021 to 1 August 2021, respectively). In the Alpha dominant phase, 384,543 individuals contributed a median of seven visits per person while in the Delta dominant phase 358,983 participants provided a median of two visits per person.

Vaccine effectiveness estimates for those fully vaccinated (that is, ≥ 14 days after the second dose) with either the BNT162b2 (Pfizer/BioNTech) or ChAdOx1 (AstraZeneca) vaccines were calculated versus unvaccinated participants (>21 days before vaccination) with no prior positive SARS-COV-2 result. As participants with a history of infection were excluded from the unvaccinated, but not the vaccinated groups, vaccine effectiveness estimates may be biased upwards. Results were adjusted for a wide range of potential confounders including socio-demographic and occupation variables. Primary outcomes of interest to this review (severe disease or mortality) were not reported, and only data related to the secondary review outcomes of RT-PCR-confirmed infections were presented.

The median time since the final vaccination dose at each visit was longer for BNT162b2 (Pfizer/BioNTech) (8 weeks) compared with ChAdOx1 (AstraZeneca) (6 weeks). The overall results are summarised here, with full details presented in the data extraction tables (Appendix C). Statistical tests are not adjusted for multiplicity

and therefore the possibility of spurious associations should be considered when interpreting the results of the analysis.

In patients aged 18 years or older, vaccine effectiveness (VE) for BNT162b2 (Pfizer/BioNTech) for symptomatic infection (PCR positive, self-reported symptoms) differed significantly between the Alpha and Delta dominant phases with vaccine estimates of 97% (95% CI 96 to 98) and 84% (95% CI 82 to 86), respectively (heterogeneity p<0.0001). Similar findings were observed for cases with a cycle threshold (Ct) <30 (reflecting higher viral load) with estimates of 94% (95% CI 91 to 96) and 84% (95% CI 82 to 86) for the Alpha and Delta dominant phases, respectively. Lower estimates of VE were observed for any infection, with no difference between the Alpha and Delta dominant phases (VE 78% (95% CI 68 to 84) and VE 80% (95% CI 77 to 83), respectively).

For the ChAdOX1 (AstraZeneca) vaccine, VE estimates were similar to those observed for BNT162b2 (Pfizer/BioNTech) in the Alpha dominant phase for symptomatic infection and cases with a Ct<30 (VE estimates of 97% (95% CI 93 to 98) and 86% (95% CI 71 to 93), respectively). However, effectiveness for symptomatic infection and cases with a Ct<30 in the Delta phase (VE of 71% (95% CI 66 to 74) and 70% (95% CI 65 to 73), respectively) were significantly lower compared with either the Alpha phase (p = 0.04) or the equivalent Delta phase for BNT162b2 (Pfizer/BioNTech) (p<0.0001).

Lower estimates of effectiveness were observed for ChAdOX1 (AstraZeneca) against any infection with an estimated VE of 79% (95% CI 56 to 90) and 67% (95% CI 62 to 71) for the Alpha and Delta dominant phases, respectively. These estimates were noted to be significantly lower than those observed for BNT162b2 in the Delta phase (p<0.001).

As noted, Pouwels et al.<sup>(53)</sup> also examined differences in VE by subgroup (age, self-reported long term health condition and evidence of prior infection), time since second vaccination, and the interaction by time and subgroup. These analyses were limited to patients aged 18 to 64 years in the Delta dominant period only due to a decreasing number of visits in the unvaccinated reference group over time in the Alpha dominant period, particularly for older individuals.<sup>(53)</sup>

Vaccine effectiveness estimates were lower for those with a self-reported long term health condition compared with those without (range 81-92% vs. 86-94% and 58-65% vs. 69-73% for BNT162b2 (Pfizer/BioNTech) and ChAdOx1 (AstraZeneca), respectively), but differences were not statistically significant. As the interaction

effect between long term health condition and age was not accounted for, these results should be interpreted with caution.

Vaccine effectiveness was significantly lower for those aged 35 to 64 compared to those aged 18 to 34, irrespective of the outcome (symptomatic infection, Ct<30, any infection) or the vaccine type (BNT162b2 (Pfizer/BioNTech), ChAdOx1 (AstraZeneca)). In those aged 18 to 34 years, VE ranged from 90-96% and from 73-76% for the BNT162b2 (Pfizer/BioNTech) and ChAdOx1 (AstraZeneca) vaccines, respectively. In those aged 35 to 64 years, VE ranged from 77-88% and from 54-57% for the BNT162b2 (Pfizer/BioNTech) and ChAdOx1 (AstraZeneca) vaccines, respectively.

For both vaccine types, vaccine effectiveness estimates were significantly higher for those with a history of a prior infection (range 93-99% vs. 85-93% and 88-94% vs. 68-72% for BNT162b2 (Pfizer/BioNTech) and ChAdOx1 (AstraZeneca), respectively).

In those aged 18 to 64 years, there was evidence of a reduction in effectiveness over time against new RT-PCR-positive infections (OR 1.22, 95% CI 1.06 to 1.41, p=0.007; and OR 1.07, 95% CI 0.98 to 1.18, p=0.15 for BNT162b2 (Pfizer/BioNTech) and ChAdOx1 (AstraZeneca) vaccines, respectively). For those with a Ct<30, there was a significant difference (p=0.003) in the performance of the two vaccines with a declining effectiveness observed for the BNT162b2 (Pfizer/BioNTech) (OR 1.52, 95% CI 1.26 to 1.84, p<0.0001), but not the ChAdOx1 (AstraZeneca) vaccine (OR 1.09, 95% CI 0.97 to 1.22, p=0.14). The authors concluded that the study provided evidence that the effectiveness of BNT162b2 (Pfizer/BioNTech) against symptomatic infection and infection with Ct<30 declined faster than for ChAdOx1.

Pouwels et al.<sup>(53)</sup> further investigated evidence of vaccine waning over time for both BNT162b2 (Pfizer/BioNTech) and ChAdOx1 (AstraZeneca) vaccines for each of the outcomes (symptomatic infection, infection with a higher viral load (Ct<30), and any infection) for a range of subgroups (age, self-reported long term health conditions, prior infection, dosing interval), though for the current review the focus is on the long term health conditions subgroup. Data were presented graphically with no interpretation of subgroup interaction effects. Estimates were reported at day 14, but numerical results were not reported for any subsequent time points, or for the subgroups. Furthermore confidence intervals are wide and formal statistical tests are not reported, hence descriptions of the graphs should be interpreted with caution as to the direction of point estimates only.

In the first analysis, the protection against all new PCR-positive episodes over time from second dose, by presence or absence of self-reported long term health condition in those 18-64 years in the Delta-dominant period is graphically illustrated. It is difficult to ascertain from the graph whether there is an interaction between the rate of treatment waning and evidence of a long term health condition. The estimated vaccine effectiveness for BNT162b2 (Pfizer/BioNTech) at day 14 was 81% (95% CI, 69-89%) and 86% (95% CI, 80-90%) and for those with and without long term health conditions, (interception points) (p=0.31). The estimated effectiveness for ChAdOx1 (AstraZeneca) at day 14 was 58% (95% CI, 39-71%) and 69% (95% CI, 62-74%) for those with and without long term health conditions, respectively, (interception points) (p=0.1). In alternative analyses (measuring VE against cases with Ct<30), there does not appear to be an interaction between the rate of treatment waning and evidence of a long term health condition for either BNT162b2 (Pfizer/BioNTech) or ChAdOx1 (AstraZeneca). However in a third analysis (measuring VE against cases with reported symptoms), it appears that there may be faster treatment waning for those with a long term health condition compared with those without in the Pfizer treatment group; though there does not appear to be an interaction between the rate of treatment waning and evidence of a long term health condition in the ChAdOx1 (AstraZeneca) treatment group.

While there may be some evidence of faster waning in those with long term health conditions, limited conclusions can be drawn from this study as it is not possible to interpret these graphs as evidence of an effect.

#### **Sweden**

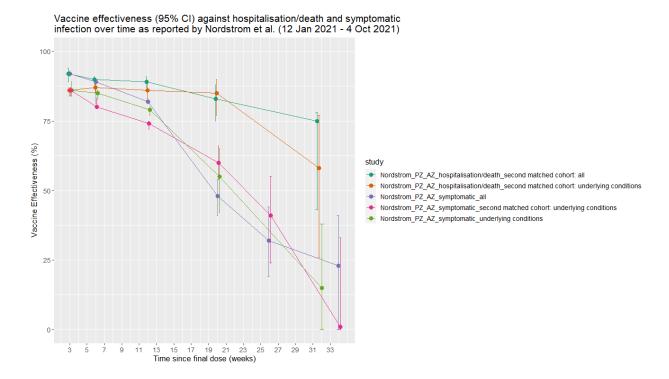
A retrospective cohort study was conducted by Nordström et al. using Swedish nationwide registries.<sup>(50)</sup> In this study which is currently available as a preprint, the cohort comprised 842,974 pairs (N=1,684,958), including individuals vaccinated with two doses of ChAdOx1 nCoV-19 (AstraZeneca), mRNA-1273 (Moderna), or BNT162b2 (Pfizer), and matched unvaccinated individuals. Cases of symptomatic infection and severe COVID-19 (hospitalisation or 30-day mortality after confirmed infection) were collected from 12 January to 4 October 2021. The mean follow-up was 16.6 weeks (range 2.1 to 40) and 16.1 weeks (range 2.1 to 39.1) post final vaccination dose for symptomatic infection and severe COVID-19 outcomes, respectively, with a maximum follow-up of nine months. Fully vaccinated (two doses) individuals were matched 1:1 (based on birth year and sex) to randomly sampled unvaccinated individuals. Baseline date was set to the date of second dose of vaccine. Matched unvaccinated individuals were excluded if they received a first

dose of vaccine or died within 14 days of baseline, and a new individual sourced from the remaining total cohort.

A significant waning in vaccine protection against symptomatic infection across all subgroups up to nine months was observed. Vaccine effectiveness of BNT162b2 (Pfizer/BioNTech) against symptomatic infection waned progressively from 92% (95% CI, 92 to 93) to 47% (95% CI, 39 to 55) for the periods 15-30 days and 121-180 days post-final dose, respectively and from day 211 onwards no effectiveness could be detected (23% 95% CI, -2 to 41). The effectiveness waned slightly slower for mRNA-1273 (Moderna), with VE estimated at 88% (95% CI, 88 to 90) at 15-30 days post final dose reducing to 59% (95% CI, 18 to 79) from day 181 onwards. In contrast, effectiveness of ChAdOx1 (Astra Zeneca) was generally lower and waned faster, with VE estimated at 44% (95% CI, 36 to 52) at 15-30 days post final dose, with no protection against infection observed from day 121 onwards (-19%, 95% CI, -97 to 28). However VE from heterologous ChAdOx1 (AstraZeneca)/ mRNA-1273 (Moderna) vaccination, which was initially 89% (95% CI, 79 to 94) at 15-30 days post final dose was maintained from 121 days and onwards (66%; 95% CI, 41 to 80).

The change in vaccine effectiveness against severe disease and infection outcomes observed in this study is illustrated in Figure 8. Vaccine effectiveness against symptomatic infection was found to decrease substantially over time both in the total study population and in individuals with underlying conditions at baseline (myocardial infarction, stroke, diabetes, hypertension, kidney failure, COPD, asthma or cancer). In those with underlying conditions, vaccine effectiveness (any vaccine) reduced from 86% (95% CI, 84 to 89) at 15-30 days post-final vaccination to 15% (95% CI, 17 to 38) from day 181 onwards. A secondary analysis using less restrictive matching criteria, but a larger cohort (1,983,315 pairs; N=3,966,630 in total) also found that VE for symptomatic infection waned in those with underlying conditions, from 86% (95% CI, 84 to 87) at 15-30 days to 1% (95% CI, -147 to 33) with uncertainty increasing over time. Additionally, notable waning of vaccine effectiveness against symptomatic infection was observed among men and older individuals (≥ 65 years). In Figure 8, the purple line represents vaccine effectiveness against symptomatic infection in the total study population in the primary analysis, whereas the lime green and pink lines represent vaccine effectiveness against symptomatic infection in those with underlying conditions in the primary and secondary analyses, respectively. Of note, directly comparable data were not provided in all cases and hence only the available relevant data are plotted in Figure 8.

Figure 8: Vaccine effectiveness against severe disease and symptomatic infection over time, by underlying condition, as reported by Nordstrom et al.



Key: AZ - ChAdOx1 (AstraZeneca), PZ - BNT162b2 (Pfizer/BioNTech).

Effectiveness appeared to be more durable against severe COVID-19 than against symptomatic infection, however protection still waned over time (Figure 8). Vaccine effectiveness (any vaccine) reduced from 89% (95% CI, 83 to 93) at day 15-30 to 74% (95% CI, 47-87) by day 121-180 and to 42% (95% CI, -35 to 75) from day 181 and onwards, with sensitivity analyses showing notable waning among men, older frail individuals, and individuals with underlying conditions. In a sensitivity analysis, individuals >80 years old were excluded resulting in VE against severe disease being 80% (95% CI, 41 to 93), from day 181 onwards, potentially indicating the strong influence of age on waning protection. The secondary analysis, using a less stringently matched, but larger cohort (1,983,315 pairs; N=3,966,630 in total) supported the finding of reduced effectiveness against severe COVID-19 over time especially for older, frail individuals, men and individuals with underlying conditions. The aqua green and orange lines in Figure 8 represent vaccine effectiveness against hospitalisation or death in the secondary analysis, in the total study population and in those with underlying conditions, respectively.

There are certain limitations associated with this study. The first of which is related to outcome ascertainment bias specifically for symptomatic infection, as individuals

who were already vaccinated may not have sought a test for COVID-19 if they believed that they were immune, which may overestimate effectiveness for this outcome. Secondly, certain important confounders such as socioeconomic factors were not taken into account and therefore the possibility of residual and unmeasured confounding cannot be excluded; the impact of this limitation has an unclear impact on results. Of note there is considerable uncertainty in the estimates generated in this study, particularly beyond 150 days.

#### **Israel**

One study was identified from Israel where, to date, the immunisation programme has primarily been based on the BNT162b2 (Pfizer/BioNTech) vaccine. (54)

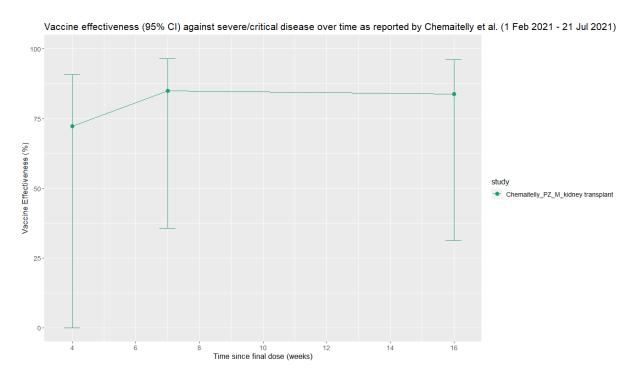
Saciuk et al.<sup>(54)</sup> examined the effectiveness of BNT162b2 (Pfizer/BioNTech) in a large retrospective study (N=1,650,885) in a period of high Alpha prevalence from 18 January to 25 April 2021. Crossover between groups was permitted. The median time since final vaccination dose was ten weeks. Effectiveness estimates were adjusted for gender, age, co-morbidity, geographical statistical area and calendar week. Vaccine effectiveness estimates were 93.4% (95% CI 91.9 to 94.7) and 91.1% (95% CI 86.7 to 94.1) for hospitalisation and COVID-19 related mortality, respectively. VE versus any infection was 93% (95% CI 92.6 to 93.4). VE point estimates for hospitalisation and mortality among those with hypertension, diabetes or obesity were not appreciably different from that of the overall population, with no significant differences observed, though the adjusted estimates are not reported. However, adjusted VE rates for infection were lower for study participants with hypertension (89.7%, CI: 88.6 to 91.7), diabetes (88.9%, CI: 87.3 to 90.2) and obesity (89.7%, 88.6 to 90.7) than total population VE for infection (93%, CI: 92.6 to 93.4), and this difference was found to be significant given the non-overlapping 95% confidence intervals.

#### **Qatar**

In a preprint, Chemaitelly et al.<sup>(46)</sup> reported on vaccine effectiveness estimates from Qatar in a retrospective cohort study of prior kidney transplant recipients receiving immunosuppressants with no prior RT-PCR confirmed infection. Out of 782 recipients, 506 were fully vaccinated at the index date or crossed over during the study period. The mean time since vaccination was ten weeks. The study was conducted during a period dominated by the Alpha and Beta variants. VE estimates were adjusted for age, sex, nationality group and competing risks. The observed VE

for severe disease was 72.3% (95% CI 0.0 to 90.9) and 83.8% (95% CI 31.3 to 96.2) at  $\geq$ 14 and  $\geq$ 56 days after the second dose, respectively (Figure 9). For any confirmed SARS-CoV-2 infection, VE was 46.6% (95% CI 0.0 to 73.7) and 73.9% (95% CI 33.0 to 89.9) at  $\geq$ 14 and  $\geq$ 56 days after the second dose, respectively. No COVID-19 deaths occurred. The authors note that the build-up of vaccine protection mirrored the slow development of antibodies in transplant recipients that has been previously reported. However care must be taken when interpreting the analysis as there were limited adjustments for potential confounders, such as calendar time.

Figure 9: Vaccine effectiveness against severe/critical/fatal disease over time, as reported by Chemaitelly et al.



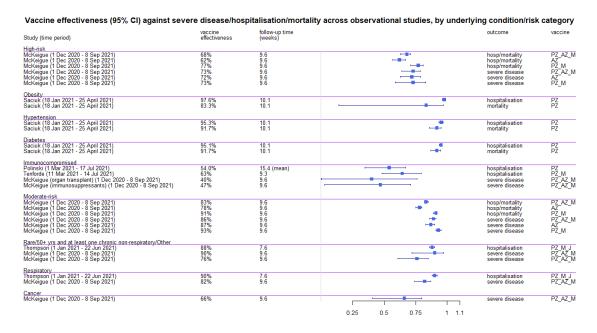
Key: M - mRNA-1273 (Moderna), PZ - BNT162b2 (Pfizer/BioNTech).

# Summary of vaccine effectiveness in those with underlying conditions across observational studies

Five of the included observational studies reported outcomes for cumulative vaccine effectiveness against severe disease, hospitalisation or mortality specifically in those with underlying conditions or in risk categories (Figure 10).<sup>(35, 41, 49, 54, 55)</sup>
Comparisons across observational studies are challenging given the differences in populations, analytical methods and vaccines, as well as the limited number of studies for each individual condition. In addition, the confidence intervals are wide and overlapping indicating a large degree of uncertainty. However, certain trends

can be observed, such as the consistently lower vaccine effectiveness against all outcomes (hospitalisation/mortality and severe disease) for all examined vaccines (BNT162b2 (Pfizer/BioNTech) and mRNA-1273 (Moderna) and or ChAdOx1 (AstraZeneca)) in high-risk compared with moderate-risk groups as reported by McKeigue et al..<sup>(49)</sup> Of note, across studies, those with immunocompromising conditions were found to have consistently lower vaccine effectiveness against hospitalisation<sup>(35, 55)</sup> or severe disease.<sup>(49)</sup>

Figure 10: Cumulative vaccine effectiveness against severe disease, hospitalisation or mortality, by underlying condition/risk category, across observational studies

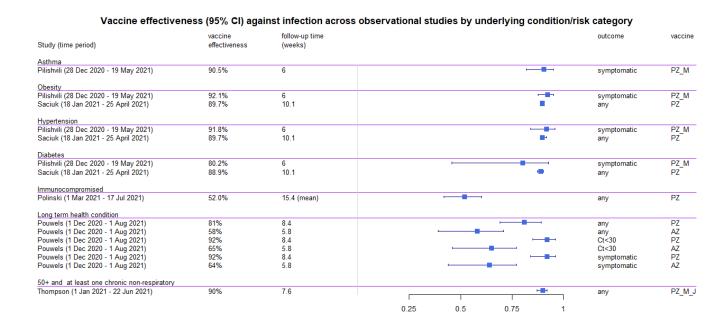


Key: AZ – ChAdOx1 (Astra Zeneca), hosp – hospitalisation, M – mRNA-1273(Moderna), PZ – BNT162b2 (Pfizer/BioNTech).

Five studies reported outcomes for cumulative vaccine effectiveness against SARS-CoV-2 infection, specifically in those with underlying conditions or in risk categories (Figure 11). (35, 41, 51, 53, 54) Limited inferences about individual conditions can be made from this forest plot given the issues relating to the heterogeneity of the studies as outlined above. However, substantially lower vaccine effectiveness against any SARS-CoV-2 infection is apparent among those with immunocompromising conditions. (35) Results from the study by Pouwels et al., which reported effectiveness in those with a range of long term health conditions, suggest lower vaccine effectiveness with the ChAdOx1 (AstraZeneca) vaccine compared with the BNT162b2 (Pfizer/BioNTech) vaccine against all three SARS-CoV-2 infection outcomes (any

infection, Ct value <30 or symptomatic infection).<sup>(53)</sup> However, there were differences in the populations who received the different vaccines.

Figure 11: Cumulative vaccine effectiveness against SARS-CoV-2 infection, by underlying condition/risk category, across observational studies



Key: AZ – ChAdOx1 (Astra Zeneca), Ct – Cycle Threshold, J – Ad26.COV2.S, M – mRNA-1273(Moderna), PZ – BNT162b2 (Pfizer/BioNTech).

## **Quality of included effectiveness studies**

Quality appraisal was conducted using the NIH Quality Assessment Tools<sup>(26)</sup>

The quality appraisal of the six cohort studies, (35, 46, 48, 50, 53, 54) and the five case-control studies, (41, 44, 49, 51, 55) are described in Appendix B (Tables App.B1 and App.B2). Of the 11 observational studies, five were rated as good quality, (41, 44, 49, 51, 53) five were appraised as being of fair quality (46, 48, 50, 54, 55) and one of poor quality. (35)

The primary reasons for downgrading studies were for issues relating to measurement of the outcome (leading to outcome ascertainment bias) and confounding.

Outcome ascertainment bias can be a concern in studies of vaccine effectiveness as individuals aware of their vaccinated status may alter their testing behaviour. Routine testing regardless of vaccination or symptom status (such as that described in the study by Pouwels et al.<sup>(53)</sup>) reduces the likelihood of this bias. Outcome ascertainment bias is less of a concern for outcomes such as COVID-19 associated hospitalisation and death, thus studies were not automatically downgraded unless there were additional concerns.

Other concerns for which studies were downgraded related to unclear process for selecting the study population,<sup>(48)</sup> and inadequate controlling for confounders.<sup>(46, 48, 50)</sup>

Seven of the 11 observational studies are currently published as preprints, (35, 44, 46, 48-50, 54) and have not yet been formally peer-reviewed, raising additional concerns about overall quality and the potential for results to change prior to formal publication.

# **Discussion**

# **Summary of findings**

This review identified 18 reports describing 14 unique studies that examined vaccine efficacy or effectiveness in those with underlying conditions. Three of these studies were RCTs, (20, 21, 38, 45, 52, 56, 57) and the remaining 11 were observational study designs. (35, 41, 44, 46, 48-51, 53-55) All four currently licensed vaccines in Ireland were examined in the included studies, though the BNT162b2 (Pfizer/BioNTech) vaccine was the most commonly investigated in 12 of the 18 reports. (41, 44, 46, 48-56)

The aim of this review was to examine the change in efficacy and effectiveness of COVID-19 vaccination over time specifically in individuals with underlying health conditions. Overall, there was limited and inconsistent evidence regarding vaccine efficacy and effectiveness in those with underlying conditions. Across both primary (that is, severe disease and mortality) and secondary outcomes (that is, SARS-CoV-2 infection), overall vaccine efficacy or effectiveness in those with underlying conditions was found to be comparable to (41, 47, 48, 51, 52, 56) or lower than (35, 38, 44-46, 49, <sup>53, 55)</sup> that in the general population. Additionally, there was considerable uncertainty in the estimates with often wide and overlapping confidence intervals. There were also nuances in the data. For example, when stratified by age, any significant reduction in protection against severe disease or mortality in those with underlying conditions was limited to older adults (either >60 or  $\ge 65$  years), with protection in younger age groups with underlying conditions found to be largely comparable to that observed in the general population. (38, 44) Where those with immunocompromising conditions were analysed as a subgroup, vaccine effectiveness against both primary and secondary outcomes was found to be reduced. (35, 55) Hence it is unclear whether any reduction in vaccine efficacy or effectiveness in those with underlying conditions is driven by age or is specific to those with immunocompromising conditions.

There was some evidence that vaccine effectiveness, particularly against infection, waned over time in those with underlying conditions. (35, 44, 50, 53, 55) However, it is unclear whether this waning occurs any faster than in the general population. Based on data from Swedish nationwide registries, Nordstrom et al. who had up to nine months follow-up, reported greater reductions in vaccine effectiveness, particularly against infection, in those with underlying conditions, compared with the general population. (50) In a Public Health England study, greater reductions in vaccine effectiveness against hospitalisation were observed in those in a clinical risk group, compared with those not in a clinical risk group, but only in those who were 65 years and older. In the same study, the ChAdOx1 (AstraZeneca) vaccine was

associated with lower protection compared with BNT162b2 (Pfizer/BioNTech) vaccine across all age groups, particularly for those in clinical risk groups. Similarly, a study by the CDC only found significant differences in the rate of waning in those with immunocompromising conditions. In contrast, among a population of immunosuppressed kidney transplant recipients in Qatar, Chemaitelly et al. reported an initially low, but increasing protection over time, against severe, critical, or fatal COVID-19 disease, reaching 83.8% (95% CI, 31.3 to 96.2) eight weeks after the second dose of either BNT162b2 (Pfizer/BioNTech) or mRNA-1273 (Moderna) vaccine. The authors noted that most vaccine breakthrough infections occurred in the first few weeks after receiving the first and or second dose.

# Findings in context

The World Health Organization (WHO) have recommended that the primary goal of immunisation in the COVID-19 pandemic remains to protect against hospitalisation, severe disease and death. (63) Individuals with underlying conditions are at greater risk of severe disease outcomes following infection with SARS-CoV-2, and they constitute a larger proportion of the critical and hospitalised cases. Irish data from the Health Protection Surveillance Centre (HPSC) reports that 75% (n=195) of all COVID-19 cases admitted to intensive care units (ICU) in Ireland between 27 June and 2 October 2021 had an underlying condition. (64) An Irish study by Bennett et al. using HPSC data found chronic heart disease, a BMI ≥40kg/m<sup>2</sup> and male sex were associated with a significantly higher risk of mortality, hospitalisation and ICU admission. Additionally, diagnosis of a chronic neurological condition (OR 1.41; 95%) CI: 1.17 to 1.69), chronic kidney disease (OR 1.74; 95% CI:1.35 to 2.24) and cancer (OR 2.77; 95% CI:2.21 to 3.47) were significantly associated with a higher risk of mortality among all cases, with similar patterns of association observed for mortality among hospitalised cases. (65) In the Scottish study outlined by McKeigue et al., those with designated risk conditions or in a clinically extremely vulnerable group accounted for 88% of critical cases and 77% of hospitalised cases. (59)

Studies suggest that immunocompromised individuals who receive COVID-19 vaccination might not be as protected against severe COVID-19 outcomes as immunocompetent individuals. A study by Di Fusco et al. evaluated COVID-19 breakthrough infections among immunocompromised individuals. Of 1,277,747 individuals aged 16 years or older included in this study who received two BNT162b2 doses, a total of 225,796 (17.7%) were identified as immunocompromised. The proportion of breakthrough infections was three times higher in the immunocompromised cohort compared to the non-immunocompromised cohort

(N=374 (0.18%) vs. N=604 (0.06%); unadjusted incidence rates were 0.89 and 0.34 per 100 person-years, respectively). Organ transplant recipients had the highest incidence rate; those with greater than one immunocompromising conditions, antimetabolite medication usage, primary immunodeficiencies, and haematologic malignancies also had higher incidence rates compared to the overall immunocompromised cohort. Incidence rates in older (≥65 years old) immunocompromised individuals were generally higher than younger immunocompromised individuals (<65 years).

Individuals with a compromised immune system, as well as all those aged 60 years and above, were eligible for an additional or booster dose of the COVID-19 vaccine in Ireland, respectively, at the time of this review (4 November 2021). Evidence was found to suggest that reductions in effectiveness seen in those with underlying health conditions may largely be driven by older age or specifically those with immunocompromising conditions. Therefore, it is unclear if effectiveness is reduced in younger cohorts with non-immunocompromising underlying conditions. However, given the significant burden of SARS-CoV-2 infection on individuals with underlying conditions, any small decrease in protection is likely to have a substantial outsized impact in this population.

Five of the included observational studies stated that they were conducted when the Delta variant was dominant. (35, 44, 49, 50, 53) Two of these studies found that vaccine effectiveness was reduced with the Delta variant in comparison to the Alpha variant, (44, 53) two reported high levels of vaccine waning when Delta was the dominant variant, (49, 50) and one study reported high levels of protection despite widespread circulation of the Delta variant. However, it is uncertain whether this apparent reduction in vaccine effectiveness was due to waning vaccine-induced immunity over time, immune evasion properties of the Delta variant, or residual confounding in these observational studies. Of note, all of the RCTs were conducted prior to the emergence of the Delta variant.

# **Strengths and Limitations**

The main strength of the review is that it examines clinical outcomes in preference to biochemical outcomes such as antibody titres which do not necessarily predict reductions in effect over time. <sup>(69)</sup> In this way, primacy is given to outcomes that are of greater relevance to the public and policymakers. Another strength is the comprehensiveness of the evidence collated with regulatory reports examined to provide supplementary efficacy data on subgroups and mortality endpoints not available in the pivotal RCT publications.

This review is subject to several important limitations. These relate to the type of review conducted ('rapid review'), which was limited by the time constraints associated, and the biases considered likely to be present in the studies included in this review. Although efforts have been made to identify all available evidence from peer-reviewed and preprint publications, it is important to note that evidence is rapidly emerging in this area and that the conclusions of the review may change as further longer term studies are published.

Seven of the 11 observational studies are currently published as preprints.<sup>(46, 54, 70-81)</sup> Therefore, they have not yet been formally peer-reviewed, raising additional concerns about overall quality and the potential for results to change prior to formal publication. For preprints, it has been highlighted that while some of the details may change prior to formal publication and that there is a selective emphasis on particular results, preprint reports such as those identified in this review provide a partial and useful snapshot of the emerging literature.<sup>(69)</sup>

As it was beyond the scope of this review to conduct an analysis of the comparative efficacy and effectiveness of the COVID-19 vaccines, any differences observed between the vaccines need to be interpreted with caution. Differences in populations and study design can lead to differences in the estimated efficacy and effectiveness across studies.

Observational studies are prone to bias from lack of adjustment for known and unknown confounders. For example, vaccination status may lead to different behaviours between vaccinated and unvaccinated individuals and therefore different levels of exposure to the virus. Vaccinated individuals may have greater levels of socialisation and increased exposure to SARS-CoV-2 compared to the unvaccinated group due to perceived lower levels of risk after vaccination or because of differences in the local restrictions that apply. For example, in Israel only vaccinated individuals could obtain a green pass to attend large events and certain public spaces. (82) Conversely, individuals who choose not to be vaccinated may also have lower adherence to other COVID-19 mitigations measures such as the wearing of face masks. None of the studies identified were able to control for differences in behaviours that may lead to differences in the levels of exposure to the virus between groups. Test-negative designs such as that applied by Public health England<sup>(44)</sup> and Thompson et al., (US study)<sup>(83)</sup> which compare the vaccination status of people who tested positive and those who tested negative, seek to reduce confounding due to health-seeking behaviour. However, they do not prevent distortion of results due to collider bias, as the probability that individuals who have a mild infection will be tested may be influenced by their vaccination status. (69)

Estimating changes in effectiveness over time in real-world observational studies is difficult for several reasons. The comprehensive rollout of COVID-19 vaccines during the conduct of all the included observational studies led to low numbers in the unvaccinated group, making any comparison between groups less certain over time. Furthermore, the vaccine rollout schedule varied by country, with typically those at highest risk either due to high risk of exposure (healthcare workers) or risk of severe disease outcomes offered vaccination earlier than those deemed to be at lower risk. It is also important to consider the potential impact of the emergence of new variants or the prevalence of existing variants of concern on estimates of the duration of vaccine effectiveness. Changing levels of societal restrictions may also impact on the estimates of vaccine effectiveness over time. A relaxation of restrictions potentially increases the likelihood of exposure to SARS-CoV-2, whereas the implementation of stricter guidance may limit exposure. Where restrictions are applied differently to vaccinated and unvaccinated individuals, this may lead to a lack of comparable exposure levels between groups and thus bias the estimates. Additionally, the time-dependent nature of restrictions and their interaction with the level of the virus circulating in the community, will also have an impact when estimating the duration of effectiveness, as the exposure levels between groups may change over time.

Specifically in relation to examining vaccine efficacy and effectiveness in those with underlying conditions, a particular limitation is the smaller sample size of these subgroups. As a result, substantial uncertainty in the estimates was observed, with wide and overlapping confidence intervals noted, particularly as the length of follow-up increased, or the size of the group decreased. Longer follow-up of larger cohorts are required to provide better information regarding long term vaccine effectiveness in those with underlying conditions.

# **Evidence gaps**

The following were the main evidence gaps identified on this topic:

- vaccine efficacy or effectiveness in those with underlying conditions (combined and as individual conditions) beyond six months
- comparative effectiveness between different vaccines in those with underlying conditions
- the impact of new variants of concern on vaccine efficacy or effectiveness.

## Conclusion

Overall, the evidence suggests that vaccination against COVID-19 continues to provide robust protection against severe disease and mortality for at least six months post-vaccination. However, there are data to suggest potential waning of vaccine effectiveness for severe disease, mortality and any infection in individuals with underlying conditions, particularly for those aged 65 years and older, and in those with immunocompromising conditions. National and international data support a higher risk of severe disease outcomes in older individuals and those with underlying health conditions. Given this, and the noted lower initial vaccine efficacy and effectiveness for these populations in many of the included studies, any additional reduction in effect would be of concern. Generally, there is ongoing uncertainty regarding a number of factors including the durability of protective immunity beyond six months, the comparative effectiveness of the vaccines and the impact of new variants of concern. It is important to note that evidence is rapidly emerging in this area and that the conclusions of this review may change as longer-term studies are published.

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# **Appendix A Excluded studies with reasons**

Table App.A6.1 (Original version 30 September 2021, n=406 studies)

Study	Title	DOI	Reason for exclusion
	Neutralizing antibody responses to SARS-CoV-2 variants		
	in vaccinated Ontario long-term care home residents	10.1101/2021.08.06	Exclusion reason:
Abe 2021	and workers	.21261721	Wrong outcomes;
	Effectiveness of the BNT162b2 Covid-19 Vaccine against	10.1056/NEJMc2104	Exclusion reason:
Abu-Raddad 2021	the B.1.1.7 and B.1.351 Variants	974	Opinion piece;
		http://dx.doi.org/10.	
	COVID-19 vaccination in patients with multiple sclerosis:	1177/135245852110	Exclusion reason:
Achiron 2021	What we have learnt by February 2021	03476	Insufficient follow-up;
		http://dx.doi.org/10.	
	Immunogenicity of SARS-CoV-2 messenger RNA	1016/j.ccell.2021.06	Exclusion reason:
Addeo 2021	vaccines in patients with cancer	.009	Wrong outcomes;
		http://dx.doi.org/10.	
	COVID-19 Vaccination in Pregnant and Lactating	1001/jama.2021.16	Exclusion reason:
Adhikari 2021	Women	58	Wrong study design;
	A randomized, double-blind, placebo-controlled phase		
	III clinical trial to evaluate the efficacy and safety of		
	SARS-CoV-2 vaccine (inactivated, Vero cell): a	http://dx.doi.org/10.	
	structured summary of a study protocol for a	1186/s13063-021-	Exclusion reason:
Akova 2021	randomised controlled trial	05180-1	Wrong intervention;
	Emerging Variants of SARS-CoV-2 And Novel Therapeutics Against Coronavirus		Exclusion reason:
Aleem 2021	(COVID-19)		Opinion piece;
		_	Exclusion reason:
	Effectiveness of COVID-19 Vaccines: Eight Months Post	10.1101/2021.09.18	Wrong intervention
Alharbi 2021	Single Dose Vaccination	.21263262	AZD1222 vaccines

			between 19th
			December 2020 and
			14th April 2021;
	Previous COVID-19 infection and antibody levels after	10.1101/2021.09.04	Exclusion reason:
Ali 2021	vaccination	.21263121	Wrong outcomes;
	Efficacy of new treatment modalities in patients with covid-19, qaemshahar		Exclusion reason:
Alikhani 2021	razi hospital 2020		Wrong intervention;
	Effect of 2 Inactivated SARS-CoV-2 Vaccines on	http://dx.doi.org/10.	
	Symptomatic COVID-19 Infection in Adults: A	1001/jama.2021.85	Exclusion reason:
AlKaabi 2021	Randomized Clinical Trial	65	Wrong intervention;
	The Impact of COVID-19 Vaccine on Rate of		Exclusion reason:
	Hospitalization and Outcome of COVID-19 Infection in a	10.21203/rs.3.rs-	Insufficient Sample
Alkhafaji 2021	Single Center in the Eastern Province of Saudi Arabia	903562/v1	Size;
		http://dx.doi.org/10.	
	Assessing Vaccine Protection for Older Adults with	1177/019394592110	
Almasri 2021	Diabetes: A Systematic Review	05710	Exclusion reason:
	Morbidity and mortality from COVID-19 post-vaccination by		
AIO II : 2024	infections in association with vaccines and the emergence of variants in		
AlQahtani 2021	Bahrain		Exclusion reason:
	COVID 10 V	http://dx.doi.org/10.	F l i a
AL O 2021	COVID-19 Vaccination Acceptance and Its Associated	3389/fpubh.2021.63	Exclusion reason:
Al-Qerem 2021	Factors Among a Middle Eastern Population	2914	Wrong outcomes;
	Antibodica responses to save say 2 in a large sebert of	http://dx.doi.org/10.	Evelucion reason.
Amadia 2021	Antibodies responses to sars-cov-2 in a large cohort of	3390/vaccines90707 14	Exclusion reason:
Amodio 2021	vaccinated subjects and seropositive patients		Insufficient follow-up;
Androiko 2021	Prevention of COVID-19 by mRNA-based vaccines within	10.1101/2021.04.08 .21255135	Exclusion reason:
Andrejko 2021	the general population of California Association between Vaccination with BNT162b2 and		Insufficient follow-up;
		http://dx.doi.org/10. 1001/jama.2021.71	Exclusion reason:
Angol 2021	Incidence of Symptomatic and Asymptomatic SARS-CoV-	52	
Angel 2021	2 Infections among Healthcare Workers	JL	Insufficient follow-up;

		http://dx.doi.org/10.	
	Experts Discuss COVID-19: Vaccine Allocation, Placebo	1001/jama.2020.24	Exclusion reason:
Anonymous 2020	Groups, and More	075	Wrong study design;
	Correction to Lancet Infect Dis 2021; published online		
	June 23. https://doi.org/10.1016/ S1473-	http://dx.doi.org/10.	
	3099(21)00330-3 (The Lancet Infectious Diseases,	1016/S1473-	Fralucian vacana
Anonymous 2021	(\$1473309921003303), (10.1016/\$1473-	3099%2821%29003 97-2	Exclusion reason:
Anonymous 2021	3099(21)00330-3)) Risk factors and disease profile of post-vaccination	http://dx.doi.org/10.	Wrong intervention;
	SARS-CoV-2 infection in UK users of the COVID	1016/S1473-	
	Symptom Study app: a prospective, community-based,	3099%2821%29004	Exclusion reason:
Antonelli 2021	nested, case-control study	60-6	Insufficient follow-up;
	Patients receiving nucleoside reverse transcriptase inhibitor	ors at lower risk of	Exclusion reason:
Antrim 2021	COVID-19		Wrong intervention;
. 2024	Estimating real-world COVID-19 vaccine effectiveness in	10.1101/2021.02.05	Exclusion reason:
Aran 2021	Israel using aggregated counts	.21251139	Wrong comparator;
	Repeat positive SARS-CoV-2 RNA testing in nursing home residents during the initial 9 months of the		
	COVID-19 pandemic: an observational retrospective	10.1016/j.lana.2021	Exclusion reason:
Armstrong 2021	analysis	.100054	Wrong intervention;
7 amod ong 2021	anarysis	http://dx.doi.org/10.	Triong intervention,
	BNT162b2 vaccine uptake and effectiveness in UK	1038/s41467-021-	Exclusion reason:
Azamgarhi 2021	healthcare workers - a single centre cohort study	23927-x	Wrong intervention;
	Covid-19 in the Phase 3 Trial of mRNA-1273 During the	10.1101/2021.09.17	Exclusion reason:
Baden 2021	Delta-variant Surge	.21263624	Wrong intervention;
	Vaccination reduces need for emergency care in		Exclusion reason: Time
D 11 2024	breakthrough COVID-19 infections: A multicenter cohort	10.1016/j.lana.2021	since vaccination
Bahl 2021	study	.100065	unclear;
Delicer 2021	Effectiveness of the BNT162b2 mRNA COVID-19 Vaccine	10.21203/rs.3.rs-	Exclusion reason:
Balicer 2021	in Pregnancy	665725/v1	Insufficient follow-up;

	Medical students and risk of COVID-19 infection: A	http://dx.doi.org/10.	
	descriptive cross-sectional study from the University of	1016/j.amsu.2021.1	Exclusion reason:
BaniHani 2021	Jordan	02775	Wrong intervention;
	Comparative analysis of human immune responses		
	following SARS-CoV-2 vaccination with BNT162b2,	10.1101/2021.09.21	Exclusion reason:
Barbeau 2021	mRNA-1273, or Ad26.COV2.S	.21262927	Wrong outcomes;
	Effectiveness of COVID-19 Vaccines Against SARS-CoV-2		Exclusion reason: Time
	Infection During a Delta Variant Epidemic Surge in	10.1101/2021.08.30	since vaccination
Barlow 2021	Multnomah County, Oregon, July 2021	.21262446	unclear;
	BNT162b2 vaccine booster dose protection: A	10.1101/2021.08.27	Exclusion reason:
Bar-On 2021	nationwide study from Israel	.21262679	Wrong intervention;
	Effectiveness of vaccination against SARS-CoV-2		
	infection and Covid-19 hospitalization among Finnish	10 1101/2021 06 21	Exclusion reason: Time
Daving 2021	elderly and chronically ill â€" An interim analysis of a	10.1101/2021.06.21	since vaccination
Baum 2021	nationwide cohort study	.21258686	unclear;
	Waning of IgG, total and neutralizing antibodies 6 months post-vaccination with BNT162b2 in healthcare	10.21203/rs.3.rs-	Exclusion reason:
Bayart 2021	workers	862966/v1	Wrong outcomes;
Dayart 2021	Safety and efficacy of the mRNA BNT162b2 vaccine	002300/VI	wrong outcomes,
	against SARS-CoV-2 in five groups of		
	immunocompromised patients and healthy controls in a	10.1101/2021.09.07	Exclusion reason:
Bergman 2021	prospective open-label clinical trial	.21263206	Wrong outcomes;
J	Estimating the effectiveness of first dose of COVID-19		,
	vaccine against mortality in England: a quasi-	10.1101/2021.07.12	Exclusion reason:
Bermingham 2021	experimental study	.21260385	Wrong intervention;
			Exclusion reason: Time
	Effectiveness of COVID-19 vaccines against the	10.1101/2021.05.22	since vaccination
Bernal 2021	B.1.617.2 variant	.21257658	unclear;

	Effectiveness of BNT162b2 mRNA vaccine and ChAdOx1		Exclusion reason: Time
	adenovirus vector vaccine on mortality following COVID-	10.1101/2021.05.14	since vaccination
Bernal 2021	19	.21257218	unclear;
	Early effectiveness of COVID-19 vaccination with		
	BNT162b2 mRNA vaccine and ChAdOx1 adenovirus	10 1101/2021 02 01	Evaluaian vanaan.
Bernal 2021	vector vaccine on symptomatic disease, hospitalisations and mortality in older adults in England	10.1101/2021.03.01 .21252652	Exclusion reason: Insufficient follow-up;
Derriai 2021	Antibody and t cell response to sars-cov-2 messenger	http://dx.doi.org/10.	Trisumcient follow-up,
	rna bnt162b2 vaccine in kidney transplant recipients and	1681/ASN.20210404	Exclusion reason:
Bertrand 2021	hemodialysis patients	80	Wrong outcomes;
	Evaluation of the dose-effect association between the		
	number of doses and duration since the last dose of		
	COVID-19 vaccine, and its efficacy in preventing the	http://dx.doi.org/10.	Exclusion reason:
	disease and reducing disease severity: A single centre,	1016/j.dsx.2021.102	Insufficient Sample
Bhattacharya 2021	cross-sectional analytical study from In	238	Size;
	BNT162b2 mRNA COVID-19 Vaccine Effectiveness in the	10 1000 /: 6 /: /:: 1 2	Exclusion reason: Time
Diamahi 2021	Prevention of SARS-CoV-2 Infection: A Preliminary	10.1093/infdis/jiab2	since vaccination
Bianchi 2021	Report	62	unclear;
	Effectiveness of the BNT162b2 vaccine in preventing	10 1101/2021 04 20	Exclusion reason:
Björk 2021	COVID-19 in the working age population â€" first results from a cohort study in Southern Sweden	10.1101/2021.04.20 .21254636	Insufficient follow-up;
DJA    IK 2021	Prior Covid-19 and high RBD-IgG levels correlate with	.2123 1030	insumment follow up,
	protection against VOC-δ SARS-CoV-2 infection in	10.1101/2021.09.21	Exclusion reason:
Blain 2021	vaccinated nursing home residents	.21263880	Wrong outcomes;
	Antibody response after one and two jabs of the		
	BNT162b2 vaccine in nursing home residents: The	http://dx.doi.org/10.	Exclusion reason:
Blain 2021	CONsort-19 study	1111/all.15007	Wrong outcomes;
			Exclusion reason: Time
DI : 11 2024	The Delta Variant Had Negligible Impact on COVID-19	10.1101/2021.09.18	since vaccination
Blaiszik 2021	Vaccine Effectiveness in the USA	.21263783	unclear;

	Antibody Responses 3-5 Months Post-Vaccination with	10.1101/2021.08.17	Exclusion reason:
Breznik 2021	mRNA-1273 or BNT163b2 in Nursing Home Residents	.21262152	Wrong outcomes;
	Effectiveness of the Pfizer-BioNTech COVID-19 Vaccine		
	Among Residents of Two Skilled Nursing Facilities	http://dx.doi.org/10.	
	Experiencing COVID-19 Outbreaks - Connecticut,	15585/mmwr.mm70	Exclusion reason:
Britton 2021	December 2020-February 2021	11e3	Insufficient follow-up;
	Seroprevalence of antibody to S1 spike protein following	http://dx.doi.org/10.	Exclusion reason:
	vaccination against COVID-19 in patients receiving	1016/j.kint.2021.04.	Insufficient Sample
Brillany 2021	hemodialysis: a call to arms	008	Size;
	Humoral and Cellular Responses to mRNA-1273 and	http://dx.doi.org/10.	_
	BNT162b2 SARS-CoV-2 Vaccines Administered to	1053/j.ajkd.2021.06	Exclusion reason:
Broseta 2021	Hemodialysis Patients	.002	Wrong outcomes;
	BNT162b2 vaccine breakthrough: clinical characteristics	http://dx.doi.org/10.	
	of 152 fully vaccinated hospitalized COVID-19 patients in	1016/j.cmi.2021.06.	Exclusion reason:
Brosh-Nissimov 2021	Israel	036	Insufficient follow-up;
	Real-World Effectiveness of COVID-19 Vaccines: the	_	_
	Diverging Pattern of COVID-19 Cases and Deaths in	10.2139/ssrn.38637	Exclusion reason:
Bukhari 2021	Countries with High Vaccination Rates	50	Wrong comparator;
			Exclusion reason: Time
	COVID-19 Vaccines are Effective in People with Obesity:	http://dx.doi.org/10.	since vaccination
Butsch 2021	A Position Statement from The Obesity Society	1002/oby.23251	unclear;
			Exclusion reason: Time
	Effectiveness of the SARS-CoV-2 mRNA Vaccines in	10.21203/rs.3.rs-	since vaccination
Butt 2021	Pregnant Women	622782/v1	unclear;
	SARS-CoV-2 Vaccine Effectiveness in a High-Risk	_	Exclusion reason:
Butt 2021	National Population in a Real-World Setting	10.7326/M21-1577	Insufficient follow-up;
	Associations of BNT162b2 vaccination with SARS-CoV-2		
	infection and hospital admission and death with covid-		
	19 in nursing homes and healthcare workers in		Exclusion reason:
Cabezas 2021	Catalonia: prospective cohort study	10.1136/bmj.n1868	Wrong comparator;

	Multiple sclerosis, disease-modifying therapies and	http://dx.doi.org/10.	
	COVID-19: A systematic review on immune response	3390/vaccines90707	Exclusion reason:
Cabreira 2021	and vaccination recommendations	73	Wrong study design;
	A comprehensive analysis of the efficacy and safety of	10.1016/j.ymthe.20	Exclusion reason:
Cai 2021	COVID-19 vaccines	21.08.001	Wrong study design;
	Significant reduction in humoral immunity among		
	healthcare workers and nursing home residents 6	10.1101/2021.08.15	Exclusion reason:
Canaday 2021	months after COVID-19 BNT162b2 mRNA vaccination	.21262067	Wrong outcomes;
•	Genetic mismatch explains sizable variation of COVID-19	10.1101/2021.04.22	Exclusion reason:
Cao 2021	vaccine efficacy in clinical trials	.21254079	Wrong outcomes;
	Single-dose mRNA vaccine effectiveness against SARS-		
	CoV-2 in healthcare workers extending 16 weeks post-		
	vaccination: a test-negative design from Quebec,	10.1101/2021.07.19	Exclusion reason:
Carazo 2021	Canada	.21260445	Wrong intervention;
		http://dx.doi.org/10.	_
	COVID-19 Vaccines: Preparing for Vaccination in the	1188/21.CJON.76-	Exclusion reason:
Carr 2021	Context of Clinical Oncology Care	84	Wrong study design;
	COVID-19 Vaccine Breakthrough Infections Reported to	10.15585/mmwr.m	Exclusion reason:
CDC 2021	CDC - United States, January 1-April 30, 2021	m7021e3	Wrong comparator;
	Immunogenicity of the BNT162b2 COVID-19 mRNA	http://dx.doi.org/10.	
	vaccine and early clinical outcomes in patients with	1016/S2352-	
	haematological malignancies in Lithuania: a national	3026%2821%29001	Exclusion reason:
Cekauskiene 2021	prospective cohort study	69-1	Wrong outcomes;
	Influence of age on the effectiveness and duration of	10.1101/2021.08.21	Exclusion reason:
Cerqueira-Silva 2021	protection in Vaxzevria and CoronaVac vaccines	.21261501	Wrong comparator;
		http://dx.doi.org/10.	
	Influenza vaccination and interruption of methotrexate	1016/S2665-	
	in adult patients in the COVID-19 era: an ongoing	9913%2820%29303	Exclusion reason:
Chambers 2021	dilemma	92-1	Wrong intervention;

	Immunogenicity of the Ad26.COV2.S Vaccine for COVID-	http://dx.doi.org/10. 1001/jama.2021.36	Exclusion reason: Insufficient Sample
Chandrashekar 2021	19	45	Size;
	Comparison of the immune responses of renal		
	transplant recipients after COVID-19 versus SARS-CoV2	http://dx.doi.org/10.	Exclusion reason:
Charmetant 2021	vaccination	1111/tri.13944	Wrong outcomes;
	Comparative Analysis of Susceptibility and Severity of	http://dx.doi.org/10.	
Cl   2024	COVID-19 in Countries from the Eastern and the	1177/117863612110	Exclusion reason:
Chawla 2021	Western World Till March '21	41367	Wrong study design;
	mRNA-1273 COVID-19 vaccine effectiveness against the	http://dx.doi.org/10.	Exclusion reason: Time
Champitally 2021	B.1.1.7 and B.1.351 variants and severe COVID-19	1038/s41591-021-	since vaccination
Chemaitelly 2021	disease in Qatar	01446-y	unclear;
Chan 2021	Differential antibody dynamics to SARS-CoV-2 infection and vaccination	10.1101/2021.09.09 .459504	Exclusion reason:
Chen 2021		.439304	Wrong outcomes;
	Prediction of long-term kinetics of vaccine-elicited neutralizing antibody and time-varying vaccine-specific		
	efficacy against the SARS-CoV-2 Delta variant by clinical	10.1101/2021.09.23	Exclusion reason:
Chen 2021	endpoint	.21263715	Wrong outcomes;
CHOIL EGET	Спарот	10.1101/2021.08.26	Exclusion reason:
Chen 2021	Prediction of vaccine efficacy of the Delta variant	.21262699	Wrong outcomes;
	Safety of the ChAdOx1 nCoV-19 and the BBV152	http://dx.doi.org/10.	,
	vaccines in 724 patients with rheumatic diseases: a	1007/s00296-021-	Exclusion reason:
Cherian 2021	post-vaccination cross-sectional survey	04917-0	Wrong outcomes;
	Effectiveness of COVID-19 Vaccines among Incarcerated		
	People in California State Prisons: A Retrospective	10.1101/2021.08.16	Exclusion reason:
Chin 2021	Cohort Study	.21262149	Insufficient follow-up;
	The Effectiveness of the First Dose of BNT162b2		
	Vaccine in Reducing SARS-CoV-2 Infection: Real-World	10.2139/ssrn.37699	Exclusion reason:
Chodick 2021	Evidence	77	Insufficient follow-up;

	The effectiveness of the first dose of BNT162b2 vaccine		
Cl. 1: 1 2024	in reducing SARS-CoV-2 infection 13-24 days after	10.1101/2021.01.27	Exclusion reason:
Chodick 2021	immunization: real-world evidence	.21250612	Insufficient follow-up;
	A preliminary report of a randomized controlled phase 2	http://dx.doi.org/10.	
	trial of the safety and immunogenicity of mRNA-1273	1016/j.vaccine.2021	Exclusion reason:
Chu 2021	SARS-CoV-2 vaccine	.02.007	Wrong outcomes;
	Effectiveness of BNT162b2 and mRNA-1273 COVID-19		
	vaccines against symptomatic SARS-CoV-2 infection and		
	severe COVID-19 outcomes in Ontario, Canada: a test-	10.1101/2021.05.24	Exclusion reason:
Chung 2021	negative design study	.21257744	Duplicate;
	Effectiveness of BNT162b2 and mRNA-1273 COVID-19		
	Vaccines Against Symptomatic SARS-CoV-2 Infection	10.2139/ssrn.38459	Exclusion reason:
Chung 2021	and Severe COVID-19 Outcomes in Ontario, Canada	93	Insufficient follow-up;
_	Efficacy of ChAdOx1 nCoV-19 (AZD1222) vaccine		Exclusion reason: Time
	against SARS-CoV-2 lineages circulating in Brazil; an	10.21203/rs.3.rs-	since vaccination
Clemens 2021	exploratory analysis of a randomised controlled trial	654257/v1	unclear;
Committee for	European Medicines Agency. European Public	https://www.ema.e	Exclusion reason:
Medicinal Products for	Assessment Report: Pfizer/BioNTech (22 July 2021)	uropa.eu/en/docum	Insufficient follow-up;
Human Use (CHMP)	, , , ,	ents/variation-	• ,
,		report/comirnaty-h-	
		c-5735-ii-0030-epar-	
		assessment-report-	
		variation_en.pdf	
Committee for	European Medicines Agency, European Public	https://www.ema.e	Exclusion reason:
Medicinal Products for	Assessment Report: Pfizer/BioNTech (19 February 2021)	uropa.eu/en/docum	Insufficient follow-up;
Human Use (CHMP)		ents/assessment-	, ,
		report/comirnaty-	
		epar-public-	
		assessment-	
		report_en.pdf	
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Committee for Medicinal Products for Human Use (CHMP)	CHMP extension of indication variation assessment report: SpikeVax	https://www.ema.e uropa.eu/en/docum ents/variation- report/spikevax- previously-covid-19- vaccine-moderna- epar-chmp- extension- indication-variation- assessment_en.pdf	Exclusion reason: Insufficient follow-up;
Committee for Medicinal Products for Human Use (CHMP)	European Medicines Agency. European Public Assessment Report: COVID-19 Vaccine Janssen	https://www.ema.e uropa.eu/en/docum ents/assessment- report/covid-19- vaccine-janssen- epar-public- assessment- report_en.pdf	Exclusion reason: Insufficient follow-up;
Committee for Medicinal Products for Human Use (CHMP)	European Medicines Agency. European Public Assessment Report: COVID-19 Vaccine AstraZeneca	https://www.ema.e uropa.eu/en/docum ents/assessment- report/vaxzevria- previously-covid-19- vaccine- astrazeneca-epar- public-assessment- report_en.pdf	Exclusion reason: Insufficient follow-up;
Consortium 2021	Association of COVID-19 vaccines ChAdOx1 and BNT162b2 with major venous, arterial, and	10.1101/2021.08.18 .21262222	Exclusion reason: Wrong outcomes;

		T	
	thrombocytopenic events: whole population cohort		
	study in 46 million adults in England		
Corchado-Garcia	Real-World Effectiveness of Ad26.COV2.S Adenoviral	10.2139/ssrn.38357	Exclusion reason:
2021	Vector Vaccine for COVID-19	37	Insufficient follow-up;
	EASL position paper on the use of COVID-19 vaccines in	http://dx.doi.org/10.	• •
	patients with chronic liver diseases, hepatobiliary cancer	1016/j.jhep.2021.01	Exclusion reason:
Cornberg 2021	and liver transplant recipients	.032	Wrong outcomes;
COVID-19 National			,
Incident Room		http://dx.doi.org/10.	
Surveillance Team	COVID-19 Australia: Epidemiology Report 44: Reporting	33321/cdi.2021.45.3	Exclusion reason:
(Australia) 2021	period ending 20 June 2021	4	Wrong study design;
COVID-19 National	points simily as same act		The sing education are significant.
Incident Room	COVID-19 Australia: Epidemiology Report 43 Reporting	http://dx.doi.org/10.	
Surveillance Team	period ending 6 June 2021 - Reporting period ending 6	33321/cdi.2021.45.3	Exclusion reason:
(Australia) 2021	June 2021	3	Wrong study design;
COVID-19 National	Julic 2021	3	Wrong study design,
Incident Room		http://dx.doi.org/10.	
Surveillance Team	COVID 10 Australia, Enidemiology Report 42 Reporting	33321/cdi.2021.45.3	Exclusion reason:
	COVID-19 Australia: Epidemiology Report 42 Reporting	•	
(Australia) 2021	period ending 23 May 2021	0	Wrong study design;
COVID-19 National		1.11 // 1.11 // // // // // // // // // // // // /	
Incident Room	00/75 40 4 4 1/2 5 1/4 5 1/4 5 1/4	http://dx.doi.org/10.	
Surveillance Team	COVID-19 Australia: Epidemiology Report 41: Reporting	33321/cdi.2021.45.2	Exclusion reason:
(Australia) 2021	period ending 9 May 2021	6	Wrong study design;
COVID-19 National			
Incident Room		http://dx.doi.org/10.	
Surveillance Team	COVID-19 Australia: Epidemiology Report 40: Reporting	33321/cdi.2021.45.2	Exclusion reason:
(Australia) 2021	period ending 25 April 2021	5	Wrong study design;
		http://dx.doi.org/10.	
COVID-19 National	COVID-19 Australia: Epidemiology Report 38 Reporting	33321/cdi.2021.45.1	Exclusion reason:
Incident Room	period ending 28 March 2021	9	Insufficient follow-up;

Surveillance Team (Australia) 2021			
Cox 2021	An observational cohort study on the incidence of SARS-CoV-2 infection and B.1.1.7 variant infection in healthcare workers by antibody and vaccination status	http://dx.doi.org/10. 1093/cid/ciab608	Exclusion reason: Insufficient follow-up;
Cromer 2021	SARS-CoV-2 variants: levels of neutralisation required for protective immunity	10.1101/2021.08.11 .21261876	Exclusion reason: Wrong outcomes;
Cuesta-Lazaro 2021	Vaccinations or Non-Pharmaceutical Interventions: Safe Reopening of Schools in England	10.1101/2021.09.07 .21263223	Exclusion reason: Wrong outcomes;
Cupaiolo 2021	Six-month interim analysis of ongoing immunogenicity surveillance of the mRNA-1273 vaccine in healthcare workers: A third dose is expected	http://dx.doi.org/10. 1016/j.jinf.2021.08. 031	Exclusion reason: Wrong outcomes;
Daghfal 2021	The initial impact of a national BNT162b2 mRNA COVID- 19 vaccine rollout	http://dx.doi.org/10. 1016/j.ijid.2021.05. 021	Exclusion reason: Insufficient follow-up;
D'Agostini 2021	What is the probability that a vaccinated person is shielded from Covid-19? A Bayesian MCMC based reanalysis of published data with emphasis on what should be reported as `efficacy'		Exclusion reason: Wrong study design;
Dailey 2021	Antibody Responses to SARS-CoV-2 after Infection or Vaccination in Children and Young Adults with Inflammatory Bowel Disease	10.1101/2021.06.12 .21258810	Exclusion reason: Wrong outcomes;
Dal-Re 2021	Being fair to participants in placebo-controlled COVID-19 vaccine trials	http://dx.doi.org/10. 1038/s41591-021- 01338-1	Exclusion reason: Wrong study design;
Damasceno 2021	The impact of Vaccination worldwide on SARS-CoV-2 infection: A Review on Vaccine Mechanisms, Results of Clinical Trials, Vaccinal Coverage and Interactions with Novel Variants	http://dx.doi.org/10. 2174/092986732866 6210902094254	Exclusion reason: Wrong study design;
Dean 2021	Hospital admissions due to COVID-19 in Scotland after one dose of vaccine	10.1016/S0140- 6736(21)00765-0	Exclusion reason: Opinion piece;

	The Cost-Effectiveness of a COVID-19 Vaccine in a	10.2139/ssrn.37733	Exclusion reason:
Debrabant 2021	Danish Context	81	Wrong outcomes;
	Immunological heterogeneity informs estimation of the durability of COVID-19		Exclusion reason:
deCellÃ"s 2021	vaccine protection		Wrong study design;
	Effect of Immunosuppression on the Immunogenicity of		
	mRNA Vaccines to SARS-CoV-2 : A Prospective Cohort	http://dx.doi.org/10.	Exclusion reason:
Deepak 2021	Study	7326/M21-1757	Wrong outcomes;
	Effectiveness of the mRNA BNT162b2 vaccine against		
	SARS-CoV-2 severe infections in the Israeli over 60		
	population: a temporal analysis done by using the	10.1101/2021.09.27	Exclusion reason:
DeLeo 2021	national surveillance data	.21264130	Wrong study design;
	Seasonal betacoronavirus antibodies expansion post		
	BNT161b2 vaccination associates with reduced SARS-	10.1101/2021.08.15	Exclusion reason:
Dispinseri 2021	CoV-2 VoCs neutralization	.21262000	Wrong outcomes;
	Neutralizing antibody responses to SARS-CoV-2 in		
	symptomatic COVID-19 is persistent and critical for	10.1038/s41467-	Exclusion reason:
Dispinseri 2021	survival	021-22958-8	Wrong outcomes;
D 10004	The BNT162b2 vaccine is associated with lower new	10 1111 () 17001	Exclusion reason:
Domi 2021	COVID-19 cases in nursing home residents and staff	10.1111/jgs.17224	Wrong intervention;
	Comparison of hospitalizations and deaths from COVID-	10.12688/f1000rese	Exclusion reason:
Donzelli 2021	19 2021 versus 2020 in Italy: surprises and implications	arch.73132.1	Wrong study design;
	The Impact of Vaccinations on COVID-19 Case Rates at	10.2139/ssrn.39273	Exclusion reason:
Doti 2021	the State Level	64	Wrong study design;
	Covid-19 vaccine: We are sleepwalking into a massive	http://dx.doi.org/10.	Exclusion reason:
Duncan 2020	prospective cohort study	1136/bmj.m4568	Wrong study design;
		http://dx.doi.org/10.	
	Neutralizing Antibodies against SARS-CoV-2 Variants	1001/jama.2021.43	Exclusion reason:
Edara 2021	after Infection and Vaccination	88	Wrong outcomes;
	Safety and immunogenicity of an inactivated SARS-CoV-	http://dx.doi.org/10.	Exclusion reason:
Ella 2021	2 vaccine, BBV152: interim results from a double-blind,	1016/S1473-	Wrong outcomes;

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	randomised, multicentre, phase 2 trial, and 3-month	3099%2821%29000	
	follow-up of a double-blind, randomised phase 1 trial	70-0	
		http://dx.doi.org/10.	
	Safety and immunogenicity of an inactivated SARS-CoV-	1016/S1473-	
	2 vaccine, BBV152: a double-blind, randomised, phase 1	3099%2820%29309	Exclusion reason:
Ella 2021	trial	42-7	Wrong outcomes;
	REACT-1 round 13 final report: exponential growth, high		
	prevalence of SARS-CoV-2 and vaccine effectiveness		
	associated with Delta variant in England during May to	10.1101/2021.09.02	Exclusion reason:
Elliott 2021	July 2021	.21262979	Wrong study design;
	Efficacy of ChAdOx1 nCoV-19 (AZD1222) vaccine	http://dx.doi.org/10.	<i>J , J ,</i>
	against SARS-CoV-2 variant of concern 202012/01	1016/S0140-	Exclusion reason: Time
	(B.1.1.7): an exploratory analysis of a randomised	6736%2821%29006	since vaccination
Emary 2021	controlled trial	28-0	unclear;
Lindi y LoLi	Efficacy of ChAdOx1 nCoV-19 (AZD1222) Vaccine	10.2139/ssrn.37791	Exclusion reason:
Emary 2021	Against SARS-CoV-2 VOC 202012/01 (B.1.1.7)	60	Insufficient follow-up;
Lindry 2021	The impact of SARS-CoV-2 vaccination on Alpha	10.1101/2021.09.28	Exclusion reason:
Eyre 2021	& amp; amp; Delta variant transmission	.21264260	Wrong study design;
Lyle 2021			Wrong study design,
	Risk of SARS-CoV-2 infection and subsequent hospital	http://dx.doi.org/10.	
	admission and death at different time intervals since	2807/1560-	Forthering was a second
F 1: : 2024	first dose of COVID-19 vaccine administration, Italy, 27	7917.ES.2021.26.25	Exclusion reason:
Fabiani 2021	December 2020 to mid-April 2021	.2100507	Wrong intervention;
			Exclusion reason:
Favresse 2021	Antibody titers decline 3-month post-vaccination with BNT	612b2	Wrong outcomes;
	Safety and Immunogenicity of Inactivated SARS-CoV-2		
	Vaccine in High-Risk Occupational Population: a	10.1101/2021.08.06	Exclusion reason:
Feng 2021	randomized, parallel, controlled clinical trial	.21261696	Wrong intervention;
			Exclusion reason:
	Comparing COVID-19 vaccines for their characteristics, efficacy and		Wrong study design;
Fiolet 2021	effectiveness against SARS-CoV-2 and variants of concern		Heather Eames (2021-

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			ideas for graphs;
	SARS-CoV-2 epidemic in the South American Southern		
	cone: can combined immunity from vaccination and		
E: : 2024	infection prevent the spread of Gamma and Lambda	10.1101/2021.09.16	Exclusion reason:
Fiori 2021	variants while easing restrictions?	.21263701	Wrong study design;
		http://dx.doi.org/10.	Exclusion reason: Time
El 2024	Interim estimates of covid-19 vaccine effectiveness in a	3390/vaccines90606	since vaccination
Flacco 2021	mass vaccination setting: Data from an italian province	28	unclear;
5004	Assessment of Maternal and Neonatal Cord Blood SARS-	10.1001/jamapediat	Exclusion reason:
Flannery 2021	CoV-2 Antibodies and Placental Transfer Ratios	rics.2021.0038	Wrong outcomes;
		http://dx.doi.org/10.	
El : 2024	COVID-19 vaccine trials: The use of active controls and	1177/174077452098	
Fleming 2021	non-inferiority studies	8244	Wrong study design;
	Assessing Durability of Vaccine Effect Following Blinded	10.1101/2020.12.14	Exclusion reason:
Follmann 2020	Crossover in COVID-19 Vaccine Efficacy Trials	.20248137	Wrong study design;
	A Deferred-Vaccination Design to Assess Durability of		
- II	COVID-19 Vaccine Effect After the Placebo Group Is		Exclusion reason:
Follmann 2021	Vaccinated	10.7326/M20-8149	Wrong study design;
	Estimation of Vaccine Efficacy for Variants that Emerge	10.1101/2021.08.31	Exclusion reason:
Follmann 2021	After the Placebo Group Is Vaccinated	.21262908	Wrong study design;
Food and Drug	Pfizer-BioNTech COVID-19 Vaccine EUA Amendment	https://www.fda.go	Exclusion reason: No
Administration (US)	Review Memorandum (10 May 2021)	v/media/148542/do	previously unidentified
		wnload	outcomes
Food and Drug	Emergency Use Authorization (EUA) for an Unapproved	https://www.fda.go	Exclusion reason:
Administration (US)	Product Review Memorandum (Pfizer/BioNTech) (11	v/media/144416/do	Insufficient follow-up;
	December 2020)	wnload	

Food and Drug	Emergency Use Authorization (EUA) for an Unapproved	https://www.fda.go	Exclusion reason:
Administration (US)	Product Review Memorandum (Moderna)	v/media/144673/do wnload	Insufficient follow-up;
	COVID-19 vaccine coverage in health-care workers in England and effectiveness of BNT162b2 mRNA vaccine	http://dx.doi.org/10. 1016/S0140-	
	against infection (SIREN): a prospective, multicentre,	6736%2821%29007	Exclusion reason:
Foulkes 2021	cohort study	90-X	Insufficient follow-up;
	Antibody responses to BNT162b2 vaccination in Japan:		
Fujigaki 2021	Monitoring vaccine efficacy by measuring IgG antibodies against the receptor binding domain of SARS-CoV-2	10.1101/2021.07.19 .21260728	Exclusion reason: Wrong outcomes;
	Correlation Between SARS-Cov-2 Vaccination, COVID-19	http://dx.doi.org/10.	
	Incidence and Mortality: Tracking the Effect of	3389/fgene.2021.67	Exclusion reason:
Fukutani 2021	Vaccination on Population Protection in Real Time	9485	Wrong study design;
	Immunogenicity and safety of the BNT162B2 mRNA		
	COVID-19 vaccine in adult patients with autoimmune	http://dx.doi.org/10.	Exclusion reason: Time
F 2021	inflammatory rheumatic diseases and general	1136/annrheumdis-	since vaccination
Furer 2021	population: A multicenter study	2021-eular.5096	unclear;
Gallais 2021	Evolution of antibody responses up to 13 months after SARS-CoV-2 infection and risk of reinfection	10.1016/j.ebiom.20 21.103561	Exclusion reason:
Galiais 2021	BNT162b2 mRNA Vaccine Effectiveness Given Confirmed	21.105501	Wrong outcomes; Exclusion reason: Time
	Exposure; Analysis of Household Members of COVID-19	10.1101/2021.06.29	since vaccination
Gazit 2021	Patients	.21259579	unclear;
COLIT LOCA	Outcomes of SARS-CoV-2 Infection in Patients With	121203073	uncicaly
	Chronic Liver Disease and Cirrhosis: A National COVID	10.1053/j.gastro.20	Exclusion reason:
Ge 2021	Cohort Collaborative Study	21.07.010	Wrong intervention;
	Efficacy and Effectiveness of SARS-CoV-2 vaccine: A systematical syste	ematic review and a	Exclusion reason:
Ghazy 2021	meta-analysis		Wrong study design;
	Epidemiologic characteristics of cases with re-infection,		
	recurrence and hospital readmission due to COVID-19: a		Exclusion reason:
Ghorbani 2021	systematic review and meta-analysis	10.1002/jmv.27281	Wrong study design;

	Immune Correlates Analysis of the mRNA-1273 COVID-	10.1101/2021.08.09	Exclusion reason:
Gilbert 2021	19 Vaccine Efficacy Trial	.21261290	Wrong outcomes;
Glibert 2021	19 vaccine Emcacy mai	http://dx.doi.org/10.	wrong outcomes,
		1016/S0140-	
		6736%2821%29006	Exclusion reason:
Gill 2021	COVID-19, community trials, and inclusion	61-9	Wrong study design;
	North West London Covid-19 Vaccination Programme:	010	mong staay assign,
	Real-world evidence for Vaccine uptake and	10.1101/2021.04.08	Exclusion reason:
Glampson 2021	effectiveness	.21254580	Insufficient follow-up;
	North West London Covid-19 Vaccination Programme:		
	Real-world evidence for Vaccine uptake and	http://dx.doi.org/10.	Exclusion reason:
Glampson 2021	effectiveness: Retrospective Cohort Study	2196/30010	Wrong outcomes;
	The BNT162b2 vaccine effectiveness against new		
	COVID-19 cases and complications of breakthrough		
Glatman-Freedman	cases: A nation-wide retrospective longitudinal multiple	10.1016/j.ebiom.20	Exclusion reason:
2021	cohort analysis using individualised data	21.103574	Insufficient follow-up;
		http://dx.doi.org/10.	
CL   2024	Immunity after COVID-19 and vaccination: follow-up	1007/s15010-021-	Exclusion reason:
Gluck 2021	study over 1 year among medical personnel	01703-9	Wrong outcomes;
	Caratteristiche cliniche, demografiche e ricovero di		
	3.010 pazienti affetti da Covid-19 in Friuli Venezia Giulia.	http://dv.doi.org/10	
	Analisi statistica multivariata su base di popolazione,	http://dx.doi.org/10. 19191/EP20.5-	Exclusion reason:
Gobbato 2020	Clinical, demographical characteristics and	6.S2.122	
GUDDALU ZUZU	hospitalisation of 3,010 patients with Co  Is the BioNTech-Pfizer COVID-19 vaccination effective in	0.32.122	Wrong intervention;
	elderly populations? Results from population data from	10.1101/2021.08.19	Exclusion reason:
Gomes 2021	Bavaria, Germany	.21262266	Insufficient follow-up;
COITICS 2021	Efficacy of SARS-CoV-2 vaccine in thoracic cancer	10.1101/2021.08.12	Exclusion reason:
Gounant 2021	•	.21261806	
Gounant 2021	patients: a prospective study supporting a third dose in		Insufficient follow-up;

	patients with minimal serologic response after two		
	vaccine doses		
	Effectiveness of the Pfizer-BioNTech and Oxford-		
	AstraZeneca vaccines on covid-19 related symptoms,		
	hospital admissions, and mortality in older adults in	http://dx.doi.org/10.	Exclusion reason:
Gower 2021	England: Test negative case-control study	1136/bmj.n1088	Insufficient follow-up;
	Vaccine effectiveness when combining the ChAdOx1		
	vaccine as the first dose with an mRNA COVID-19	10.1101/2021.07.26	Exclusion reason:
Gram 2021	vaccine as the second dose	.21261130	Insufficient follow-up;
	Effectiveness of the first dose of BNT162b2 vaccine to pre	eventing covid-19 in	Exclusion reason:
Gras-Valenti 2021	healthcare personnel		Wrong intervention;
	SARS-CoV-2 infection and H1N1 vaccination: Does a		
	relationship between the two factors really exist? A	http://dx.doi.org/10.	
	retrospective analysis of a territorial cohort in Ferrara,	26355/eurrev_2021	Exclusion reason:
Greco 2021	Italy	03_25441	Wrong intervention;
	Humoral response to the pfizer bnt162b2 vaccine in	http://dx.doi.org/10.	Exclusion reason:
Grupper 2021	patients undergoing maintenance hemodialysis	2215/CJN.03500321	Wrong comparator;
	The BNT162b2 mRNA vaccine induces polyfunctional T	10.1101/2021.09.27	Exclusion reason:
Guerrera 2021	cell responses with features of longevity	.462006	Wrong outcomes;
	SARS-CoV-2 new infections among health-care workers	http://dx.doi.org/10.	
	after the first dose of the BNT162b2 mRNA COVID-19	1016/j.cmi.2021.06.	Exclusion reason:
Guijarro 2021	vaccine. A hospital-wide cohort study	026	Wrong comparator;
	Humoral serologic response to the BNT162b2 vaccine is		_
	abrogated in lymphoma patients within the first 12	10.3324/haematol.2	Exclusion reason:
Gurion 2021	months following treatment with anti-CD2O antibodies	021.279216	Wrong outcomes;
	Infections, hospitalisations, and deaths averted via a		
	nationwide vaccination campaign using the Pfizer-		
	BioNTech BNT162b2 mRNA COVID-19 vaccine in Israel:	10.1016/S1473-	Exclusion reason:
Haas 2021	a retrospective surveillance study	3099(21)00566-1	Wrong study design;

	Coronavirus disease and vaccination during pregnancy	http://dx.doi.org/10.	
	and childbirth: a review of the Israeli perspective and	1080/14767058.202	Exclusion reason:
Hadar 2021	experience	1.1937110	Wrong study design;
Haddi Edel	скропене	111557 110	Exclusion reason:
	Immunogenicity of BNT162b2 vaccine Against the Alpha	10.1101/2021.08.08	Insufficient Sample
Hadjadj 2021	and Delta Variants in Immunocompromised Patients	.21261766	Size;
Hadjaaj 2021	Analysis of the Potential Efficacy and Timing of COVID-	10.2139/ssrn.37451	Exclusion reason:
Haghpanah 2021	19 Vaccine on Morbidity and Mortality	95	Wrong outcomes;
riagriparian 2021	Effectiveness of BNT162b2 mRNA Vaccine Against		mong cateomes,
	Infection and COVID-19 Vaccine Coverage in Healthcare		Exclusion reason: Time
	Workers in England, Multicentre Prospective Cohort	10.2139/ssrn.37903	since vaccination
Hall 2021	Study (the SIREN Study)	99	unclear;
	Humoral and cellular immune response and safety of		and a second
	two-dose SARS-CoV-2 mRNA-1273 vaccine in solid	http://dx.doi.org/10.	Exclusion reason:
Hall 2021	organ transplant recipients	1111/ajt.16766	Insufficient follow-up;
	Clinical characteristics of 51,815 patients presenting		. ,
	with positive and negative SARS-CoV-2 swab results in	http://dx.doi.org/10.	
	primary healthcare settings: Priority populations for	1016/j.jinf.2020.11.	Exclusion reason:
Hamed 2021	vaccination	014	Wrong study design;
		http://dx.doi.org/10.	
	Efficacy and effectiveness of COVID-19 vaccines against	2807/1560-	
	SARS-CoV-2 infection: interim results of a living	7917.ES.2021.26.28	Exclusion reason:
Harder 2021	systematic review, 1 January to 14 May 2021	.2100563	Wrong study design;
	COVID-19 Incidence and Hospitalization Rates are		Exclusion reason: Time
	Inversely Related to Vaccination Coverage Among the	10.1101/2021.08.17	since vaccination
Harris 2021	112 Most Populous Counties in the United States	.21262195	unclear;
	COVID-19-associated hospitalizations among vaccinated		Exclusion reason: Time
	and unvaccinated adults ≥18 years â€" COVID-NET,	10.1101/2021.08.27	since vaccination
Havers 2021	13 states, January 1 â€" July 24, 2021	.21262356	unclear;

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	Hospitalization of Adolescents Aged 12-17 Years with	http://dx.doi.org/10.	
	Laboratory-Confirmed COVID-19 - COVID-NET, 14	15585/mmwr.mm70	Exclusion reason:
Havers 2021	States, March 1, 2020-April 24, 2021	23e1	Wrong outcomes;
		http://dx.doi.org/10.	
		1056/NEJMe203555	Exclusion reason:
Haynes 2021	A new vaccine to battle COVID-19	7	Wrong study design;
,	A systematic review of COVID-19 vaccine efficacy and	10.1101/2021.09.17	Exclusion reason:
Higdon 2021	effectiveness against SARS-CoV-2 infection and disease	.21263549	Wrong study design;
9	Near real-time observation reveals increased prevalence	.===000 .0	in ong coaa, accigin,
	of young patients in the ICU during the emerging third	10.4414/smw.2021.	Exclusion reason:
Hilty 2021	SARS-CoV-2 wave in Switzerland	20553	Wrong outcomes;
Tillty 2021	Effectiveness of the ChAdOx1 vaccine in the elderly	20333	wrong outcomes,
	·	10 1101/2021 07 10	Evelucion reacons
11:4 - la in 2021	during SARS-CoV-2 Gamma variant transmission in	10.1101/2021.07.19	Exclusion reason:
Hitchings 2021	Brazil	.21260802	Insufficient follow-up;
	Use of recently vaccinated individuals to detect bias in		
	test-negative case-control studies of COVID-19 vaccine	10.1101/2021.06.23	Exclusion reason:
Hitchings 2021	effectiveness	.21259415	Wrong study design;
	Serial evaluation of anti-SARS-CoV-2 IgG antibody and		Exclusion reason:
	breakthrough infections in BNT162b2 Vaccinated	10.1101/2021.09.07	Insufficient Sample
Hoque 2021	migrant workers from Bangladesh	.21263221	Size;
	Seroresponse to SARS-CoV-2 vaccines among	10.1101/2021.08.19	Exclusion reason:
Hsu 2021	maintenance dialysis patients	.21262292	Wrong outcomes;
	Population Vaccine Effectiveness and its Implication for	10.1101/2021.04.30	Exclusion reason:
Hu 2021	Control of the Spread of COVID-19 in the US	.21256228	Wrong study design;
	Effectiveness of inactive COVID-19 vaccines against		Exclusion reason: Time
	severe illness in B.1.617.2 (Delta) variant-infected	10.1101/2021.09.02	since vaccination
Hu 2021	patients in Jiangsu, China	.21263010	unclear;
	Estimating the effectiveness of the Pfizer COVID-19	10.1101/2021.02.01	Exclusion reason:
Hunter 2021	BNT162b2 vaccine after a single dose. A reanalysis of a	.21250957	Wrong intervention;
TIUTILET ZUZI	DIVITUZDZ Vaccine arter a sirigle dose. A realiarysis of a	.C1CJU9J/	whong intervention,

	study of â€~real-world' vaccination outcomes from		
	Israel		
Hyams 2021	Assessing the Effectiveness of BNT162b2 and ChAdOx1nCoV-19 COVID-19 Vaccination in Prevention of Hospitalisations in Elderly and Frail Adults: A Single Centre Test Negative Case-Control Study	10.2139/ssrn.37968 35	Exclusion reason: Wrong intervention;
Hyams 2021	Effectiveness of BNT162b2 and ChAdOx1 nCoV-19 COVID-19 vaccination at preventing hospitalisations in people aged at least 80 years: a test-negative, case-control study	http://dx.doi.org/10. 1016/S1473- 3099%2821%29003 30-3	Exclusion reason: Wrong intervention;
Iliaki 2021	COVID-19 Vaccine Efficacy in a Diverse Urban Healthcare Worker Population	10.1101/2021.09.02 .21263038	Exclusion reason: Time since vaccination unclear;
Imai 2021	Interpreting estimates of coronavirus disease 2019 (COVID-19) vaccine efficacy and effectiveness to inform simulation studies of vaccine impact: a systematic review	10.12688/wellcome openres.16992.1	Exclusion reason: Wrong study design;
Ireland 2021	Emergence of SARS-CoV-2 Alpha (B.1.1.7) variant, infection rates, antibody seroconversion and seroprevalence rates in secondary school students and staff: Active prospective surveillance, December 2020 to March 2021, England	http://dx.doi.org/10. 1016/j.jinf.2021.08. 019	Exclusion reason: Wrong intervention;
Israel 2021	Large-scale study of antibody titer decay following BNT162b2 mRNA vaccine or SARS-CoV-2 infection	10.1101/2021.08.19 .21262111	Exclusion reason: Wrong comparator;
John 2021	Association of BNT162b2 mRNA and mRNA-1273 Vaccines with COVID-19 Infection and Hospitalization among Patients with Cirrhosis	http://dx.doi.org/10. 1001/jamainternme d.2021.4325	Exclusion reason: Time since vaccination unclear;
Jon 2021	Incidence of COVID-19 recurrence among large cohort of healthcare employees	http://dx.doi.org/10. 1016/j.annepidem.2 021.04.005	Exclusion reason: Wrong intervention;

Non-life-threatening adverse effects with COVID-10		
· · · · · · · · · · · · · · · · · · ·	http://dy.doi.org/10	Exclusion reason:
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, ,		Wrong outcomes;
·	•	Exclusion reason:
susceptibility and clinical outcomes		Wrong intervention;
, -	1	Exclusion reason:
and transmission		Opinion piece;
Efficacy and safety of COVID-19 vaccines: A systematic		Exclusion reason:
review	8830.2101133	Wrong study design;
Adverse events following ChAdOx1 nCoV-19 Vaccine	http://dx.doi.org/10.	
(COVISHIELD) amongst healthcare workers: A	1016/j.mjafi.2021.0	Exclusion reason:
prospective observational study	6.014	Wrong outcomes;
Effectiveness of Inactivated COVID-19 Vaccines Against		
COVID-19 Pneumonia and Severe Illness Caused by the		
	10.2139/ssrn.38956	Exclusion reason:
Guangdong, China	39	Wrong intervention;
Antibody titers against the Alpha, Beta, Gamma, and		·
•	10.1101/2021.09.23	Exclusion reason:
_	.21263927	Wrong outcomes;
	http://dx.doi.org/10.	
		Exclusion reason:
healthcare workers- first results from India	101038	Wrong outcomes;
Occurrence of COVID-19 in priority groups receiving		
ChAdOx1 nCoV-19 coronavirus vaccine (recombinant): A		Exclusion reason:
preliminary analysis from north India	10.1002/jmv.27320	Wrong comparator;
	Adverse events following ChAdOx1 nCoV-19 Vaccine (COVISHIELD) amongst healthcare workers: A prospective observational study  Effectiveness of Inactivated COVID-19 Vaccines Against COVID-19 Pneumonia and Severe Illness Caused by the B.1.617.2 (Delta) Variant: Evidence from an Outbreak in Guangdong, China  Antibody titers against the Alpha, Beta, Gamma, and Delta variants of SARS-CoV-2 induced by BNT162b2 vaccination measured using automated chemiluminescent enzyme immunoassay  A prospective observational safety study on ChAdOx1 nCoV-19 corona virus vaccine (recombinant) use in healthcare workers- first results from India  Occurrence of COVID-19 in priority groups receiving ChAdOx1 nCoV-19 coronavirus vaccine (recombinant): A	mRNA-1273 vaccine: A randomized, cross-sectional study on healthcare workers with detailed self-reported symptoms  COVID-19 infection in patients with sarcoidosis: susceptibility and clinical outcomes  Interpreting vaccine efficacy trial results for infection and transmission  Efficacy and safety of COVID-19 vaccines: A systematic review  Adverse events following ChAdOx1 nCoV-19 Vaccine (COVISHIELD) amongst healthcare workers: A prospective observational study  Effectiveness of Inactivated COVID-19 Vaccines Against COVID-19 Pneumonia and Severe Illness Caused by the B.1.617.2 (Delta) Variant: Evidence from an Outbreak in Guangdong, China  Antibody titers against the Alpha, Beta, Gamma, and Delta variants of SARS-CoV-2 induced by BNT162b2 vaccination measured using automated chemiluminescent enzyme immunoassay  A prospective observational safety study on ChAdOx1 nCoV-19 corona virus vaccine (recombinant) use in healthcare workers- first results from India  Occurrence of COVID-19 in priority groups receiving ChAdOx1 nCoV-19 coronavirus vaccine (recombinant): A

	Longitudinal analysis of SARS-CoV-2 vaccine		
	breakthrough infections reveal limited infectious virus	10.1101/2021.08.30	Exclusion reason:
Ke 2021	shedding and restricted tissue distribution	.21262701	Wrong outcomes;
NE 2021			wrong outcomes,
	Examining the Immunological Effects of COVID-19 Vaccin		Evelusian vancant
K 2021	Conditions Potentially Leading to Diminished Immune Res	sponse	Exclusion reason:
Kearns 2021	Capacityâ€"The OCTAVE Trial		Wrong outcomes;
			Exclusion reason: Time
	Progress of the Delta variant and erosion of vaccine	10.1101/2021.08.09	since vaccination
Keegan 2021	effectiveness, a warning from Utah	.21261554	unclear;
		http://dx.doi.org/10.	
	BNT162B2 mRNA covid-19 vaccine in a nationwide mass	1056/NEJMoa21017	Exclusion reason:
Kepten 2021	vaccination setting	65	Insufficient follow-up;
	Effectiveness of SARS-CoV-2 Vaccination in a Veterans		
	Affairs Cohort of Patients With Inflammatory Bowel	http://dx.doi.org/10.	
	Disease With Diverse Exposure to Immunosuppressive	1053/j.gastro.2021.	Exclusion reason:
Khan 2021	Medications	05.044	Insufficient follow-up;
	The RECOVAC IR study: the immune response and		-
	safety of the mRNA-1273 COVID-19 vaccine in patients		
	with chronic kidney disease, on dialysis or living with a	10.1093/ndt/gfab18	Exclusion reason:
Kho 2021	kidney transplant	6	Wrong outcomes;
	mRNA Vaccine Effectiveness against COVID-19 among		Exclusion reason: Time
	Symptomatic Outpatients Aged ≥16 Years in the	10.1101/2021.07.20	since vaccination
Kim 2021	United States, February â€" May 2021	.21260647	unclear;
	Vaccination strategies and transmission of COVID-19: evid		Exclusion reason:
Kim 2021	countries		Wrong study design;
	Delta variant and mRNA Covid-19 vaccines		Exclusion reason: Time
	effectiveness: higher odds of vaccine infection	10.1101/2021.08.14	since vaccination
Kislaya 2021	breakthroughs	.21262020	unclear;
INDIAYA ZOZI	bi carta ii ougrio	121202020	aricical,

	Outcomes of COVID 10 infection in multiple coloresis		
	Outcomes of COVID-19 infection in multiple sclerosis	http://dy.doi.oug/10	
	and related conditions: One-year pandemic experience	http://dx.doi.org/10.	Finalization was a sur-
1411	of the multicenter New York COVID-19	1016/j.msard.2021.	Exclusion reason:
Klineova 2021	Neuroimmunology Consortium (NYCNIC)	103153	Wrong intervention;
		http://dx.doi.org/10.	
	Correlates of vaccine-induced protection against sars-	3390/vaccines90302	Exclusion reason:
Koch 2021	cov-2	38	Wrong study design;
	Evolution of Antibody Titers Up to 6 Months Post-		
	Immunization With the BNT162b2 Pfizer/BioNTech	10.2139/ssrn.39223	Exclusion reason:
Kontopoulou 2021	Vaccine in Greece	11	Wrong outcomes;
	Antibody Titers 3-Months Post-Vaccination with the	10.2139/ssrn.38990	Exclusion reason:
Kontopoulou 2021	Pfizer/Biontech Vaccine in Greece	94	Wrong outcomes;
	Vaccines to prevent COVID-19: a protocol for a living	http://dx.doi.org/10.	
	systematic review with network meta-analysis including	1186/s13643-020-	Exclusion reason:
Korang 2020	individual patient data (The LIVING VACCINE Project)	01516-1	Wrong study design;
	Distinct Patterns of Humoral and Cellular Immune		J , J ,
	Responses Following SARS-CoV-2 mRNA Vaccination in		
	Patients With Immune-Mediated Neurological Disorders	10.2139/ssrn.39242	Exclusion reason:
Kornek 2021	on Anti-CD20 Therapy: A Prospective Cohort Study	04	Wrong outcomes;
	Systemic COVID-19 vaccination also enhances the		, J
	humoral immune response after SARS CoV-2 infection.		
	An approach to criteria for COVID-19 re-immunization is	10.21203/rs.3.rs-	Exclusion reason:
Kosiorek 2021	needed. Do we need a third dose?	858160/v2	Wrong outcomes;
TOUR LOLI	Social and Clinical Impact of COVID-19 on Patients with	10.21203/rs.3.rs-	Exclusion reason:
Kou 2021	Fibrodysplasia Ossificans Progressiva	885603/v1	Wrong study design;
NOU ZUZI	i ibi odyspiasia ossincaris i rogi cssiva	http://dx.doi.org/10.	wrong study design,
	Real-world effectiveness of BNT162b2 mRNA vaccine: a	1007/s10787-021-	Exclusion reason:
Kow 2021		00839-2	
KOW ZUZI	meta-analysis of large observational studies	00039-2	Wrong study design;

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		http://dx.doi.org/10.	
	Vaccine breakthrough infection and onward transmission	2807/1560-	
	of SARS-CoV-2 Beta (B.1.351) variant, Bavaria,	7917.ES.2021.26.30	Exclusion reason:
Kroidl 2021	Germany, February to March 2021	.2100673	Wrong study design;
	Effectiveness of the Covid-19 vaccines in preventing		
	infection in dental practitioners â€" results of a cross-	10.1101/2021.05.28	Exclusion reason:
Kumar 2021	sectional â€~questionnaire-based' survey	.21257967	Wrong outcomes;
	Estimating Vaccination Effects and Variant Strains on	10.1101/2021.06.20	Exclusion reason:
Kurita 2021	COVID-19 outbreak course in Japan, as of August, 2021	.21259209	Wrong outcomes;
	Country specific mutational profile of SARS-CoV-2 in		
	pre- and post-international travel ban: Effect on vaccine	10.1101/2021.02.08	Exclusion reason:
Laha 2021	efficacy	.21251359	Wrong study design;
	Prospective Assessment of SARS-CoV-2 Seroconversion	http://dx.doi.org/10.	J , J ,
	(PASS) study: an observational cohort study of SARS-	1186/s12879-021-	Exclusion reason:
Laing 2021	CoV-2 infection and vaccination in healthcare workers	06233-1	Wrong outcomes;
	A snapshot of the immunogenicity, efficacy and safety		and the second s
	of a full course of BNT162b2 anti-SARS-CoV-2 vaccine in		
	cancer patients treated with PD-1/PD-L1 inhibitors: a	10.1016/j.esmoop.2	Exclusion reason:
Lasagna 2021	longitudinal cohort study	021.100272	Wrong outcomes;
Lasagna 2021	PIN5 Immunogenicity and Safety of the COVID-19	http://dx.doi.org/10.	Wrong dateomes,
	Vaccines Compared to Controls in Healthy Adults: A	1016/j.jval.2021.04.	Exclusion reason:
Lau 2021	Systematic Review	565	Wrong study design;
Lua Zuzi	Immune transcriptomes from hospitalized patients	303	Wrong study design,
	infected with the SARS-CoV-2 variants B.1.1.7 and	10.1101/2021.05.27	Exclusion reason:
Lee 2021		.21257952	
LCC ZUZI	B.1.1.7 carrying the E484K escape mutation		Wrong outcomes; Exclusion reason:
Lee 2021	Efficacy of COVID-19 vaccines in immunocompromised	10.1101/2021.09.28 .21264126	
Lee 2021	patients: A systematic review and meta-analysis	.21204120	Wrong study design;
	Robust immune response to the BNT162b mRNA vaccine	10 1101/2021 00 00	Footbackers
. 2024	in an elderly population vaccinated 15 months after	10.1101/2021.09.08	Exclusion reason:
Lee 2021	recovery from COVID-19	.21263284	Wrong outcomes;

	Risk mitigation in Crohn's disease and ulcerative colitis:	http://dx.doi.org/10.	Exclusion reason:
Leong 2021	Session four summary	1111/jgh.15456	Wrong intervention;
	Effectiveness of inactivated SARS-CoV-2 vaccines	http://dx.doi.org/10.	Exclusion reason:
	against the Delta variant infection in Guangzhou: a test-	1080/22221751.202	Insufficient Sample
Li 2021	negative case-control real-world study	1.1969291	Size;
	Phased implementation of COVID-19 vaccination: rapid		
	assessment of policy adoption, reach and effectiveness	10.1101/2021.02.19	Exclusion reason:
Li 2021	to protect the most vulnerable in the US	.21252118	Wrong comparator;
	Self-assessment of COVID-19 vaccination efficacy using	10.1101/2021.06.27	Exclusion reason:
Li 2021	a lateral flow tests for SARS-CoV-2 S1 protein antibody	.21258591	Wrong intervention;
	Safety and immunogenicity of the SARS-CoV-2		
	BNT162b1 mRNA vaccine in younger and older Chinese	http://dx.doi.org/10.	Exclusion reason:
	adults: a randomized, placebo-controlled, double-blind	1038/s41591-021-	Insufficient Sample
Li 2021	phase 1 study	01330-9	Size;
	COVID-19 vaccinations are associated with reduced		
	fatality rates: Evidence from cross-county quasi-	10.7189/jogh.11.05	Exclusion reason:
Liang 2021	experiments	019	Wrong outcomes;
	Prospective cohort study of the kinetics of specific		
	antibodies to sars-cov-2 infection and to four sars-cov-2	http://dx.doi.org/10.	
	vaccines available in serbia, and vaccine effectiveness: A	3390/vaccines90910	Exclusion reason:
Lijeskic 2021	3-month interim report	31	Wrong outcomes;
	Evaluating the Long-Term Efficacy of COVID-19	http://dx.doi.org/10.	Exclusion reason:
Lin 2021	Vaccines	1093/cid/ciab226	Opinion piece;
	Evaluating Vaccine Efficacy Against SARS-CoV-2	http://dx.doi.org/10.	Exclusion reason:
Lin 2021	Infection	1093/cid/ciab630	Wrong study design;
	Safety and effectiveness of SARS-CoV-2 vaccines: A	http://dx.doi.org/10.	Exclusion reason:
Ling 2021	systematic review and meta-analysis	1002/jmv.27203	Wrong study design;
	Cryptic Transmission of the Delta Variant AY.3	_	
	Sublineage of SARS-CoV-2 among Fully Vaccinated	10.1101/2021.08.05	Exclusion reason:
Linsenmeyer 2021	Patients on an Inpatient Ward	.21261562	Wrong outcomes;

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	Safety and immunogenicity of heterologous versus		
	homologous prime-boost schedules with an adenoviral		
	vectored and mRNA COVID-19 vaccine (Com-COV): a	10.1016/S0140-	Exclusion reason:
Liu 2021	single-blind, randomised, non-inferiority trial	6736(21)01694-9	Insufficient follow-up;
	The Lambda variant of SARS-CoV-2 has a better chance	10.1101/2021.08.25	Exclusion reason:
Liu 2021	than the Delta variant to escape vaccines	.457692	Wrong outcomes;
		http://dx.doi.org/10.	
	Effectiveness of Covid-19 Vaccines against the B.1.617.2	1056/NEJMoa21088	Exclusion reason:
LopezBernal 2021	(Delta) Variant	91	Insufficient follow-up;
•		http://dx.doi.org/10.	• ,
	BNT162b2 COVID-19 vaccine and correlates of humoral	1016/S2213-	
	immune responses and dynamics: a prospective, single-	2600%2821%29002	Exclusion reason:
Lustig 2021	centre, longitudinal cohort study in health-care workers	20-4	Insufficient follow-up;
	Safety, Immunogenicity, and Efficacy of COVID-19		1,
	Vaccine in Children and Adolescents: A Systematic	10.1101/2021.09.11	Exclusion reason:
Lv 2021	Review	.21262855	Wrong study design;
	Safety and efficacy of the ChAdOx1 nCoV-19 (AZD1222)		Exclusion reason:
	Covid-19 vaccine against the B.1.351 variant in South	10.1101/2021.02.10	Preprint - subsequently
Madhi 2021	Africa	.21251247	published.;
	Epidemiological profiles and associated risk factors of		,
	SARS-CoV-2 positive patients based on a high-	http://dx.doi.org/10.	Exclusion reason:
Malhotra 2021	throughput testing facility in India	1098/rsob.200288	Wrong outcomes;
	<u> </u>	,	Exclusion reason: Time
	Effectiveness of SARS-CoV-2 vaccination in fully	http://dx.doi.org/10.	since vaccination
Malinis 2021	vaccinated solid organ transplant recipients	1111/ajt.16713	unclear;
	Assessment of humoral and cellular immunity induced	, ,	,
	by the BNT162b2 SARS-CoV-2 vaccine in healthcare	http://dx.doi.org/10.	
	workers, elderly people, and immunosuppressed	1007/s12026-021-	Exclusion reason:
Malipiero 2021	patients with autoimmune disease	09226-z	Wrong outcomes;
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		http://dx.doi.org/10.	
	Should cancer patients be prioritized for covid-19	5812/archcid.11326	Exclusion reason:
Mardani 2020	vaccination?	3	Opinion piece;
		http://dx.doi.org/10.	
	Effectiveness of COVID-19 vaccines in preventing SARS-	2807/1560-	
	CoV-2 infection and hospitalisation, Navarre, Spain,	7917.ES.2021.26.21	Exclusion reason:
Martinez-Baz 2021	January to April 2021	.2100438	Insufficient follow-up;
		http://dx.doi.org/10.	
		1177/096120332110	Exclusion reason:
Mason 2021	Lupus, vaccinations and COVID-19: What we know now	24355	Wrong outcomes;
	Evaluation of Seropositivity following BNT162b2	http://dx.doi.org/10.	
	Messenger RNA Vaccination for SARS-CoV-2 in Patients	1001/jamaoncol.202	Exclusion reason:
Massarweh 2021	Undergoing Treatment for Cancer	1.2155	Wrong outcomes;
	COVID-19 vaccination in haematology patients: an		_
	Australian and New Zealand consensus position		Exclusion reason:
McCaughan 2021	statement	10.1111/imj.15247	Wrong study design;
		http://dx.doi.org/10.	
	Serving as Trusted Messengers about COVID-19	1016/j.jnma.2021.0	Exclusion reason:
McDougle 2021	Vaccines and Therapeutics	1.003	Opinion piece;
	Real-world Effectiveness of 2-dose SARS-CoV-2	10.1101/2021.09.21	Exclusion reason:
McEvoy 2021	Vaccination in Kidney Transplant Recipients	.21263457	Wrong comparator;
,	Efficacy of COVID-19 vaccination in individuals	10.12688/f1000rese	Exclusion reason:
McKeigue 2021	designated as clinically extremely vulnerable in Scotland	arch.53812.1	Wrong intervention;
<b>J</b>	Effectiveness of vaccination against symptomatic and		,
	asymptomatic SARS-CoV-2 infection: a systematic	10.1101/2021.08.25	Exclusion reason:
Meggiolaro 2021	review and meta-analysis	.21262529	Wrong study design;
-55		http://dx.doi.org/10.	5 2 2 2 7 2 2 2 3 2 7
	Vaccine side-effects and SARS-CoV-2 infection after	1016/S1473-	
	vaccination in users of the COVID Symptom Study app	3099%2821%29002	Exclusion reason:
Menni 2021	in the UK: a prospective observational study	24-3	Insufficient follow-up;

	Two doses of the mRNA BNT162b2 vaccine reduce		
	severe outcomes, viral load and secondary attack rate:		
	evidence from a SARS-CoV-2 Alpha outbreak in a	10.1101/2021.09.13	Exclusion reason:
Meyer 2021	nursing home in Germany, January-March 2021	.21262519	Insufficient follow-up;
	How fast should social restrictions be eased in England	http://dx.doi.org/10.	Exclusion reason:
Miles 2021	as COVID-19 vaccinations are rolled out?	1111/ijcp.14191	Wrong study design;
		http://dx.doi.org/10.	
	Community-level evidence for SARS-CoV-2 vaccine	1038/s41591-021-	Exclusion reason:
Milman 2021	protection of unvaccinated individuals	01407-5	Wrong outcomes;
	Effectiveness of COVID-19 Vaccines BNT162b2 and		
	mRNA-1273 by Days from Vaccination: A Reanalysis of	10.2139/ssrn.37915	Exclusion reason:
Miron 2021	Clinical Trial Data	60	Duplicate;
	Neutralizing efficacy of vaccines against the SARS-CoV-2	10.1101/2021.09.23	Exclusion reason:
Miyakawa 2021	Mu variant	.21264014	Wrong outcomes;
	Immune response scenario and vaccine development for	10.1016/j.intimp.20	Exclusion reason:
Mohammad 2021	SARS-CoV-2 infection	21.107439	Wrong outcomes;
	Direct and indirect effectiveness of mRNA vaccination		Exclusion reason: Time
	against SARS-CoV-2 infection in long-term care facilities	10.1101/2021.04.08	since vaccination
Monge 2021	in Spain	.21255055	unclear;
	Direct and Indirect Effectiveness of mRNA Vaccination	http://dx.doi.org/10.	Exclusion reason: Time
	against Severe Acute Respiratory Syndrome Coronavirus	3201/eid2710.21118	since vaccination
Monge 2021	2 in Long-Term Care Facilities, Spain	4	unclear;
	Safety and immunogenicity of one versus two doses of		
	the COVID-19 vaccine BNT162b2 for patients with		
	cancer: interim analysis of a prospective observational	10.1016/S1470-	Exclusion reason:
Monin 2021	study	2045(21)00213-8	Wrong outcomes;
	Interim results of the safety and immune-efficacy of 1		
	versus 2 doses of COVID-19 vaccine BNT162b2 for		
	cancer patients in the context of the UK vaccine priority	10.1101/2021.03.17	Exclusion reason:
Monin-Aldama 2021	guidelines	.21253131	Wrong outcomes;

Mor 2021	BNT162b2 Vaccination Efficacy is Marginally Affected by the SARS-CoV-2 B.1.351 Variant in Fully Vaccinated Individuals	10.2139/ssrn.38788 25	Exclusion reason: Wrong study design;
Moustsen-Helms 2021	Vaccine effectiveness after 1st and 2nd dose of the BNT162b2 mRNA Covid-19 Vaccine in long-term care facility residents and healthcare workers â€" a Danish cohort study	10.1101/2021.03.08 .21252200	Exclusion reason: Insufficient follow-up;
Muik 2020	COVID-19 vaccine BNT162b1 elicits human antibody and TH1 T cell responses	http://dx.doi.org/10. 1038/s41586-020- 2814-7	Exclusion reason: Insufficient Sample Size;
Mukherjee 2021	What is mRNA COVID 19 Vaccine and What is the safety a COVID 19 Vaccine?	and Efficacy of mRNA	Exclusion reason: Full Text Not Available;
Murillo-Zamora 2021	Effectiveness of BNT162b2 COVID-19 Vaccine in Preventing Severe Symptomatic Infection among Healthcare Workers	http://dx.doi.org/10. 3390/medicina5708 0746	Exclusion reason: Time since vaccination unclear;
Murugesan 2021	Protective Effect Conferred by Prior Infection and Vaccination on COVID-19 in a Healthcare Worker Cohort in South India	10.2139/ssrn.39146 33	Exclusion reason: Time since vaccination unclear;
Mushtaq 2021	Outcomes with COVID-19 in hematopoietic stem cell transplant and cellular therapy patients	http://dx.doi.org/10. 1200/JCO.2021.39.1 5_suppl.7033	Exclusion reason: Insufficient Sample Size;
Mushtaq 2021	Impact of SARS-CoV-2 in Hematopoietic Stem Cell Transplantation and Chimeric Antigen Receptor T Cell Therapy Recipients	http://dx.doi.org/10. 1016/j.jtct.2021.07. 005	Exclusion reason: Wrong outcomes;
Muthukrishnan 2021	Vaccination status and COVID-19 related mortality: A hospital based cross sectional study	http://dx.doi.org/10. 1016/j.mjafi.2021.0 6.034	Exclusion reason: Time since vaccination unclear;
NúñezLópez 2021	Untitled	10.1016/j.eimc.2021 .06.021	Exclusion reason: Duplicate;

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	Effectiveness of the BNT162b2 mRNA Covid-19 vaccine	10.1016/j.eimc.2021	Exclusion reason:
NúñezLópez 2021	in Spanish healthcare workers	.06.021	Wrong outcomes;
	Effectiveness of COVID-19 vaccines against variants of	10.1101/2021.06.28	
Nasreen 2021	concern in Ontario, Canada	.21259420	Exclusion reason:
	Current systematic reviews and meta-analyses of	10.5501/wjv.v10.i4.	Exclusion reason:
Nassar 2021	COVID-19	182	Wrong study design;
		http://dx.doi.org/10.	
	Outbreak of sars-cov-2 among migrant farm workers in	1093/ofid/ofaa439.1	Exclusion reason:
Nasser 2020	north florida	797	Wrong intervention;
	COVID-19 and Italian Healthcare Workers From the		
	Initial Sacrifice to the mRNA Vaccine: Pandemic Chrono-	http://dx.doi.org/10.	
	History, Epidemiological Data, Ethical Dilemmas, and	3389/fpubh.2020.59	Exclusion reason:
Nioi 2020	Future Challenges	1900	Wrong study design;
		http://dx.doi.org/10.	
	SARS-COV-2 mutations and variations and how COVID-	32383/APPDR/1396	Exclusion reason:
Nowakowska 2021	19 vaccines work against the variants	73	Wrong study design;
	mRNA vaccines effectiveness against COVID-19		
	hospitalizations and deaths in older adults: a cohort		
	study based on data-linkage of national health registries	10.1101/2021.08.27	Exclusion reason:
Nunes 2021	in Portugal	.21262731	Duplicate;
	Durability of ChAdOx1 nCov-19 (AZD1222) vaccination		
	in people living with HIV - responses to SARS-CoV-2,	10.1101/2021.09.28	Exclusion reason:
Ogbe 2021	variants of concern and circulating coronaviruses	.21264207	Wrong comparator;
	Age differences in the association of comorbid burden	10.1186/s12877-	Exclusion reason:
O'Hare 2021	with adverse outcomes in SARS-CoV-2	021-02340-5	Wrong outcomes;
	The importance of time post-vaccination in determining		
	the decrease in vaccine efficacy against SARS-CoV-2	10.1101/2021.06.06	Exclusion reason:
On 2021	variants of concern	.21258429	Wrong study design;

	Clinical and virological features of SARS-CoV-2 variants		Exclusion reason:
	of concern: a retrospective cohort study comparing		Insufficient Sample
Ong 2021	B.1.1.7 (Alpha), B.1.315 (Beta), and B.1.617.2 (Delta)	10.1093/cid/ciab721	Size;
	COVID-19 infection and vaccination in patients with	http://dx.doi.org/10.	Exclusion reason:
Oreja-Guevara 2021	multiple sclerosis during COVID pandemic	1111/ene.14975	Insufficient follow-up;
	Initial Analysis of Viral Dynamics and Circulating Viral	10.1101/2021.09.28	Exclusion reason:
Pajon 2021	Variants During the mRNA-1273 Phase 3 COVE Trial	.21264252	Wrong outcomes;
	Effectiveness of mRNA-BNT162b2, mRNA-1273, and		
	ChAdOx1 nCoV-19 vaccines against COVID-19 in	http://dx.doi.org/10.	
	healthcare workers: an observational study using	1016/j.cmi.2021.06.	Exclusion reason:
Paris 2021	surveillance data	043	Wrong intervention;
		http://dx.doi.org/10.	
	Emergency Department Utilization by In-hospital	3346/jkms.2021.36.	Exclusion reason:
Park 2021	Healthcare Workers after COVID-19 Vaccination	e196	Wrong intervention;
	1646TiP Efficacy of SARS-CoV-2 vaccination in cancer	http://dx.doi.org/10.	
	patients during treatment: A prospective observational	1016/j.annonc.2021.	Exclusion reason: Full
Passalacqua 2021	study (ANTICOV trial)	08.1639	Text Not Available;
	Higher mortality during the COVID-19 pandemic in	http://dx.doi.org/10.	
	socially vulnerable areas in Belo Horizonte: implications	1590/1980-	Exclusion reason:
Passos 2021	for vaccine prioritization	549720210025	Wrong outcomes;
	Short Term Reduction in the Odds of Testing Positive for		
	SARS-CoV-2; a Comparison Between Two Doses and	10.1101/2021.08.29	Exclusion reason:
Patalon 2021	Three doses of the BNT162b2 Vaccine	.21262792	Wrong comparator;
	COVID-19 Outcomes Among Users of CD20 Inhibitors		
	for Immune-Mediated Diseases: A Comparative Cohort	10.1101/2021.08.05	Exclusion reason:
Patel 2021	Study	.21261643	Wrong outcomes;
	FDA-authorized COVID-19 vaccines are effective per		Exclusion reason:
	real-world evidence synthesized across a multi-state	10.1101/2021.02.15	Preprint - subsequently
Pawlowski 2021	health system	.21251623	published.;

	Cerebral venous thrombosis after vaccination against	10.1016/S0140-	Exclusion reason:
Perry 2021	COVID-19 in the UK: a multicentre cohort study	6736(21)01608-1	Wrong outcomes;
	Interim Estimates of Vaccine Effectiveness of Pfizer-		
	BioNTech and Moderna COVID-19 Vaccines Among	http://dx.doi.org/10.	Exclusion reason: Time
	Healthcare Personnel - 33 U.S. Sites, January-March	15585/mmwr.mm70	since vaccination
Pilishvili 2021	2021	20e2	unclear;
	Efficacy and Safety of COVID-19 Vaccines: A Systematic	10.2139/ssrn.38124	Exclusion reason:
Pormohammad 2021	Review and Meta-Analysis of Randomized Clinical Trials	22	Wrong study design;
<b>D</b> 1 2004	Stable neutralizing antibody levels 6Â months after mild	10.1016/j.medj.202	Exclusion reason:
Pradenas 2021	and severe COVID-19 episodes	1.01.005	Wrong intervention;
	Effectives are of Conjudated assessment in annual softing Conjud	10 1101/2021 07 10	Exclusion reason: Time
Dramad 2021	Effectiveness of Covishield vaccine in preventing Covid-	10.1101/2021.07.19	since vaccination
Pramod 2021	19 â€" A test-negative case-control study	.21260693	unclear; Exclusion reason: Time
	COVID-19 Vaccination Associated with Reduced Post-	10.1097/SLA.00000	since vaccination
Prasad 2021	Operative SARS-CoV-2 Infection and Morbidity	00000005176	unclear;
114344 2021	Operative SARS COV 2 Infection and Problems	http://dx.doi.org/10.	difficulty
	Impact of vaccination on new SARS-CoV-2 infections in	1038/s41591-021-	Exclusion reason:
Pritchard 2021	the United Kingdom	01410-w	Insufficient follow-up;
		http://dx.doi.org/10.	1,
	Characteristics and Strength of Evidence of COVID-19	1001/jamainternme	Exclusion reason:
Pundi 2020	Studies Registered on ClinicalTrials.gov	d.2020.2904	Insufficient follow-up;
	Impact of prior influenza and pneumoccocal vaccines on	http://dx.doi.org/10.	
	humoral and cellular response to sars-cov-2 bnt162b2	3390/vaccines90606	Exclusion reason:
Puro 2021	vaccination	15	Wrong outcomes;
	Safety and immunogenicity of ChAdOx1 nCoV-19	http://dx.doi.org/10.	
	vaccine administered in a prime-boost regimen in young	1016/S0140-	
	and old adults (COV002): a single-blind, randomised,	6736%2820%29324	
Ramasamy 2020	controlled, phase 2/3 trial	66-1	Wrong outcomes;

	Short-term outcome of pregnant women vaccinated by	http://dx.doi.org/10.	Exclusion reason:
Regev 2021	BNT162b2 mRNA COVID-19 vaccine	1002/uog.23729	Wrong outcomes;
			Exclusion reason:
	The BNT162b2 mRNA COVID-19 vaccine in adolescents	10.1016/j.ejca.2021.	Insufficient Sample
Revon-Riviere 2021	and young adults with cancer: A monocentric experience	06.002	Size;
		10.1101/2021.07.31	Exclusion reason:
Riemersma 2021	Shedding of Infectious SARS-CoV-2 Despite Vaccination	.21261387	Wrong outcomes;
	Prognostic value of preinfectionroutine laboratory	http://dx.doi.org/10.	
	parameters for COVID-19 mortality in tumor patients:	1200/JCO.2021.39.1	Exclusion reason:
Roesch 2021	Results of the ADHOK Coronavirus Tumor Registry	5-suppl.10571	Wrong intervention;
		http://dx.doi.org/10.	Exclusion reason:
	Sars-cov-2 neutralizing antibodies: A network meta-	3390/vaccines90302	Insufficient Sample
Rogliani 2021	analysis across vaccines	27	Size;
	New COVID-19 Cases and Hospitalizations Among		Exclusion reason: Time
	Adults, by Vaccination Status - New York, May 3-July 25,	10.15585/mmwr.m	since vaccination
Rosenberg 2021	2021	m7037a7	unclear;
		http://dx.doi.org/10.	
	COVID-19 dynamics after a national immunization	1038/s41591-021-	Exclusion reason:
Rossman 2021	program in Israel	01337-2	Insufficient follow-up;
	Effectiveness of vaccination in preventing severe SARS		Exclusion reason: Time
	CoV-2 infection in South India-a hospital-based cross-	10.1101/2021.09.17	since vaccination
Ruban 2021	sectional study	.21263670	unclear;
	Durability of antibody responses elicited by a single dose		
	of Ad26.COV2.S and substantial increase following late	10.1101/2021.08.25	Exclusion reason:
Sadoff 2021	boosting	.21262569	Wrong outcomes;
		http://dx.doi.org/10.	
	Identifying the Risk Factors for Mortality in Patients with	1007/s12029-021-	Exclusion reason:
Safari 2021	Cancer and COVID-19 in Hamadan, the West of Iran	00677-z	Wrong outcomes;

	The effectiveness of SARS-CoV-2 vaccination in		Exclusion reason:
	preventing severe illness and death â€" real-world data	10.1101/2021.08.26	Insufficient Sample
Sagiraju 2021	from a cohort of patients hospitalized with COVID-19	.21262705	Size;
oughuju 1011	Trom a content or patients mospitalized with contra	http://dx.doi.org/10.	3.237
	Emerging Evidence on Effectiveness of COVID-19	1016/j.jamda.2021.	Exclusion reason:
Salcher-Konrad 2021	Vaccines Among Residents of Long-Term Care Facilities	05.017	Wrong study design;
00.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0	Reanalysis of the Pfizer mRNA BNT162b2 SARS-CoV-2		lineing coda, accigin,
	vaccine data fails to find any increased efficacy following		
	the boost: Implications for vaccination policy and our	10.1101/2021.02.23	Exclusion reason:
Saul 2021	understanding of the mode of action	.21252315	Duplicate;
	Mucormycosis and COVID-19: An epidemic within a	http://dx.doi.org/10.	Exclusion reason:
Selarka 2021	pandemic in India	1111/myc.13353	Wrong outcomes;
		http://dx.doi.org/10.	
	COVID-19 fatalities by zip codes and socioeconomic	1016/j.amsu.2021.1	Exclusion reason:
Sen-Crowe 2021	indicators across various U.S. regions	02471	Wrong study design;
	Virological characteristics of SARS-CoV-2 vaccine	10.1101/2021.08.20	Exclusion reason:
Shamier 2021	breakthrough infections in healthcare workers	.21262158	Wrong outcomes;
	Efficacy Estimates for Various COVID-19 Vaccines: What	10.1101/2021.05.20	Exclusion reason:
Shapiro 2021	we Know from the Literature and Reports	.21257461	Wrong study design;
	The Effect of Pandemic Prevalence on the Reported		
	Efficacy of SARS-CoV-2 Vaccine Candidates: A	10.1101/2021.06.05	Exclusion reason:
Sharma 2021	Systematic Review and Meta-analysis	.21258394	Wrong study design;
	Equivalency of Protection from Natural Immunity in		
	COVID-19 Recovered Versus Fully Vaccinated Persons: A	10.1101/2021.09.12	Exclusion reason:
Shenai 2021	Systematic Review and Pooled Analysis	.21263461	Wrong study design;
	Hybrid immunity versus vaccine-induced immunity		
	against SARS CoV2 in Patients with Autoimmune	10.1101/2021.08.26	Exclusion reason:
Shenoy 2021	Rheumatic Diseases	.21258418	Wrong outcomes;

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		http://dx.doi.org/10.	
	15570 Adaptive immunity to SARS-CoV-2 infection and	1016/j.annonc.2021.	Exclusion reason:
Shepherd 2021	vaccination in cancer patients: The CAPTURE study	08.1550	Wrong outcomes;
	Reports of Anaphylaxis after Receipt of mRNA COVID-19	http://dx.doi.org/10.	
	Vaccines in the US-December 14, 2020-January 18,	1001/jama.2021.19	Exclusion reason:
Shimabukuro 2021	2021	67	Wrong outcomes;
	Efficacy and safety of BNT162b2 vaccination		
	in patients with solid cancer receiving anticancer	10.1016/j.ejca.2021.	Exclusion reason:
Shmueli 2021	therapy - a single centre prospective study	08.007	Wrong outcomes;
	Effectiveness of mRNA COVID-19 Vaccines among	10.1101/2021.06.02	Exclusion reason:
Shrestha 2021	Employees in an American Healthcare System	.21258231	Wrong outcomes;
	Vaccine effectiveness of the first dose of ChAdOx1		
	nCoV-19 and BNT162b2 against SARS-CoV-2 infection in	10.1101/2021.03.26	Exclusion reason:
Shrotri 2021	residents of Long-Term Care Facilities (VIVALDI study)	.21254391	Wrong intervention;
	Vaccine effectiveness of the first dose of ChAdOx1	http://dx.doi.org/10.	,
	nCoV-19 and BNT162b2 against SARS-CoV-2 infection in	1016/S1473-	
	residents of long-term care facilities in England	3099%2821%29002	Exclusion reason:
Shrotri 2021	(VIVALDI): a prospective cohort study	89-9	Wrong intervention;
	The effectiveness of Vaxzevria and CoronaVac vaccines: A	nationwide	Exclusion reason:
Silva 2021	longitudinal retrospective study of 61 million Brazilians (Vi	igiVac-COVID19)	Wrong comparator;
	Effectiveness of the BBIPB-CorV Vaccine in Preventing	10.2139/ssrn.39226	Exclusion reason:
Silva 2021	Infection and Death in Healthcare Workers in Peru 2021	32	Wrong intervention;
	Haemodialysis patients show a highly diminished		
	antibody response after COVID-19 mRNA vaccination	http://dx.doi.org/10.	Exclusion reason:
Simon 2021	compared to healthy controls	1093/ndt/gfab179	Wrong outcomes;
	Effectiveness of BNT162b2 mRNA COVID-19 Vaccine		Exclusion reason: Time
	Against SARS-CoV-2 Variant Beta (B.1.351) Among	10.2139/ssrn.39047	since vaccination
Singer 2021	Persons Identified Through Contact Tracing in Israel	01	unclear;
	Genomic analysis of symptomatic SARS-CoV-2 vaccine breakthrough infections		Exclusion reason: Full
Singh 2021	from a tertiary care centre in India	_	Text Not Available;

	Remote Monitoring Reduces Mortality and		
	Hospitalizations Among COVID-19 Patients. Data from	10.2139/ssrn.39270	Exclusion reason:
Siwak 2021	the Polish Nationwide Program	60	Wrong intervention;
	Single-dose mRNA vaccine effectiveness against SARS-		, ,
	CoV-2, including P.1 and B.1.1.7 variants: a test-		
	negative design in adults 70 years and older in British	10.1101/2021.06.07	Exclusion reason:
Skowronski 2021	Columbia, Canada	.21258332	Wrong intervention;
	Comparative single-dose mRNA and ChAdOx1 vaccine		
	effectiveness against SARS-CoV-2, including early		
	variants of concern: a test-negative design, British	10.1101/2021.09.20	Exclusion reason:
Skowronski 2021	Columbia, Canada	.21263875	Wrong intervention;
			Exclusion reason:
Sofonea 2021	Quantifying the real-life impacts of vaccination on critical	COVID-19	Wrong study design;
	FDA-approved COVID-19 vaccines are effective per real-		
	world evidence synthesized across a multi-state health	10.21203/rs.3.rs-	Exclusion reason:
Soundararajan 2021	system	237155/v1	Insufficient follow-up;
	High vaccine effectiveness against COVID-19 infection		Exclusion reason: Time
	and severe disease among residents and staff of long-	10.1101/2021.08.08	since vaccination
Starrfelt 2021	term care facilities in Norway, November â€" June 2021	.21261357	unclear;
		http://dx.doi.org/10.	
	Cellular and humoral immunogenicity of a SARS-CoV-2	1016/j.ebiom.2021.	Exclusion reason:
Strengert 2021	mRNA vaccine in patients on haemodialysis	103524	Wrong outcomes;
	Humoral and cellular immunity to SARS-CoV-2		
	vaccination in renal transplant versus dialysis patients: A		
C) (2024	prospective, multicenter observational study using	10.1016/j.lanepe.20	Exclusion reason:
Stumpf 2021	mRNA-1273 or BNT162b2 mRNA vaccine	21.100178	Insufficient follow-up;
0.11	D LCOVID L L L L L	10.1038/d41586-	Exclusion reason:
Subbaraman 2021	Pregnancy and COVID: what the data say	021-00578-y	Opinion piece;

	Mortality in adult patients with solid or hematological		
	cancers and SARS-CoV-2 infection with a specific focus	http://dx.doi.org/10.	
	on lung and breast malignancies: A systematic review	1200/JCO.2021.39.s	Exclusion reason:
Tagliamento 2021	and meta-analysis	uppl.e18608	Wrong outcomes;
	Impact of the COVID-19 Vaccine on Asymptomatic		_
	Infection Among Patients Undergoing Pre-Procedural	http://dx.doi.org/10.	Exclusion reason:
Tande 2021	COVID-19 Molecular Screening	1093/cid/ciab229	Insufficient follow-up;
ranac zozi	BNT162b2 and mRNA-1273 COVID-19 vaccine	10337 6147 6143223	Insummer remove up/
	effectiveness against the Delta (B.1.617.2) variant in	10.1101/2021.08.11	Exclusion reason:
Tang 2021	Qatar	.21261885	Insufficient follow-up;
Taily 2021	Qatai		Trisurricient rollow-up,
	Cafat and income a coninity of the Chadout a Call 10	http://dx.doi.org/10.	
	Safety and immunogenicity of the ChAdOx1 nCoV-19	1016/S0140-	
	vaccine against SARS-CoV-2: a preliminary report of a	6736%2820%29316	Exclusion reason:
Tarrant 2020	phase 1/2, single-blind, randomised controlled trial	04-4	Insufficient follow-up;
		http://dx.doi.org/10.	
	Longitudinal analysis of COVID-19 infection rates and	1007/s00228-021-	Exclusion reason:
Taubel 2021	antibody levels pre-and post-vaccination	03164-3	Wrong outcomes;
	A Systematic Review of Methodological Approaches for		
Teerawattananon	Evaluating Real-World Effectiveness of Covid-19	10.2139/ssrn.39005	Exclusion reason:
2021	Vaccines: Advising Resource-Constrained Settings	21	Wrong study design;
	The effectiveness of the TWO-DOSE BNT162b2 vaccine:	http://dx.doi.org/10.	Exclusion reason:
Tene 2021	analysis of real-world data	1093/cid/ciab438	Insufficient follow-up;
	Effectiveness of SARS-CoV-2 mRNA Vaccines for	,, ,	μ,
	Preventing Covid-19 Hospitalizations in the United		Exclusion reason:
Tenforde 2021	States	10.1093/cid/ciab687	Insufficient follow-up;
TOTAL ZUZI	Effectiveness of Pfizer-BioNTech and Moderna Vaccines	http://dx.doi.org/10.	Exclusion reason:
	Against COVID-19 Among Hospitalized Adults Aged	15585/mmwr.mm70	Insufficient Sample
Tenforde 2021		18e1	-
Telliolde 2021	>=65 Years - United States, January-March 2021	1061	Size;

	Monitoring the COVID-19 immunisation programme		
	through a National Immunisation Management System	10.1101/2021.09.14	Exclusion reason:
Tessier 2021	â€" England's experience	.21263578	Wrong study design;
	·	http://dx.doi.org/10.	<i>y</i> , <i>y</i> ,
	Paediatric infectious diseases in Greece: Insights from a	3892/etm.2020.941	Exclusion reason:
Theodoridou 2020	tertiary reference unit and perspectives for the future	8	Wrong outcomes;
	15580 COVID-19 vaccine in participants (ptcpts) with		
	cancer: Subgroup analysis of efficacy/safety from a	http://dx.doi.org/10.	
	global phase III randomized trial of the BNT162b2	1016/j.annonc.2021.	Exclusion reason: Full
Thomas 2021	(tozinameran) mRNA vaccine	08.1551	Text Not Available;
	Interim Estimates of Vaccine Effectiveness of BNT162b2		
	and mRNA-1273 COVID-19 Vaccines in Preventing		
	SARS-CoV-2 Infection Among Healthcare Personnel,	http://dx.doi.org/10.	
<b>T</b>	First Responders, and Other Essential and Frontline	15585/mmwr.mm70	Exclusion reason:
Thompson 2021	Workers - Eight U.S. Locations, December 2020-March	13e3	Insufficient follow-up;
<b>T.</b> 1. 2024	Long-term immunogenicity of BNT162b2 vaccination in	10.1101/2021.08.26	Exclusion reason:
Tober-Lau 2021	the elderly and in younger healthcare workers	.21262468	Wrong outcomes;
	Evaluation of S-RBD and high specificity ACE-2-binding	10 1016 () : 11 20	
T 11: 2024	antibodies on SARS-CoV-2 patients after six months	10.1016/j.intimp.20	Exclusion reason:
Tomassetti 2021	from infection	21.108013	Wrong outcomes;
	Reduction in COVID-19 prevalence in healthcare	http://dx.doi.org/10.	Final control of the
T 2021	workers in a university hospital in southern Brazil after	1016/j.ijid.2021.07.	Exclusion reason:
Toniasso 2021	the start of vaccination	025	Wrong intervention;
Tamel 2021	Manager PNA variety and arrivet CARC CoV 2	10.1016/j.cell.2020.	Exclusion reason:
Topol 2021	Messenger RNA vaccines against SARS-CoV-2	12.039	Wrong study design;
	Neutralizing SARS-CoV-2 antibody response in dialysis	http://dx.doi.org/10.	Evaluaion reason:
Torroggiani 2021	patients after the first dose of the BNT162b2 mRNA	1016/j.kint.2021.04.	Exclusion reason:
Torreggiani 2021	COVID-19 vaccine: the war is far from being won	010	Wrong outcomes;

	Comparative kinetics of SARS-CoV-2 anti-spike protein		
	RBD IgGs and neutralizing antibodies in convalescent	http://dx.doi.org/10.	
	and naive recipients of the BNT162b2 mRNA vaccine	1186/s12916-021-	Exclusion reason:
Trougakos 2021	versus COVID-19 patients	02090-6	Wrong outcomes;
	Predicting the effects of waning vaccine immunity against	COVID-19 through	Exclusion reason:
Truszkowska 2021	high-resolution agent-based modeling	J	Wrong study design;
		http://dx.doi.org/10.	, , , , , , , , , , , , , , , , , , ,
	Hospital admission and emergency care attendance risk	1016/S1473-	
	for SARS-CoV-2 delta (B.1.617.2) compared with alpha	3099%2821%29004	Exclusion reason:
Twohig 2021	(B.1.1.7) variants of concern: a cohort study	75-8	Wrong intervention;
1 1101119 2021	COVID-19 hospitalisation, mortality, vaccination, and	73 0	Wrong meer vendon,
	postvaccination trends among people with schizophrenia	10.1016/S2215-	Exclusion reason:
TzurBitan 2021	in Israel: a longitudinal cohort study	0366(21)00256-X	Wrong outcomes;
12di Bitari 2021	,	0300(Z1)00Z30-X	wrong outcomes,
	·	10 1101/2021 00 17	Evelusion reason:
Likov 2021		•	
Ukey 2021			,
V 1:1 2024		-	
Vanidy 2021	against Hospitalizations and Deaths in the United States	.212558/3	
			Text Not Available;
Vaishya 2021		485_21	
	predispose to the need for intensive care in individuals		
	infected with the delta variant - A case cohort study	10.1016/j.dsx.2021.	Exclusion reason:
Vaishya 2021	from a tertiary care hospital in New Delhi, India	102203	Wrong study design;
			Exclusion reason:
Varshney 2021	Sars-cov-2 vaccines: A systematic review		Wrong study design;
	Interim findings from first-dose mass COVID-19		, , , , , , , , , , , , , , , , , , ,
	vaccination roll-out and COVID-19 hospital admissions in	10.1016/S0140-	Exclusion reason:
The state of the s	Scotland: a national prospective cohort study	6736(21)00677-2	Insufficient follow-up;
	from a tertiary care hospital in New Delhi, India  Sars-cov-2 vaccines: A systematic review  Interim findings from first-dose mass COVID-19  vaccination roll-out and COVID-19 hospital admissions in	102203 10.1016/S0140-	Wrong study design; Exclusion reason: Wrong study design; Exclusion reason:

	Effectiveness of First Doos of COVID 10 Versions	I	
	Effectiveness of First Dose of COVID-19 Vaccines	10.2120/ 27002	First and a second
V 11 : 2024	Against Hospital Admissions in Scotland: National	10.2139/ssrn.37892	Exclusion reason:
Vasileiou 2021	Prospective Cohort Study of 5.4 Million People	64	Wrong intervention;
		http://dx.doi.org/10.	
	A focused review on technologies, mechanisms, safety,	1016/j.intimp.2021.	Exclusion reason:
Vazin 2021	and efficacy of available COVID-19 vaccines	108162	Wrong study design;
	Effectiveness of Mass Vaccination in Brazil against	10.1101/2021.09.10	Exclusion reason:
Villela 2021	Severe COVID-19 Cases	.21263084	Wrong comparator;
	One year of SARS-CoV-2 pandemic: comparison of infection	on between	Exclusion reason:
Visci 2021	healthcare workers and general population before and aft	er vaccination	Wrong outcomes;
		http://dx.doi.org/10.	
	Short-term safety of the BNT162b2 mRNA COVID-19	1016/S1470-	
	vaccine in patients with cancer treated with immune	2045%2821%29001	Exclusion reason:
Waissengrin 2021	checkpoint inhibitors	55-8	Wrong outcomes;
	Real-world impact of vaccination on COVID-19 incidence	http://dx.doi.org/10.	Exclusion reason:
Waldman 2021	in healthcare personnel at an academic medical center	1017/ice.2021.336	Insufficient follow-up;
		http://dx.doi.org/10.	Exclusion reason:
	Immunogenicity of COVID-19 Tozinameran Vaccination	3389/fimmu.2021.6	Insufficient Sample
Weber 2021	in Patients on Chronic Dialysis	90698	Size;
	Demographic and Social Factors Associated with COVID-		,
	19 Vaccination Initiation Among Adults Aged >=65	http://dx.doi.org/10.	
	Years - United States, December 14, 2020-April 10,	15585/mmwr.mm70	Exclusion reason:
Whiteman 2021	2021	19e4	Wrong outcomes;
	mRNA-1273 vaccine (Moderna): a better option than		,
	BNT162b2 (Pfizer) in kidney transplant recipients and	10.1101/2021.09.15	Exclusion reason:
Wijtvliet 2021	dialysis patients?	.21263320	Wrong outcomes;
<b>,</b>	Correction: Association between influenza vaccination		J ,
	and hospitalisation or all-cause mortality in people with	10.1136/bmjresp-	Exclusion reason:
Wilcox CR 2021	COVID-19: a retrospective cohort study	2020-000857corr1	Wrong outcomes;
11110011 011 0001	1 - 1 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 -		

	Measuring vaccine efficacy against infection and disease in clinical trials: sources and magnitude of bias in	10.1101/2021.07.30	Exclusion reason:
Williams 2021	COVID-19 vaccine efficacy estimates	.21260912	Opinion piece;
	Risks of covid-19 hospital admission and death for		
	people with learning disability: Population based cohort	http://dx.doi.org/10.	Exclusion reason:
Williamson 2021	study using the OpenSAFELY platform	1136/bmj.n1592	Wrong outcomes;
		http://dx.doi.org/10.	
	Human IgG and IgA responses to COVID-19 mRNA	1371/journal.pone.0	Exclusion reason:
Wisnewski 2021	vaccines	249499	Wrong outcomes;
		http://dx.doi.org/10.	
	1562MO Effectiveness of COVID-19 vaccination in	1016/j.annonc.2021.	Exclusion reason: Full
Wu 2021	cancer patients: A nationwide Veterans Affairs study	08.1555	Text Not Available;
	Exploring Drugs and Vaccines Associated with Altered		
	Risks and Severity of COVID-19: A UK Biobank Cohort		
	Study of All ATC Level-4 Drug Categories Reveals	10.3390/pharmaceu	Exclusion reason:
Xiang 2021	Repositioning Opportunities	tics13091514	Wrong intervention;
	Association of COVID-19 vaccination with risks of		
\"	hospitalization and mortality due to cardiovascular and	10.1101/2021.08.15	Exclusion reason:
Xiang 2021	other diseases: A study of the UK Biobank	.21262097	Wrong intervention;
	Immunogenicity after two doses of inactivated virus		
V I : 2024	vaccine in healthcare workers with and without previous	http://dx.doi.org/10.	Exclusion reason:
Yalcin 2021	COVID-19 infection: Prospective observational study	1002/jmv.27316	Wrong outcomes;
	Persistent while declined neutralizing antibody	10 1101/2021 00 10	Freshorian na
V 2021	responses in the convalescents of COVID-19 across	10.1101/2021.09.18	Exclusion reason:
Yang 2021	clinical spectrum during the 16 months follow up	.21263550	Wrong outcomes;
	Endogenously Produced SARS-CoV-2 Specific IgG		Fuelusian necessis
V 2021	Antibodies May Have a Limited Impact on Clearing Nasal	10 2200 / 12020516	Exclusion reason:
Yang 2021	Shedding of Virus during Primary Infection in Humans	10.3390/v13030516	Wrong outcomes;

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· · · · · · · · · · · · · · · · · · ·		Exclusion reason:
variants b.1.1.7, b.1.551, and b.1.017.1		Wrong outcomes; Exclusion reason:
Reactogenicity of SARS-CoV-2 vaccines in natients with		Insufficient Sample
· · · · · · · · · · · · · · · · · · ·	•	Size;
,		Exclusion reason:
Association of Age With SARS-CoV-2 Antibody Response	rkopen.2021.4302	Wrong outcomes;
Associations of the BNT162b2 COVID-19 vaccine	10.1101/2021.03.16	Exclusion reason:
effectiveness with patient age and comorbidities	.21253686	Wrong outcomes;
,	•	Exclusion reason:
	.457693	Wrong study design;
	10 1101/2021 00 16	Fordering was a second
	•	Exclusion reason:
nealthy addits	.21203092	Wrong outcomes; Exclusion reason: Time
Coverage and Effectiveness of mRNA COVID-19	10 1101/2021 06 14	since vaccination
	-	unclear;
mRNA Vaccine-Induced Antibodies More Effective than		
Natural Immunity in Neutralizing SARS-CoV-2 and its	10.21203/rs.3.rs-	Exclusion reason:
High Affinity Variants	659065/v1	Wrong outcomes;
Evaluation of sars-cov-2 spike protein antibody titers in	http://dx.doi.org/10.	
		Exclusion reason:
· · · · · · · · · · · · · · · · · · ·	75	Wrong outcomes;
	10 1101/2021 00 22	Evaluaion recorni
•	•	Exclusion reason:
anarysis		Wrong study design;
Safety and immunogenicity of a recombinant interferon-		Exclusion reason:
armed RBD dimer vaccine (V-01) for COVID-19 in	1.1951126	Wrong outcomes;
	Associations of the BNT162b2 COVID-19 vaccine effectiveness with patient age and comorbidities Protective activity of mRNA vaccines against ancestral and variant SARS-CoV-2 strains Immunogenicity of a third dose viral-vectored COVID-19 vaccine after receiving two-dose inactivated vaccines in healthy adults  Coverage and Effectiveness of mRNA COVID-19 Vaccines among Veterans mRNA Vaccine-Induced Antibodies More Effective than Natural Immunity in Neutralizing SARS-CoV-2 and its High Affinity Variants  Evaluation of sars-cov-2 spike protein antibody titers in cord blood after covid-19 vaccination during pregnancy in polish healthcare workers: Preliminary results  Effectiveness of COVID-19 vaccines against SARS-CoV-2 variants of concern: a systematic review and meta-analysis  Safety and immunogenicity of a recombinant interferon-	trimeric spike protein vaccines against SARS-CoV-2 variants B.1.1.7, B.1.351, and B.1.617.1  Reactogenicity of SARS-CoV-2 vaccines in patients with autoimmune and inflammatory disease  Association of Age With SARS-CoV-2 Antibody Response Associations of the BNT162b2 COVID-19 vaccine effectiveness with patient age and comorbidities Protective activity of mRNA vaccines against ancestral and variant SARS-CoV-2 strains Immunogenicity of a third dose viral-vectored COVID-19 vaccine after receiving two-dose inactivated vaccines in healthy adults  Coverage and Effectiveness of mRNA COVID-19 Vaccines among Veterans mRNA Vaccine-Induced Antibodies More Effective than Natural Immunity in Neutralizing SARS-CoV-2 and its High Affinity Variants  Evaluation of sars-cov-2 spike protein antibody titers in cord blood after covid-19 vaccines against SARS-CoV-2 variants of concern: a systematic review and metaanalysis  10.1101/2021.06.12 10.1101/2021.03.16 2.1253686 10.1101/2021.08.25 4.57693 10.1101/2021.09.16 2.1263692 10.1101/2021.09.16 2.1263692 10.1101/2021.06.14 2.1258906 10.1101/2021.06.14 2.1258906 10.1101/2021.06.14 2.1258906 10.1101/2021.06.14 2.1258906 10.1101/2021.09.16 2.1263692 10.1101/2021.

Duration of protective immunity following COVID-19 vaccination of individuals with underlying conditions (Published 3 December 2021
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healthy adults: a randomized, double-blind, placebo-	
controlled, Phase I trial	

Table App.A7.2 (updated search 18 Oct 2021; n=27 excluded studies)

Study	Title	DOI	Reason for exclusion
Anonymous 2021	Erratum: Risk prediction of covid-19 related death and hospital admission in adults after covid-19 vaccination: National prospective cohort study (BMJ (2021) 374 (n2244) DOI: 10.1136/bmj.n2244)	http://dx.doi.org/10. 1136/bmj.n2300	Exclusion reason: Wrong outcomes;
Bachul 2021	The impact of covid-19 on kidney transplant recipients in pre-vaccination and delta strain era: A systematic review and meta-analysis	http://dx.doi.org/10. 3390/jcm10194533	Exclusion reason: Wrong study design;
Bar-On 2021	Protection Across Age Groups of BNT162b2 Vaccine Booster against Covid-19	10.1101/2021.10.07 .21264626	Exclusion reason: Wrong comparator;
Bjork 2021	High level of protection against COVID-19 after two doses of BNT162b2 vaccine in the working age population-first results from a cohort study in Southern Sweden	http://dx.doi.org/10. 1080/23744235.202 1.1982144	Exclusion reason: Time since vaccination unclear;
Braunisch 2021	Covid-19 vaccination acceptance and hesitancy among healthcare workers in Germany	http://dx.doi.org/10. 3390/vaccines90707 77	Exclusion reason: Wrong study design;
Chandan 2021	Postvaccination SARS-CoV-2 infection among healthcare workers â€" A Systematic Review and meta-analysis	10.1101/2021.10.04 .21264542	Exclusion reason: Wrong study design;
Di Fusco 2021	Evaluation of COVID-19 vaccine breakthrough infections among immunocompromised patients fully vaccinated with BNT162b2	10.1101/2021.10.12 .21264707	Exclusion reason: Wrong comparator;
Earnest 2021	Comparative transmissibility of SARS-CoV-2 variants Delta and Alpha in New England, USA	10.1101/2021.10.06 .21264641	Exclusion reason: Wrong outcomes;
Emary 2021	Efficacy of ChAdOx1 nCoV-19 (AZD1222) vaccine against SARS-CoV-2 lineages circulating in Brazil	http://dx.doi.org/10. 1038/s41467-021- 25982-w	Exclusion reason: Wrong outcomes;

Gimenez 2021	Evolution of SARS-CoV-2 immune responses in nursing home residents following full dose of the Comirnaty COVID-19 vaccine	10.1101/2021.10.06 .21264616	Exclusion reason: Wrong outcomes;
Goel 2021	mRNA vaccines induce durable immune memory to SARS-CoV-2 and variants of concern	10.1126/science.ab m0829	Exclusion reason: Insufficient Sample Size;
Grebe 2021	Estimating COVID-19 vaccine effectiveness using repeat blood donor data	http://dx.doi.org/10. 1111/trf.16670	Exclusion reason: Wrong intervention;
Hulme 2021	Comparative effectiveness of ChAdOx1 versus BNT162b2 COVID-19 vaccines in Health and Social Care workers in England: a cohort study using OpenSAFELY	10.1101/2021.10.13 .21264937	Exclusion reason: Wrong intervention;
Kostner 2021	Comparing SARS-CoV-2 case rates between pupils, teachers and the general population: results from Germany	10.1101/2021.03.04 .21252877	Exclusion reason: Wrong outcomes;
Keegan 2021	Review 2:" Delta variant and mRNA Covid-19 vaccines effectiveness: higher odds of vaccine infection breakthroughs"		Exclusion reason: Wrong comparator;
Naranbhai 2021	Comparative immunogenicity and effectiveness of mRNA-1273, BNT162b2 and Ad26.COV2.S COVID-19 vaccines	10.1101/2021.07.18 .21260732	Exclusion reason: Wrong outcomes;
Notarte 2021	Effects of Age, Sex, Serostatus and Underlying Comorbidities on Humoral Response Post-SARS-CoV-2 Pfizer-BioNTech Vaccination: "A Systematic Review	10.1101/2021.10.10 .21264825	Exclusion reason: Wrong study design;
Pouwels 2021	Impact of Delta on viral burden and vaccine effectiveness against new SARS-CoV-2 infections in the UK	10.1101/2021.08.18 .21262237	Exclusion reason: Preprint - subsequently published;
Rajakaruna 2021	Dynamical Regulations On Mobility and Vaccinations To Control Covid19 Spread	10.21203/rs.3.rs- 949900/v1	Exclusion reason: Wrong study design;

Ranzani 2021	Vaccine effectiveness of Ad26.COV2.S against symptomatic COVID-19 and clinical outcomes in Brazil: a test-negative study design	10.1101/2021.10.15 .21265006	Exclusion reason: Wrong study design;
Rossi 2021	BNT162b2 mRNA Vaccination Leads to Long-Term Protection from COVID-19 Disease	10.3390/vaccines91 01164	Exclusion reason: Wrong outcomes;
Saragoussi 2021	Test-negative designs applied to COVID-19 vaccine effectiveness assessment: Methodological challenges	http://dx.doi.org/10. 1002/pds.5305	Exclusion reason: Wrong study design;
Semenzato 2021	Chronic diseases, health conditions and risk of COVID- 19-related hospitalization and in-hospital mortality during the first wave of the epidemic in France: A cohort study of 66 million people	http://dx.doi.org/10. 1002/pds.5305	Exclusion reason: Wrong intervention;
Siedner 2021	Duration of viral shedding and culture positivity with post-vaccination breakthrough delta variant infections	10.1101/2021.10.14 .21264747	Exclusion reason: Insufficient Sample Size;
Taghioff 2021	The Impact of the Influenza Vaccine on Postoperative Outcomes in Covid-19 Positive Patients: An Analysis of 43,580 Patients Utilizing a Globally Federated Electronic Medical Record Network	http://dx.doi.org/10. 1016/j.jamcollsurg.2 021.07.156	Exclusion reason: Wrong intervention;
Vaishya 2021	Symptomatic post-vaccination SARS-CoV-2 infections in healthcare workers- A multicenter cohort study	http://dx.doi.org/10. 1016/j.dsx.2021.102 306	Exclusion reason: Wrong intervention;
Wang 2021	Increased risk for COVID-19 breakthrough infection in fully vaccinated patients with substance use disorders in the United States between December 2020 and August 2021	http://dx.doi.org/10. 1002/wps.20921	Exclusion reason: Wrong comparator;

Table App.A8.3 (updated search 27 Oct 2021; n=36 excluded studies)

Study	Title	DOI	Reason for exclusion
Agrawal 2021	COVID-19 hospital admissions and deaths after BNT162b2 and ChAdOx1 nCoV-19 vaccinations in 2.57 million people in Scotland (EAVE II): a prospective cohort study	10.1016/S2213- 2600%2821%29003 80-5	Exclusion reason: Insufficient follow-up;
Ambosino 2021	Sars-cov-2 reinfection is a new challenge for the effectiveness of global vaccination campaign: A systematic review of cases reported in literature	10.3390/ijerph1820 11001	Exclusion reason: Wrong study design;
Andrejko 2021	Predictors of SARS-CoV-2 infection following high-risk exposure	10.1101/2021.10.20 .21265295	Exclusion reason: Wrong intervention;
Ben-Dov 2021	Response to Tozinameran (BNT162b2) booster in twice- vaccinated kidney transplant and maintenance dialysis patients	10.1101/2021.10.20 .21264403	Exclusion reason: Wrong outcomes;
Bergami 2021	Humoral and cell-mediated response against SARS-CoV- 2 variants elicited by mRNA vaccine BNT162b2 in healthcare workers: a longitudinal observational study	http://dx.doi.org/10. 1016/j.cmi.2021.09. 016	Exclusion reason: Wrong outcomes;
Bianchi 2021	BNT162b2 mRNA COVID-19 vaccine effectiveness in the prevention of SARS-CoV-2 infection and symptomatic disease in five-month follow-up: A retrospective cohort study	10.3390/vaccines91 01143	Exclusion reason: Duplicate;
Bierle 2021	Monoclonal Antibody Treatment of Breakthrough COVID-19 in Fully Vaccinated Individuals with High-Risk Comorbidities	10.1101/2021.10.19 .21265222	Exclusion reason: Wrong comparator;
Brunelli 2021	Comparative Effectiveness of BNT162b2 versus Ad26.COV2.S for the Prevention of COVID-19 among Dialysis Patients	10.1101/2021.10.21 .21265339	Exclusion reason: Time since vaccination unclear;

De 2021	Effectiveness of partial COVID-19 vaccination on the outcome of hospitalized COVID-19 patients during the second pandemic In India	10.21203/rs.3.rs- 964720/v1	Exclusion reason: Insufficient follow-up;
Evangelou 2021	Impact of mass vaccination on SARS-CoV-2 infections among the total multiple sclerosis population receiving immunomodulatory disease-modifying therapies in England	10.21203/rs.3.rs- 1016584/v1	Exclusion reason: Time since vaccination unclear;
Gardner 2021	Third doses of COVID-19 vaccines reduce infection and transmission of SARS-CoV-2 and could prevent future surges in some populations	10.1101/2021.10.25 .21265500	Exclusion reason: Wrong outcomes;
Gounant 2021		10.1016/S1877- 1203%2821%29001 21-X	Exclusion reason: Wrong outcomes;
Harder 2021	Effectiveness of COVID-19 vaccines against SARS-CoV-2 infection with the Delta (B.1.617.2) variant: second interim results of a living systematic review and meta-analysis, 1 January to 25 August 2021	10.2807/1560- 7917.ES.2021.26.41 .2100920	Exclusion reason: Wrong study design;
Iftimie 2021	Differential features of the fifth wave of COVID-19 associated with vaccination and the Delta variant in a reference hospital in Catalonia, Spain	10.1101/2021.10.14 .21264933	Exclusion reason: Wrong study design;
Ivanauskaite 2021	Successful COVID-19 vaccination for patients on dialysis in Vilnius County	10.1111/hdi.12972	Exclusion reason: Wrong outcomes;
Korves 2021	Coverage and Estimated Effectiveness of mRNA COVID- 19 Vaccines among US Veterans	10.1001/jamanetwo rkopen.2021.28391	Exclusion reason: Time since vaccination unclear;
Kumar 2021	HERD IMMUNITY AND COVID-19 VACCINES-A BRIEF DISCUSSION		Exclusion reason: Wrong study design;
Laing 2021	Durability of antibody responses and frequency of clinical and subclinical SARS-CoV-2 infection six months	10.1101/2021.10.16 .21265087	Exclusion reason: Wrong outcomes;

	after BNT162b2 COVID-19 vaccination in healthcare workers		
Marques 2021	SARS-CoV-2 variants associated with vaccine breakthrough in the Delaware Valley through summer 2021	10.1101/2021.10.18 .21264623	Exclusion reason: Wrong outcomes;
Martinez-Baz 2021	Product-specific COVID-19 vaccine effectiveness against secondary infection in close contacts, Navarre, Spain, April to August 2021	10.2807/1560- 7917.ES.2021.26.39 .2100894	Exclusion reason: Insufficient follow-up;
Mason 2021	Effects of BNT162b2 mRNA vaccine on COVID-19 infection and hospitalisation amongst older people: matched case-control study for England	10.1186/s12916- 021-02149-4	Exclusion reason: Insufficient follow-up;
Massarweh 2021	Immunogenicity of The BNT162b2 mRNA COVID-19 Vaccine in Patients With Primary Brain Tumors: A Prospective Cohort Study	10.21203/rs.3.rs- 986572/v1	Exclusion reason: Wrong outcomes;
Peeters 2021	Reduced humoral immune response after BNT162b2 coronavirus disease 2019 messenger RNA vaccination in cancer patients under antineoplastic treatment	10.1016/j.esmoop.2 021.100274	Exclusion reason: Wrong outcomes;
Pierobon 2021	Outbreak of SARS-CoV-2 B.1.617.2 (Delta) variant in a Nursing Home 28 weeks after two doses of mRNA anti-Covid-19 vaccines: evidence of a waning immunity	10.1101/2021.10.25 .21265370	Exclusion reason: Insufficient Sample Size;
Reis 2021	Effectiveness of BNT162b2 Vaccine against Delta Variant in Adolescents	10.1056/NEJMc2114 290	Exclusion reason: Insufficient follow-up;
Romero- Ibarguengoitia 2021	Effect of the third dose of BNT162b2 vaccine in quantitative SARS-CoV-2 spike 1-2 IgG antibody titers in healthcare workers	10.1101/2021.10.20 .21265269	Exclusion reason: Wrong intervention;
Sapienza 2021	Evaluation of the effectiveness and safety of the bnt162b2 covid-19 vaccine in the vaccination campaign among the health workers of fondazione policlinico universitario agostino gemelli irccs	10.3390/ijerph1821 11098	Exclusion reason: Wrong intervention;

Sariol 2021	Limited impact of Delta variants mutations in the effectiveness of neutralization conferred by natural infection or COVID-19 vaccines in a Latino population	10.1101/2021.10.25 .21265422	Exclusion reason: Wrong outcomes;
Schwartz 2021	Impact of mRNA vaccines in curtailing SARS-CoV-2 infection and disability leave utilisation among healthcare workers during the COVID-19 pandemic: Cross-sectional analysis from a tertiary healthcare system in the Greater Houston metropolitan area	10.1136/bmjopen- 2021-054332	Exclusion reason: Wrong outcomes;
Shehab 2021	Immunogenicity of BNT162b2 Vaccine in Patients with Inflammatory Bowel Disease on Infliximab Combination Therapy: A Multicenter Prospective Study	10.1101/2021.10.20 .21265239	Exclusion reason: Wrong outcomes;
Singh 2021	Effectiveness of COVID-19 Vaccine in Preventing Infection and Disease Severity: A Case-control Study from an Eastern State of India	http://dx.doi.org/10. 1017/S09502688210 02247	Exclusion reason: Wrong outcomes;
Slezak 2021	Effectiveness of mRNA BNT162b2 COVID-19 vaccine up to 6 months in a large integrated health system in the USA: a retrospective cohort study	http://dx.doi.org/10. 1016/S0140- 6736%2821%29021 83-8	Exclusion reason: Duplicate;
Strahm 2021	Symptoms compatible with long-COVID in healthcare workers with and without SARS-CoV-2 infection â€" results of a prospective multicenter cohort	10.1101/2021.10.19 .21265187	Exclusion reason: Wrong study design;
Thathai 2021	Study of COVID-19 Infection, its Severity and Outcome in COVID-19 Vaccinated People at Tertiary Healthcare Center, North West Rajasthan		Exclusion reason: Insufficient Sample Size;
Wack 2021	No SARS-CoV-2 reinfection among staff health-care workers: Prospective hospital-wide screening during the first and second waves in Paris	10.1016/j.jcv.2021.1 04999	Exclusion reason: Wrong outcomes;
Yamamoto 2021	COVID-19 breakthrough infections and pre-infection neutralizing antibody	10.1101/2021.10.20 .21265301	Exclusion reason: Wrong comparator;

# **Appendix B Quality Appraisal of included observational studies**

The quality appraisal of a cohort or a cross sectional study was assessed using tool: The National Institutes of Health (NIH) quality assessment tool for Cohort and Cross Sectional Studies, available at: <a href="https://www.nhlbi.nih.gov/health-topics/study-quality-assessment-tools">https://www.nhlbi.nih.gov/health-topics/study-quality-assessment-tools</a>

Table App.B1: Quality appraisal of cohort studies

Quality appraisal criteria	Chemaitelly (2021) <sup>(46)</sup>	Nordstrom (2021)	Liu (2021) <sup>(48)</sup>	Polinski (2021) <sup>(35)</sup>	Pouwels (2021) <sup>(53)</sup>	Saciuk (2021) <sup>(54)</sup>
1. Was the research question or objective in this paper clearly stated?	✓	✓	<b>√</b>	✓	✓	✓
2. Was the study population clearly specified and defined?	<b>√</b>	<b>√</b>	<b>√</b>	<b>✓</b>	<b>√</b>	<b>√</b>
3. Was the participation rate of eligible persons at least 50%?	✓	<b>√</b>	<b>✓</b>	<b>\</b>	CD	<b>√</b>
4. Were all the subjects selected or recruited from the same or similar populations (including the same time period)? Were inclusion and exclusion criteria for being in the study pre-specified and applied uniformly to all participants?	✓	<b>\</b>	X	<	<b>✓</b>	<b>✓</b>
5. Was a sample size justification, power description, or variance and effect estimates provided?	✓	✓	✓	✓	✓	✓

Quality appraisal criteria	Chemaitelly (2021) <sup>(46)</sup>	Nordstrom (2021)	Liu (2021) <sup>(48)</sup>	Polinski (2021) <sup>(35)</sup>	Pouwels (2021) <sup>(53)</sup>	Saciuk (2021) <sup>(54)</sup>
6. For the analyses in this paper, were the exposure(s) of interest measured prior to the outcome(s) being measured?	√	<b>√</b>	✓	√	✓	✓
7. Was the timeframe sufficient so that one could reasonably expect to see an association between exposure and outcome if it existed?	<b>√</b>	<b>✓</b>	<b>✓</b>	✓	<b>✓</b>	<b>√</b>
8. For exposures that can vary in amount or level, did the study examine different levels of the exposure as related to the outcome (e.g., categories of exposure, or exposure measured as continuous variable)?	<b>√</b>	X	<b>√</b>	X	<b>✓</b>	X
9. Were the exposure measures (independent variables) clearly defined, valid, reliable, and implemented consistently across all study participants?	<b>√</b>	<b>✓</b>	<b>√</b>	X	<b>√</b>	<b>√</b>
10. Was the exposure(s) assessed more than once over time?	<b>✓</b>	<b>✓</b>	<b>✓</b>	<b>✓</b>	<b>\</b>	<b>√</b>
11. Were the outcome measures (dependent variables) clearly defined, valid, reliable, and implemented consistently across all study participants?	CD	CD	✓	<b>√</b>	√	CD
12. Were the outcome assessors blinded to the exposure status of participants?	CD	CD	CD	CD	CD	CD

Quality appraisal criteria	Chemaitelly (2021) <sup>(46)</sup>	Nordstrom (2021)	Liu (2021) <sup>(48)</sup>	Polinski (2021) <sup>(35)</sup>	Pouwels (2021) <sup>(53)</sup>	Saciuk (2021) <sup>(54)</sup>
13. Was loss to follow-up after baseline 20% or less?	√	✓	√	✓	CD	✓
14. Were key potential confounding variables measured and adjusted statistically for their impact on the relationship between exposure(s) and outcome(s)?	X	X	X	✓	✓	<b>√</b>
Quality Rating†	Fair	Fair	Fair	Poor	Good	Fair
Comment	Some concern over confounding and outcome ascertainment bias from testing behaviour. Sufficient to warrant downgrading one level	Some concern regarding outcome ascertainment bias, and certain confounders not taken into consideration	Some concerns regarding how participants were recruited, and certain confounders not taken into consideration	Critical potential for bias by assuming that 40% are unvaccinated are actually vaccinated		Some concern over confounding and outcome ascertainment bias from testing behaviour. Sufficient to warrant downgrading one level

The quality appraisal of a case-control study was assessed using tool: The National Institutes of Health (NIH) quality assessment tool for CASE-Control studies, available at: <a href="https://www.nhlbi.nih.gov/health-topics/study-quality-assessment-tools">https://www.nhlbi.nih.gov/health-topics/study-quality-assessment-tools</a>

Table App.B2: Quality appraisal of case-control studies

Quality appraisal criteria	Andrews (2021) <sup>(44)</sup>	Pilishvili (2021) <sup>(51)</sup>	McKeigue (2021) b <sup>(49)</sup>	<b>Tenforde (2021)</b> (55)	Thompson (2021) (83)
1. Was the research question or objective in this paper clearly stated and appropriate?	✓	✓	✓	✓	✓
2. Was the study population clearly specified and defined?	✓	✓	✓	✓	✓
3. Did the authors include a sample size justification?	Х	<b>√</b>	X	X	✓

Quality appraisal criteria	Andrews (2021) <sup>(44)</sup>	Pilishvili (2021) <sup>(51)</sup>	McKeigue (2021) b <sup>(49)</sup>	Tenforde (2021) (55)	Thompson (2021) (83)
4. Were controls selected or recruited from	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	✓
the same or similar population that gave rise to the cases (including the same timeframe)?					
5. Were the definitions, inclusion and exclusion criteria, algorithms or processes used to identify or select cases and controls valid, reliable, and implemented consistently	<b>√</b>	✓	✓	CD	<b>√</b>
across all study participants? 6. Were the cases clearly defined and differentiated from controls?	<b>√</b>	✓	Х	<b>√</b>	<b>√</b>
7. If less than 100 percent of eligible cases and/or controls were selected for the study, were the cases and/or controls randomly selected from those eligible?	✓	N/A	N/A	CD	<b>√</b>
8. Was there use of concurrent controls?	✓	<b>√</b>	✓	CD	✓
9. Were the investigators able to confirm that the exposure/risk occurred prior to the development of the condition or event that defined a participant as a case?	<b>√</b>	√	✓	<b>√</b>	<b>√</b>
10. Were the measures of exposure/risk clearly defined, valid, reliable, and implemented consistently (including the same time period) across all study participants?	<b>√</b>	✓	✓	✓	<b>√</b>
11. Were the assessors of exposure/risk blinded to the case or control status of participants?	CD	CD	CD	CD	CD
12. Were key potential confounding variables measured and adjusted statistically in the analyses? If matching was used, did the investigators account for matching during study analysis?	<b>√</b>	✓	✓	√	<b>√</b>
Quality Rating†	Good	Good	Good	Fair	Good

Quality appraisal criteria	Andrews (2021) <sup>(44)</sup>	Pilishvili (2021) <sup>(51)</sup>	McKeigue (2021) b <sup>(49)</sup>	<b>Tenforde (2021</b> ) <sup>(55)</sup>	Thompson (2021) (83)
Comment				Incomplete information on matching process. Most key confounding variables adjusted for no adjustment for socioeconomic status.	

<sup>†</sup>Quality can be rated as Good, Fair or Poor. ✓Yes. x No, CD = could not be determined, NA = not applicable, NR = none reported.

# **Appendix C Data Extraction (search conducted on 27 October 2021)**

## **Randomised Control Trials**

#### **Janssen**

Study characteristics	Intervention and Comparators	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Sadoff (2021) <sup>(38)</sup> Title: Safety and Efficacy of Single-Dose Ad26.COV2.S Vaccine against Covid-19  DOI: 10.1056/NEJMoa2101544  FDA Emergency Use Authorisation Report (Janssen Biotech)	Intervention: Ad26.COV2.S (Janssen)  Comparator: Placebo (saline)  Time since final vaccination dose: Median 8.29 weeks	Description: Stage A enrolled patients 18+ in good health. Stage B was initiated later and included patients with comorbidities.  Participants with evidence of previous infection (or seropositive status) were excluded from the primary analysis (per protocol) but were not excluded from the trial.  N: Per protocol set (FDA report)  Ad26.COV2.S: 19,630	Severe Disease ≥ 28 days post vaccination (per protocol, seronegative at baseline)*  Hospitalisations VE 100% (95% CI 74.3 to 100)  Severe Critical ~: VE 85.4 (95% CI 54.2 to 96.9)  Moderate to Severe Critical +~	RT-PCR or Antigen Confirmed SARS-CoV-2 infection  (≥ 28 days follow-up Per protocol and seronegative)  Asymptomatic:  VE 65.5%; (95% CI 39.9 to 81.1) #  Symptomatic of any severity  VE 66.5% (95% CI 55.5 to
NCT: NCT04505722  Study Design: RCT  Country: Argentina, Brazil, Chile, Colombia, Mexico, Peru, South Africa, and the USA  Setting: General Population  Time Period:		Placebo: 19,691  Age: Median 53 years (Range 18 to 100) ≥60 years: 34.6% ≥75 years: 3.7%  Male = 54.5%  Comorbidities: ≥1 Coexisting condition 39.9%  Sspecial populations: Asthma: 1.3% (FAS) 1.5% (PP) Cancer 0.5% 1.4% (PP)	Mortality:  3 deaths occurred in the vaccine group (none were Covid-19–related), and 16 in the placebo group (5 were Covid-19–related). All of which were considered by the investigators to be unrelated to the trial intervention.	Mild*: Not computable (Zero cases in the Ad26.COV2.S group and 2 cases in the placebo group.  Moderate^:  VE 62.0% (95% CI 48.7 to 72.2)  Adjustments: N/A

21 September 2020 to 22 January 2021 (some endpoints reported up to a data cut of February 5 <sup>th</sup> from FDA report)  Variants of Concern: NR  Publication status: Peer-reviewed	CF <0.1% CKD 0.5% COPD: 1% 0.9% (PP) ICP <0.3% Pulmonary fibrosis <0.1%	All-Cause mortality (FAS) — FDA 22 Jan Cut Off  ≥ 14 days post vaccination  VE 80.0% (95% CI 29.4 to 96.3)  ≥ 28 days post vaccination  VE 75% (95% -25.2 to 97.4)  At the later data cut of 5 Feb, (FDA report) there were 7  COVID-19 related deaths – all in the placebo group.  Adjustments: N/A  Subgroups:  Moderate to Severe-Critical COVID 19 ≥ 28 days post second vaccination.  A lower point estimate of VE was observed among participants 60 years of age or older with coexisting conditions for moderate to severe-critical COVID-19 (64.9%; 95% CI 42.2-79.4%). But subgroup analysis by age or co-morbidity on moderate to severe-critical COVID-19 showed no evidence to support a differential treatment effect (interaction p=0.25). However, the analysis was not powered for this.	Subgroups:  Symptomatic Covid-19 (weighted by burden of disease) (EPAR)  Age  18 - 59 years: VE: 69.3% (95% CI 57.4 to 77.7)  ≥60 years: VE 67.9% (95% CI 38.2 to 82.8)  Variants: NR  Efficacy over Time: NR

	fol an ar	sthma: 0 cases in 34.1 years bllow up in the Ad26.COV2.S and 4 cases in the placebo arm in 38.9 person-years bllow-up. (VE not estimable)	
	afi ye Ac ari	ancer: 0 cases in either arm fter 14.1 and 14.8 person ears follow up in the d26.COV2.S and placebo rms respectively. (VE not stimable)	
	ca foi an	hronic Kidney Disease: 0 ases in 29.9 person years illow up in the intervention and control groups. (VE not stimable)	
	fol an ar	OPD: 1 cases in 30.1 years ollow up in the Ad26.COV2.S and 3 cases in the placeborm in 27.9 person-years ollow-up. (VE not estimable)	
	<u>Se</u>	erious heart conditions:	
	VE	E = 79.4%(-83.7 to 99.6)	
	<u>  HI</u>	<u>IV:</u>	
		E = 47.5% (95% CI -266 to 5.3%)	
	H)	<u>ypertension</u>	
	VE	E = 35.7%(-45.6 to 72.8)	
	bk Ac ye	nmunocompromised from ood transplant: 1 case in the d26.COV2.S arm in 35 person ears of follow-up and 0 cases the placebo arm with 32	

	person years fol	low up. (VE
	not estimable)	
	Liver disease: 1 person-years for intervention arm person years in arm. (VE not es	low-up in the n, 0 cases in 98 the control
	Neurologic cond in 77 years follo intervention arm 114 person-year control arm (VE	w-up in the n, 1 case in the rs in the
	Obesity:	
	VE = 65.9% (47	'.8 to 78.3)
	<u>Diabetes Mellitu</u>	<u>s, type 2</u>
	VE: 23.0% (-90	.1 to 69.8)
	With comorbidit	<u>ies®</u>
	VE = 58.6% (95 71.6)	% CI 40.6 to
	Without comorb	<u>idities@</u>
	Moderate to Set COVID-19	vere-Critical
	VE = 68.8% (CI	59.0 to 76.6)
	Variants of Co Despite the high the Beta variant Africa (94.5% o cases); VE was moderate to sev disease and 81. severe—critical co onset at ≥28 da administration	n prevalence of in South f sequenced 64.0% against vere—critical 7% against lisease with

Efficacy over Time:	
The onset of efficacy was evident as of 14 days after administration for moderate to severe—critical disease and as of 7 days after administration for severe—critical disease.  Efficacy continued to increase through approximately 8 weeks after administration, especially for severe—critical Covid-19. No evidence of waning efficacy was noted among the approximately 3000 participants who were followed for 11 weeks or among 1,000 participants who were followed for 15 weeks.	

<sup>\*</sup>Includes non-centrally confirmed cases.

- # The analysis of vaccine efficacy against asymptomatic infection included all the participants with a newly positive N-immunoassay result at day 71 (i.e., those who had been seronegative or had no result available at day 29 and who were seropositive at day 71). Only 2650 participants had an N-immunoassay result available at day 71, and therefore only a preliminary analysis could be performed.
- +Mild cases of Covid-19 were defined as a positive result on RT-PCR testing and the presence of at least one of the following symptoms: fever (body temperature, ≥38.0°C), sore throat, malaise, headache, myalqia, gastrointestinal symptoms.
- ^Moderate cases were defined as a positive RT-PCR test and either the presence of at least two of the following symptoms: fever (≥38.0°C), heart rate of at least 90 beats per minute, shaking chills or rigors, sore throat, cough, malaise, headache, myalgia, gastrointestinal symptoms, loss of taste or smell, or red or bruised-looking feet or toes; or the presence at least one of the following symptoms: respiratory rate of at least 20 breaths per minute, abnormal oxygen saturation (but >93% while the patient was breathing ambient air at sea-level), clinical or radiologic evidence of pneumonia, radiologic evidence of deep-vein thrombosis, or shortness of breath or difficulty breathing.
- ~Severe—critical cases were defined as a positive RT-PCR test and the presence of at least one of the following features: clinical signs at rest that were indicative of severe systemic illness (respiratory rate of  $\geq$ 30 breaths per minute, heart rate of  $\geq$ 125 beats per minute, oxygen saturation of  $\leq$ 93% while the patient was breathing ambient air at sea level, or partial pressure of oxygen divided by the fraction of inspired oxygen, <300 mm Hg); respiratory failure (defined as the use of high-flow oxygen, non-invasive ventilation, mechanical ventilation, or extracorporeal membrane oxygenation);shock; clinically meaningful acute renal, hepatic, or neurologic dysfunction; intensive care unit admission; or death.
- @ Comorbidities include: Asthma, Cancer, Chronic Kidney Disease, COPD, Serious heart conditions, HIV infection, Hypertension, Immuno-compromised from blood transplant, liver disease, neurologic conditions, obesity, Type 2 Diabetes Mellitus.

**Key:** CI – Confidence Interval; COPD – Chronic Obstructive Pulmonary Disorder; CF – Cystic Fibrosis, CKD – Chronic Kidney Disease; EPAR – European Public Assessment Report; FAS – Full Analysis Set; FDA – Food and Drug Administration; ICP – Immunocompromised State; N/A – Not applicable; NCT – National Clinical Trial; NR – Not Reported; PP – Per-protocol; RCT – Randomised Controlled Trial; RT-PCR – Reverse Transcription Polymerase Chain Reaction, VE – Vaccine Efficacy.

#### **Moderna**

Study characteristics	Intervention and Comparators	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Baden (2021) <sup>(45)</sup> Title: Efficacy and Safety of	Intervention: mRNA-1273 (Moderna)	Description: Adults aged 18 years of age or older with no known history of SARS-CoV-2 infection, in locations or circumstances that put them at an	Severe Disease: ≥14 days after second dose	Confirmed RT-PCR ≥14 days after second/final dose
the mRNA-1273 SARS-CoV- 2 Vaccine	Control: Placebo (Saline)	appreciable risk of SARS-CoV-2 infection, a high risk of severe covid-19 or both.	Severe Disease~ VE – 100% (95% CI NE to	Symptomatic <sup>@</sup> (PP) VE = 94.1% (95% CI 89.3 to
<b>DOI:</b> 10.1056/NEJMoa2035389	<b>Time since final vaccination dose:</b> Median 9 weeks (Range 0 – 13.86)	Participants who were seropositive at baseline were excluded from the primary and secondary	1.0)  Hospitalisations #	96.8%)  Symptomatic <sup>®</sup> (FAS)
European Public Assessment Report	·	analyses (per protocol) but were not excluded from the trial. The FAS therefore includes individuals who were both seropositive and	Intervention – 0 Control – 9	VE = 93.6% (95% CI 88.6 to 96.5)
NCT: NCT04470427		seronegative at baseline.  N: 28,207 (PP)	ICU admissions # Intervention – 0 Control - 2	Adjustments: N/A
Country: USA  Setting: Ninety-nine		Intervention – 14,134 Control – 14,073	Adjustments: NA	Variants of Concern: NR Subgroups:
Clinical Trial Sites		<b>Age:</b> Mean 51.6 years (Range: 18-95)	Mortality <sup>\$</sup> COVID-19 related death	Symptomatic infection by age @
Time Period: 27 July 2020 to 21 November 2020.		Male = 52.6 %  Co-morbidities:	Intervention – 0 Control - 1	≥18 to <65 yr.
Variants of Concern: NR		Chronic lung disease – 4.8%	Three deaths occurred in the placebo group (two in the	VE = 95.6 (95% CI 90.6 to 97.9)
<b>Publication status</b> : Peer-reviewed		Healthcare Workers – 25.1% <sup>+</sup> Personal Care or In-home services – 3.1% <sup>+</sup> Nursing Home or Assisted Living Facility – 0.2% <sup>+</sup>	vaccine group)	≥65 years

	Based on the	VE = 86.4 (95% CI 61.4 to
	pharmacovigilance database	95.2)
	which includes data from study start through 3 December	≥65 to ≤75
	2020, there have been 13	VE = 82.4% (95% CI 46.9 to
	deaths during the study. Six participants who died received	93.9)
	mRNA-1273 and 7 received	75 and older €
	placebo.	VE = 100% (95% CI NE,
	Variants of Concern: NR	100%)
	Subgroups:	
	Severe COVID-19 in those at risk of severe COVID-19*	Symptomatic infection by risk for severe COVID-19®
	Intervention – 0	At risk *
	Control – 20	VE = 90.9% (95% CI 74.7 to 96.7)
	Severe COVID-19 in those >65 years	Not at risk *  VE = 95.1% (95% CI 85.2 to 96.8)
	Intervention – 0	18 and <65 and at risk*
	Control – 10	VE = 94.4% (95% CI 76.9 to 98.7)
		18 to <65, not at risk
	Efficacy/effectiveness over	VE = 95.9 (90.0–98.3)
	time: NR	≥65 and at risk*
		VE = 75.2% (NE, 94.7%)
		No risk factors *
		VE = 95.1 (95% CI 89.6 to 97.7)
		Only 1 risk factor *

		VE = 91.7 (95% CI 73 to 97.4)
		≥ 2 risk factors *,%
		VE = 87.2 (95% CI -2.7 to 98.4)
		Efficacy over time.NR

Abbreviations – PPA = per-protocol analysis (includes those who are seronegative at baseline), FAS = Full Analysis Set (includes all participants regardless of baseline serostatus).

- \* Definition for at risk of severe COVID 19. includes Chronic lung disease (e.g., emphysema and chronic bronchitis), idiopathic pulmonary fibrosis and cystic fibrosis) or moderate to severe asthma, Significant cardiac disease (e.g., heart failure, coronary artery disease, congenital heart disease, cardiomyopathies, and pulmonary hypertension), Severe obesity (body mass index ≥ 40 kg/m2), Diabetes (Type 1, Type 2 or gestational), Liver disease, HIV infection
- # Results presented from the population with severe COVID-19 only.
- \$ Definition of mortality used: Vaccine efficacy of mRNA-1273 to prevent death due to a cause directly attributed to a complication of Covid-19, starting 14 days after the second IP dose.
- ~ Severe disease was defined as one of the following criteria: respiratory rate of 30 or more breaths per minute; heart rate at or exceeding 125 beats per minute; oxygen saturation at 93% or less while the participant was breathing ambient air at sea level or a ratio of the partial pressure of oxygen to the fraction of inspired oxygen below 300 mm Hg; respiratory failure; acute respiratory distress syndrome; evidence of shock (systolic blood pressure <90 mm Hg, diastolic blood pressure <60 mm Hg, or a need for vasopressors); clinically significant acute renal, hepatic, or neurologic dysfunction; admission to an intensive care unit; or death
- + Results presented for the safety set (n=30,351) which includes all individuals regardless of baseline serostatus. This included (n=680) participants who were seropositive at baseline.
- @ Definition of symptomatic COVID-19 Covid-19 is defined as symptomatic disease based on the following criteria: The participant must have experienced at least TWO of the following systemic symptoms: Fever (≥ 38°C), chills, myalgia, headache, sore throat, new olfactory and taste disorder(s), OR The participant must have experienced at least ONE of the following respiratory signs/symptoms: cough, shortness of breath or difficulty breathing, OR clinical or radiographical evidence of pneumonia; AND The participant must have at least one NP swab, nasal swab, or saliva sample (or respiratory sample, if hospitalized) positive for SARS-CoV-2 by RT-PCR. Note, results for a secondary definition are also available.
- ^ Obtained from the European Public Assessment Report (Available at https://www.ema.europa.eu/en/documents/assessment-report/spikevax-previously-covid-19-vaccine-moderna-epar-public-assessment-report\_en.pdf). [Accessed on 08/09/21]
- € Given the few participants (n = 1318) above 75 and only 7 accrued cases in the placebo arm (none in the active arm) no reliable estimates in this group can be derived.
- % Given the very low number of participants with more than one risk factor, this trend cannot be confirmed.
- & Obtained from the EPAR report

Study characteristics	Intervention and Comparators	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): El Sahly (2021) (47)  Title: Efficacy of the mRNA-1273 SARS-CoV-2 Vaccine at Completion of Blinded Phase  DOI: 10.1056/NEJMoa2113017  NCT: NCT04470427  Study Design:	Intervention/Exposure: mRNA-1273  Comparator/Control: Placebo (Saline)  Time since final vaccination dose: Median – 21.08 weeks (Duration of follow up from 0 to 220 days for 113 participants).	Description: Adults at least 18 years old with no known history of SARS-CoV-2 infection and whose locations or circumstances put them at appreciable risk of acquiring SARS-CoV-2 infection or who were at high risk for severe disease.  N: Efficacy population - 28,451 FAS - 30,346  Age: (FAS) Mean - 51.4 (Range 18-95)	Severe Disease: ≥14 days after second/final dose  Severe Disease % VE = 98.2% (95% CI 92.8 to 99.6)  Hospitalisation* Intervention - 1 Placebo - 27  ICU admissions Intervention - 0 Placebo - 4	Confirmed RT-PCR infection (PP) ≥14 days after second/final dose \$ Symptomatic VE = 93.2 (95% CI 90.9 to 94.8) Asymptomatic VE = 63.0% (95% CI 56.6 to 68.5) Any VE 82.0% (95% CI 79.5 to
Country: USA  Setting: Clinical Trial  Time Period: 27 July 2020 to 26 March 2021  Variants of Concern: Low circulation.  Publication status: Peer-reviewed		Male (FAS) = 52.6%  Co-morbidities (FAS): Chronic Lung Disease - 4.8% Significant Cardiac Disease - 5.0% Severe obesity - 7.0% Diabetes - 9.6% Liver disease - 0.7% HIV - 0.6%  Healthcare Providers - 25.2% Emergency Response - 2.0% Personal Care and In-Home Services - 3.1%  Nursing home or assisted living facility - 0.2%	Adjustments: N/A  Mortality COVID-19 VE = 100% (95% CI NE to 100)  Variants of Concern: NR  Subgroups: NA  Efficacy/effectiveness over time: NR	Adjustments:  N/A  Variants of Concern:  NR  Subgroups  To Prevent Symptomatic Confirmed RT-PCR infection Covid-19*(PP) by age  ≥18 to <65 years  VE = 93.4% (95% CI 91.1 to 95.1)

	<u></u>	
		≥65 years
		VE = 91.5% (95% CI 83.2 to 95.7)
		≥65 to <75 years
		VE = 89.7% (95% CI 79.6 to 94.9)
		≥75 years
		VE = 100% (95% NE to 100)
		To Prevent Symptomatic Confirmed RT-PCR infection Covid-19*(PP) by comorbidity or risk group
		Healthcare Providers
		VE = 94.4% (95% CI 90.3 to 96.8)
		Emergency Response providers
		VE = 93.0% (95% CI 70.6 to 98.4)
		Personal care and in-home service providers
		VE = 93.5% (95% to 72.8 to 98.5)
		Co-existing Conditions
		Chronic lung disease
		VE = 87.2% (63.8 to 95.5)
		Significant cardiac disease
		VE = 88.0% (65.9 to 95.8)
		Severe obesity (BMI >40)
		VE = 91.4% (81.4 to 96.0)

		Diabetes
		VE = 96.2% (87.9 to 98.8)
		Liver disease
		VE = 81.0% (-64.8 to 97.8)
		HIV
		VE = 100% (NE to 100)
		Efficacy/effectiveness over time
		To Prevent Symptomatic Confirmed RT-PCR infection Covid-19 <sup>\$</sup> (PP) over time
		≥14 Days to <2 months
		VE = 91.8 ( 95% CI 86.9 to 95.1)
		2 months to <4 months
		VE = 94.0 (95% CI 91.2 to 96.1)
		≥ 4 months
		VE = 92.4% (95% CI 84.3 to 96.8
		There is no evidence of waning efficacy in the Kaplan Meier curve for the 23,395 patients at 17.1 weeks or 113 patients at 31.3 weeks.
* Due to SARS-CoV-2		

<sup>\*</sup> Due to SARS-CoV-2

<sup>%</sup> Severe Covid-19 was defined as confirmed Covid-19 plus one clinical sign of severe systemic illness

<sup>\$</sup> Per protocol. Covid-19 cases were defined by at least 2 systemic symptoms (temperature ≥38°C, chills, myalgia, headache, sore throat, or new olfactory or taste disorders), or at least one respiratory sign or symptom (cough, shortness of breath, or clinical or radiologic evidence of pneumonia), and were confirmed by positive SARS-CoV-2 reverse-transcriptase polymerase chain- reaction (RT-PCR) assay of nasopharyngeal swab, nasal, or saliva samples.

^ Asymptomatic infection was identified by absence of symptoms and infections as detected by RT-PCR or seroconversion.

**Key**: CI – Confidence Interval; ICU – Intensive Care Unit; FAS – Full Analysis Set; ICU – Intensive Care Unit; N/A – Not applicable; NCT – National Clinical Trial; NR – Not Reported; PP – Per-protocol; RCT – Randomised Controlled Trial; RT-PCR – Reverse Transcription Polymerase Chain Reaction, VE – Vaccine Efficacy.

#### **Pfizer**

(These two papers report separate analysis from the same trial at different time points.)

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Polack (2020) <sup>(52)</sup> Title: Safety and Efficacy of the BNT162b2 mRNA Covid-19 Vaccine  DOI:10.1056/NEJMoa2034 577  NCT: NCT04368728  Study Design: RCT, multinational, placebocontrolled, observerblinded, pivotal efficacy trial  Country: International [number of sites]: US [n=130], Argentina [n=1], Brazil [n=2], South Africa [n=4], Germany [n=6], Turkey [n=9]	Intervention/Exposure: Vaccination with BNT162b2 (Pfizer/BioNtech)  Comparator/Control: Placebo (saline)  Time since final vaccination dose: Average follow up time per person from dose 2: 7.55 weeks (treatment) 7.54 weeks (placebo)	Description: Adults aged ≥16 years who were healthy or had stable chronic medical conditions.  Analysis done for seronegative only and also for those with and without evidence of SARS-CoV-2  N: 43,548 Underwent randomization. 43,448 Were injected with vaccine or placebo 21,720 Were assigned to receive BNT162b2 21,728 Were assigned to receive placebo  The modified intention-to-treat efficacy population includes all age groups 12 years of age or older (43,355 persons; 100 participants who were 12 to 15 years of age contributed to person-time years but included no cases  Of those with median ≥ 2 months f/up, 18,556 Received dose 2 of BNT162b2 18,530 Received dose 2 of placebo  Age: median = 52 years for those ≥16 years	Severe Disease: ≥7 days after second dose  Severe Disease Vaccine efficacy: 75% (95%CI -52 to 99.5)  Hospitalisation NR ICU admissions NR  Adjustments: surveillance time  Mortality All Cause NR COVID-19 NR  Variants of Concern: NR  Subgroups: NR  Efficacy/effectiveness over time. NR	Confirmed RT-PCR SARS-CoV-2 infection*  ≥7 days after second dose  Symptomatic  a) (seronegative)  VE 95.0% (95% CI 90.3 to 97.6)  b) Regardless of evidence of prior infection  94.6% (95% CI 89.9 to 97.3)  Adjustments: Surveillance time  Variants of Concern: NR  Subgroups  In sub-group analysis, the vaccine efficacy ranged

Time Period: 27 July 2020 -14 November 2020 (enrolment period).		(100 participants who were 12 - 15 years contributed to person-time years but included no cases)	from 91.7% to ~100% for combinations of age (16-64 v. 65+) and at risk (yes/no)
Variants of Concern: NR  Publication status: Peer-reviewed		Co-morbidities: 19 reported, e.g. diabetes, malignancy, chronic pulmonary disease, cerebrovascular disease, combined for Charlson comorbidity index  Participants with any Charlson comorbidity: 20.5% (N = 37,706)	At risk is defined as having at least one of the Charlson Comorbidity Index categories or obesity  At risk <sup>£</sup> VE = 95.3 (87.7, 98.8)  Not at risk <sup>£</sup> VE= 94.7 (85.9 to 98.6)  Obese <sup>\$</sup> VE = 95.4 (86.0 to 99.1)  Non-Obese <sup>\$</sup> VE = 94.8 (87.4 to 98.3)  Hypertension  VE = 94.6 (68.7 to 99.9)  Efficacy/effectiveness over time: NR
Vaccine effectiveness by und	lerlying comorbidities and	age group	
Risk <sup>£</sup>	VE(95% CI)	Obese <sup>\$</sup> VE(95% CI)	
16 – 64 years and not at risk	94.2 (84.4, 98.5)	16–64 and not obese 95.2 (87.3, 98.7)	
16 – 64 and at risk	95.9 (87.6, 99.2)	16–64 and obese 94.9 (84.4, 99.0)	
≥65 and not at risk	100 (29.0, 100)	≥65 and not obese 91.8 (44.5, 99.8)	
≥65 and at risk	91.7 (44.2, 99.8)	≥65 and obese 100 (27.1, 100)	

<sup>\*</sup> The definition of confirmed COVID-19 included the presence of ≥1 symptom (i.e., fever, new or increased cough, new or increased shortness of breath, chills, new or increased muscle pain, new loss of taste or smell, sore throat, diarrhoea, vomiting) and being SARS-CoV- 2 NAAT-positive during, or within 4 days before or after, the symptomatic period (either at the central laboratory or at a local testing facility and using an acceptable test).

#Diagnosis of severe COVID-19 included confirmed COVID-19 and the presence of ≥1 of the following: (1) clinical signs at rest indicative of severe systemic illness (e.g., respiratory rate ≥30 breaths per minute, heart rate ≥125 beats per minute, SpO2 ≤93% on room air at sea level, or PaO2/FiO2 <300 mmHg; (2) respiratory failure (i.e., needing high-flow oxygen, non-invasive ventilation, mechanical ventilation, or extracorporeal membrane oxygenation); (3) evidence of shock (i.e., systemic blood pressure <90 mmHg, diastolic blood pressure <60 mmHg, or requiring vasopressors); (4) significant acute renal, hepatic, or neurologic dysfunction; (5) intensive care unit(ICU) admission; or (6) death. Severe COVID-19, as defined by the US Centers for Disease Control and Prevention (CDC), includes: 1) hospitalization; 2) admission to the ICU; 3) intubation or mechanical ventilation; or 4) death (https://www.cdc.gov/coronavirus/2019-ncov/need-extraprecautions/people-with-medical-conditions

£ At risk is defined as having at least one of the Charlson Comorbidity Index categories or obesity (body mass index [BMI]  $\geq$ 30 kg/m<sup>2</sup>).

\$ Obese is defined as BMI  $\geq$ 30 kg/m<sup>2</sup>.

**Key:** CI – Confidence Interval; ICU – Intensive Care Unit; F/UP – follow-up; NCT – National Clinical Trial; NR – Not Reported; PP – Per-protocol; RCT – Randomised Controlled Trial; RT-PCR – Reverse Transcription Polymerase Chain Reaction, VE – Vaccine Efficacy.

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
<b>Author (Year):</b> Thomas (2021) <sup>(56)</sup>	Intervention BNT162b2 (Pfizer/BioNtech)	Description: Vaccine efficacy was assessed in seronegative	Severe Disease: ≥7 days after second/final dose	Confirmed RT- SARS- CoV-2 infection*
Title: Safety and Efficacy		only and separately with previous positives included.	dose	Symptomatic
of the BNT162b2 mRNA	Comparator/Control:		Severe Disease #	Seronegative only:
Covid-19 Vaccine through 6 Months	Placebo (saline injection)	N: Randomised: 44,165	<u>VE (</u> ≥12 yrs., those with and without prior evidence of	<u>VE (</u> ≥12 yrs.) was 91.3% (95% CI 89.0–93.2)
DOI:	Time since final vaccination: Mean 16.7 weeks (intervention)	Total: 44, 060 Intervention:22,030, placebo: 22,030	infection): 95.7% (95%CI 73.9, 99.9)	Irrespective of prior SARS-CoV-2 infection
10.1056/NEJMoa2110345	16.1 weeks (placebo)	for participants ≥16 years old,	Hospitalisation NR ICU admissions NR	Vaccine efficacy (≥12 yrs.): 91.1% (95% CI 88.8 to 93.0).
NCT: NCT04368728	Up to 6 months follow-up post vaccination	total: 44,047 intervention:22026, placebo: 22,021	<b>Adjustments:</b> For surveillance time	Adjustments:
Study Design: RCT		p:80000: ==/0==		Surveillance time
(ongoing, placebo- controlled, observer-		<b>Age:</b> median 51.0 (min = 16,max = 91)	Mortality There were 15 deaths in the	Variants of Concern: NR
blinded, multinational, pivotal efficacy study)		<b>Male</b> = 50.9%	BNT162b2 arm (1 due to COVID-	Subgroups:

Note: from Dec 2020,	Co-morbidities:	19) and 14 deaths in the placebo	For beta variant
participants ≥16yrs had	34% BMI ≥30 g/m2, 21% had ≥1 underlying	arm. (1 due to COVID-19)	(seronegative, South Africa
option for un-blinding. Un-	comorbidity		site): Vaccine efficacy:
blinded participants were followed in open-label		Variants of Concern: NR	100% (95% CI 53.5 to
study. Results here		Subgroups: NR	100)
represent blinded period		Efficacy/effectiveness over	Although the study was not
only.		time. NR	powered to definitively
Country: international			assess efficacy by
[number of sites]:			subgroup, supplemental analyses indicated that VE
US [n=130], Argentina			post-dose 2 among
[n=1], Brazil [n=2], South			subgroups defined by age,
Africa [n=4], Germany			sex, race, ethnicity,
[n=6], Turkey [n=9]			presence of comorbid
Time Period: Between 27			conditions, and country was generally consistent
July and 29 Oct 2020,			with that observed in the
participants were enrolled.			overall population.
Efficacy analysis conducted			Subgroup analysis by age,
on cases accrued to 13 Mar 2021.			obesity or co-morbidity on
2021.			COVID-19 infection showed
			no evidence to support a
Variants of Concern:			differential treatment
B.1.351 (beta)			effect.
2121002 (2011)			At risk <sup>†</sup>
Publication status: Peer-			VE = 91.6 (88.2 to 94.3)
reviewed			Not at risk
			VE = 91.0 (87.6 to 93.6)
			16-64 and at risk <sup>†</sup>
			VE = 91.5 (87.5 to 94.4)
			≥65 and at risk
			VE = 91.8 (81.4 to 97.1)
			Obese <sup>‡</sup>

		91.6 (87.6 to 94.6)
		Not Obese
		VE = 91.1 (88.1 to 93.5)
		16-64 and obese
		VE = 91.3 (86.7 to 94.5)
		≥65 and obese
		VE = 93.2 (78.9 to 98.7)
		Efficacy/effectiveness over time.
		Evaluated on those with or without evidence of prior infection
		Time after dose two:
		≥7 days to <2 months: VE 96.2% (95% CI 93.3 to 98.1)
		≥ 2 months to < 4 months
		VE 90.1% (95% CI 86.6 to 92.2)
		≥ 4 months
		VE 83.7% (74.7% to 89.9%)
		It is stated that:
		Vaccine efficacy peaked at 96.2% (95% CI 93.3 to 98.1) during the interval from 7 days to <2 months post-dose 2, and declined gradually to 83.7% (95%

				CI 74.7-89.9) from 4 months to the data cut-off
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\*The definition of SARS-CoV-2-related cases was the presence of ≥1 of the following symptoms and SARS-CoV-2-NAAT positivity during or within 4 days before or after the symptomatic period: fever, new or increased cough, new or increased shortness of breath, chills, new or increased muscle pain, new loss of taste or smell, sore throat, diarrhoea, and/or vomiting. The onset date of the case was the date that symptoms were first experienced by the participant. If new symptoms were reported ≤4 days after resolution of all previous symptoms, they were considered part of a single illness.

#Confirmed severe COVID-19 required confirmation of COVID-19 and the presence of  $\geq 1$  of the following: clinical signs at rest indicative of severe systemic illness (respiratory rate  $\geq 30$  breaths per minute, heart rate  $\geq 125$  beats per minute, SpO2  $\leq 93\%$  on room air at sea level, or PaO2/FiO2 < 300 mmHg); respiratory failure (defined as needing high-flow oxygen, non-invasive ventilation, mechanical ventilation, or extracorporeal membrane oxygenation); evidence of shock (systolic blood pressure < 90 mmHg, diastolic blood pressure < 60 mmHg, or requiring vasopressors); significant acute renal, hepatic, or neurologic dysfunction; intensive care unit admission; and/or death.

 $_{t}$  At risk includes participants who had ≥1 Charlson Comorbidity Index category or obesity (body mass index [BMI] ≥30 kg/m² [≥16 years old] or BMI ≥95<sup>th</sup> percentile [12–15 years old]).

\$ ‡Obesity defined as participants who had BMI ≥30 kg/m² (≥16 years old) or BMI ≥95<sup>th</sup> percentile (12–15 years old).

**Key:** BMI – Body Mass Index, CI – Confidence Interval; ICU – Intensive Care Unit; NCT – National Clinical Trial; NR – Not Reported; RCT – Randomised Controlled Trial; RT–PCR – Reverse Transcription Polymerase Chain Reaction, VE – Vaccine Efficacy.

## Observational studies

Study cha	racteristics	Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
<b>Author (Yea</b> (2021) (44)	r): Andrews	Intervention/Exposure: Comirnaty (Pfizer)(BNT162b2)	<b>Description:</b> Individuals who had a PCR test in England in the study period (subject to exclusions below) were	Severe Disease: ≥14 days after second/final dose	Confirmed RT-PCR SARS-CoV-2 infection
	e effectiveness	Vaxzevria (AstraZeneca)(	included.		Symptomatic
and duration of Comirnaty, Va Spikevax again		ChAdOx1-SARS-COV-2)  Moderna (Spikevax)(mRNA-	Data were restricted to persons who had reported symptoms and PCR-testing within 10 days of symptom onset.	Vaccine effectiveness was assessed for each vaccine separately and	Reported by vaccine type below
severe COVID		1273)	Individuals who had previously tested positive (PCR	by intervals and at least 14 days post second	Adjustments:
DOI: https://doi.u /2021.09.15	org/10.1101 5.21263583	Comparator/Control: unvaccinated	or antibody) prior to vaccination were excluded from the analysis.	dose. To assess potential waning, intervals of 1 week (7	age, sex, index of multiple deprivation, ethnic group, care
NCT: NA		Time since final vaccination: (See results by time)	N: 4,774,735 individuals - Of these, AstraZeneca (ChAdOx1-SARS-COV-2): 38.7%	to 13 days), 2 to 9 weeks, 10 to 14 weeks, 15 to 19 weeks and	home residence status (for analyses including adults aged >=65 years), geographic region, period (calendar week), health and social care
	-control design		Pfizer(BNT162b2): 31.7% Moderna (mRNA-1273): 2.4% 1,475,391 with positive SARS-CoV-2 test and 3,299,344 with negative test	over 20 weeks were used.  Severe Disease/	worker status (for analyses with adults aged <65 years), and clinical risk group (only available for <65 year-olds) or a clinically extremely
	(England only) eral population		For the 5,233,372 tests in 4,774,735 individuals <b>Age:</b>	Hospitalisation Reported by vaccine (see below)	vulnerable group (any age)  Variants of Concern: Reported by
			16-39: 56.2%		vaccine type below
Time Period Community te			40-64: 37.1% 65-79: 5.4%	ICU admissions NR	Subgroups: NR
between 08 D and 03 Septer	December 2020 mber 2021		80+ : 1.3% Male =44 %	Adjustments: age, sex, index of multiple	Efficacy/effectiveness over time.
Variants of 0	Concern: alpha May 2021, the was the main		Co-morbidities: clinically extremely vulnerable (CEV) Clinical at risk group Numbers/proportions NR	deprivation, ethnic group, care home residence status (for analyses including	Reported by vaccine type below
	iant circulating			adults aged ≥65 years),	

16+	99.7 (97.6 to 100.0)	98.4 (97.9 to 96. 98.8) 97.		4.4 (93.4 to 5.2)	92.7 (90.3 to 94.6)				week s	week s	
ICU admissions NR Mortality Delta deaths		,	·	,	,	16+	92.4 (92.1 to 92.7)	89.8 (89.6 to 90.0)	80.3 (79.9 to 80.6)	73.4 (72.9 to 73.9)	69.7 (68.7 to 70.5)
Age group 16+	2 to 9 weeks 98.2 (95.9 to 99.	10 to 14 wee .2) 95.2 (93.0 to		to 19 weeks .9 (91.1 to 95.8)	20+ weeks 90.4 (85.1 to 93.8)	Variar	nts of Co	ncern:			
65+	97.0 (91.2 to 99.	.0) 95.2 (92.3 to	97.0) 94	.3 (91.2 to 96.3)	91.0 (85.3 to 94.5)			eports are and outcor		(dates v	ary by
Variants of Co	ncern					Subgr	oups				
Main analysis re	ports are for Delta (date	es vary by subgroup and o	outcome)			Age grou p	wk 1	2 to 9 weeks	10 to 14 weeks	15 to 19 weeks	20+ week s
Subgroups: (a	ge and clinically extreme	ely vulnerable (CEV)/ clini	cal risk )			65+	65.4	80.1	69.1	62.1	55.3
Vaccine effective	eness against Delta hos	pitalisation					(34.2 to	(77.5 to	(66.2 to	(58.6 to	(50.2 to
65+ years	Wk1	2-9wks	10- 14 wks	15-19 wks	20+ wks		81.8)	82.4)	71.8)	65.4)	60.0)
All	100.0 (0 case, 908 con)	97.9 (95.9 to 99.0	) 95.7 (94.3 to 90	6.8) 93 (90.9 to 94.6)	90.7 (86.0 to 93.8)	40 to 64	87.9 (86.1 to	84.9 (84.3 to	78.2 (77.5 to	74.2 (73.1 to	75.7 (71.1 to
CEV	100.0 (0 case, 139	94.6 (80.6 to 98.5)	) 91.7 (84.1 to 9!	5.7) 83.4 <sup>°</sup> (70.6	to 71.4 (40.9 to		89.4)	85.4)	78.9)	75.3)	79.5)
Not CEV	con) 100.0 (0 case, 769 con)	98.3 (96.2 to 99.3	) 96.2 (94.7 to 9)	90.7) 7.3) 94.6 (92.7 96.1)	86.1) to 94.6 (90.5 to 97.0)	16 to 39	92.5 (92.1 to 92.8)	91.0 (90.8 to 91.3)	77.1 (71.4 to 81.6)		
40-64 yrs	Wk 1	2-9wks	10- 14 wks	15-19 wks	20+ wks	Effica	cy/effec	tiveness	over tin	ne.	
All	100.0 (0 cas con)	se, 2687 98.5 (97.7 to 99.0)	97.5 (96.7 to 98.2)	96.2 (94.1 97.5)	to 95.7 (69.5 to 99.4)	See at	oove				
Risk/CEV group	o 100.0 (0 cas con)	se, 992 98.1 (97 to 98	96.8 (95.6 to 97.8)	95.4 (92.6 97.2)	to						
Not risk/CEV g		se, 1695 98.7 (97.1 to 99.4)	98.4 (96.4 to 99.3)		to						
16 to 39	·		•	·							
All	99.5 (96.7 t	to 99.9) 98.9 (97.5 to 99.5)									

., . ,		0.4.0100.00								cc .:				
Vaxzevria (	AstraZeneca) (ChAd	OX1-SARS-CO	IV-2)									ainst Delta er all time		natic
VF against De	elta hospitalisation. Se	e * for VF over	all time-neriod						uisease.	See * 10	)ı v⊏ üve	an ume	-репои	
VE against Di	ena nospitalisación. Se	c 101 VL 0VC1	an ame penda						Age	week	2 to 9	10 to	15 to	20+
									grou	1	week	14		week
age	week 1	2 to 9 weeks	10 to 14	l weeks	15 to 19	) weeks	20-	+ weeks	p		S	week	week	S
16+	93.9 (91.3 to	95.2 (94.6 to	95.6) 91.4 (90	).5 to 92.2	2) 86.8 (85	5.1 to 88.4)	77.	.0 (70.3 to 82.3)				S	S	
	95.7)								16+	62.7	66.7	59.3		47.3
										(61.7	(66.3	(58.8	•	(45.0
ICU admissio	<i>ons</i> NR									to 63.8)	to 67.0)	to 59.9)	to 53.5)	to 49.6)
Mortality												39.9)	55.5)	49.0)
Delta deaths									Variant	s of Co	ncern:			
Age group	2 to 9	weeks	10 to 14 weel	ks	15 to 19 we	eks	20+	weeks	Delta ho	spitalisa	tion and	deaths re	ported in	main
16+	94.1 (	91.8 to 95.8)	92.4 (89.7 to	94.4)	89.1 (84.2 t	o 92.5)	78.7	(52.7 to 90.4)	analysis					
65+	92.8 (	87.4 to 95.9)	93.1 (89.6 to	95.4)	89.2 (83.3 t	o 93.0)	79.1	(51.6 to 91.0)	Subgro	ups				
Variants of	Concern								Vaccine	effective	eness aga	ainst Delta	symptor	matic
Dolta bosnita	lication and doaths ror	orted in main a	nalveie						disease	1				
•	lisation and deaths rep		•						Age	wk 1	2 to 9		15 to	20+
Subgroups:	Vaccine effectiveness	against Delta ho	ospitalisation						grou		week	14 week	19 week	week s
age	Sub group	week 1	2 to 9 weeks	10 to 1	4 weeks	15 to 19		20+ weeks	р		S	s	S	
65+	All	86.2 (40.5	92.2 (89.4 to	90.2 (8	7.8 to 92.2)	weeks 85.4 (81.	6 to	76.3 (65.3 to	65+	63.8	58.9	49.9	43.3	36.6
051	All	to 96.8)	94.3)	JU.2 (U	7.0 (0 32.2)	88.5)	0 10	83.8)		(48.2	(54.8 to	-	(38.1	(28.7
	CEV	N too small	79.3 (59.2 to	78.6 (6	3.1 to 87.6)	75.1 (56.	3 to	59.4 (14.1 to		to 74.8)	62.6)	to 54.0)	to 48.0)	to 43.7)
			89.5)		_	85.8)		80.8)	40 to	57.1	63.6	59.8	56.9	57.8
	Not CEV	92.5 (43.4	93.7 (91.0 to	91.7 (8	9.3 to 93.6)	86.5 (82.	5 to	78.4 (65.7 to	64	(55.5	(62.9		(55.3	(50.9
40 to 64	ΔII	to 99.0)	95.6)	02.7.(0	1 F to 02 C)	89.7)	0 +0	86.4)		to	to	to	to	to
40 to 64	All	95.0 (92.4 to 96.7)	96.2 (95.7 to 96.7)	92.7 (9	1.5 to 93.6)	89.0 (85. <sup>9</sup>	9 10	64.8 (30.1 to 82.2)		58.6)	64.3)	60.7)	58.4)	63.7)
	Risk/CEV group	94.3 (86.1	93.7 (92.3 to	90.2 (8	8.2 to 91.9)	86.6 (82.	2 to	69.7 (29.7 to	16 to	62.2	65.5			
	y == 1 g. eap	to 97.7)	94.8)	J J J J	0.2 00 52.5,	89.9)		86.9)	39	(52.5 to	(60.9 to			
	Not risk/CEV group	95.3 (92.5 to	97.4 (96.9		93.1 to	93.0 (87.	5 to	•		70.0)	69.5)			
		97.0)	to 97.8)	95.6)		96.1)				, 0.0)	(33.3)			

Efficacy/effe	ifficacy/effectiveness over time. See above			See abov	See above				
Moderna (Sp	ikevax)(mRNA-1273)								
VE against Del	ta hospitalisation, See * for VE over a	all time-period			ist Delta sympl ime-period	tomatic disea	se. See * for VE		
						2-9 wks	10-14 wks		
16+	Wk 1 97.5 (82.3 to 99.7)	2-9wks 100.0 (0 cases, 6363 con)	10- 14 wks		-	94.5 (94.1 to 95.0)	90.3 (67.2 to 97.1)		
10+	37.3 (62.3 to 33.7)	100.0 (0 cases, 0303 con)			(0 55.5)	10 33.0)	10 37.1)		
				Subgrou	ups				
				VE again	st Delta sympl	tomatic disea	se		
					Wk 1	2-9 wks	10-14 wks		
				40 to	94.0 (92.1	93.7	96.1 (70.1 to		
				64	to 95.5)	(92.9 to 94.4)	99.5)		
				16 to	95.0 (94.1				
				39	to 95.8)	(94.2 to			
						95.5)			

Study characteristics	Intervention and Comparators	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Chemaitelly	Exposure:	<b>Description:</b> Kidney transplant recipients with no	Severe Disease:	Confirmed RT-PCR
(2021) <sup>(46)</sup>	BNT162b2 (93%) mRNA-	prior PCR confirmed diagnosis of SARS-CoV-2		or SARS-CoV-2
	1273 (7%)	infection	Any severe critical or fatal	infection
Title: SARS-CoV-2 vaccine	, ,		disease: *	
effectiveness in		N: 782	Days after the second dose:	Any infection
immunosuppressed kidney	Comparator:	, 02	24,5 4.10. 4.10 5000.14 4050.	symptomatic or
transplant recipients	No vaccination	Out of the 782 transplant recipients, 506 were	≥14 davs	asymptomatic
transplant recipients	No vaccination	fully vaccinated at the index date or crossed over	1	Davis after the constant
			VE 72.3% (95% CI: 0.0 to	Days after the second
NCT: N/A	Time since final	during the study period.	90.9%).	dose:
	vaccination: Mean 10.47			> 4.4 daysa
DOI:	weeks		≥42 days	≥14 days
10.1101/2021.08.07.21261578		Age:	VE 85.0% (95% CI: 35.7 to	
·		Unvaccinated: Median 49 years (IQR 39-61)	96.5%)	

Country: Qatar	Vaccinated: Median 52 years (IQR 40-61)	≥56 days:	VE 46.6% (95% CI: 0.0 to 73.7%)
<b>Setting</b> : Public healthcare provider.	Male: Vaccinated: 63.1%	VE 83.8% (95% CI: 31.3 to 96.2%)	≥42 days follow-up
Time Period: February 1-July	Unvaccinated: 70.4%	Mortality.	VE 66.0% (95% CI: 21.3 to 85.3%)
21, 2021	Comorbidities: NR	No COVID-19 deaths occurred in either group.	≥56 days
<b>Study Design</b> : Retrospective cohort study	Special populations:		VE 73.9% (95% CI: 33.0 to 89.9%)
with cross over	100% Kidney transplant recipients.	Adjustments:	Adjustments
Variants of Concern		Age, sex, nationality group, competing risks.	Age, sex, nationality group, competing risks
Dominated by Alpha and Beta.		Subgroups: NR	Variants: NR
Low incidence of Delta		Variants: NR	Effectiveness over
		Effectiveness over Time:	Time:
Publication status: Preprint		No other analysis	"However, vaccine protection mounted slowly and did not reach a high level until several weeks after the second dose. Notably, the build-up of vaccine protection mirrored the slow development of antibodies in transplant recipients that has been previously reported."

\*Definitions for severe, critical and Covid-19 death as per WHO classifications. **Key**: CI – Confidence Interval; Interquartile Range – IQR; N/A – Not Applicable; NCT – National Clinical Trial; NR – Not Reported; RT-PCR – Reverse Transcription Polymerase Chain Reaction, VE – Vaccine Efficacy.

Study characteristics	Interven tion and Compara tors	Population and Patient demographics	nd Patient demographics Primary outcome results						
Author (Year): Liu (2021) <sup>(48)</sup> Title: A Retrospective Analysis of COVID-19 mRNA Vaccine Breakthrough Infections – Risk Factors and Vaccine Effectiveness	Exposure: BNT162b2 (67.5%), mRNA-1273 (32.5%)  Comparat or:	Description: Adults ≥18 years residing in New York State who received routine clinical care from Columbia University Irving Medical Centre/New York-Presbyterian (CUIMC/NYP) were included. Individuals who received doses from more than 1 manufacturer or only received one vaccine dose were excluded.  6 cohorts were constructed:	Severe Dise  SARS-CoV-2 of hospitalisation, to ventilation, to ventilation effective severe outcomatched his	associated s in (includes racheostom ectiveness comes in b	emergency y, and deat against C reakthrou	room visits), th COVID-19 as gh cohort co	mechanical  sociated  ompared to		
NCT: NR  DOI: 10.1101/2021.10.05.21264 583	No vaccination  Time since final vaccinatio	<ol> <li>"Vax positive" (N = 198):* Individuals with a positive PCR test after full vaccination and without evidence of SARS-CoV-2 infection before full vaccination.</li> <li>"Vax negative" (N = 14,164):* Individuals with a negative PCR test after full vaccination and without evidence of SARS-CoV-2 infection at any time in their records</li> </ol>		Event (Pre- Vax/Vax)	Event rate / 1000 person- days (Pre- Vax/Vax	Hazard Ratio (95% CI)	Adjusted Hazard Ratio (95% CI)		
Country: US  Setting: A quaternary care	n: Mean: 14.4 weeks	<ul> <li>3) "Pre-Vax positive" (N = 6,462):* Individuals with a positive PCR test before the vaccination period.</li> <li>4) "Pre-Vax negative" (N = 55,580):* Individuals with a negative PCR test and without any evidence of SARS-CoV-</li> </ul>	Hospitalisatio n Mechanical Ventilation Tracheostom	1071/120 155/9 19/0	47.58/59. 2 3.57/1.85	1.19 (0.982- 1.43) 0.539 (0.275- 1.06) 3.52e-08 (0-	1.17 (0.969- 1.41) 0.518 (0.26 5-1.02) 3.32e-08 (0-		
academic medical centre that includes an academic hospital, children's hospital, and community-based hospital		<ul> <li>2 infection before the vaccination period.</li> <li>5) "Un-Vax positive" (N = 3,902):* Individuals with a positive PCR test after entry date and before administration of a first vaccination dose (if ever administrated), while having no evidence of SARS-CoV-2 infection before entry date</li> </ul>	Death  Vaccine effective severe outcome.	195/5	4.3/1.01 against C	Inf) 0.235 (0.096 6-0.57)  COVID-19 as			
Time Period:  Pre-vax cohort: 1 January 2020 – 10 December 2020		6) "Un-Vax negative" (N = 33,850):* Individuals with a negative PCR test after entry date and before administration of a first vaccination dose (if ever	compared to	o a match					
Vax/Un-vax cohorts: 18 January 2021 - 21 September 2021  Study Design:		administrated), while having no evidence of SARS-CoV-2 infection before entry date.  Average (SD), Age:* 1) "Vax positive" 58.5 years (20.34) 2) "Vax negative" 59.4 years (18.86)		(Un- Vax/Vax)	rate / 1000 person- days (Un- Vax/Vax	Hazard Ratio (95% CI)	Adjusted Hazard Ratio (95% CI) <sup>3</sup>		
_		3) "Pre-Vax positive" 58.9 years (19.46)	Hospitalisatio n	1445/120	93.52/59. 2	0.726 (0.603 -0.875)	0.723 (0.6- 0.872)		

Retrospective cohort study
(with matching for some
analyses) with crossover

#### **Variants of Concern**

NR

### **Publication status:**

Preprint

- 4) "Pre-Vax negative" 52.2 years (19.79)
- 5) "Un-Vax positive" 54.2 years (20.06)
- 6) "Un-Vax negative" 50.9 years (19.73)

#### Male:\*

- 1) "Vax positive" 44.4%
- 2) "Vax negative" 36.4%
- 3) "Pre-Vax positive" 49%
- 4) "Pre-Vax negative" 37.8%
- 5) "Un-Vax positive" 43.6%
- 6) "Un-Vax negative" 37.7%

#### Comorbidities:\*

	Vax+	Vax-	Un- vax+	Un-vax-	Pre- vax+	Pre- vax-
Solid tumor	46 (23.2% )	2354 (16.6% )	274 (7%)	2826 (8.3%)	629 (9.7%)	6702 (12.1% )
Chronic Kidney Disease	28 (14.1% )	1486 (10.5% )	364 (9.3%)	2124 (6.3%)	910 (14.1% )	4098 (7.4%)
HIV	9 (4.5%)	478 (3.4%)	114 (2.9%)	982 (2.9%)	190 (2.9%)	1603 (2.9%)
On immunosuppressiv e therapy	13 (6.6%)	362 (2.6%)	74 (1.9%)	616 (1.8%)	156 (2.4%)	1248 (2.2%)
immunodeficiency disorders	49 (24.7% )	2545 (18%)	370 (9.5%)	3124 (9.2%)	759 (11.7% )	6660 (12%)
Organ transplant	10 (5.1%)	366 (2.6%)	108 (2.8%)	610 (1.8%)	244 (3.8%)	1288 (2.3%)
Not immucompromised	108 (54.5% )	9031 (63.8% )	3072 (78.7% )	26835 (79.3% )	4641 (71.8% )	41150 (74%)

Mechanical Ventilation	122/9	2.36/1.85	0.747 (0.38- 1.47)	0.716 (0.363 -1.41)
Tracheostom y	8/0	0.15/0	3.63e-08 (0- Inf)	3.74e-08 (0- Inf)
Death	115/5	2.19/1.01	0.457 (0.187 -1.12)	0.409 (0.167 -1)

<sup>1</sup>The N of the Pre-Vax/Un-vax cohort will be 10 times N of the Vax because of 1:10 matching.

#### Adjustments:

Unadjusted Hazard ratio for the effect of vaccination on severe outcome was obtained by fitting a Cox regression with one independent variable (vaccinated v. unvaccinated.

Adjusted Hazard ratios were obtained by fitting a Cox regression adjusted for previous number of visits, observational days, age at PCR test and underlying immune conditions (binary). Individuals were censored at their last encounter or 28 days after their PCR results, whichever comes first

Subgroups: NR Variants: NR

**Effectiveness over Time:** 

No other analysis

## **Secondary outcomes**

<sup>\*</sup> some individuals are in multiple cohorts at different times

#### Confirmed RT-PCR or Antigen SARS-CoV-2 infection ≥14 days after second/final dose

### Vaccine effectiveness against SARS-CoV-2 infection comparing "Vax" cohort to a matched "Pre-Vax" cohort before 11 Dec 2020.

	N <sup>1</sup> (Pre-Vax/Vax)	Prevalence - (Pre-Vax/Vax)	Odds Ratio (95% CI) <sup>2</sup>	Adjusted Odds Ratio (95% CI) <sup>3</sup>	% VE (95% CI) <sup>4</sup>
Overall	14,362/14,362	1,556/198	0.115 (0.099-0.134)	0.116 (0.0998-0.135)	88.4% (86.5 to 90)

<sup>1</sup> Both cohorts contained 14,362 individuals in total because of 1:1 matching; Matching was based on previous visit counts, observational days, demographics, underlying immune conditions and New York City 7 days rolling average of COVID-19 cases at the PCR test date.

#### Adjustments:

- 2. Odds ratio obtained by fitting a univariate logistic regression between "Vax" cohort and a matched "Pre-Vax" cohort.
- 3. Odds ratio obtained by fitting a logistic regression adjusted for previous number of visits and observational days.

#### **Variants of Concern: NR**

### **Subgroups:**

	N <sup>1</sup> (Pre- Vax/Vax)	Prevalence - (Pre-Vax/Vax)	Odds Ratio (95% CI) <sup>2</sup>	Adjusted Odds Ratio (95% CI) <sup>3</sup>	% VE (95% CI) <sup>4</sup>
Age					
<= 65	8,335/8,191	734/111	0.142 (0.116-0.174)	0.145 (0.118-0.177)	85.5% (82.3 to 88.2)
> 65	6,027/6,171	822/87	0.0905 (0.0724- 0.113)	0.0909 (0.0727-0.114)	90.9% (88.6 to 92.7)
Sex			,		
Male	5,142/5,241	702/88	0.108 (0.0862- 0.135)	0.108 (0.0865-0.136)	89.2% (86.4 to 91.4)
Female	9,220/9,120	854/110	0.12 (0.0978-0.146)	0.121 (0.0989-0.148)	87.9% (85.2 to 90.1)
Is immune compromised					
True	5,287/5,223	642/90	0.127 (0.101-0.159)	0.129 (0.103-0.162)	87.1% (83.8 to 89.7)
False	9,075/9,139	914/108	0.107 (0.0873- 0.131)	0.106 (0.0864-0.129)	89.4% (87.1 to 91.4)

1 Both cohorts contained 14,362 individuals in total because of 1:1 matching; Matching was based on previous visit counts, observational days, demographics, underlying immune conditions and New York City 7 days rolling average of COVID-19 cases at the PCR test date.

#### **Adjustments:**

- 2. Odds ratio obtained by fitting a univariate logistic regression between "Vax" cohort and a matched "Pre-Vax" cohort.
- 3. Odds ratio obtained by fitting a logistics regression adjusted for previous number of visits and observational days.

### 4. VE estimated by calculating (1 -aOR)\*100

#### Vaccine effectiveness against SARS-CoV-2 infection comparing "Vax" cohort to a matched "Un-Vax" cohort after 18 Jan 2021

	N# (Un-Vax/Vax)	Incident rate / 1000 person- days (Un-Vax/Vax)	Incident Rate Ratio (95% CI)##	Adjusted Incident Rate Ratio (95% CI)###
Overall	14,362/1,4362	0.37/0.16	0.422 (0.362-	
			0.493)	0.411 (0.352-0.48)

<sup>#</sup> Both cohorts contained 10,283 individuals in total because of 1:1 matching; Matching was based on by previous visit counts, observational days, demographics, underlying immune conditions and NYC 7 days rolling average of COVID-19 cases at the PCR test date

### **Adjustments:**

## Incident rate ratio obtained by fitting a univariate Poisson regression between vaccinated cohort and a matched "Un-Vax" cohort

### Incident rate ratio obtained by fitting a Poisson regression adjusted for previous number of visits and observational days

Variants of Concern: NR

#### **Subgroups:**

	N# (Un-Vax/Vax)	Incident rate / 1000 person- days (Un-Vax/Vax)	Incident Rate Ratio (95% CI)##	Adjusted Incident Rate Ratio (95% CI)###
Age				
<= 65	9,453/8,191	0.35/0.16	0.47 (0.383-0.576)	0.471 (0.384-0.579)
> 65	4,909/6,171	0.43/0.15	0.354 (0.279-0.449)	0.325 (0.255-0.413)
Sex				
Male	5,272/5,241	0.4/0.19	0.489 (0.386-0.619)	0.483 (0.381-0.612)

Female	9,089/9,120	0.36/0.14	0.381 (0.31-0.469)	0.368 (0.299-0.452)
Is immune com promised				
True	4,079/5,223	0.41/0.19	0.466 (0.366-0.593)	0.432 (0.338-0.553)
False	10,283/9,139	0.36/0.14	0.382 (0.311-0.469)	0.375 (0.305-0.461)

<sup>#</sup> Both cohorts contained 10,283 individuals in total because of 1:1 matching; Matching was based on by previous visit counts, observational days, demographics, underlying immune conditions and NYC 7 days rolling average of COVID-19 cases at the PCR test date

#### **Adjustments:**

## Incident rate ratio obtained by fitting a univariate Poisson regression between vaccinated cohort and a matched "Un-Vax" cohort

### Incident rate ratio obtained by fitting a Poisson regression adjusted for previous number of visits and observational days

### Risk factors associated with breakthrough case rate

Risk Factors	IR (95% CI) per 1000 person-days	IRR (95% CI) <sup>†</sup>	p-value	Adjusted IRR (95% CI) *	p-value adj
Not immunocompromised	0.14 (0.11-0.17)	Ref	Ref	Ref	Ref
Is immunocompromised	0.19 (0.15-0.24)	1.49 (1.1-2)	0.009	1.48 (1.09-2)	0.011
Active tumour	0.22 (0.16-0.29)	1.57 (1.11-2.21)	0.010	1.56 (1.1-2.2)	0.012
CKD	0.2 (0.13-0.29)	1.35 (0.887-2.07)	0.160	1.33 (0.864-2.06)	0.194
HIV	0.21 (0.1-0.4)	1.24 (0.628-2.44)	0.538	1.25 (0.634-2.47)	0.518
On immunosuppressed therapy	0.21 (0.16-0.28)	1.46 (1.03-2.05)	0.031	1.45 (1.03-2.04)	0.033
Primary immunodeficiency	0.4 (0.21-0.68)	2.55 (1.41-4.6)	0.002	2.53 (1.4-4.58)	0.002
Organ transplant	0.31 (0.15-0.57)	1.9 (0.976-3.71)	0.059	1.9 (0.977-3.71)	0.058

#### **Adjustments:**

## Top 10 (ranked by p-value) condition and drugs associated with breakthrough cases in "Vax" cohort

Condition name*	IRR (95% CI)¥	p-value
Chronic pulmonary heart disease	4.07 (2.07-7.99)	<0.001
Asteatosis cutis	2.6 (1.56-4.33)	<0.001
Immunodeficiency disorder	3.62 (1.81-7.22)	<0.001
Post-inflammatory pulmonary fibrosis	3.34 (1.69-6.59)	<0.001
Tubulointerstitial nephritis	3.84 (1.78-8.28)	0.001
Alzheimer's disease	3.5 (1.68-7.28)	0.001
Bacterial pneumonia	2.97 (1.5-5.87)	0.002
Epidermoid cyst	2.45 (1.39-4.32)	0.002
Peripheral circulatory disorder due to type 2 diabetes mellitus	2.78 (1.45-5.36)	0.002
Acute deep venous thrombosis of femoral vein	3.62 (1.58-8.27)	0.002
Drug name*	IRR (95% CI)¥	p-value
valganciclovir	4.33 (1.92-9.76)	<0.001
donepezil	2.91 (1.5-5.65)	0.002
pegfilgrastim	3.62 (1.54-8.49)	0.003

<sup>†</sup> Adjusted for number of visits, days of previous observation, calendar month of the PCR test result

<sup>‡</sup> Adjusted for number of visits, days of previous observation, calendar month of the PCR test result and age at last vaccine dose

vitamin A	3.27 (1.42-7.53)	0.005
telmisartan	3.18 (1.4-7.24)	0.006
albuterol (salbutamol)	1.56 (1.13-2.15)	0.007
linagliptin	3.01 (1.32-6.86)	0.009
enalapril	2.21 (1.21-4.02)	0.010
cetirizine	1.93 (1.17-3.17)	0.010
mycophenolate mofetil	2.77 (1.27-6.04)	0.010

<sup>\*</sup> Only conditions/drugs that occurred in more than 100 individuals were included in this analysis.

### **Adjustments:**

¥ Poisson regression was fitted for each variable with adjustment for age, number of visits, and observational days

## **Efficacy/effectiveness over time:**

Change of Incidence rate from time to fully vaccination.

Time since fully vaccinated	Pfizer/BNT162b2	Pfizer/BNT162b2			Moderna/mRNA-1273		
	Total person-days at risk <sup>£</sup>	Inciden ce	Incident rate / 1000 person-days	Total person-days at risk <sup>£</sup>	Inciden ce	Incident rate / 1000 person-days	
210-240 days	3,074	6	1.952	443	1	2.257	
180-210 days	16,811	24	1.428	5,543	5	0.902	
150-180 days	34,847	16	0.459	16,525	6	0.363	
120-150 days	66,486	27	0.406	32,243	7	0.217	
90-120 days	105,697	15	0.142	52,162	5	0.096	

60-90 days	150,864	16	0.106	74,806	5	0.067
30-60 days	203,392	26	0.128	100,706	5	0.050
0-30 days	259,596	26	0.100	126,977	8	0.063

<sup>&</sup>lt;sup>£</sup> Incidence rate / 1000 person-days were calculated for each time interval relative to the fully vaccinated date

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
<b>Author (Year):</b> McKeigue (2021) <sup>(49)</sup> <b>Title:</b> Efficacy of two doses of	• COVID-19 cases were those with a positive nucleic acid test, or a	<b>Description:</b> Cases of COVID-19 among community population in Scotland and then matched to controls from general population.	Severe Disease: ≥14 days after second dose  Severe Disease**	Confirmed RT-PCR or Antigen SARS-CoV-2 infection
COVID-19 vaccine against severe COVID-19 in those with risk conditions and residual	hospital admission or death with COVID-19 ICD-10 codes.	Not reported if serostatus assessed prior to inclusion for controls.	No risk condition RR# 0.06 (95% CI 0.04 to 0.07) VE 94% (95 % CI 93% to 96%)	≥14 days after second/final dose
risk to the clinically extremely vulnerable: the REACT-SCOT case-control study	<ul> <li>Vaccination with AstraZeneca or mRNA vaccine (Pfizer</li> </ul>	N:* 223, 742	Moderate risk condition RR 0.11 (95% CI 0.09 to 0.14) VE= 89% (95% CI 86% to 91%)	NR Variants of Concern:
<b>DOI:</b> doi.org/10.1101/2021.09.13.2	or Moderna). • Defined by risk group:	(53,264 fully vaccinated)	Condition eligible for shielding RR 0.27 (95% CI 0.21 to 0.36) VE = 73% (95% CI 64% to 79%)	NR Subgroups
1262360; NCT: N/A	<ul> <li>No risk condition</li> <li>Moderate risk</li> <li>condition</li> </ul>	Age: NR	Hospitalisation or mortality***	NR Efficacy/effectivenes s over time.
Study Design: Case-control	o Eligible for shielding	Male/Female: NR  Co-morbidities##: Moderate risk condition:	No risk condition RR# 0.14 (95% CI 0.12 to 0.15) VE = 86% (85 to 88)	NR
Country: Scotland Setting: Community	Control: For every incident case of COVID-19 in the Scottish population	65,020 Solid organ transplant:447 Specific cancers: 2,106	Moderate risk condition RR 0.17 (95% CI 0.15 to 0.18) VE = 83% (82 to 85)	

Time Period: 1 December 2020 to 8 September 2021  Variants of Concern: Delta.  Publication status: Preprint	10 controls matched for one-year age, sex and primary care practice and alive on the day of presentation of the case that they were matched to were selected using the Community Health Index database in Scotland. Not reported if serostatus assessed prior to inclusion for controls.	Severe respiratory: 7,168 Rare diseases: 732 On immunosuppressants: 1,792 Additional conditions: 3,764	Condition eligible for shielding RR 0.32 (95% CI 0.29 to 0.37)  VE = 68% (95 percent CI 63% to 71%)  Adjustments: care home residence, number of adults in household, number of non-cardiovascular drug classes dispensed and recent hospital stay.	
	Time since final vaccination dose: There is at least a median of 9.57 weeks. [IQR = 6 – 12.71 weeks. Max 26 weeks], with an additional 2.3 weeks follow up in the updated report. (From McKeigue: Efficacy of vaccination against severe COVID-19 in relation to Delta variant and time since second dose: the REACT-SCOT case-control study.)		Mortality NR separately (see above).  Variants of Concern: NR.  Subgroups: See below  Efficacy/effectiveness over time: Reported for risk conditions (see below)	
	Risk conditions	RR for severe disease:	RR for hospitalisation/mortality	Rate per 1000 per month
	Solid organ transplant:	31.4 (13.8 to 71.2) 0.6 (95% CI 0.24 to 1.51) +	9.2 (95% CI 6.2 to 13.7) 0.6 (95% CI: 0.38to 0.95) ~	1.48
	Specific cancers:	12.7 (95% CI:7.3 to 22.0) 0.34 (95% CI: 0.2 to 0.59) +	3.86 (3.04 to 4.90) 0.31 (95% CI: 0.23 to 0.41~	0.35
	Severe respiratory:	4.75 (95% CI:3.17 to 7.11) 0.18 (95% CI: 0.13 to 0.26) +	2.87 (2.45 to 3.37) 0.28 (95% CI: 0.24 to 0.33) ~	0.26

Rare dise	eases:	3.24 (95% CI:1.21 to 8.66)		95% CI 2.45 to 3.37) 95% CI:0.19 to 0.50) ~	0.28 (combined with additional conditions)
On immu	unosuppressants:	0.1 (95% CI: 0.03 to 0.28) + 13.3 (95% CI:7.3 to 24.3) 0.53 (95% CI: 0.29 to 0.97) +		95% CI 2.87 to 4.86) 15% CI:0.29 to 0.54) ~	0.25
Additiona	al conditions:	6.3 (95% CI:3.9 to 10.0) 0.24 (95% CI: 0.15 to 0.39) +	3.67 (	95% CI 3.02 to 4.46) 95% CI:0.26to 0.39) ~	0.28
Astra Ze	eneca		No risi 0.09) Moder (0.10, Condit 0.28 (	tion eligible for shielding RR (0.21, 0.37) (72% (95 percent CI 63% to	
			morta as ref condit Moder (0.2 to Condit	or hospitalisation or ality with unvaccinated ference category: No risk cion RR# 0.19 (0.17 to 0.2)) rate risk condition RR 0.22 to 0.25) tion eligible for shielding RR (0.33, 0.43)	
Pfizer/N	Moderna	mRNA	RR fo No ris 0.06) Moder (0.05, Condit 0.27 (	r severe disease: k condition RR# 0.04 (0.03, rate risk condition RR 0.07 0.09) tion eligible for shielding RR 0.17, 0.371) 73% (95% CI 59% to	
			morta 0.08 (	or hospitalisation or ality: No risk condition RR# 0.07, 0.09) rate risk condition RR 0.09 0.11)	

	Condition eligible for shielding RR	
	0.23 (0.19, 0.29)	

- \* Calculated from Table 1 and Table S1 (reported by vaccination status).
- \*\* Severe COVID-19: diagnosed cases with entry to critical care within 28 days of presentation or fatal outcome (death within 28 days of a positive test or any death for which COVID-19 was coded as underlying cause).
- \*\*\* Hospitalised and fatal disease reported together.
- # Rate ratio
- ## Calculated from Table 1 and Table S1.
- ~Compared to unvaccinated control
- ^ the CEV category was subdivided is subdivided into 6 categories categories: solid organ transplant, specific cancers, severe respiratory conditions, other rare conditions, on immunosuppressants, and additional conditions
- + RR associated with vaccine dose within extremely vulnerable subgroups

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Nordstrom (2021) <sup>(50)</sup> Title: Effectiveness of Covid-19 vaccination against risk of symptomatic infection, hospitalization, and death up to 9 months: a Swedish total-population cohort study  DOI: doi.org/10.2139/ssrn.39494 10  NCT: N/A  Study Design: Retrospective Cohort Study  Country: Sweden  Setting: Nationwide Registries  Time Period:	Intervention/Exposure: ChAdOx1 (AstraZeneca) mRNA-1273 (Moderna) BNT162b2 (Pfizer/BioNTech)  Comparator/Control: Unvaccinated  Time since final vaccination dose: Symptomatic Infection Mean = 16.52 weeks (Range: 2.14 to 39.88 weeks)  Hospitalisations and mortality Mean = 16.1 weeks Range: (2.14 to 39.03)	Description: Fully vaccinated (2 doses) individuals were matched 1:1 to one randomly sampled unvaccinated individuals. Matched unvaccinated individuals were excluded if they received a first dose of vaccine or died within 14 days of baseline, and a new individual was searched from the remaining total cohort.  N: Vaccinated – 842,974 Unvaccinated – 842,974 Unvaccinated – 842,974 ChAdOx1 – 76,597 Mrna-1273 – 76,880 BNT162b2 – 637,107 BNT162b2/mRNA – 51,766  Age: (Mean) Vaccinated - 53.0 (SD 19.0) Unvaccinated - 53.0 (SD 19.0) Unvaccinated – 40.7% Unvaccinated – 40.7% Co-morbidities/Special Populations: N (%)	Severe Disease: >14 days after second/final dose \$  Covid-19 hospitalization or death  277 cases in vaccinated group vs 825 cases in unvaccinated group  Day 15 to 30VE = 89% (VE = 83 to 93, P<0.001)  Day 121 to 180 VE = 74% (47 to 87, P<0.001)  Day 180+ VE = 42%; (-35-75, P=0.21).  Adjustments:  Age, baseline date, sex, home maker service, place of birth, education and comorbidities.	Confirmed RT-PCR infection ≥14 days after second/final dose*  Symptomatic # See below Table 2 and Table 3  Adjustments: Age, baseline date, sex, home maker service, place of birth, education and comorbidities. See below supplemental Table 4  Variants of Concern: Apply mainly to Delta

12 January, 2021 – 4 October, 2021	Myocardial infarction Vaccinated - 21,885 (2.6)	See below supplemental Table 5
Variants of Concern:	Unvaccinated – 18,350 (2.2)	Variants of Concern
A timely component of the study is that the results apply primarily to the Delta variant of the virus, according to sequencing analyses presented by the Public Health Agency of Sweden	Stroke Vaccinated – 29,493 (3.5) Unvaccinated – 16,808 (2.0)  Diabetes Vaccinated – 91,203 (10.6) Unvaccinated – 62,198 (7.4)	Apply mainly to Delta
Publication status: Preprint  Supplementary	Hypertension Vaccinated – 262,659 (31.2) Unvaccinated - 207,862 (24.7)  Kidney failure Vaccinated – 20,027 (2.4)	
Appendix:	Unvaccinated – 10,317 (1.2)  COPD  Vaccinated – 17,257 (2.0)  Unvaccinated – 13,353 (1.6)	
	Athsma Vaccinated – 50,341 (6.0) Unvaccinated – 36,671 (4.4)	
	Cancer Vaccinated – 48,512(5.8) Unvaccinated – 37,092 (4.4)	
	N: (Secondary cohort) ~ Vaccinated – 1,983,315 Unvaccinated - 1,983,315	

Age: Vaccinated – 59.4 (SD – 17.2) Unvaccinated – 56.2 (SD – 20.2)
Male: Vaccinated – 43.5% Unvaccinated – 48.4%
Outcome measurement: First outcome – symptomatic infection
Confirmed using polymerase chain reaction and in 4.8% by sequencing, according to the SmiNet registry
Second outcome - a composite endpoint of severe disease until 28 Sept 2021 latest
Inpatient hospitalization with Covid- 19 as the main diagnosis, or all-cause mortality within 30 days after confirmed infection.

Table 2. Vaccine effectiveness against symptomatic infection up to 9 months after full vaccination (Fully adjusted) – VE (95% CI)

Time	Vaccine	/accine					
	Any 2 doses	BNT162b2/BNT162b2	mRNA- 1273/mRNA-1273	ChAdOx1/ChAdOx1	ChAdOX1/mRNA vaccine		
Total	84 (83-84)	85 (84-85)	89 (88-90)	44 (36-52)	65 (59-70)		
15-30 days	92 (91-93)	92 (92-93)	96 (94-97)	68 (52-79)	89 (79-94)		
31-60 days	89 (88-89)	89 (88-90)	93 (90-94)	49 (28-64)	72 (59-82)		

61 -120 days	82 (81-83)	85 (84-85)	85 (82-88)	41 (29-51)	55 (45-64)
121-180 days /(* = 120 days +)	48 (41-54)	47 (39-55)	71 (56-81)	-19 (-97-28) *	66 (41-80) *
181 - 210 days / (* = 180 days+)	32 (19-44)	29 (15-42)	59 (18-79) *	NR	NR
>210 days	23 (-2-41)	23 (-2-41)	NR	NR	NR

Table 3. Vaccine effectiveness against symptomatic infection up to 9 months after full vaccination (>14 days after the second dose) according to sex, age and for individuals with homemaker service and with any comorbidity at baseline

	Time since vaccination				
Category	15-30 days	31-60 days	61-120 days	121-180 days	>180 days
<50 years	95 (94-95)	91 (90-92)	84 (83-84)	51 (43-58)	37 (24-48)
50 – 64 years	88 (86-91)	85 (83-87)	81 (79-83)	27 (-8-50)	8 (-36-38)
65-79 years	82 (75-88)	71 (64-76)	65 (56-72)	30 (-16-58)	11 (-32-40)
≥80 years	74 (63-82)	73 (65-79)	50 (30-64)	44 (15-66)	5 (-53-41)
Any diagnosis at baseline <sup>£</sup>	86 (84-89)	85 (83-86)	79 (77-80)	55 (42-65)	15 (-17-38)

Supplemental Table 4. Vaccine effectiveness against symptomatic infection up to 9 months after full vaccination (>14 days after the second dose) in the second matched cohort (N=3,966,630) according to age and for individuals with homemaker service and

## with any comorbidity at baseline (Fully adjusted)

	Time since vaccination					
Category	15-30 days	31-60 days	61-120 days	121-180 days	180-210 days	>210 days
<50 years	94 (93-95)	90 (90-91)	83 (82-83)	50 (43-57)	41 (27-51)	34 (8-52)
50 – 64 years	87 (85-89)	82 (80-84)	76 (74-78)	45 (38-57)	18 (-49-43)	-77 (-390-19)
65-79 years	85 (81-88)	73 (68-77)	63 (59-67)	52 (39-62)	-5 (-213-48)	-32 (-376-54)
≥80 years	79 (74-82)	75 (71-79)	55 (45-63)	65 (57-71)	55 (39-66)	-66 (-296-7)
Any diagnosis at baseline <sup>£</sup>	86 (84-87)	80 (80-83)	74 (72-75)	60 (54-66) 56	41 (24-55)	1 (-147-33)

Supplemental Table 5. Vaccine effectiveness in the second matched cohort (N=3,966,630) against Covid-19 hospitalization or death up to 9 months after full vaccination (>14 days after the second dose)

	Time since vaccination				
Category	15-30 days	31-60 days	61-120 days	121-180 days	>180 days
Overall	92 (89-94)	90 (88-91)	89 (87-91)	83 (75-88)	75 (43-78)
<80 years	92 (87-95)	92 (89-94)	92 (92-94))	87 (75-93)	83 (72-93)
≥80 years	92 (88-95)	88 (84-91)	84 (79-89)	78 (65-86)	51 (2-74)

Any diagnosis at baseline <sup>£</sup>	86 (84-87)	87 (85-90)	86 (83-89)	85 (77-90)	58 (26-77)	
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- ~ This cohort was formed using less strict matching criteria to increase the size of the cohort. In this dataset, each vaccinated individual was matched to the rest of the cohort on age only, with an allowance of a 5-year difference in age within each pair. This process was repeated 10 times and one unvaccinated individual could be paired with several vaccinated individuals.
- \$ Severe disease was measured until 28 September 2021 latest, defined as inpatient hospitalization with Covid-19 as main diagnosis, or all-cause mortality within 30 days after confirmed infection.
- # The term "symptomatic" was defined on the basis that in Sweden, health authorities have urged citizens to take a test if they experience any symptoms of Covid-19, measured until 4 October 2021.

£ Any diagnosis defined as – Myocardial infarction, Stroke, Diabetes, Hypertension, Kidney Failure, COPD, Athsma, Cancer

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year):	Intervention/Exposure:	Description:	Severe Disease:	Confirmed RT-PCR or
Pilishvili (2021) (51)	BNT162b2     (Pfizer/BioNTech) (Cases:	Healthcare personnel who had been tested for SARS-CoV-2 and had the	≥7 days after second/final dose	Antigen SARS-CoV-2 infection <sup>\$, +</sup>
	78%, Controls 79%)	potential for direct exposure to patients	,	Symptomatic
<b>Title:</b> Effectiveness of mRNA Covid-19 Vaccine among U.S. Healthcare Personnel	mRNA-1273 (Moderna)     (Cases: 21%, Controls     20%)	or the potential for indirect exposure to infectious materials at the workplace. Participants who had been tested within 0 to 2 days after the second dose were	Hospitalisation in cases by vaccination status &	≥7 days after second dose  Any COVID vaccine
DOI:	Comparator/Control:	excluded.	Completely vaccinated – 4 (2%)	VE: 90.4% (95%CI 87.0% to 92.9%)
10.1056/NEJMoa2106599	Unvaccinated individuals.^	N:	Partially vaccinated 1 (1%)	BNT162b2

NCT:		Cases – 1,482	Unvaccinated 21 (3%)	VE: 88.8% (95%CI
N/A	Time since final vaccination	Controls – 3,449		84.6% to 91.8%) mRNA-1273
	dose:			VE: 96.3% (95%CI
Study Design:	Median – 5.98 weeks (range 1 to 23.5 weeks	Age:		91.2% to 98.4%)
Test negative case-control.		Cases †	ICU admissions	Adjustments:
Country: US		Median (range) yrs: 37 (18 to 69)	Among hospitalised cases, 3 cases were	Age, race and ethnic group, underlying conditions, and
Setting:		Controls†	admitted to intensive care unit. Among hospitalised controls HCP	exposures to persons with Covid-19.
33 sites across 25 states. Acute care hospitals (68%) (with or without affiliated outpatient and urgent care clinics), and long-term care		Median (range) yrs: 37 (18 to 78)	was admitted to intensive care unit.	Variants of Concern: NR
facilities (32%).		Male:	Adjustments: NR	Subgroups
		Cases	Mortality: NR	≥1 Underlying condition or risk factor#
Time Period:			-	VE: 90.3% (95%CI
28 December 2020 to 19 May 2021		N=250 (17%)	Variants of Concern: NR	86.4% to 93.0%)
			Subgroups:NR	≥2 Underlying conditions
Variants of Concern: NR		Control	Efficacy/effectiveness	or risk factors#
variants of concern. NR		N=574 (17%)	over time: NR	VE: 88.5% (95%CI 83.2% to 92.2%)
		Co-morbidities:		≥3 Underlying conditions or risk factors#
Publication status:		Cases		VE: 89.4% (95%CI
Peer-reviewed.		Asthma –14%		83.1% to 93.4%)  No underlying risk
		Immunocompromising condition % – 4%		factor#
		COPD - 0.3%		

Controls  Asthma – 18%  Immunocompromising condit  COPD – 1%	VE: 91.1% (95%CI 85.5% to 94.6%)  Asthma  VE: 90.5% (95%CI 81.9% to 95.0%)  Obesity  VE: 92.1% (95%CI 87.6% to 95.0%)  Obesity or overweight  VE: 91.0% (95%CI 87.0% to 93.7%)  Hypertension  VE: 91.8% (95%CI
	Diabetes  VE: 80.2% (95%CI 45.8% to 92.7%)  Pregnancy (assessed for partial and complete vaccination) <sup>€</sup> VE: 77.1% (95%CI 32.2% to 92.2%)  Any immunocompromising condition, (assessed for partial and complete vaccination) <sup>€</sup>

Time	1-2 weeks	3-4 weeks	5-6 weeks	7-8 weeks	9-10 weeks	11-12 weeks	13-14 weeks
Estimated Adjusted Effectiveness Receipt of the Second Dose. <sup>£</sup>	of mRNA Vaccines aga	inst Symptomat	tic Covid-19 among I	Healthcare Personr	nel According to I	Follow-up Tii	ne after
						intended in the dual after second sec	ervals, was highest ring weeks 3 and 4 er receipt of the cond dose (VE: 3%; 95%CI, 92.5% 98.2%). Estimates of coine effectiveness re lower during weeks hrough 14 but offidence intervals erlapped.
						The vac	ricacy/effectiveness er time. e point estimate of coine effectiveness, sessed in 2-week
						VE	<u>0 years</u> : 90.7% (95%CI 2% to 94.6%)
						VE	<u>0 years</u> : 90.3% (95%CI 5% to 93.0%)
							: 39.1% (95%CI 5.0% to 74.4%)

VE (95%CI)	92.73% (89.1 to 95.03)	96.55% (92.73 to 98.47)	91.77% (83.56 to 95.98)	88.71% (79.92 to 94.07)	83.74% (68.26 to 91.59)	82.79% (68.45 to 90.44)	80.88% (60.99 to 90.44)

<sup>^</sup> Participants were considered to be unvaccinated if they had not received any dose of Covid-19 vaccine as of the test date.

- % \*\*Immunocompromising conditions include immunosuppressive medication (e.g., corticosteroids, chemotherapy, or other immunosuppressive medications), solid organ transplant, hematopoietic stem cell transplant, HIV, or active cancer (current cancer or in treatment or diagnosed in last 12 months).
- \$ At least one Covid-19–like symptom and a positive result for SARS-CoV-2 on polymerase- chain-reaction (PCR) testing, other nucleic acid amplification testing, or antigen-based testing
- † Case participants were defined as healthcare person nel who had at least one Covid-19–like symptom and a positive result for SARS-CoV-2 on polymerase- chain-reaction (PCR) testing, other nucleic acid amplification testing, or antigen-based testing 14 Persons who tested negative on PCR or other laboratory-based nucleic acid amplification testing, regardless of symptoms, were eliqible for inclusion as controls.
- + excluded participants who had been tested within 0 to 2 days after receipt of the second dose
- & HCP who sought care for the current episode of illness were seen in an outpatient setting, emergency department, urgent care, or hospital. Among hospitalized cases, 5 cases required supplemental oxygen, 3 cases were admitted to intensive care unit, and 2 were intubated. Among hospitalized controls, 1 HCP was admitted to intensive care unit and required supplemental oxygen.
- # conditions as being associated with a definite or potential increased risk of severe Covid-19 according to the definitions of the Centers for Disease Control and Prevention (https://www .cdcgov/coronavirus/2019ncov/needextraprecautions/peoplewithmedica-conditions.html).
- £ Extracted using WebPlotDigitizer software
- € Vaccine effectiveness was assessed in the interval from at least 14 days after receipt of the first dose through the receipt of the second dose or later given low sample size

Study characteristics	Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
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<sup>\*.</sup> The illness was defined as symptomatic if the participant had at least one of the following symptoms present within 14 days before or after the index test date: fever (a body temperature documented at ≥38°C or subjective fever), chills, cough (dry or productive), shortness of breath, chest pain or tightness, fatigue or malaise, sore throat, headache, runny nose, congestion, muscle aches, nausea or vomiting, diarrhea, abdominal pain, altered sense of smell or taste, loss of appetite, or red or bruised toes or feet.

Author (Year): Polinski 2021 (35)

**Title:** Effectiveness of the Single-Dose Ad26.COV2.S COVID

DOT:

10.1101/2021.09.10.212633

NCT: N/A

**Study Design**: Matched cohort study with crossover

Country: USA

**Setting:** US health insurance claims data (data aggregated by HealthVerity)

**Time Period**: 1 March 2021

-17 July 2021

Variants of Concern: Delta

**Publication status:** 

Preprint

Intervention/Exposure: Ad26.COV2.S (Janssen)

Comparator/Control: Individuals in database with no evidence of vaccination

Time since final vaccination dose:

Mean 15.4 weeks Maximum 21.7 weeks

**Description:** Study participants entered cohort on day of vaccination. They were matched (1:10 riskset sampling by time, location, age, sex, and comorbidity score, with further matching of the risk set sampled population by propensity score) with up to 10 unvaccinated individuals.

Those with observed COVID-19 or receipt of any COVID-19 vaccine during the 365 days before cohort entry were excluded. At least one medical and pharmacy claim was required during 365 days before cohort entry to ensure each individual's activity in the system.

**N:** 390.517 vaccinated 1,524,153 matched with no record of vaccination

Age:

Vaccinated:

Mean age, yrs (SD) 55.05 (17.31)

Unvaccinated:

Mean age, yrs (SD) 54.94 (17.42)

Male

Vaccinated, male 43.7% Unvaccinated, male 43.7%

Co-morbidities:

Vaccinated COPD: 10.3%

Organ transplant: 0.4% Malignancies: 4.5% Pulmonary fibrosis: 0.5%

HIV: 0.3%

Unvaccinated COPD: 10.4%

Organ transplant: 0.4% Malignancies: 4.5%

Severe Disease: ≥14 days after second/final dose

Hospitalisation VE 73% (95% CI 69%, 76%)

**Adjustments:** Matched by time, location, age, sex, and comorbidity score, also propensity scores

Mortality: NR

Variants of Concern High delta states\*\*

COVID-19 related Hositalisation

VE: 74% (61 to 83)

COVID-19 related Hositalisation

(June-July only\*\*\*) VE: 77% (59 to 87)

Subgroups:

<50 years

VE = 79% (95% CI 70 to, 85)

≥50 years

VE = 71% (95% CI 66 to

74%)

<60 years

Confirmed RT-PCR or Antigen SARS-CoV-2 infection (see definition of observed Covid-19§)

≥14 davs after second/final dose Any

VE: 69% (95% CI 67%, 71%)

Adjustments:

Matched by time, location, age, sex, and comorbidity score, also propensity scores

Variants of Concern:

High delta states\*\*

Observed COVID-19

VE 69% (95% CI 63% to 74%)

Observed COVID-19 (as observed for period June and July only\*\*\*)

VE: 67% (95% CI 60 to 73)

**Subgroups:** 

<50 years

VE = 75% (95% CI 72 to 77%)

≥50 years

VE = 65% (95% CI 63 to,

68%)

		Pulmonary fibrosis: 0.5% HIV: 0.4%	VE = 79% (74 to 84)	<60 years
			<u>≥60 years</u>	VE = 72% (69 to 74)
			VE = 68% (63 to 73)	≥60 years
			<u>Immunocompromised</u>	VE = 65% (61 to 68)
			VE = 54% (95% CI 35 to 67)	<u>Immunocompromised</u>
			Efficacy effectiveness over time.	VE = 52% (95% CI 42% to 60%)
			It is stated that sustained and stable VE was observed,	
			starting 14 days after vaccination to a maximum of	Efficacy/effectiveness over time.
			152 days after vaccination.  Monthly VE estimates for	The VE for observed COVID- 19 rose slightly until May to
			COVID-19-related hospitalization were stable	81% (79% to 83%) and remained at a high level until
				the end of the follow-up period in July (77%; 74% to 79%) (see fig 3a)
6   100/110   16	11 31 15 6 1	an autorational ICD 10 CM diagnosis and a full 7.1 (0F0)		, , , , ,

§observed COVID-19 was defined by either recording of an in- or outpatient ICD-10-CM diagnosis code of U07.1 (85% of cases) in any position, and/or a recorded positive SARS-CoV-2 diagnostic PCR or nucleic acid amplification test result (15%).

<sup>\*</sup>All VE estimates extracted here do not incorporate the adjustment applied by the authors in the primary analysis as it was not considered robust. See the statement below. "Given the expedited national vaccination effort, a sizable proportion of COVID-19 vaccinations were administered by employers, mass vaccination sites, pharmacies, and other settings where often no health insurance claims were submitted. The CDC reported that 57% of US residents 12 years and older were vaccinated as of July 22, 2021, while only 34% were recorded among the same people in our claims data, which confirms substantial under-recording (Suppl. S4). As a result, it is highly likely that a substantial proportion of the unvaccinated group in claims data was in fact vaccinated and thus observed VE estimates will appear lower than indeed true. To compensate, we conservatively assumed 40% under-recording of vaccinations and applied a correction factor to all VE estimates using standard methods for correcting exposure misclassification."

\*\*High Delta States were Arkansas, Florida, Louisiana, and Missouri.

<sup>\*\*\*</sup> For June and July 2021 results within four states (Arkansas, Florida, Louisiana, and Missouri) with high prevalence of the Delta variant of concern, incident rate ratios (IRR) after PS matching are reported instead of hazard ratios and VE is estimated using (1-IRR)x100 for patients contributing follow-up time from June 1, 2021 through July 31, 2021

Study characteristics	Exposure and Controls	Рор	ulation and Patient	Primary outcome results	Secondary outcome results	
Author (Year): Pouwels (2021) (53)  Title: Effect of Delta variant on viral burden and vaccine effectiveness against new SARS-CoV-2 infections in the UK  DOI: 10.1038/s41591-021- 01548-7  NCT: N/A  Study Design: Prospective cohort study (with cross- over).  Country: UK  Setting: National longitudinal survey from UK National statistics agency.  Time Period: 1 December 2020 to 01 August 2021	Exposure*	Random sele     People aged     Swabs mont history.     participant v based on cu     Patients with and > 21 da group. But t  Individuals  Households  Visits  Visits per person - Median (IQR)	vas classified into one of rrent vaccination status, s n no prior (study or nation ys before vaccination for hese patients are included	n this analysis.~ icipants regardless of patient  13 different exposure groups study antibody and PCR tests nal testing program swab) positive med the not vaccinated reference d in the vaccinated cohort.  minant Phase  Delta  358,983  213,825  811,624  2 (2-3)	Severe Disease: NR  Adjustments: NA  Mortality: NR Variants of Concern: NA  Subgroups: NA  Effectiveness over time: NR	See below

Variants of Concern: Delta 61% of sequenced	≥ 14 days after second dose, BNT162b2	70,058		199,411	
positives from the symptomatic testing program in the week commencing 17	≥ 14 days after second dose ChAdOx1	30,178		303,511	
May and 99% from 27 June onwards		≥18 years old	≥18 years old	18-34 years	35-64 years
Alpha Dominant: 1 December 2020 – 17 May	Male:	46.40%	45.80%	45.40%	44.200 %
2021 Delta dominant:17 May 2021	Age - median (IQR ) years	56 (41-68)	57 (42-69)	28 (23-32)	52 (44,58)
to 01 August 2021  Publication status: Peer-	Ever reported to have a long-term health condition	28.0%	28.5%	17.5%	24.2%
reviewed	Ever reported to be a care home worker	1.2%	1.1%	1.5%	1.6%
	Ever reported to be a person-facing healthcare worker	2.6%	2.6%	3.6%	3.6%

### **Secondary Outcome Results**

#### **Adjustments**

Geographic area, age in years, sex, ethnicity (white versus non-white as small numbers), index of multiple deprivation (percentile, calculated separately for each country in the UK), working in a care-home, having a patient-facing role in health or social care, presence of long-term health conditions, household size, multigenerational household, rural-urban classification45-47, direct or indirect contact with a hospital or care-home, smoking status, and visit frequency

### **Any Infection**

( ≥14 days following second vaccination)

BNT162b2 (Pfizer)			ChAdOx1 (Astra Zeneca)			Heterogeneity p for BNT162b2 v	
VE (95% CI)			VE (95% CI)			ChAdOx1	
<u>Alpha</u>	<u>Delta</u>	Heterog eneity p Alpha vs delta period	<u>Alpha</u>	<u>Delta</u>	Heterogeneity p Alpha vs delta period	<u>Alpha</u>	

18 + years	All PCR positive	78% (68- 84%)	80% (77-83%)	0.50	79% (56-90%)	67% (62-71%)	0.23	0.85	<0.0001
,	Ct <30	94% (91- 96%)	84% (82-86%)	<0.0001	86% (71-93%)	70% (65-73%)	0.04	0.03	<0.0001
	PCR positive with Self-reported symptoms	97% (96- 98%)	84% (82-86%)	<0.0001	97% (93-98%)	71% (66-74%)	<0.0001	0.52	<0.0001
18 to	All infections	NR	82% (79% -85%)		NR	67% (62% - 71%)	NA	NA	< 0.0001
<i>64</i>	Ct <30	NR	86% (84% -88%	) NA	NR	69% (65% - 73%)	NA	NA	< 0.0001
years	Self-reported symptoms	NR	86% (83% -88%	) NA	NR	70% (66% - 74%)	NA	NA	<0.0001
(65+	Ct >30	NR	71% (65% -75%	) NA	NR	59% (53% - 64%)	NA	NA	<0.0001
years is not report ed)	No self-reported symptoms	NR	74% (69% -78%		NR	57% (51% - 63%)	NA	NA	<0.0001
eu)	<u> </u>		I		Subgroups	<u>.l</u>			I
18 to 64			RNZ	162b2 (Pfizer	·)	T	ChAdOx1 (	Astra Zeneca	,)
years (Delta				'E (95% CI)	,	VE (95% CI)			
dominan phase)	nt	Ag	e			1			
		18-	-34 35-6	4	Heterogeneity p	18-34	<u>35-64</u>		Heterogeneity p value
	VE Any infection	ns 90°	% (85-93%) 77%	(65-85%)	0.001	73% (65-80%)	54% (40-65%)	)	0.002
	VE infections w	rith Ct < 95°	% (91-97%) 88%	(79-93%)	0.002	74% (64-81%)	57% (41-69%		0.02
	VE against infe with self-report symptoms		% (93-98%) 88%	3-98%) 88% (78-94%)		76% (67-83%)	57% (39-70%)	)	0.007
		Ву	By self-reported long-term health conditions						
			Long term Long	term health	Heterogeneity p	No Long term health condition	Long term hea	<u>llth</u>	Heterogeneity p value
	VE Any infectio			(69-89%)	0.23	69% (62-74%)	58% (39-71%)		0.10

18 to 64 years	VE infections with Ct < 30	92% (87-95%)	92% (85-96%)	0.96	70% (62-76%)	65% (46-77%)	0.48						
(Delta dominant phase)	VE against infection with self-reported symptoms	94% (89-96%)	92% (84-96%)	0.38	73% (65-79%)	64% (44-77%)	0.23						
		By evidence of p	By evidence of prior infection										
		No evidence	Evidence	Heterogeneity p	No evidence	Evidence	Heterogeneity p						
18 to 64 years	VE Any infections	85% (79-90%)	93% (87-96%)	0.006	68% (61-73%)	88% (83-92%)	<0.0001						
, (Delta dominant	VE infections with Ct < 30	92% (87-95%)	98% (94-99%)	0.004	69% (61-75%)	92% (87-95%)	<0.0001						
phase)	VE against infection with self-reported symptoms	93% (89-97%)	99% (96-100%)	0.002	72% (64-78%)	94% (89-97%)	<0.0001						

				Effectiveness over Time	
			<u> </u>		
		Days since second dose	BNT162b2 (Pfizer)	ChAdOx1 (Astra Zeneca)	Heterogeneity p (Test for difference in relative rate of change between the two vaccines)
VE 18 – 64 Any year infection	Any infection	14	85% (79–90%)	68% (61–73%)	0.14
		30	83% (78–88%)	66% (61–71%)	
		60	80% (76–83%)	64% (58–69%)	
		90	75% (70–80%)	61% (53–68%)	
		Relative reduction in effectiveness per month from second dose	22% decline <sup>a</sup> (6% decline to 41% decline)	7% decline (18% decline to 2% increase)	
		Test for evidence of change over	0.007	0.15	

	time from second dose						
Ct<30	14	92% (87–95%)	69% (61–75%)	0.003 " Extrapolating declines beyond the observed follow-up,			
	30	90% (86–93%)	67% (61–73%)	both vaccines would be equally effective against PCR-			
	60	85% (81–89%)	65% (58–70%)	positives with Ct<30 139 days (4.6 months) after the			
	90	78% (72–82%)	61% (52–69%)	second dose and 116 days (3.8 months) against PCR-positives with symptoms"			
	Relative reduction in effectiveness per month from second dose	52% decline <sup>a</sup> (26% decline to 84% decline)	9% decline (22% decline to 3% increase)				
	Test for evidence of change over time from second dose	< 0.0001	0.14				
symptoma tic infection	14	93% (89–96%)	72% (64–78%)	0.003			
	30	92% (87–95%)	70% (64–76%)				
	60	86% (82–90%)	67% (60–72%)				
	90	78% (72–82%)	63% (53–71%)				
	Relative reduction in effectiveness per month from second dose	63% decline <sup>a</sup> (30% decline to 103% decline)	11% decline (26% decline to 2% increase)				
	Test for evidence of change over time from second dose	< 0.0001	0.10				
<sup>a</sup> When initial	effectiveness is very h	igh, modest relative declines per n	nonth have less effect on absolute effectiveness	s.			
		Low Ct versus High Ct Popul	ation				

Independently of this effect of calendar time (reflecting B.1.1.7 versus B.1.617.2 dominance), new PCR-positive cases were less likely to be in the low Ct subpopulation 14 d after two BNT162b2 vaccinations than two ChAdOx1 vaccinations (adjusted odds ratio (aOR) = 0.33 (95% CI, 0.16–0.67), P = 0.002), but this likelihood increased significantly over time from second vaccination (aOR per month = 1.43 (95% CI, 1.07–1.91), P = 0.01; unadjusted in Fig. 3d; Supplementary Table 7 and Extended Data Fig. 8).

In contrast, there was no evidence of changing likelihood over time for ChAdOx1 (aOR per month = 0.97 (95% CI, 0.79-1.19), P = 0.78; heterogeneity P = 0.02). Overall, therefore, by around 3 months after second vaccination, the probability of being in the low-Ct subpopulation was similar for both BNT162b2 and ChAdOx1.

Vaccine type and time from second vaccination had similar effects on the mean Ct within the low-Ct subpopulation, with higher Ct values in new PCR-positive cases 14 d after second BNT162b2 vaccination (P = 0.003), which then dropped significantly faster with time from second vaccination than for ChAdOx1 (interaction P = 0.01), leading to similar Ct values with both vaccines by around 3 months (Extended Data Fig. 8b).

Individuals who were previously PCR/antibody positive were less likely to belong to the low-Ct subpopulation compared to individuals without evidence of previous infection (P < 0.0001), while **individuals who reported having long-term health conditions** were also associated with a lower probability of belonging to the low-Ct subpopulation (P = 0.006), potentially reflecting protection in the former and longer duration of PCR positivity in the latter, leading to late infections being more likely to be identified through the fixed testing schedule.

#### **Effectiveness over time by subgroup**

#### 18 – 64 years Delta dominant period

Graphs (Fig 2, ext data fig 4 and ext data fig 5) showing the VE for three outcomes (any infection, Ct<30, and reported symptoms) by time (up to 75 days since 14 days after 2<sup>nd</sup> dose) are presented for the following subgroups

- age (16-34, 35-64)
- self-reported long term health condition
- prior infection status
- dosing interval.

Numerical results are not provided for the sub-groups. Confidence intervals are wide and formal statistical tests are not reported. **NB.** For these reasons, descriptions of the graphs for the subgroups by the evidence synthesis team below should be interpreted as a description with caution as the direction of **point estimates only**. They should not be interpreted as evidence of an effect.

Interpretations are provided below for the "All PCR positive" outcome only

Long term health condition (lthc): It is difficult to ascertain from the graph whether there is an interaction between the rate of treatment waning and evidence of a long term health condition. The estimated VE for Pfizer is 86% (80-90%) for those without lthc at day 14, while estimated VE was 81% (69-89%) for those with lthc at day 14 (interception points). No numerical estimates are given for any later days.

The estimated VE for AstraZeneca is 69% (62-74%) for those without long term health conditions at day 14, while estimated VE was 58% (39-71%) for those with lthc at day 14 (interception points). No numerical estimates are given for any later days.

In ext data fig 4 (measuring VE against cases with with Ct<30), there does not appear to be an interaction between the rate of treatment waning and evidence of a long term health condition for either Pfizer or Astra-Zeneca

In ext data fig 5 (measuring VE against cases with reported symptoms), it appears that there may be faster treatment waning for those with lthc compared with those without lthc in the Pfizer treatment group. There does not appear to be an interaction between the rate of treatment waning and evidence of a lthc in the Astra-Zeneca treatment group.

**Key**: AZ – AstraZeneca; CI – Confidence Interval; IQR – Interquartile Range; NCT – National Clinical Trial; N/A – Not applicable; NR – Not Reported; OR – Odds Ratio; RT–PCR – Reverse Transcription Polymerase Chain Reaction, VE – Vaccine Efficacy.

Study characteristics			ulation and Patient demographics Primar			Prima	ary outcome results	Secondary outcome results
<b>Author (Year):</b> Saciu (2021) <sup>(54)</sup>	ık	Exposure: BNT162b2 vaccine	over. T	<b>ption:</b> HMO r hose who pre S-CoV-2 were	viously teste		Severe Disease: ≥7 days after final dose	Confirmed RT-PCR or Antigen SARS-CoV-2 infection
<b>Title:</b> Pfizer-BioNTech effectiveness against S	Sars-Cov-	(Pfizer/BioNte ch)	<b>N:</b> 1,65	•	20/		Hospitalisation N=1,047	≥7 days after second/final dose  Any
2 infection: findings fro large observational stu Israel		Comparator :	only vaccinated: 34.9% became vaccinated (during study period) 46.8%			period)	VE 93.4% (95% CI 91.9 to 94.7) (adj)	VE: 93% (95% CI 92.6 to 93.4)
DOI: http://dx.doi.org/10.21	130/ccrp	No vaccination	only unvaccinated 18·3%				ICU admissions: NR  Adjustments:	Adjustments: As for primary outcomes.
3868853	1 <i>55</i> /55111.	Time since final	Age:	only	became	only	Adjusted for gender, age, hypertension, diabetes and	Variants of Concern:
NCT: N/A		vaccination dose:	16- 44	vaccinated 18%	vaccinated 71%		abbesity and conditioned on geographical statistical area (proxy for population group and	INK

<sup>\*</sup>Some participants received mRNA mRNA-1273 (Moderna). These participants are included in the population and patient demographics but the authors report that there was insufficient data to present effectiveness results.

<sup>#</sup> Note: analysis is based on visits rather than participants and restricted to those either being unvaccinated or vaccinated with ChAdOx1, BNT162b2 or mRNA-1273: factors above and vaccination exposure

<sup>~</sup>The methods section states that enrollees aged 16 + are included but all the results refer to patients aged ≥18 years.

<b>Study Design</b> : retrospective cohort study (crossover*)	Follow up period: 98	45- 59	33%		23%	20%	geographical							
, ,	days	60-	36%		5%	10%	differential ri	sk over time	e)	Subgroups:				
Country: Israel	(maximum).	74					Mortality			VE when a				5
<b>Setting</b> : National insurance	Median: 10.14 weeks	75+ 13%		1%		5%	N=154		interaction term included:#					
organisation (health	10.11 WCCKS	<b>Male</b> = 48%					COVID-19 related			16-44 : 94.7%				
maintenance organisation)	Median follow	Co-morbidities/Special populations:					Vaccine effectiveness 91.1% (95%CI 86.5 to 94.1)			45-59: 93.8%				
Time Devied 110 January 2021	up for	Co-		Vaccinate Unvaccinate		(adj)		60-74: 91.5%						
<b>Time Period</b> : 18 January 2021 – 25 April 2021	unvaccinated: 5.7 weeks	morbidity				d	Variants of Concern: NR		75+: 84.1%					
25 / (р. 11 2021	or, weeks	Hypertensio 34.9		34.99	12.54%									
Variants of Concern:		Diabetes		16.06%		5·47%	Subgroups:							
Alpha (B.1.1.7)		Obese	Obese		%	15.08%	For both hospitalization and			<u>comorbidities</u>				
Publication status: Preprint						mortality, the variation in vaccine effectiveness by age group was			Lower VE for infection was estimated					
			not significant, but the							for individuals with hypertension,				
							attributed to the small number of			diabetes and obesity compared to the				
							cases.			total population.				
							VE point estimates for							
						pitalization and mortality		VE against any COVID-19 Infection						
							among those with hypertension, diabetes or obesity were not appreciably different from total							
										Underlying condition	y VE (C unad)			VE (CI) adjuste
							population VE				d d			
										/ // un outrous	04 (0)	, ,	89.7	
					VE against Hospitalisations			Hypertens   94 (93   ion   947.)		.2,	(88.6,9	91		
											.7)			
							Underlying condition	VE (CI) unadjuste	VE (CI) adjuste	Diabetes	94.5	22	88.9 (87.3-	
							Condition	d	d	Diabetes	(93.9,	90.		
											95)			
							Hypertensi   95.3   Ni   on   (93.5,	NR	Obesity	96.5	96.5 89.7 (88.6- (96.2, 90.7)			
							96.7)			Obesity			(96.2,	
											96.9)			

	Diabetes	95.1 (93.7, 96.2)	NR	Efficacy/effectiveness over time:
	Obesity	97.6 (96.2, 98.4)	NR	
	VE against M	ortality		
	Underlying condition	VE (CI) unadjuste d	VE (CI) adjuste d	
	Hypertensi on	91.7 (85.9, 95.1)	NR	
	Diabetes	91.7 (87.1, 94.6)	NR	
	Obesity	83.3 (14.1, 96.8)	NR	
	Efficacy/eff time: N	ectiveness	over	

<sup>\*</sup> The groups were dynamic, such that people who were initially unvaccinated exited the 'unvaccinated group' on receipt of their first dose and entered the 'vaccinated group' eight days after receiving their second dose, provided that they had not been infected or died in the intervening period.

16-44: 0.053 45-59: 0.053\*1.163 60-74: 0.053\*1.6 75+: 0.053 \*2.996

<sup>^</sup>Prior infection was defined for each group as follows: a positive PCR or IgG serology result prior to day eight after second dose of vaccination for the 'vaccinated group' and prior to 18.1.2021 for the 'unvaccinated group'.

<sup>#</sup> Exponent of co-efficient

**Key:** CI – Confidence Interval; ICU – Intensive Care Unit; HMO – Health Maintenance Organisation; N/A – Not Applicable; NCT – National Clinical Trial; NR – Not Reported; RT-PCR – Reverse Transcription Polymerase Chain Reaction; VE – Vaccine Efficacy.

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Tenforde 2021 <sup>(55)</sup> Title: Sustained	Exposure: mRNA vaccine  Pfizer-BioNTech: 59%	Description: Adults aged ≥18 years admitted to 21 hospitals in 18 US states.	Severe Disease: ≥14 days after second/final dose  Hospitalisation	Confirmed RT-PCR or Antigen SARS-CoV-2 infection: NR
Effectiveness of Pfizer- BioNTech and Moderna Vaccines Against COVID-19 Associated Hospitalizations Among Adults — United	Moderna: 41%  Comparator/Control: No Vaccination	Previous SARS-COV-2 or seronegative status: NR  Case: COVID-19–like illness† and had received a positive SARS-CoV-2 RT-PCR or antigen test result.	VE 86% (95% CI 82% to 88%)  Adjustments: Admission date, region, age, sex, race/ethnicity.	
States, March–July 2021  DOI: http://dx.doi.org/10.155 85/mmwr.mm7034e2	Time since final vaccination dose: Median 9.29 weeks (IQR	Control  Negative SARS-COV-2 by all tests including one RT-PCR and Group 1: COVID-19-like illness†	Mortality : NR  Variants of Concern  Alpha dominant period	
NCT: N/A Study Design: Case-control	5.84 to 13.25 weeks)	Group 2: No COVID-19 like illness† (Analysis conducted versus a combination of both groups)	March – May  VE 87% (95% CI 83% to 90%)  Delta dominant period \$	
Country: USA Setting: 21 hospitals across		N: Cases: 1,194 (11.8% fully vaccinated#) Controls: 1,895 (52.1% fully vaccinated )	June to July VE 84% (95% CI 79%–89%).	
18 US states.  Time Period: 11 March to		Age: Median 59 (IQR 46–69)	Subgroups:  VE was numerically lower for those	
14 July 2021		Male = 51.3%	with an immunocompromising condition (63%; 95% CI 44% to	

Variants of Concern: Of sequenced cases: 53.3% Alpha, 16.3% Delta. Delta became dominant in MidJune.  Publication status: Peerreviewed.	Co-morbidities and Special Populations ≥1 chronic condition: 82.1% Pulmonary Disease: 26% Immunocompromising condition: 21.1%* LTC Resident: 4.7%	76%) compared to those without (90%; 95% CI 87%–92%). No formal interaction tests are reported.  Effectiveness over time.  Hospitalization  Weeks 2-12: VE 86% (95% CI 82% to 90%)  Weeks 13-24: VE 84% (95% CI = 77% to 90%)  No statistically significant change in vaccine effectiveness observed between the two time periods (p for interaction = 0.854).  Sensitivity analysis using linear and natural cubic spline models showed similar results.  Subgroups  No statistically significant change in VE over a 24-week period was observed within subgroups (immunocompromised, aged ≥65 years and multiple morbidities). Numerical results for subgroups were not presented. Estimates below derived from digitising graph.
		Group 2-12 13-24 weeks VE (95% CI) VE (95% CI)

	Aged >65 years	86.73 (81.69 to 91.08)	80.09 (70.02 to 88.1)
	Immuno comprom ised *	64.3 (48.51 to 79.63)	53.55 (12.81 to 77.8
	With multiple morbiditi es &	72.31 (62.24 to 82.15)	70.02 (52.4 to 81.92)

<sup>†</sup> COVID-19–like illness was defined as having one or more of the following: fever, cough, shortness of breath, loss of taste, loss of smell, use of respiratory support for the acute illness, or new pulmonary findings on chest imaging consistent with pneumonia.

- # A patient was considered to be fully vaccinated if both doses of an authorized mRNA COVID-19 vaccine were administered, with the second dose received ≥14 days before illness onset.
- \$ Because of limited sequenced virus, Delta-specific VE was not assessed. VE was similar during June–July when circulation of Delta increased in the United States compared with VE during March–May when Alpha variants predominated, although further surveillance is needed.
- & Multiple morbidities were defined as having chronic conditions within three or more of the following condition categories: cardiovascular disease, neurologic disease, pulmonary disease, gastrointestinal disease, endocrine disease, renal disease, hematologic disease, malignancy, immunosuppression not captured in other categories, autoimmune condition, or other condition (sarcoidosis, amyloidosis, or unintentional weight loss ≥10 pounds in the last 90 days).
- £ Values extracted using WebPlotDigitiser® software

**Key**: CI – Confidence Interval; IQR – Interquartile Range; LTC – Long Term Care; N/A – Not Applicable; NCT – National Clinical Trial; NR – Not Reported; RT-PCR – Reverse Transcription Polymerase Chain Reaction, VE – Vaccine Efficacy

<sup>\*</sup>Immunocompromising conditions included having one or more of the following: active solid organ cancer (active cancer defined as treatment for the cancer or newly diagnosed cancer in the past 6 months), active hematologic cancer (such as leukaemia, lymphoma, or myeloma), HIV infection without AIDS, AIDS, congenital immunodeficiency syndrome, previous splenectomy, previous solid organ transplant, immunosuppressive medication, systemic lupus erythematosus, rheumatoid arthritis, psoriasis, scleroderma, or inflammatory bowel disease, including Crohn's disease or ulcerative colitis.

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Thompson (2021) (41)  Title: Effectiveness of Covid-19 Vaccines in Ambulatory and	Intervention/Exposure:  BNT162b2 (Pfizer/BioNTech) mRNA-1273 (Moderna) Ad26.Cov2.S (Janssen)	<b>Description:</b> conducted a study involving adults (≥50 years of age) with Covid-19–like illness who underwent molecular testing for severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)	Severe Disease: ≥14 days after second/final dose  Hospitalisation % (95% CI):  BNT162b2 vaccine 87 (85–90)	Confirmed RT-PCR SARS-CoV-2 infection N/R
Inpatient Care Settings  DOI: 10.1056/NEJMoa2110362	Comparator/Control: Unvaccinated Time in days since final vaccination dose to index	N: Hospitalisations: <u>BNT162b2 (Pfizer/BioNtech)</u> 8,500	<u>mRNA1273 vaccine</u> 91 (89–93) <u>Ad26.COV2.S vaccine</u> 68 (50–79)	Adjustments: N/A Variants of Concern: NR
NCT: N/A  Study Design: Test negative (case-control)	date*: Hospitalisation – Median – 7.55 weeks IQR (4.7 to 10.68 weeks) ICU admission – Median – 7.4 weeks (IQR 4.84 to	mRNA-1273 (Moderna) 6,374 Ad26.COV2.S (Janssen)	ICU admissions:  BNT162b2 or mRNA1273 vaccine 90 (86–93)	Subgroups: N/R Efficacy/effectivenes s over time: N/R
Country: USA  Setting: Hospital, emergency departments	10.39 weeks) ED/UC – Median 7.12 weeks (IQR 4.42 to 10.4 weeks)	Unvaccinated 20,406  ED or urgent care visit:	Emergency department or urgent care visit: <u>BNT162b2 vaccine</u> 89 (85–91)  mRNA1273 vaccine 92 (89–94)	
and urgent care clinics  Time Period: 01  January 2021 to 22 June		BNT162b2( Pfizer/BioNtech) 3,589  mRNA-1273 (Moderna)	<u>Ad26.COV2.S vaccine</u> 73 (59–82)	
Variants of Concern: NR		2,476  Ad26.COV2.S(Janssen) 456  Unvaccinated	<b>Adjustments:</b> Vaccine effectiveness was adjusted with weights based on propensity-for vaccination scores and according to age, geographic region, calendar time (days from 1 January 2021, to the index date for each medical visit), and local virus circulation.	
<b>Publication status</b> : Peer-reviewed		11,812	Mortality	

Aa	e

<u>among hospitalized patients</u> median age was 74 years (interquartile range, 66 to 82)

among those who visited an emergency department or urgent care clinic.

70 years (interquartile range, 61 to 78)

Age of participants in study

	Un- vaccinate d	Full, 2 Doses of mRNA Vaccin e	Full, Ad26.COV2. S Vaccine
50– 6 <del>4</del> yr	5,532	1898	282
65– 74 yr	6,681	4,481	187
75– 84 yr	5,233	5,189	153
≥8 5 yr	2,960	3,306	85

**Male** = 47%

**Co-morbidities:** NR

All Cause/COVID-19: NR

#### **Variants of Concern**

NR

#### Subgroups:

Effectiveness against hospitalization \$ :

≥50 yr of age	89% (95% CI: 87 to 91)	
≥85 yr of age	83% (95% CI: 77 to 87)	
≥50 yr of age with no chronic condition	92% (95% CI 86 to 96)	
≥50 yr of age with ≥1 chronic respiratory condition	90% (95% CI: 88 to 92)	
≥50 yr of age with ≥1 chronic nonrespiratory condition	88% (95	5% CI: 86 to 90)

Effectiveness against ICU admission  ≥50 yr of age 90% (95% CI: 86–
93)
Effectiveness against SARS-CoV-2 Infection Leading to an Emergency Department or Urgent Care Clinic Visit
≥50 yr of age 91% (95% CI:89 to 93)
≥85 yr of age 84% (95% CI:73 to 91) ≥50 yr of age with ≥1 chronic respiratory condition 90% (95% CI:86 to 93)
≥50 yr of age with ≥1 chronic nonrespiratory condition 90% (95% CI: 87 to 92)
Efficacy/effectiveness over time:  Effectiveness against hospitalization ≥50 yr of age
42–55 Days after dose 2 90% (95% CI: 87 to 93) 56–69 Days after dose 2 86% (95% CI: 82 to 90) after dose 2
70–83 Days 93% (95% CI: 89 to 95) after dose 2  84–97 Days 86% (95% CI: 79 to 91) after dose 2

Pfizer-BioNTech	87% (80 to 91)  14-27 days post dose 2	95% (91 to 97)  28 to 41 days post dose 2  89% (83	86% (79 to 91)_ 42-55 days post dose-2	83 (75 to 89)  ≥ 56 days post dose 2  (91% (85%)	90% (82 to 94)  56 to 69 days post dose 2	87% (76 to 93)  70 to 83 days post dose 2	75% (57 to 85)  84 to 97 days post dose 2	83% (64 to 92)  (≥112 days post dose-2)  95% (79 to 99)
fizer-BioNTech				83 (75 to 89)			75% (57 to 85)	83% (64 to 92)
	14-27 days post dose 2	28 to 41 days post dose 2	42-55 days post dose-2	56 to 69 days post dose 2	70 to 83 days post dose 2	84 to 97 days post dose 2	98 to 111 days post dose 2	(≥112 days post dose-2
nessenger RNA (mE	NA) vaccine effectiv	veness (VF)	among COVI	ID-19-associat	ed hospitaliza	Effectiveness a urgent care vision 42–55 Days after dose 2 56–69 Days after dose 2 70–83 Days after dose 2 84–97 Days after dose 2 98–111 Days after dose 2 ≥ 112 Days after dose 2	gainst emergency departitie ≥50 yr of age  95% (95% CI: 91 97) 88% (95% CI: 81 92) 86% (95% CI: 78 91) 92% (95% CI: 87 96) s 86% (95% CI: 77 92) 86% (95% CI: 74 93)	to to to to to to to
						98–111 Day after dose 2 ≥ 112 Days after dose 2	86% (95% CI: 74 t	

	14-27 days post dose	28 to 41 days post dose	42-55 days post dose	≥56 days post dose				
Janssen	72% (38 to 88)	69% (34 to 86)	68%(18 – 87)	79% (48 to 91)			-	
messenger RNA (mRN	A) vaccine effectiv	eness (VE)	among COV	ID-19-associat	ed emergency	department and	d urgent care (ED/UC)	medical events
Pfizer-BioNTech	14-27 days post dose 2	28 to 41 days post dose 2	42-55 days post dose-2	56 to 69 days post dose 2	70 to 83 days post dose 2	84 to 97 days post dose 2	98 to 111 days post dose 2	(≥112 days post dose-2
	93% (87 to 96)	94% ( 90 to 97)	93% (81 to 87)	82% (68 to 90)	80% (66 to 88)	91% (82 to 96)	78% (61 to 87)	83% (64 to 92)
	14-27 days post dose 2	28 to 41 days post dose 2	42-55 days post dose-2	≥ 56 days post dose 2	56 to 69 days post dose 2	70 to 83 days post dose 2	84 to 97 days post dose 2	(≥112 days post dose-2)
Moderna	90% (81 to 95)	96% (92 to 98)	93% (85- 96)	90% (79-95)	91%(79 – 96)	91% (79 – 97)	not recorded due to no breakthrough cases	90% (52 to 98)
	14-27 days post dose	28 to 41 days post dose	42-55 days post dose	≥ 56 days post dose				
Janssen	67% (30 to 84)	80% (52 to 92)	58% (5 to 81)	87% (71 to 94)				

<sup>\*</sup> Index date defined as The index date for each medical visit was defined as either the date of collection of a respiratory specimen associated with the most recent positive or negative SARS-CoV-2 test result before the medical visit or the date of the medical visit (if testing occurred only after the admission or visit date).

# Appendix D Updated evidence tables for one-dose Ad26.COV2.S (Janssen) vaccination (search conducted on 8 November 2021)

# **Data Extraction**

#### **Randomised Control Trials**

# Janssen (ENSEMBLE/COV3001 trial)

Study characteristics	Intervention and Comparators	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Sadoff (2021)	Intervention: Ad26.COV2.S (Janssen)	<b>Description:</b> Multicenter study across US, South Africa, and 6 countries in Latin America	Severe Disease ≥ 14 days post	RT-PCR or Antigen Confirmed SARS-CoV-2 infection
<b>Title:</b> Safety and Efficacy of Single-Dose Ad26.COV2.S Vaccine	Comparator: Placebo (saline)	Age cohorts: 18-59 years, ≥60 years with and without comorbidities.	vaccination (per protocol, seronegative at	(≥ 28 days follow-up Per protocol and seronegative)
against Covid-19 <b>DOI:</b>	Time since final vaccination dose: Median 8.29 weeks	Stage A enrolled patients 18+ in good health. Stage B was initiated later and included	baseline)*  Moderate/Severe Disease  VE = 66.9% (95% CI 59.0	Asymptomatic: VE 65.5%; (95% CI 39.9 to 81.1) #
10.1056/NEJMoa2101544		patients with comorbidities.	to 73.0)	Symptomatic of any severity
FDA Emergency Use Authorisation Report (Janssen Biotech)		Participants with evidence of previous infection (or seropositive status) were excluded from the primary analysis (per	Severe/Critical Disease VE = 76.7% (95% CI 54.6 to 89.1)	VE 66.5% (95% CI 55.5 to 75.1)
Vaccines and Related Biological Products Advisory		protocol) but were not excluded from the trial.	COVID-19 requiring medical intervention	Mild+: Not computable (Zero cases in the Ad26.COV2.S group and 2 cases in the
Committee October 14-15, 2021 Meeting Presentation		N: Per protocol set (FDA report)	VE = 75.0% (95% CI -25.3 to 97.4)	placebo group.  Moderate^:
Meeting		Ad26.COV2.S: 19,630 Placebo: 19,691	≥ 28 days post vaccination	VE 62.0% (95% CI 48.7 to 72.2)

**NCT**: NCT04505722

Study Design: RCT

**Country**: Argentina, Brazil, Chile, Colombia, Mexico, Peru, South Africa, and the USA

**Setting**: General Population

#### Time Period:

21 September 2020 to 22 January 2021 for published Sadoff et al. study (some endpoints reported up to a data cut of February 5<sup>th</sup> from FDA report)

**Variants of Concern: NR** 

#### **Publication status:**

Peer-reviewed

Age: Median 53 years (Range 18 to 100)

≥60 years: 34.6% ≥75 years: 3.7%

**Male** = 54.5%

**Comorbidities**: ≥1 Coexisting condition

39.9%

**Special populations:** 

Asthma: 1.3% (FAS) 1.5% (PP) Cancer 0.5% 1.4% (PP)

CF < 0.1% CKD 0.5%

COPD: 1% 0.9% (PP)

ICP < 0.3%

Pulmonary fibrosis < 0.1%

(per protocol, seronegative at baseline)\*

Hospitalisations VE 100% (95% CI 74.3 to

100)

Severe Critical ~: VE 85.4 (95% CI 54.2 to 96.9)

Moderate to Severe Critical

VE 66.1 (95% CI 55.0 to

74.8)

### **Mortality:**

3 deaths occurred in the vaccine group (none were Covid-19–related), and 16 in the placebo group (5 were Covid-19–related). All of which were considered by the investigators to be unrelated to the trial intervention.

All-Cause mortality (FAS) – FDA 22 Jan Cut Off

≥ 14 days post vaccination VE 80.0% (95% CI 29.4 to

96.3)

**Adjustments:** N/A

**Subgroups:** 

Symptomatic Covid-19 (weighted by burden of disease) (EPAR)

Ag

<u>18 – 59 years</u>: VE: 69.3% (95% CI 57.4 to 77.7)

≥60 years : VE 67.9% (95%

CI 38.2 to 82.8)

Variants: NR

**Efficacy over Time:** NR

	≥ 28 da <sub>j</sub>	ys post vaccination
	VE 75% 97.4	(95% -25.2 to )
	Feb, (FD were 7 C	ter data cut of 5 A report) there COVID-19 related - all in the placebo
	Adjustn	nents: N/A
	Subgro	ups:
	Critical	ote to Severe- COVID 19 ≥ 14 ost second otion.
	Age 18-5	59 years
	VE = 63 to 71.6)	.7% (95% CI 53.9
	A > 60	
	Age ≥60	
	VE = 76 to 86	.3% (95% CI 61.6 6.0)
	Critical	ate to Severe- CCOVID 19 ≥ 28 Ost second Option.
	VE was of participal	point estimate of observed among onts 60 years of age with coexisting

	conditions for moderate to severe-critical COVID-19 (64.9%; 95% CI 42.2-79.4%). But subgroup analysis by age or comorbidity on moderate to severe-critical COVID-19 showed no evidence to support a differential treatment effect (interaction p=0.25). However, the analysis was not powered for this.	
	Asthma: 0 cases in 34.1 years follow up in the Ad26.COV2.S and 4 cases in the placebo arm in 38.9 person-years follow-up. (VE not estimable)	
	Cancer: 0 cases in either arm after 14.1 and 14.8 person years follow up in the Ad26.COV2.S and placebo arms respectively. (VE not estimable)	
	Chronic Kidney Disease: 0 cases in 29.9 person years follow up in the intervention and control groups. (VE not estimable)  COPD: 1 cases in 30.1 years follow up in the	

		/2.S and 3 cases
		cebo arm in 27.9 ears follow-up. (VE able)
	Serious he	eart conditions:
	VE = 79.4	1%(-83.7 to 99.6)
	HIV:	
	VE = 47.5 to 95.3%	5% (95% CI -266 )
	Hypertens	<u>sion</u>
	VE = 35.7	7%(-45.6 to 72.8)
	blood tran the Ad26. person ye and 0 cas	
	person-ye the interv cases in 9	ase: 1 case in 96 ears follow-up in ention arm, 0 98 person years in ol arm. (VE not )
	cases in 7 in the interest case in the	c conditions: 0 7 years follow-up ervention arm, 1 e 114 person- he control arm stimable)

	Obesity:	
	VE = 65.9% (95% CI 47.8 to 78.3)	
	<u>Diabetes Mellitus, type 2</u>	
	VE: 23.0% (95% CI -90.1 to 69.8)	
	With comorbidities@	
	VE = 58.6% (95% CI 40.6 to 71.6)	
	Without comorbidities@	
	Moderate to Severe-Critical COVID-19	
	VE = 68.8% (95% CI 59.0 to 76.6)	
	Variants of Concern: Despite the high prevalence of the Beta variant in South Africa (94.5% of sequenced cases); VE was 64.0% against moderate to severe—critical disease and 81.7% against severe—critical disease with onset at ≥28 days after administration.	
	<b>Efficacy over Time:</b>	
	The onset of efficacy was evident as of 14 days after administration for moderate to severe—critical disease and as of 7 days after	

			administration for severe-critical disease. Efficacy continued to increase through approximately 8 weeks after administration, especially for severe-critical Covid-19. No evidence of waning efficacy was noted among the approximately 3000 participants who were followed for 11 weeks or among 1,000 participants who were followed for 15 weeks.	
Time Period: 21 September 2020 to 9 July 2021 <sup>£</sup> (some endpoints reported up to a data cut of February 5 <sup>th</sup> from FDA report)  Vaccines and Related Biological Products Advisory Committee October 14-15, 2021 Meeting Presentation Meeting  Booster Dose of Janssen COVID-19 Vaccine (Ad26.COV2.S) Following Primary Vaccination.	Intervention: Ad26.COV2.S (Janssen)  Comparator: Placebo (saline)  Time since final vaccination dose: Median 17.68 weeks  23% of participants had follow up of ≥ 6 months	Description: As above.	Severe Disease: ≥14 days after second/final dose*  Moderate and severe/critical COVID-19^~ VE = 56.3% (95% CI 51.3 to 60.8)  Severe/critical COVID-19~ VE = 73.3% (95% CI 63.9 to 80.5)  COVID-19 requiring medical intervention VE = 76.1% (95% CI 56.9 to 87.7)  Mortality COVID-19 related deaths	RT-PCR or Antigen Confirmed SARS-CoV-2 infection  (≥ 28 days follow-up Per protocol and seronegative)  VE = 53% (95% CIs not provided)

		1	1
Advisory Committee on		VE = 84.5% (95% CI 47.3	
Immunization Practices		to 97.1)	
(ACIP)		,	
October 21, 2021		Subgroup	
October 21, 2021			
		Age group	
		Moderate and	
		severe/critical^,~COVID-19	
Variants of Concern:			
Variants of concern: alpha		18-59 years	
(UK, B.1.1.7), beta (SA,		VE = 56.6% (95% CI 51.0	
B.1.351), gamma (Brazil,		to 61.7)	
P.1), and delta (India,			
B.1.617.2),		≥60 years	
		VE = 55.0% (95% CI 2.9 to	
Variants of interest:		64.7)	
including lambda (Peru,		0,	
C.37), epsilon (California,		Variants of Concern:	
B.1.427/429), zeta (Brazil,		centrally confirmed	
P.2) and		moderate and	
B.1.621 (Colombia)		severe/critical COVID-19	
		Alpha	
Publication status:		VE = 70.1% (95% CI 35.1	
Regulatory/pharmaceutical		to 87.6)	
		(0 07.0)	
company documents (not			
peer reviewed)		Beta	
		VE = 38.1% (95% CI 4.2 to	
		60.4)	
		,	
		Gamma	
		VE = 36.4% (13.9, 53.2)	
		VE = 30.4% (13.9, 53.2)	
		Delta	
		VE = -6.0% (95% CI -	
		178.3 to 59.2)	

	1		
		Effectiveness over time	
		Moderate and	
		severe/critical COVID-19 at	
		least 14 days after	
		vaccination	
		Day VE (95% CI)	
		15-28 72.3% (62.1 to	
		80.1)	
		29-56 61.7% (52.5 to	
		69.2)	
		57-112 50.8% (40.2 to	
		59.7)	
		113 to 45.2% (33.0 to	
		end of 55.3)	
		double	
		blind	
		phase	
		Covered existent COVID 10 of	
		Severe/critical COVID-19 at	
		least 14 days after	
		vaccination vaccination	
		Day VE (95% CI)	
		15-28 65.5% (27.3 to	
		85.0)	
		29-56 85.7% (71.0 to	
		93.7)	
		57-112   67.8% (44.2 to	
		82.2)	
		113 to 71.7% (51.4 to	
		end of 84.3)	
		double	
		blind	
		phase	
1		F - 2 -	

£ Janssen analyses of July 9, 2021 data cut-off not verified by FDA

- \*Includes non-centrally confirmed cases.
- # The analysis of vaccine efficacy against asymptomatic infection included all the participants with a newly positive N-immunoassay result at day 71 (i.e., those who had been seronegative or had no result available at day 29 and who were seropositive at day 71). Only 2650 participants had an N-immunoassay result available at day 71, and therefore only a preliminary analysis could be performed.
- +Mild cases of Covid-19 were defined as a positive result on RT-PCR testing and the presence of at least one of the following symptoms: fever (body temperature, ≥38.0°C), sore throat, malaise, headache, myalgia, gastrointestinal symptoms.
- ^Moderate cases were defined as a positive RT-PCR test and either the presence of at least two of the following symptoms: fever (≥38.0°C), heart rate of at least 90 beats per minute, shaking chills or rigors, sore throat, cough, malaise, headache, myalgia, gastrointestinal symptoms, loss of taste or smell, or red or bruised-looking feet or toes; or the presence at least one of the following symptoms: respiratory rate of at least 20 breaths per minute, abnormal oxygen saturation (but >93% while the patient was breathing ambient air at sea-level), clinical or radiologic evidence of pneumonia, radiologic evidence of deep-vein thrombosis, or shortness of breath or difficulty breathing.
- ~Severe—critical cases were defined as a positive RT-PCR test and the presence of at least one of the following features: clinical signs at rest that were indicative of severe systemic illness (respiratory rate of  $\geq$ 30 breaths per minute, heart rate of  $\geq$ 125 beats per minute, oxygen saturation of  $\leq$ 93% while the patient was breathing ambient air at sea level, or partial pressure of oxygen divided by the fraction of inspired oxygen, <300 mm Hg); respiratory failure (defined as the use of high-flow oxygen, non-invasive ventilation, mechanical ventilation, or extracorporeal membrane oxygenation); shock; clinically meaningful acute renal, hepatic, or neurologic dysfunction; intensive care unit admission; or death.
- @ Comorbidities include: Asthma, Cancer, Chronic Kidney Disease, COPD, Serious heart conditions, HIV infection, Hypertension, Immuno-compromised from blood transplant, liver disease, neurologic conditions, obesity, Type 2 Diabetes Mellitus.

**Key:** CI – Confidence Interval; COPD – Chronic Obstructive Pulmonary Disorder; CF – Cystic Fibrosis, CKD – Chronic Kidney Disease; EPAR – European Public Assessment Report; FAS – Full Analysis Set; FDA – Food and Drug Administration; ICP – Immunocompromised State; N/A – Not applicable; NCT – National Clinical Trial; NR – Not Reported; PP – Per-protocol; RCT – Randomised Controlled Trial; RT-PCR – Reverse Transcription Polymerase Chain Reaction, VE – Vaccine Efficacy.

# **Observational studies**

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Arregocés Castillo (2021)  Title: Effectiveness of COVID-19 vaccines in preventing hospitalizations and deaths in Colombia: A pair- matched, national-wide cohort study in older adults  DOI: doi.org/10.2139/ssrn.3944059	Intervention/Exposure:	Description: The full cohort consists of every person eligible to receive a Covid-19 vaccine in Colombia 60 years old and above. Individuals diagnosed with COVID-19 prior to vaccination, those with heterologous vaccination schedules, those diagnosed with COVID-19 diagnosis, hospitalisation, or death from COVID-19 on the 14 days following the last dose in the schedule, and those with incomplete records were excluded from the Analysis.	Severe Disease: ≥14 days after second/final dose*  Hospitalisation without death Ad26.COV2.S VE = 80.0% (95% CI 19.9 to 95.0)  BNT162b2 VE = 90.3% (95% CI 87.1 to 92.7)  ChAdOx1	Confirmed RT- PCR or Antigen SARS-CoV-2 infection NR
NCT: N/A  Study Design: Population-based match- paired cohort study  Country: Colombia  Setting: National linked databases		N: 3,346,826 Unvaccinated: 1,673,413 Vaccinated: 1,673,413	VE = 75.4% (95% CI 48.2 to 88.3)  Post-hospitalisation death  Ad26.COV2.S NR  BNT162b2 VE = 98.5% (97.8 to 98.9)	
Time Period:		Ad26.COV2.S Age: Median – 65 (IQR 62 to 69) Male = 50.6%	ChAdOx1	

11 March to 11 August		VE = 96.3% (88.4 to	
2021	Co-morbidities/Special	98.8)	
	Populations:	, and the second	
Variants of Concern:	Underlying condition -		
During this period Mu was	At least one comorbidity – 22.6		
the most prevalent variant	Cancer – 0.7^	Adjustments:	
in the country	Diabetes – 6.0	Age group, sex, cancer,	
,	Chronic Kidney Disease – 2.5	diabetes, chronic kidney	
	Arterial hypertension – 20.8	disease, hypertension,	
Publication status:	HIV – AIDS – 0.1	HIV diagnosis, health	
Preprint	1.2.	system affiliation,	
	BNT162b2	vaccine, number of	
Supplementary	<b>Age:</b> Median – 66 (IQR 63 to 69)	COVID-19 tests,	
Appendix: No	<b>Male</b> = 43.3%	municipality of	
Tippelland III	11410	residence.	
	Co-morbidities/Special	i deliaenteen	
	Populations:	Mortality	
	Underlying condition -	Death without	
	At least one comorbidity – 32.3	hospitalisation	
	Cancer – 1.6^	Ad26.COV2.S	
	Diabetes – 9.2	VE 75.0 (95% CI 0.0 to	
	Chronic Kidney Disease – 4.5	93.8)	
	Arterial hypertension – 29.3	35.0)	
	HIV – AIDS – 0.1	BNT162b2	
	7117 7125 011	VE 89.2 (95% CI 85.6 to	
	ChAdOx1	91.90)	
	<b>Age:</b> Median – 70 (IQR 66 to 73)	31.30)	
	Male = 45.7%	ChAdOx1	
	1317 70	VE 88.7 (95% CI 64.8 to	
	Co-morbidities/Special	96.4)	
	Populations:		
	Underlying condition -	Variants of Concern	
	At least one comorbidity – 37.9	NR	
	Cancer – 1.8^		
	Diabetes – 11.1		
	Chronic Kidney Disease – 6.4		
	Ciriotile Marie, Discuse Oil		

VE (95% CI)

S1: Vaccine effectiveness a	gainst hospitalisation without	Arterial hypertension – 34 HIV – AIDS – 0.1  death	See belowards below to Company the second the second to Company the second the second to Company the second the second the second the second to Company the second th	y/effectiveness r time. ard for zation or death	
Age	Ad26.COV2.S	BNT162b2		ChAdOx1	
	VE (95% CI)	VE (95% CI)		VE (95% CI)	
60 – 69	71.3% ( 0 – 92.9)	92.3% (88.4	– 94.9)	46.6% (0 – 86.8)	
70 – 79	NR	83.7% (75.9	- 88.9)	82.2% (56.8 – 92.7)	
80+	NR	72.6% (33.9	- 88.7)	NR	
S2: Vaccine effectiveness a	gainst post-hospitalisation dea	nth			
Age	Ad26.COV2.S	BNT162b2		ChAdOx1	

VE (95% CI)

VE (95% CI)

60 – 69	NR	97.4 (96.1 – 98.3)	NR
70 – 79	NR	96.7 (94.7 – 97.9)	96.4 (88.9 – 98.9)
80+	NR	86.6 (71.7 – 93.6)	NR

#### S3: Vaccine effectiveness against death without hospitalisation

Age	Ad26.COV2.S	BNT162b2	ChAdOx1
	VE (95% CI)	VE (95% CI)	VE (95% CI)
60 – 69	81.0 (0 – 97.3)	90.1 (84.9 – 93.5)	NR
70 – 79	59.2 (0 – 94.3)	87.4 (80 – 92)	86.5 (57.7 – 95.7)
80+	NR	67.0 (36.3 – 82.9)	NR

<sup>^</sup> Results are not presented for CoronaVac (or where outcomes from CoronaVac are combined with other vaccines) as it falls outside the scope of the inclusion/ exclusion criteria given that this vaccine is currently not authorised for use by the European Medicines Agency

<sup>\*</sup> Note that pooled vaccine effectiveness estimates are available, however these estimates are inclusive of vaccines that are not licensed in Ireland (CoronaVac).

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Cohn (2021)  Title: SARS-Cov2-2 vaccine protection and deaths among US veterans during 2021  DOI: 10.1126/science.abm0620  NCT: N/A  Study Design: Retrospective cohort study with crossover  Country: US	Intervention/Exposure:  BNT162b2 (Pfizer—BioNTech)  RNA-1273 (Moderna)  Ad26.COV2.S (Janssen)  Comparator/Control: Unvaccinated  Time since final vaccination dose: Median: NR Estimated max follow-up 34.66 weeks ^	Description: U.S. Veterans age ≥18 years and receiving care in the Veterans Health Administration (VHA), covering 2.7% of the U.S. population.  N: Total analysis: 780,225 (including vaccinated and unvaccinated individuals)  Deaths: 775,536  mRNA-1273 (Moderna) - 230,762 (46.5%) BNT162b2 (Pfizer-BioNTech) - 231,724 (46.5%) Ad26.COV2.S (Janssen) - 35,662 (7.2%)	Severe Disease: ≥14 days after second/final dose  Severe Disease NR  Adjustments: Age, sex, race, ethnicity, and comorbidity (Charlson Comorbidity score, overweight, type II diabetes, chronic obstructive pulmonary disease, bronchitis, acute respiratory failure, and chronic lung disease)  Mortality All Cause* <65 years	Confirmed RT-PCR infection ≥14 days after second/final dose  Any See below  Adjustments: Age, sex, race, ethnicity, and comorbidity (Charlson Comorbidity score, overweight, type II diabetes, chronic obstructive pulmonary disease, bronchitis, acute respiratory failure, and chronic lung disease)  Variants of Concern:
Setting: Veterans Health Administration  Time Period: February to October 2021		Age: <50 - 185,437 (23.77%) 50-64 - 222,986 (28.58%) ≥65 - 371,802 (47.65%)  Male = 668,266 (85.65%)	Any vaccine VE = 81.7% (75.7% to 86.2%) Ad26.COV2.S VE = 73.0% (52.0% to 84.8%)	NR  Subgroups  The risk of infection accelerated in both unvaccinated and fully

Variants of Concern:		mRNA-1273:	vaccinated Veterans
By July 2021, the U.S.	Co-morbidities/Special	VE 81.5% (70.7% to	beginning in July 2021 and
experienced a surge in cases	Populations:	88.4%)	through September 2021,
of COVID-19, dominated by	Charlson Comorbidity Index		consistent with the time
the B.1.617.2 (Delta) variant	Scores	BNT162b2	dependence observed in
are billion in (belia) variant	0 – 314,159 (40.3%)	VE 84.3% (76.3% to	the Cox proportional
Publication status: Peer-	1-2 – 259,637 (33.3%)	89.7%)	hazards models. This
reviewed	3-4 – 119,360 (15.3%)	317,0)	pattern was similar across
Teviewed	≥5 - 87,069 (11.1%)	≥65 years,	age groups (all ages, > 50
Supplementary	25 07,005 (11.170)	Any vaccine	years, 50-64 years, ≥ 65
Appendix: Yes	Outcome measurement:	VE 71.6% (95% CI: 68.6%	years), and risk of infection
Appendix: 163	The reason for RT-PCR assay is	to 74.2%)	was highest for
	not provided in the database.	(6 / 1.2 / 6)	unvaccinated Veterans.
	Veterans may have received a	Ad26.COV2.S	Veterans who were fully
	RT-PCR assay for many	VE 52.2% (37.2% to	vaccinated with the
	reasons.	63.6%)	Moderna vaccine had the
	Tedsons.	03.070)	lowest risk of infection,
		mRNA-1273	followed closely by those
		VE 75.5% (71.8% to	who received the Pfizer-
		78.7%)	BioNTech vaccine, then
		76.770)	those who received the
		BNT162b2	Janssen vaccine.
		VE 70.1% (66.1% to	Janssen vaccine.
		73.6%).	Efficacy/effectiveness
		73.0%).	over time.
		Variants of Concern	Not reported.
		NR	'
		Subgroups:	
		NR	
		INK	
		Efficacy/effectiveness	
		over time.	
		over time.	

					NR	
/accine effectiveness	against SARS	-CoV-2 infecti	on* by mon	th after vaccination	(Vaccinated v	s unvaccinated)*,£
Month of outcome measurement	Janssen VE	(95% CI)	Moder	na VE (95% CI)	Pfizer,	/BioNTech VE (95% CI)
March	86.4 (85	5.2-87.6)	89.2	(88.8-89.6)	86.9	(86.5-87.3)
April	81 (80	) - 83)	86	(86-87)	83	(83-84)
May	75 (73	B-76)	83	(83-83)	79	(78-79)
June	66 (64	1-67)	79	(78-79)	73	(72-73)
July	53 (51	L-55)	73	(73-74)	65	(65-66)
August	36 (34	1-38)	67	(66-67)	56 (	56-55)
September	13.1 (9.	2-16.8)	58	(56.9-59.1)	43.3	(41.9 – 44.6)

Study character	Intervention and Comparators  tics Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
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<sup>^</sup> For vaccinated Veterans, RT-PCR assessed 15 days after last dose that established full vaccination status; for unvaccinated Veterans, RT-PCR assessed beginning in February 1, 2021, coincident with broadscale vaccine eligibility in the VA

<sup>\*</sup> Vaccine effectiveness estimates were calculated based on the following formula: (1-HR)\*100, using the adjusted hazard ratio, unless VE estimates were provided in the text.

<sup>£</sup> For vaccinated Veterans, infection is assessed 15 days after the last vaccine that established full vaccination status. For unvaccinated Veterans, infection is assessed beginning in February 1, 2021, coincident with broadscale vaccine eligibility in the VA.

Author (Year):

Corchado-Garcia (2021)

**Title:** Analysis of the Effectiveness of the Ad26.COV2.S Adenoviral Vector Vaccine for Preventing COVID-19

#### DOI:

10.1001/jamanetworkope n.2021.32540

NCT: N/A

#### Study Design:

Retrospective matched cohort

Country: US

**Setting**: US (Mayo clinic and hospitals)

**Time Period**: February 27 to July 22, 2021

#### **Variants of Concern:**

Alpha and Delta

#### **Publication status:**

peer-reviewed

#### **Exposure**:

Ad26.COV2.S (Janssen) cohort

#### Control:

Unvaccinated cohort

# Time since final vaccination dose:

Median:15.9 weeks (IQR 14.6, 18.7)

#### **Description:**

Adults underwent testing at MAYO clinical and affiliated hospitals.

- Participants included:
- (1) underwent at least 1 SARS-CoV-2 PCR test at the Mayo Clinic between February 27 and July 22, 2021; (2) aged at least 18 years;
- (3) resides in a local area (based on zip code) in which at least 10 patients have received the Ad26.COV2.S vaccine.

Exclusion criteria were as follows:

- (1) individuals with a positive SARS-CoV-2 PCR test result before the date of vaccine administration or the beginning of the study period (February 27, 2021);
- (2) individuals with no follow-up days after vaccination (ie, those who received the vaccine dose on

the last date of data collection);

- (3) individuals who received the mRNA-1273 (Moderna) or BNT162b2 (Pfizer/BioNTech) vaccines; and
- (4) individuals with no research authorization on file.

N: of 8889 vaccinated patients

88 898 propensity-matched unvaccinated patients

Age: Mean (SD)

Vaccinated: 52.4 [16.9] years Unvaccinated: 51.7 [16.7] years

# Severe Disease:

≥14 days after second/final dose

# \*See table below\*

#### **Adjustments:**

Patients were propensity matched on the following: asthma, cancer, cardiomyopathy, chronic kidney disease, chronic obstructive pulmonary disease, coronary artery disease, heart failure, hypertension, obesity, pregnancy, severe obesity, sickle cell disease, solid organ transplant, stroke or cerebrovascular disease, and type 2 diabetes

Mortality: "because only 60 individuals tested positive for SARS-CoV-2 after receiving the Ad26.COV2.S vaccine, our study was underpowered for definitive assessment of mortality" See also table below.

**Variants of Concern: NR** 

Subgroups: NR

Effectiveness over time. NR

# Confirmed RT-PCR or Antigen SARS-CoV-2 infection:

>14 days after dose:

VE: 74.2% (95%CI, 64.9% to 81.6%)

# Effectiveness over time:

NR

		Male: Vaccinated: 4491 men (50.5%) Unvaccinated: 44 748 men (50.3%)  Co-morbidities and Special Populations NR	
From supplementary material	(etable 1)		

	Vaccinated		unvaccinat	ed	Odds CI)	Ratio (95%	p-value
Hospitalization or ICU or admission or mortality	9/8880	0.10%	271/88627	0.31%	0.33	(0.19, 0.65)	0.00016
Hospitalization Rate	8/8881	0.09%	248/88650	0.28%	0.32	(0.18, 0.66)	2.833 x 10-4
ICU Admission Rate	0/8889	0.00%	54/88844	0.06%	0	(0.00, 1.43)	0.014
Mortality Rate	1/8888	0.01%	12/88886	0.01%	0.83	(0.26, 5.20)	1

Study characteristics	Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year):	Exposure:	<b>Description:</b> All hospitalised cases from June	Severe Disease:	Confirmed RT-PCR
de Gier (2021) (59)	<ul> <li>All hospitalised</li> </ul>	2020 onwards included.	≥14 days after	
	COVID-19		second/final dose*	SARS-CoV-2 infection
Title: COVID-19 vaccine	patients.	N:	-	≥14 days after
effectiveness against	<ul> <li>Vaccinated with</li> </ul>	Total, 15,571	Hospitalisation*	second/final dose*
hospitalizations and ICU	BNT162b2	Fully vaccinated, 887,	VE in Alpha period:	NR
admissions in the	(BioNTech/Pfizer),	Partially vaccinated, 1,111	94% (95%CI 93-95%).	INK

Netherlands, April- August 2021  DOI: [10.1101/2021.09.15.212 63613]  NCT: N/A  Study Design: Cohort  Country: The Netherlands  Setting: Hospital  Time Period: 4 April – 29 August 2021  Variants of Concern: Alpha period is 4 April 2021 – 29 May 2021. Delta period is 4 July 2021 -29 August 2021.  Publication status: Preprint	mRNA-1273 (Moderna), ChAdOx1-S (AstraZeneca), or Ad26.COV2-S (Janssen).  Control: Hospitalised COVID-19 patients who were unvaccinated. Numbers of vaccinated people in the community taken from vaccination registries.  Time since final vaccination dose: Over 20 weeks.	Unvaccinated, 13,574  Age: mean/median age NR  Male/Female: NR  Co-morbidities: NR	VE in Delta period: 95% (95%CI 94-95%). *adjusted for calendar date and age group.  ICU admissions* VE in Alpha period: 93% (95%CI 87-96%). VE in Delta period: 97% (95%CI 97-98%). *Adjusted for calendar date and age group.  Mortality: NR  Variants of Concern See above and table below. Subgroups: See table below for analysis by VOC and by vaccine.  Efficacy/effectiveness overtime: See table below. Authors report no indication of VE waning observed up to 20 weeks after full vaccination.	Adjustments: NA Variants of Concern: NR Subgroups: NR Efficacy/effectiveness over time: NR
Preprint		Primary outcomes re		
	Alpha	Hospitalised (VE) – fully vaccinated** Age 15-49: 93% (95% CI: 81-97) Age 50-69: 90% (95% CI: 85-93) Age 70+: 95% (95% CI: 94-96) Overall: 95% (95%CI 93-95)	ICU admission (VE) – fully vaccinated** Age 15-49: 88% (95% CI: 16-98)	

	Hospitalised (VE) – partially vaccinated**	Age 50-69: 96% (95% CI: 85-99) Age 70+: 92% (95% CI: 85-96) Overall: 93% (95%CI 87-96)  ICU admission (VE) —
	Age 15-49: 61% (95% CI:41-74) Age 50-69: 80% (95% CI:76-82) Age 70+: 80% (95% CI:77-82) Overall: 79% (95% CI:77-80)	partially vaccinated** Age 15-49: 45% (95% CI:- 33-77) Age 50-69: 81% (95% CI:73-86) Age 70+: 87% (95% CI:82-90) Overall: 83% (95% CI:79- 86)
Delta	Hospitalised (VE) – fully vaccinated** Age 15-49: 96% (95% CI: 95-97) Age 50-69: 97% (95% CI: 96-97) Age 70+: 91% (95% CI: 89-92) Overall: 95% (95%CI 94-95)	ICU admission (VE) – fully vaccinated** Age 15-49: 99% (95% CI: 97-100) Age 50-69: 98% (95% CI: 97-99) Age 70+: 96% (95% CI: 93-97) Overall: 97% (95%CI 97-98)
	Hospitalised (95% CI:VE) – partially vaccinated** Age 15-49: 95% (95% CI:94-96) Age 50-69: 92% (95% CI:90-94) Age 70+: 72% (95% CI:62-79) Overall: 91% (95% CI:90-93)	ICU admission (95% CI:VE) - partially vaccinated** Age 15-49: 97% (95% CI:93-98) Age 50-69: 93% (95% CI:89-95) Age 70+: 89% (95% CI:70-96)

		Overall: 94% (95% CI:92- 96)	
Pfizer/BioNTech (BNT162b2)	Hospitalised (VE) – fully vaccinated*** Age 15-49: 99% (95% CI: 98-100) Age 50-69: 99% (95% CI: 98-99) Age 70+: 92% (95% CI: 90-93) Overall: 96% (95% CI: 95-96)	ICU admission (VE) - fully vaccinated*** Age 15-49: 100% (95% CI:) Age 50-69: 100% (95% CI: 99-100) Age 70+: 97% (95% CI: 95-98) Overall: 99% (95% CI: 98- 99)	
Moderna (mRNA- 1273)	Hospitalised (VE) – fully vaccinated*** Age 15-49: 88% (95% CI: 82-92) Age 50-69: 89% (95% CI: 85-92) Age 70+: 64% (95% CI: 47-76) Overall: 84% (95% CI: 80-87)	ICU admission (VE) — fully vaccinated*** Age 15-49: 98% (95% CI: 85-100) Age 50-69: 89% (95% CI: 80-93) Age 70+: 34% (95% CI: - 29-66) Overall: 86% (95% CI: 79-90)	
Astra Zeneca (ChAdOx1-S)	Hospitalised (VE) – fully vaccinated*** Age 15-49: 95% (95% CI: 87-98) Age 50-69: 96% (95% CI: 95-97) Age 70+: 78% (95% CI: 63-86) Overall: 94% (95% CI: 92-95)	ICU admission (VE) — fully vaccinated*** Age 15-49: 95% (95% CI: 64-99) Age 50-69: 96% (95% CI: 94-98) Age 70+: 100% (95% CI:) Overall: 96% (95% CI: 94-98)	
Janssen® (Ad26.COV2-S)	Hospitalised (VE) – fully vaccinated*** Age 15-49: 93% (95% CI: 86-96)	ICU admission (VE) – fully vaccinated***	

	Age 50-69: 92% (95 Overall: 91% (95%		CI:
Time s vaccin	since final nation		
0-4 w		5% CI: 97-99) Age 15-49: 100% (95° 5% CI: 97-98))	% CI:
5-9 w	Hospitalised (VE) Age 15-49: 93% (95) Age 50-69: 97% (95) Age 70+: 92% (95%)	5% CI: 88-96) Age 15-49: 98% (95% 5% CI: 96-98) 85-100)	CI:
10-14	Weeks  Hospitalised (VE)  Age 15-49: 75% (95)  Age 50-69: 90% (95)  Age 70+: 90% (95)	5% CI: 56-86) Age 15-49: 82% (95% 5% CI: 85-93) 29-96)	o CI:
15-19	Weeks  Hospitalised (VE)  Age 15-49: 97% (95)  Age 50-69: 92% (95)  Age 70+: 91% (95%)	5% CI: 76-100) Age 15-49: 100% (956 5% CI: 84-96))	% CI:

20 or more weeks	Hospitalised (VE) *** Age 15-49: 97% (95% CI: 87-99) Age 50-69: 98% (95% CI: 94-99) Age 70+: 91% (95% CI: 87-94)	ICU admission (VE) *** Age 15-49: 100% (95% CI:) Age 50-69: 100% (95% CI:) Age 70+: 90% (95% CI: 57-98)	
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<sup>\*</sup> Fully vaccinated 28 days after the Janssen 1-dose schedule or 14 days after a second dose of other vaccines. \*\*Adjusted for calendar date.

<sup>\*\*\*</sup>Adjusted for calendar date and five year age group.

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Grannis (2021)  Title: Interim Estimates of COVID-19 Vaccine Effectiveness Against COVID-19—Associated Emergency Department or Urgent Care Clinic Encounters and Hospitalizations Among Adults During SARS-COV-2 B.1.617.2 (Delta) Variant Predominance — Nine States, June—August 2021  DOI: dx.doi.org/10.15585/mmwr.mm7037e2external icon.  NCT: N/A  Study Design: Test-negative case-control@	Intervention/Exposur e: BNT162b2 (Pfizer/BioNTech)  mRNA-1273 (Moderna)  Ad26.COV2 (Janssen)  Comparator/Control: Unvaccinated *  Time since final vaccination dose: To hospital admission or Emergency department /Urgent care (EC/UC)  Pfizer-BioNTech — Hospitalisation — Median: 17.66 weeks ED/UC — Median: 15.25 weeks  Moderna — Hospitalisation — Median:	Description:  Adults aged ≥18 years who had received SARS-CoV-2 molecular testing (primarily reverse transcription—polymerase chain reaction assay within 14 days before or 72 hours after the admission or encounter) and a COVID-19—like illness discharge diagnosis.  Patients who had received 1 mRNA dose only or had received the second dose <14 days before testing or encounter date were excluded.  Full vaccination was defined as receipt of the second dose of BNT162b2 (Pfizer-BioNTech) or mRNA-1273 (Moderna) mRNA vaccines, or a single dose of Ad26.COV2 (Janssen [Johnson & Johnson]) vaccine ≥14-days before the testing or encounter date.  N:  Hospitalised with COVID-19-like illness – 14,636  Cases – 1,551  Vaccinated - 235  Unvaccinated – 1,316  Controls – 13,085  Vaccinated – 7,441	Severe Disease: ≥14 days after second/final dose  Hospitalisation VE = 86% (95% CI 82 to 89)  ED / UC VE = 82% (95% CI 81 to 84)  Adjustments: VE was estimated using a test-negative design, adjusted for age, geographic region, calendar time (cubic spline with quartile knots), and virus circulation (percentage of SARS-CoV-2-positive results from testing within the counties surrounding the facility on the date of the event) and weighted for inverse propensity to be vaccinated or unvaccinated (calculated separately for each of the 10 VE models) using facility characteristics, sociodemographics, and underlying medical conditions  Mortality: NR  Variants of Concern	Confirmed RT-PCR or Antigen SARS-CoV-2 infection ≥14 days after second/final dose: NR
<b>Setting:</b> 187 hospitals and 221 emergency departments	17.09 weeks ED/UC – Median: 15.68 weeks	Unvaccinated – 5,644  Age:	In this multistate interim analysis of 32,867 medical encounter among	

(EDs) and urgent care (UC)		Median = 65 years (IQR: 48-77 years).	adults of all age	es during June–Augus	st	
clinics	Janssen –		2021, whe the Delta variant was			
	Hospitalisation – Median:	Admitted to ED/UC with COVID-19 like illness -	predominant in	the United States, VI	E of	
Time Period: June to	15.39 weeks	18,231	all three author	ized COVID-19 vaccin	nes	
August 2021	EC/UC - Median: 15.39		combined rema	ined high against		
3	weeks	Cases <sup>®</sup> – 3,657		(86%) and ED/UC		
Variants of Concern: From		Vaccinated - 512		%). These overall VE		
June 2021 the Delta variant		Unvaccinated – 3,145		similar to those during		
accounted for >50% of		, ,		ore Delta became		
sequenced isolates in each		Controls - 14,574	predominant			
medical facility's state.		Vaccinated – 6,847	p. 50.5			
		Unvaccinated – 7,727				
		7,72	Subgroups:			
<b>Publication status</b> : Peer-reviewed.		Age: 43 years (IQR = 29-62 years)				
			Hospitalisation			
			18-74 years			
			-			
		Male = NR	VE = 89% (95%	% CI 85 to 92)		
			≥75 years			
		Co-morbidities: NR	VE = 76% (95% CI 64 TO 84)			
			VE = 70% (95%	70 CI 04 10 04)		
			Vaccine type			
			Vaccine	VE (95%		
				CI)		
			_	_		
			Pfizer-	80% (73 to		
			BioNTech	85)		
			Moderna	95% (92 to		
				97)		
				600/ /24 /		
			Janssen	60% (31 to		
				77)		
				1		

	ED/UC encoun		
	Vaccine type		
	Vaccine	VE (95% CI)	
	Pfizer- BioNTech	77% (74 to 80)	
	Moderna	92% (89 to 93)	
	Janssen	65 (56 to 72)	
	Efficacy/effectiveness over time.		
	NR		

<sup>@</sup> - Adults aged  $\geq$ 18 years who had received SARS-CoV-2 molecular testing (primarily reverse transcription—polymerase chain reaction assay within 14 days before or 72 hours after the admission or encounter) and a COVID-19—like illness discharge diagnosis.

Abbreviations: ED/UC- Emergency depart Urgent Care Encounter.

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Lin (2021)  Title: Effectiveness of Covid-19 Vaccines in the United States Over 9 Months: Surveillance Data from the State of North Carolina  DOI: doi.org/10.1101/2021.10. 25.21265304  NCT: N/A  Study Design: Retrospective, matched Cohort Study  Country: US  Setting: North Carolina COVID-19 Surveillance System and	Exposure: BNT162b2 (Pfizer— BioNTech) MRNA-1273 (Moderna) Ad26.COV2.S (Janssen)  Comparator/Control: Unvaccinated  Time since final vaccination dose:  BNT162b2 and mRNA-1273 Max: 35.19 weeks ^  Ad26.COV2.S Max: 26.21 weeks *	Description:  Surveillance data from the entire state of North Carolina, which has a population of ~10.6 million people. The 2020 Bridged-Race population estimates produced by the US Census Bureau in collaboration with the National Center for Health Statistics (NCHS) were used for demographic populations. The Esri 2020 NC Zip Code population was used to determine the total number of residents with each combination of demographic variables (age, sex, race/ethnicity, geographic region, county-level vaccination rate).  N: 10,600,823  ■ BNT162b2 (Pfizer−BioNTech) − 3,332,258 (33%)  ■ mRNA-1273 (Moderna) − 2,329,361 (22%)  ■ Ad26.COV2.S (Janssen) − 345,848 (3%)  Age:  ■ <18 − 21.76%  ■ 18-34 − 22.94%  ■ 35-49 − 18.78%  ■ 50-64 − 19.4%  ■ 65+ - 17.12%  Male 48.6%	Severe Disease: ≥14 days after second/final dose  Hospitalisation BNT162b2 Vaccine effectiveness (VE) reached to peak level at 2 months VE = 96.4% (95% CI, 94.7 to 97.5)  VE after 7 months VE = 87.7% (95% CI, 84.3 to 90.4)  mRNA-1273 VE reached to peak level at 2 months VE = 97.5% (95% CI, 96.3 to 98.3)  VE after 7 months VE = 92.3% (95% CI, 96.3 to 98.3)  VE after 7 months VE = 92.3% (95% CI, 89.7 to 94.3)  Ad26.COV2.S VE reached to peak level at 2 months	Confirmed RT-PCR or Antigen SARS-CoV-2 infection:  Symptomatic (no definition provided)  BNT162b2  VE reached to peak level at 2 months VE = 94.9% (94.5 to 95.2)  VE after 7 months  VE = 70.1% (68.9 to 71.2)  mRNA-1273  VE reached to peak level at 2 months VE = 96.0% (95.6 to 96.4)  VE after 7 months

COVID-19 Vaccine Management System	Co-morbi	dities and Special Populations	VE = 89.8% (95% CI, 78.8 to 95.1)	VE = 81.9% (81.0 to 82.7)
Time Period: December 13, 2020 through September 8, 2021  Variants of Concern: the Delta variant accounts for the majority	NR		VE after 5 months \$ VE = stays above 80% through 5 months.  Adjustments: Age, sex, race/ethnicity, geographicalregion, and county-level vaccination rate	Ad26.COV2.S  VE reached to peak level at 1 month VE = 79.0% (77.1 to 80.7)
of Covid-19 cases in the state of North Carolina			Mortality <sup>®</sup> :	VE after 5 months <sup>\$</sup>
since July, 2021 <b>Publication status</b> :			VE reached to peak level at 2 months VE = 95.9% (95% CI, 92.9 to 97.6)	VE = 64.3% (62.3 to 66.1)
Pre-print			VE after 7 months VE = 88.4% (95% CI, 83.0 to 92.1)  mRNA-1273 VE reached to peak level at 3 months VE = 96.0% (95% CI, 91.9 to 98.0)  VE after 7 months VE = 93.7% (95% CI, 90.2 to 95.9)  Ad26.COV2.S VE reached to peak level at 3 months	Subgroups:  VE in reducing the risk of COVID-19 disease over time is presented using separate cumulative incidence curves for the following age groups; 18-34, 35-49, 50-64, 65+. For all three vaccines, effectiveness is lower in the 65+ age group than other age groups

VE = 89.4% (95% CI, 52.3 to 97.6)  VE after 5 months \$  VE = stays above 80% through 5 months.  Variants of Concern: NR	Effectiveness over time. See below.
Subgroups:	
Vaccine effectiveness in reducing the risk of hospitalisation over time is presented using separate cumulative incidence curves for the following age groups; 18-34, 35-49, 50-64, 65+. Effectiveness is lower in the 65+ age group than the 18-64 age group	
Vaccine effectiveness in reducing risk of death over time is presented using separate cumulative incidence curves for those aged; 18-64 and 65+. Effectiveness is lower in the 65+ age group than the 18-64 age group	
Effectiveness over time.	
The effectiveness of the Janssen vaccine against hospitalisation and death reaches a peak level similar to that of the two mRNA vaccines one month	

after vaccination and then starts to decline afterward.
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Supplementary Table 1 - Effectiveness of the BNT162b2 (Pfizer-BioNTech) two-dose vaccine, mRNA-1273 (Moderna) two-dose vaccine, and Ad26.COV2.S (Janssen) one-dose vaccine in reducing the incidence of COVID-19 over successive time periods by vaccination cohort (by month of vaccination)

	Months s	since vaccina	ntion						
	0-1	1-2	2-3	3-4	4-5	5-6	6-7	7-8	8-9
Time period of full									
vaccination									
Pfizer/BioNTech									
All dates	67.2	92.4	91.0	84.3	83.1	77.6	68.0	66.8	63.2
Dec 15 - Jan 31	45.4	90.3	91.7	89.9	86.7	78.2	60.2	62.7	60.5
Feb 1 – Feb 28	57.9	91.0	93.0	88.2	82.4	73.1	74.8	81.1	
Mar 1 – Mar 31	56.7	93.1	92.3	85.0	76.8	76.7	79.1		
Apr 1 – Apr 30	62.7	92.7	88.5	81.8	81.3	85.3			
May 1 - May 31	68.0	91.5	88.6	88.0	90.0				
June 1 – June 30	75.0	94.0	91.1	87.1					
July 1 – July 31	84.3	94.3	90.6						
Aug 1 – Sept 8	93.5	96.3							
mRNA-1273									
All dates	69.4	91.6	93.5	92.3	89.2	85.8	82.6	80.8	85.1
Dec 15 - Jan 31	38.4	82.7	92.3	91.6	88.5	86.0	81.3	80.4	84.0
Feb 1 – Feb 28	62.6	90.6	90.0	94.8	90.9	83.8	82.4	78.9	
Mar 1 – Mar 31	71.3	94.0	95.5	91.7	87.2	85.0	85.9		
Apr 1 – Apr 30	71.6	92.9	94.5	90.7	89.1	90.5			
May 1 – May 31	68.8	94.2	93.2	92.3	91.6				
June 1 – June 30	78.1	94.2	94.9	93.6					

<b>July 1 – July 31</b>	86.3	96.0	95.8					
Aug 1 - Sept 8	92.0	95.0						
Janssen								
All dates	58.1	73.4	70.3	55.2	60.8	59.8	73.5	
Feb1 - Mar 15	64.7	81.5	78.5	79.5	58.8	60.8	73.5	
Mar 16 – Apr 15	55.0	79.9	67.4	57.8	51.7	59.0		
Apr 16 – Sept 8	58.0	70.3	69.6	45.7	73.6			

- @ the hospitalization and death status were known for only approximately 40% and 60% of Covid-19 cases, respectively \$ Because the Janssen vaccine was not deployed until March 2021, the information about its effectiveness beyond 5 months is limited.
- ^ Maximum follow up estimated from the 4<sup>th</sup> of January,2021 until data cut-off.
- \* Maximum follow up estimated from the 7<sup>th</sup> of March,2021 until data cut-off

Study characteristics	Exposure and Controls	Population and Patient demographics	Primary outcome results*	Secondary outcome results*
<b>Author (Year):</b> Polinski 2021	Intervention/Exposure: Ad26.COV2.S (Janssen)	<b>Description:</b> Study participants entered cohort on day of vaccination. They were matched (1:10 risk-	Severe Disease: ≥14 days after	Confirmed RT-PCR or
<b>Title:</b> Effectiveness of the	Comparator/Control:	set sampling by time, location, age, sex, and comorbidity score, with further matching of the risk	second/final dose	Antigen SARS-CoV-2 infection (see definition
Single-Dose Ad26.COV2.S	Individuals in database with	set sampled population by propensity score) with up	Hospitalisation	of observed Covid-19§)
COVID	no evidence of vaccination	to 10 unvaccinated individuals. Those with observed COVID-19 or receipt of any	VE 73% (95% CI 69%, 76%)	≥14 days after second/final dose
DOI:	Time since final	COVID-19 vaccine during the 365 days before	Adjustments: Matched by	Second/illiai dose
10.1101/2021.09.10.212633	vaccination dose:	cohort entry were excluded. At least one medical	time, location, age, sex, and comorbidity score, also	Any
<u>85</u>	Mean 15.4 weeks Maximum 152 days = 21.7	and pharmacy claim was required during 365 days before cohort entry to ensure each individual's	propensity scores	VE: 69% (95% CI 67%,
NCT: N/A	weeks	activity in the system.		71%)
			Mortality: NR	

Study Design: Matched	N: 390,517 vaccinated		Adjustments:
cohort study with crossover  Country: USA	1,524,153 matched with no record of vaccination  Age:	Variants of Concern High delta states**	Matched by time, location, age, sex, and comorbidity
Setting: US health insurance claims data (data	Vaccinated: Mean age, yrs (SD) 55.05 (17.31)	COVID-19 related Hospitalisation	score, also propensity scores  Variants of Concern:
aggregated by HealthVerity)	Unvaccinated: Mean age, yrs (SD) 54.94 (17.42)	VE: 74% (95% CI 61 to 83)	High delta states**  Observed COVID-19
<b>Time Period</b> : 1 March 2021 –17 July 2021	Mala	COVID-19 related Hospitalisation	VE 69% (95% CI 63% to 74%)
Variants of Concern: Delta	Male Vaccinated, male 43.7% Unvaccinated, male 43.7%	(June-July only***) VE: 77% (95% CI 59 to 87)	Observed COVID-19 (as observed for period June and
Publication status: Preprint	Co-morbidities:	Subgroups:	July only***) VE: 67% (95% CI 60 to 73)
	Vaccinated COPD: 10.3% Organ transplant: 0.4%	<50 years VE = 79% (95% CI 70 to 85)	<u>vc. 07 % (93 % Cr 00 to 73)</u>
	Malignancies: 4.5% Pulmonary fibrosis: 0.5%	≥50 years	<b>Subgroups:</b> <50 years
	HIV: 0.3%	VE = 71% (95% CI 66 to 74%)	VE = 75% (95% CI 72 to
	Unvaccinated COPD: 10.4% Organ transplant: 0.4%	<60 years	77%) <u>≥50 years</u>
	Malignancies: 4.5% Pulmonary fibrosis: 0.5%	VE = 79% (74 to 84)	VE = 65% (95% CI 63 to, 68%)
	HIV: 0.4%	≥60 years	<60 years
		VE = 68% (63 to 73)	VE = 72% (69 to 74)
		<u>Immunocompromised</u>	≥60 years
		VE = 54% (95% CI 35 to 67)	VE = 65% (61 to 68)
		Efficacy effectiveness over time.	<u>Immunocompromised</u>

	It is stated that sustained and stable VE was observed, starting 14 days after vaccination to a maximum of 152 days after vaccination.  Monthly VE estimates for COVID-19-related hospitalization were stable  WE = 52% (95% CI 42% to 60%)  Efficacy/effectiveness over time.  The VE for observed COVI 19 rose slightly until May to 81% (79% to 83%) and remained at a high level upperiod in July (77%; 74% 79%)
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§observed COVID-19 was defined by either recording of an in- or outpatient ICD-10-CM diagnosis code of U07.1 (85% of cases) in any position, and/or a recorded positive SARS-CoV-2 diagnostic PCR or nucleic acid amplification test result (15%).

\*All VE estimates extracted here do not incorporate the adjustment applied by the authors in the primary analysis as it was not considered robust. See the statement below. "Given the expedited national vaccination effort, a sizable proportion of COVID-19 vaccinations were administered by employers, mass vaccination sites, pharmacies, and other settings where often no health insurance claims were submitted. The CDC reported that 57% of US residents 12 years and older were vaccinated as of July 22, 2021, while only 34% were recorded among the same people in our claims data, which confirms substantial under-recording (Suppl. S4). As a result, it is highly likely that a substantial proportion of the unvaccinated group in claims data was in fact vaccinated and thus observed VE estimates will appear lower than indeed true. To compensate, we conservatively assumed 40% under-recording of vaccinations and applied a correction factor to all VE estimates using standard methods for correcting exposure misclassification."

\*\*High Delta States were Arkansas, Florida, Louisiana, and Missouri.

\*\*\* For June and July 2021 results within four states (Arkansas, Florida, Louisiana, and Missouri) with high prevalence of the Delta variant of concern, incident rate ratios (IRR) after PS matching are reported instead of hazard ratios and VE is estimated using (1-IRR)x100 for patients contributing follow-up time from June 1, 2021 through July 31, 2021

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
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#### Author (Year):

Robles-Fontán (2021)

#### Title:

Time-Varying Effectiveness of Three Covid-19 Vaccines in Puerto Rico

#### DOT:

doi.org/10.1101/2021.10.17.21265101

#### NCT:

N/A

#### Study Design:

Retrospective Cohort study

#### Country:

Puerto Rico

#### Setting:

Two Department of Health databases

#### Time Period:

December 15, 2020 to October 1, 2021

#### Variants of Concern:

Delta variant became dominant in Puerto Rico during the analysis time periods, before and after June 15,2021

#### **Publication status:**

Preprint

# Intervention/Exposure:

- mRNA-1273 (Moderna)
- BNT162b2 (Pfizer/BioNTech)
- Ad26.COV2.S (Janssen)

# **Comparator/Control:**

Unvaccinated

#### Mean Time since final vaccination dose:

Against hospitalisation, infections and death

- mRNA-1273 (Moderna) - 20.78weeks
- BNT162b2 19.27 weeks (Pfizer/BioNTech) -
- Ad26.COV2.S (Janssen) - 20.49 weeks

## Against infection

- mRNA-1273 (Moderna) – 18.52 weeks
- BNT162b2 19.52 weeks (Pfizer/BioNTech) -
- Ad26,COV2.S (Janssen)

## **Description:**

Two Puerto Rico Department of Health databases were integrated: the BioPortal, which stores test results, most hospitalizations, and deaths, and the Puerto Rico **Electronic Immunization** System (PREIS), which stores vaccination related data. These daily counts were stratified by gender, age group (12-85+) and vaccination status (unvaccinated, mRNA-1273, BNT162b2, or Ad26.COV2.S). Fully vaccinated was defined as 14 days after the final dose in the vaccine series. Cases in which the infection occurred after the first dose but before being fully vaccinated were removed from the analysis.

## N:

Total: 3,285,874 Vaccinated: 2,217,547 BNT162b2 (Pfizer/BioNTech

- 1,243,969
- mRNA-1273 (Moderna) -844,065
- Ad26.COV2.S (Janssen) -129,513

## Severe Disease: ≥14 days after second/final dose

Hospitalisation Presented by subgroup, see below.

#### **Adjustments**

age, gender, and, since many more tests were performed on weekdays than weekends, day of the week.

#### Mortality

Presented by subgroup, see below.

#### **Variants of Concern**

NR

## Subgroups: \*

COVID-19 related Hospitalisation by age (Vaccinated vs unvaccinated)

All results here represent Risk Reduction (95% CI)

45 - 74 years mRNA-1273 -

#### Confirmed RT-PCR or **Antigen SARS-CoV-2** infection

## ≥14 days after second/final dose

NR

#### **Adjustments:**

age, gender, and, since many more tests were performed on weekdays than weekends, day of the week.

#### Variants of Concern:

NR

## **Subgroups**

By age:

Authors did not observe substantial differences in effectiveness against infections by age, except for those 85 and older for whom the waning appears worse

#### **Efficacy/effectiveness** over time.

mRNA-1273 (Moderna)

Peak VE - 90% (95% CI 88% - 91%)

Supplementary Appendix: No	- 22.51 weeks		Risk reduction (RR) = 18 (15, 22)	End of follow up – VE - 71% (95% CI 68% -
		Age: NR		74%),
		Male: NR	BNT162b2 – RR = 8.0 (7, 9.2)	
				BNT162B2
		Co-morbidities/Special Populations:	Ad26.COV2.S – RR = 3.9 (3, 5.0)	(Pfizer/BioNTech)
		NR	INC = 3.9 (3, 3.0)	Peak - 87% (95% CI 85% - 89%)
		Outcome measurement: Estimates obtained from fitting these models that accounted for age, gender,	75-84 years mRNA-1273 – RR = 8.5 (6, 11)	End of follow up – VE 56% (95% CI 53% - 59%)
		and day of the week were used to quantify	BNT162b2 – RR = 6.7 (5, 8.7).	Ad26.COV2.S (Janssen)
		effectiveness and relative risks	Ad26.COV2.S – RR = 2.0 (1, 3.3)	Peak – VE 58% (51% - 65%),
		For the analysis in this study we used daily counts of laboratory-confirmed SARS-CoV-2 infections, hospitalizations, and deaths	85+ Mrna-1273 – RR = 2.8 (2, 4.0)	End of follow up – VE - 27% (95% CI 17% - 37%)
		mospituiizationis, and accuris	BNT162b2 – RR = 2.9 (2, 4.2)	
			Ad26.COV2.S – RR = 0.8 (0.5, 1.4)	
			COVID-19 related  Mortality by age	

Unvaccinated) 45-74 mRNA-1273 RR = 31 (21, 48) BNT162b2 RR = 14 (11, 19) AD26.COV2.S RR = 6.2 (4, 10)  75 to 84 mRNA-1273 RR = 13 (8, 21) BNT162b2 RR = 13 (8, 20) AD26.COV2.S RR = 13 (8, 20) AD26.COV2.S RR = 3.4 (2, 8.3)	(Vaccinated vs
mRNA-1273 RR = 31 (21, 48) BNT162b2 RR = 14 (11, 19) AD26.COV2.S RR = 6.2 (4, 10)  75 to 84 mRNA-1273 RR = 13 (8, 21) BNT162b2 RR = 13 (8, 20) AD26.COV2.S RR = 3.4 (2, 8.3)	
RR = 31 (21, 48) BNT162b2 RR = 14 (11, 19) AD26.COV2.S RR = 6.2 (4, 10)  75 to 84 mRNA-1273 RR = 13 (8, 21) BNT162b2 RR = 13 (8, 20) AD26.COV2.S RR = 3.4 (2, 8.3)	<u>45-74</u>
BNT162b2 RR = 14 (11, 19) AD26.COV2.S RR = 6.2 (4, 10)  75 to 84 mRNA-1273 RR = 13 (8, 21) BNT162b2 RR = 13 (8, 20) AD26.COV2.S RR = 3.4 (2, 8.3)	mRNA-1273
RR = 14 (11, 19) AD26.COV2.S RR = 6.2 (4, 10)  75 to 84 mRNA-1273 RR = 13 (8, 21) BNT162b2 RR = 13 (8, 20) AD26.COV2.S RR = 3.4 (2, 8.3)	RR = 31 (21, 48)
AD26.COV2.S RR = 6.2 (4, 10)  75 to 84 mRNA-1273 RR = 13 (8, 21) BNT162b2 RR = 13 (8, 20) AD26.COV2.S RR = 3.4 (2, 8.3)	BNT162b2
RR = 6.2 (4, 10)  75 to 84  mRNA-1273  RR = 13 (8, 21)  BNT162b2  RR = 13 (8, 20)  AD26.COV2.S  RR = 3.4 (2, 8.3)	RR = 14 (11, 19)
75 to 84 mRNA-1273 RR = 13 (8, 21) BNT162b2 RR = 13 (8, 20) AD26.COV2.S RR = 3.4 (2, 8.3)	AD26.COV2.S
mRNA-1273 RR = 13 (8, 21) BNT162b2 RR = 13 (8, 20) AD26.COV2.S RR = 3.4 (2, 8.3)	RR = 6.2 (4, 10)
mRNA-1273 RR = 13 (8, 21) BNT162b2 RR = 13 (8, 20) AD26.COV2.S RR = 3.4 (2, 8.3)	
RR = 13 (8, 21) BNT162b2 RR = 13 (8, 20) AD26.COV2.S RR = 3.4 (2, 8.3)	<u>75 to 84</u>
BNT162b2 RR = 13 (8, 20) AD26.COV2.S RR = 3.4 (2, 8.3)	mRNA-1273
RR = 13 (8, 20) AD26.COV2.S RR = 3.4 (2, 8.3)	RR = 13 (8, 21)
AD26.COV2.S RR = 3.4 (2, 8.3)	BNT162b2
RR = 3.4 (2, 8.3)	RR = 13 (8, 20)
	AD26.COV2.S
	RR = 3.4 (2, 8.3)
<u>85+</u>	<u>85+</u>
mRNA-1273	mRNA-1273
RR = 3.8 (2, 6.1)	RR = 3.8 (2, 6.1)
BNT162b2	BNT162b2
RR = 4.8 (3, 8.5)	RR = 4.8 (3, 8.5)
Ad26.COV2.S -	Ad26.COV2.S -

	RR = 0.7 (0.4, 1.4)  Efficacy/effectiveness over time.
	Vaccine effectiveness over time against hospitalisation as a curve depicting risk reduction, although authors did not have enough data to obtain as precise estimates as for infections.  Waning of effectiveness against hospitalisation is observed over time, though very imprecise estimates
probabilities of hospitalisation and mortality by age and vaccin	ne are also available.

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Rosenberg (2021)  Title: COVID-19 Vaccine Effectiveness by Product and Timing in New York State  DOI: 10.1101/2021.10.08.2126 4595  NCT: N/A	Exposure:  BNT162b2 (Pfizer— BioNTech)  MRNA-1273 (Moderna)  Ad26.COV2.S (Janssen)  Comparator/Control : Unvaccinated	Description: Adults aged ≥18 years residing in New York state. Vaccination data for persons, excluding those in settings that report directly to the federal system such as veterans, military, and first nations tribal healthcare facilities are captured.  Persons who received non-FDA authorized vaccines were excluded from the full vaccination definition and were analytically classified unvaccinated, but comprise an estimated 0.03% of persons fully vaccinated in the	Severe Disease: ≥14 days after second/final dose  See below  Adjustments:  Mortality:  NR  Variants of Concern.  Incident laboratory-confirmed COVID diagnosis and	Confirmed RT-PCR or Antigen SARS-CoV-2 infection:  See below  Adjustments:  NR  Mortality:  NR  Variants of Concern
Study Design: Prospective cohort study  Country: US  Setting: Surveillance-based cohort of adults residing in New York State	Time since final vaccination dose:  BNT162b2 (Pfizer-BioNTech) - maximum 34.47 weeks  MRNA-1273 (Moderna) -	registries by May 2021. Persons with a positive laboratory result within 90 days before May 1 were considered not susceptible for either outcome and excluded, per the CDC case-definition  N: Total - 8,834,604 Fully-Vaccinated - 5,787,817 (65.5%)  BNT162b2 (Pfizer-BioNTech) - 48.6%	hospitalization were ascertained during the pre- vs. post-Delta variant period from May 1 (<2%) to August 28, 2021 (>99%)	Incident laboratory-confirmed COVID diagnosis and hospitalization were ascertained during the pre- vs. post-Delta variant period from May 1 (<2%) to August 28, 2021 (>99%)
Time Period: 1 May 2021 – 3 September 2021 Variants of Concern: Prevalence of Delta	maximum 32.9 weeks • Ad26.COV2.S (Janssen)-	<ul> <li>mRNA-1273 (Moderna) – 41.5%</li> <li>Ad26.COV2.S (Janssen) – 10.0%</li> </ul> Age: Total -		

increased from <2% on	maximum 26.1	18-49 years – 4,079,407	
week commencing 1 May	weeks	50-64 years – 2,261,421	
to >99% by week		>65 years- 2,493,776	
commencing 28 August			
2021.		Male	
		NR	
Publication status:			
Preprint		Co-morbidities and Special Populations	
		NR	

Table 1 Estimated vaccine effectiveness for laboratory-confirmed COVID-19 hospitalisations by age and vaccine.

		Month of outcome a	scertainment	
	Week commencing May-21 VE (95% CI)	Week commencing Jun-21 VE (95% CI)	Week commencing Jul-21 VE (95% CI)	Week commencing Aug-21 VE (95% CI)
Vaccine Cohort 18- 49 years				
Pfizer-BioNTech	96.4 (94.5, 97.7)	94.7 (90.8, 97.2)	95.9 (93.0, 97.7)	95.5(94.0, 96.7)
January/February	97.9 (93.9,99.6)	98.3 (90.4,100.0)	96.3 (89.1,99.2)	93.1 (88.9,96.0)
March	99 (94.3,100.0)	97.4 (85.7,99.9)	96.3 (86.7,99.6)	95.2 (90.5,97.9)
April	95.1 (92.2,97.1)	92.5 (86.4,96.3)	95.6 (91.5,98.0)	96.6 (94.8,97.9)
Moderna	96.8 (94.7,98.2)	92 (86.6,95.5)	96.1(92.9,98.2)	97.5(96.1,98.5)
January/February	96.8 (92.6,99.0)	98.4 (91.1,100.0)	98.9(93.6,100.0)	97.4(94.6,98.9)
March	98.2 (93.5,99.8)	91.1 (77.1,97.6)	92(81.2,97.4)	97.9(94.6,99.4)
January/February  March  April	95.9 (92.0,98.2)	87.3 (76.5,93.9)	96.3(90.6,99.0)	97.3(94.9,98.8)
Janssen	95.9(91.6,98.4)	88.3(76.9,95.0)	94.8(87.7,98.3)	93.8(90.2,96.3)
March	90.7(72.9,98.1)	53.7(0.0,83.1)	94.4(69.0,99.9)	92.7(81.3,98.0)
March April	97.1(92.6,99.2)	96.4(87.0,99.6)	94.8(86.7,98.6)	94.1(90.0,96.8)
2				

Pfizer-BioNTech	95.8(94.5,96.9)	95.2(92.6,97.0)	93.9(91.4,95.8)	95.1(94.1,96.0)
January/February	93.1(88.5,96.1)	93.4(84.3,97.9)	96.8(90.6,99.3)	94.6(91.7,96.7)
March	94(89.7,96.8)	96(88.2,99.2)	91.5(83.1,96.4)	95.9(93.3,97.7)
April	97(95.5,98.0)	95.4(92.2,97.5)	93.8(90.6,96.0)	95(93.8,96.1)
Moderna	97.3(96.1,98.3)	97(94.5,98.6)	96.7(94.4,98.2)	96.9(95.9,97.7)
January/February	98.3(95.7,99.5)	100(95.5,100.0)	98.1(93.0,99.8)	97(94.8,98.4)
March	100(98.1,100.0)	98.5(91.7,100.0)	97.6(91.4,99.7)	98(95.8,99.2)
April	95.9(93.8,97.5)	95.2(90.8,97.8)	95.7(92.1,98.0)	96.5(95.1,97.6)
Janssen	88.2(83.3,91.8)	91(82.6,95.9)	90.4(83.0,95.1)	92.8(90.1,95.0)
March	90.7(81.6,96.0)	93.3(75.6,99.2)	86.6(68.5,95.7)	92.2(86.4,96.0)
April	87(80.9,91.6)	90(79.1,96.0)	92(83.3,96.8)	93.1(89.7,95.5)
Vaccine Cohort 18- 49				
years				
Pfizer-BioNTech	95(94.2,95.7)	93.8(92.3,95.1)	89.6(87.4,91.4)	89.2(88.1,90.2)
January/February	93.2(91.1,94.9)	92.8(89.0,95.6)	89.3(84.6,92.8)	86.2(83.6,88.5)
March	95.8(94.5,96.8)	94.1(91.5,96.1)	89(85.5,91.8)	89.6(87.9,91.1)
April	95.2(94.0,96.2)	94.1(91.8,95.9)	90.1(87.1,92.6)	90.3(88.8,91.6)
Moderna	97.2(96.7,97.7)	96.4(95.3,97.4)	95.2(93.9,96.3)	94.1(93.3,94.8)
January/February	97.4(95.7,98.6)	96.2(92.5,98.4)	91.8(86.7,95.3)	93.2(91.0,95.0)
March	97.5(96.6,98.2)	97.5(95.9,98.6)	95.8(93.8,97.3)	94.1(93.0,95.1)
April	96.9(96.0,97.7)	95.5(93.4,97.0)	95.7(93.7,97.2)	94.3(93.2,95.3)
<del>.</del>				
Janssen	85.5(81.5,88.8)	80.9(72.7,87.1)	82.4(74.5,88.4)	82.8(79.0,86.1)
March	90.4(85.0,94.2)	80.5(67.0,89.4)	83(70.1,91.3)	82.9(76.9,87.7)

April	81.8(75.7,86.6)	81.2(70.0,88.9)	82(70.8,89.6)	82.7(77.6,86.9)
Vaccine Cohort over 65 years				
Pfizer-BioNTech	95(94.2,95.7)	93.8(92.3,95.1)	89.6(87.4,91.4)	89.2(88.1,90.2)
January/February	93.2(91.1,94.9)	92.8(89.0,95.6)	89.3(84.6,92.8)	86.2(83.6,88.5)
March	95.8(94.5,96.8)	94.1(91.5,96.1)	89(85.5,91.8)	89.6(87.9,91.1)
April	95.2(94.0,96.2)	94.1(91.8,95.9)	90.1(87.1,92.6)	90.3(88.8,91.6)
Moderna	97.2(96.7,97.7)	96.4(95.3,97.4)	95.2(93.9,96.3)	94.1(93.3,94.8)
January/February	97.4(95.7,98.6)	96.2(92.5,98.4)	91.8(86.7,95.3)	93.2(91.0,95.0)
March	97.5(96.6,98.2)	97.5(95.9,98.6)	95.8(93.8,97.3)	94.1(93.0,95.1)
April	96.9(96.0,97.7)	95.5(93.4,97.0)	95.7(93.7,97.2)	94.3(93.2,95.3)
Janssen	85.5(81.5,88.8)	80.9(72.7,87.1)	82.4(74.5,88.4)	82.8(79.0,86.1)
March	90.4(85.0,94.2)	80.5(67.0,89.4)	83(70.1,91.3)	82.9(76.9,87.7)
April	81.8(75.7,86.6)	81.2(70.0,88.9)	82(70.8,89.6)	82.7(77.6,86.9)

# Table 2 Estimated vaccine effectiveness for laboratory-confirmed COVID-19 cases by age and vaccine.

		Month of outcome ascertainment					
of full		Week commencing May 1 VE % (95% CI)	Week commencing July 10 (VE 95% CI)	Week commencing August 28 (VE 95% CI)			
Month o	Vaccine Cohort 18- 49 years						
Σ >	Pfizer-BioNTech	93.6 (92.6, 94.6)	65.8 (62.2, 69.5)	69.0 (67.4, 70.6)			

January/February	90.5	(88.0,	93.0)	64.2	(56.9,	71.5)	67.4	(64.2,	70.6)
	90.8 (	(87.9, 93.	8)				66.2	(62.3,	70.1)
March				64.7	(55.9,	73.4)			
April	95.6	(94.5,	96.7)	66.8	(62.3,	71.4)	70.4	(68.5,	72.4)
Moderna	96.5	(95.6,	97.3)	77.2	(73.9,	80.5)	78.4	(77.0,	79.9)
January/February	94	(92.1,	95.9)	79.8	(74.5,	85.0)	72.1	(69.3,	74.9)
March	97.8	(96.5,	99.2)	74.9	(68.1,	81.8)	79.2	(76.3,	82.0)
April	97.7	(96.6,	98.7)	76.4	(71.3,	81.5)	83.1	(81.2,	85.1)
Janssen	89.4	(87.0,	91.8)	51.7	(43.8,	59.6)	70.2	(67.4,	73.0)
March	90.3	(85.0,	95.6)	19.8	(0.0,	42.7)	66.2	(59.5,	73
April	89.2	(86.5,	91.9)	59.2	(51.2,	67.1)	71.1	(68.1,	74.2)
Vaccine Cohort 18- 49 years									
Pfizer-BioNTech	95.3	(94.3,	96.2)	71.5	(66.5,	76.5)	76.2	(74.5,	78.0)
January/February	89.7	(86.3,	93.1)	70.6	(59.7,	81.5)	73.4	(69.3,	77.5)
March	93.4	(90.7,	96.1)	71.6	(60.9,	82.3)	74.2	(70.1,	78.2)
April	97.1	(96.2,	98.0)	71.7	(66.0,	77.5)	77.4	(75.4,	79.4)
Moderna	97.4	(96.6,	98.2)	85.8	(82.1,	89.5)	82.9	(81.3,	84.6)
January/February	96.1	(94.1,	98.1)	77.9	(69.0,	86.8)	76.4	(72.8,	80.1)
March	97.1	(95.2,	99.0)	83.6	(75.1,	92.0)	78.2	(74.3,	82.0)

April	98	(97.1,	99.0)	90.2	(86.2,	94.1)	87.6	(85.8,	89.3)
Janssen	86.8	(83.4,	90.2)	72.6	(63.4,	81.8)	76	(72.6,	79.4)
March	88.5	(82.8,	94.1)	75.3	(59.9,	90.8)	70.3	(63.6,	77.1)
April	86.1	(81.9,	90.2)	71.4	(60.3,	82.5)	78.4	(74.6,	82.2)
		( /	,		(		-		
Vaccine Cohort ≥65 years									
Pfizer-BioNTech	91.9	(90.6,	93.2)	79.2	(74.5,	83.8)	77.8	(75.9,	79.6)
January/February	85.1	(81.4,	88.8)	72.1	(61.9,	82.3)	75.9	(72.3,	79.5)
March	91.8	(89.7,	93.9)	81	(74.5,	87.5)	77.2	(74.4,	79.9)
April	95.1	(93.7,	96.6)	81	(75.1,	87.0)	79.1	(76.7,	81.5)
Moderna	96.2	(95.3, 9	7.0)	87.2	(83.8,	90.5)	84.3	(82.8,	85.7)
January/February	97.2	(95.4,	99.0)	80	(70.1,	89.9)	79.1	(75.2,	82.9)
March	96.1	(94.8,	97.4)	86.3	(81.4,	91.2)	84.5	(82.5,	86.5)
April	95.9	(94.7,	97.2)	90.4	(86.4,	94.4)	85.8	(83.9,	87.7)
Janssen	81.7	(76.3,	87.1)	79.3	(68.1,	90.5)	70.8	(65.7,	76.0)
March	85.5	(78.3,	92.7)	86.2	(72.6,	99.8)	67	(58.9,	75.1)
April	78.9	(71.3,	86.4)	74.1	(57.7,	90.5)	73.7	(67.4,	80.0)

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Self (2021)  Title: Comparative Effectiveness of Moderna, Pfizer-BioNTech, and Janssen (Johnson & Johnson) Vaccines in Preventing COVID-19 Hospitalizations Among Adults Without Immunocompromising Conditions — United States, March—August 2021  DOI: 10.15585/mmwr.mm7038e1  NCT: N/A  Study Design: Case Control®  Country: USA  Setting: 21 hospitals across 18 states.  Time Period: 11 March to	Intervention/Exposur e: Vaccinated, 1,327 (36.0%) • Moderna – 476 (12.9%) • Pfizer-BioNTech – 738 (20.0%) • Janssen – 113 (3.1%)  Comparator/Control: Unvaccinated, 2,362 (64.0%)  Time since final vaccination dose and symptom onset/hospitalisation:  Median (weeks) - Moderna – 11.25 (IQR 6.55 to 15.95) Pfizer-BioNTech – 12.25 (IQR 7.26 to 16.95) Janssen – 9.68 (IQR 5.12 to 15.81) Maximum follow up time was approximately 29 weeks	Description: Adults ≥18 years who were hospitalized with or without COVID-19. Patients with immunocompromising conditions and those who received ≥1 vaccine dose but were not fully vaccinated were excluded. 226 (6.1%) participants self-reported prior laboratory-confirmed SARS-CoV-2 infection  N:  Total - 3,689 Case - 1,682 Control - 2,007 Unvaccinated - 2,362 (64.0%)  Patients hospitalised with COVID-19: Total: 1,682 Vaccinated: 219 (13.0%) Unvaccinated: 1,463 (87.0%)  Age: Overall, median age, yrs (IQR) 58 (44-69) Unvaccinated, median age, yrs (IQR) 53 (40-64).  Male: Overall, 51.8%% Unvaccinated, 52.3%  Co-morbidities: Overall Chronic CVD - 59.7%	Severe Disease: ≥14 days after second/final dose  Severe Disease NR  Hospitalisation See below  ICU admissions NR  Adjustments: Admission date, geographic region, age, sex, and race and Hispanic ethnicity. A separate model added an interaction term between product type and time since vaccination.  Mortality NR  Variants of Concern NR  Efficacy/effectiveness over time: See below	Confirmed RT-PCR or Antigen SARS-CoV-2 infection ≥14 days after second/final dose NR
15 August, 2021.	WCCNS	Chronic lung disease – 25.1%		

	Diabetes mellitus – 29.6%	Moderna VE (93%) was significantly		
Variants of Concern:	Obesity (by BMI) – 50.1%	higher than Pfizer-BioNTech		
NR		(88%); p=0.011. VE for both		
	Unvaccinated	mRNA vaccines was higher than		
Publication status:	Chronic CVD – 51.6%	that of Janssen (71%); (p<0.001).		
On 17 September 2021, this	Chronic lung disease – 22.1%	, , ,		
report was posted online as	Diabetes mellitus – 26,2%			
an MMWR Early Release.	Obesity (by BMI) – 53.2%			
, , , , , , , , , , , , , , , , , , , ,				
	<u> </u>			

Primary outcomes (hospitalisations)							
		>28 days after full vaccination <sup>£</sup> VE (95% CI)	14-120 days after full vaccination VE (95% CI)	>120 days after full vaccination VE (95% CI)			
Moderna	93% (91 to 95)	-	93% (90 to 95)	92% (87 to 96)			
Pfizer BioNTech	88% (85 to 91)	-	91% (88 to 93)	77% (67 to 84)			
Janssen	71% (56 to 81)	68% (49 to 80)	-	-			

<sup>£ -</sup> Because a limited number of patients received Janssen vaccine >120 days before illness onset (19 total), VE for the Janssen vaccine was not stratified by time BMI, Body mass index

CVD, Cardiovascular disease

@ Case- illness† patients were admitted to a hospital with COVID-19-like and a positive SARS-CoV-2 reverse transcription-polymerase chain reaction (RT-PCR) or antigen test result. Control-patients were adults admitted to a hospital§ who received a negative SARS-CoV-2 RT-PCR test result.

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Sharma (2021)  Title: COVID-19 Vaccine Breakthrough Infections in Veterans Health Administration  DOI:https://doi.org/10.1101/2021.09.23.21263864	Time since final vaccination: Median ~21 weeks 2% are followed for up to 28.57 weeks.	Description: Eligibility criteria included Veterans at least 18 years or older who received two doses of mRNA-1273 or BNT162b2 vaccines within the recommended timeframe listed in FDA approvals, or received Ad26.COV2.S vaccine during January 1, 2021 to August 31, 2021; residents of nursing home facilities were excluded. Previous SARS-CoV-2 infection was defined as a PCR or antigen positive specimen collected	Severe Disease: ≥14 days after second dose  See below by vaccine  Adjustments: estimates are adjusted for age, sex, race/ethnicity, Charlson Comorbidity Index, previous	Confirmed RT-PCR or antigen SARS-CoV-2 infection ≥14 days after second dose of mRNA- 1273 (Moderna) See below by vaccine Adjustments: estimates are adjusted for age, sex,
NCT: NA  Study Design: Retrospective cohort study		at least 90 days before date of final vaccination.  N: Vaccinated: 3,030,561  mRNA-1273, 1,511,382	documented SARS-CoV-2 infection, population density in county of residence, county-level COVID-19 incidence, county-level vaccine coverage and regional proportion of delta variant	race/ethnicity, Charlson Comorbidity Index, previous documented SARS-CoV-2 infection, population density in county of residence, county-level COVID-19 incidence, county-level
Country: US  Setting: Persons in		BNT162b2 1,293,609	Mortality: NR  Variants of Concern: NR	vaccine coverage and regional proportion of delta variant  Variants of Concern: NR
Veterans Health Administration		Ad26.COV2.S. 227,570		Subgroups: NR
Time Period: January 1, 2021 to August 31, 2021		Age: median 70 (interquartile range [IQR]: 58-76)	Subgroups: NR Efficacy/effectiveness over time:	Efficacy/effectiveness over time:  At 200 days, the unadjusted
Variants of Concern: Regional proportion of delta variant were predictors of vaccine breakthrough events,Prevalence not provided.		Male = 91.5%  Co-morbidities:	At 200 days, the unadjusted cumulative incidence of documented COVID-19 hospitalization was 0.16% (95% CI 0.15-0.18%)	cumulative incidence of documented SARS-CoV-2 infection breakthrough infections was 0.84% (95% CI 0.81-0.87%).

Publication status: Preprint	Exposure BNT162b2 (Pfizer/BioNTech)  Comparators Ad26.COV2.S (Janssen)	Solid Tumor, Leukemia, or Lymphoma: 484,311 (16.0%)  N:  BNT162b2 (Pfizer/BioNTech) 1,293,609  Ad26.COV2.S.(Janssen) 227,570	Severe Disease: ≥14 days after second dose of BNT162b2 (Pfizer/BioNTech)  COVID-19 Hospitalisation Adjusted hazard ratio (95% CI)  0.51 (0.43, 0.60)	Confirmed RT-PCR or antigen SARS-CoV-2 infection ≥14 days after second dose of BNT162b2 (Pfizer/BioNTech)  Documented SARS-CoV-2 infection Adjusted hazard ratio (95% CI)  0.54 (0.51, 0.58)
	Exposure mRNA-1273 (Moderna)  Comparators Ad26.COV2.S (Janssen)	N mRNA-1273, 1,511,382 Ad26.COV2.S. 227,570	Severe Disease: ≥14 days after second dose of mRNA-1273 (Moderna)  COVID-19 Hospitalisation Adjusted hazard ratio (95% CI)  0.27 (0.23, 0.32)	Confirmed RT-PCR or antigen SARS-CoV-2 infection ≥14 days after second dose of mRNA-1273 (Moderna)  Adjusted hazard ratio (95% CI)  0.36 (0.33, 0.38)

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Thompson (2021)	Intervention/Exposure: BNT162b2 (Pfizer/BioNTech)	<b>Description:</b> conducted a study involving adults (≥50 years of age) with Covid-19—like illness who underwent molecular	Severe Disease: ≥14 days after second/final dose	Confirmed RT-PCR SARS-CoV-2
<b>Title:</b> Effectiveness of Covid-19 Vaccines	mRNA-1273 (Moderna) Ad26.Cov2.S (Janssen)	testing for severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)	Hospitalisation % (95% CI):	infection
in Ambulatory and Inpatient Care Settings	Comparator/Control:	N:	<u>BNT162b2 vaccine</u> 87 (85–90)	N/R <b>Adjustments:</b> N/A
DOI:	Unvaccinated Time in days since final	Hospitalisations: BNT162b2 (Pfizer/BioNtech)	mRNA1273 vaccine 91 (89–93)	Variants of Concern:
10.1056/NEJMoa2110362	vaccination dose to index date*:	8,500	<u>Ad26.COV2.S vaccine</u> 68 (50–79)	NR
NCT: N/A	Hospitalisation – Median - 53 IQR (33 to 75)	<u>mRNA-1273 (Moderna)</u> 6,374	ICU admissions:	Subgroups: N/R
<b>Study Design</b> : Test negative (case-control)	ICU admission – Median - 52 (IQR 34 to 73) ED/UC – Median 50 (IQR 31 to 73)	<u>Ad26.COV2.S (Janssen)</u> 707	BNT162b2 or mRNA1273 vaccine 90 (86–93)	Efficacy/effectivenes s over time: N/R
Country: USA		<u>Unvaccinated</u> 20,406	Emergency department or urgent care visit: BNT162b2 vaccine 89 (85–91)	
<b>Setting:</b> Hospital, emergency departments		ED or urgent care visit:	mRNA1273 vaccine 92 (89–94)	
and urgent care clinics		BNT162b2( Pfizer/BioNtech) 3,589	Ad26.COV2.S vaccine 73 (59–82)	
Time Period: 01 January 2021 to 22 June 2021		<u>mRNA-1273 (Moderna)</u> 2,476	<b>Adjustments:</b> Vaccine effectiveness was adjusted with weights based on propensity-for	
<b>Variants of Concern:</b> NR		Ad26.COV2.S(Janssen) 456	vaccination scores and according to age, geographic region, calendar time (days from 1 January 2021, to the index date for each medical	
<b>Publication status</b> : Peer-reviewed		<u>Unvaccinated</u> 11,812	visit), and local virus circulation.  Mortality	

#### Age:

<u>among hospitalized patients</u> median age was 74 years (interquartile range, 66 to 82)

among those who visited an emergency department or urgent care clinic.

70 years (interquartile range, 61 to 78)

Age of participants in study

	Un- vaccinate d	Full, 2 Doses of mRNA Vaccin e	Full, Ad26.COV2. S Vaccine
50– 6 <del>4</del> yr	5,532	1898	282
65– 74 yr	6,681	4,481	187
75– 84 yr	5,233	5,189	153
≥8 5 yr	2,960	3,306	85

**Male** = 47%

**Co-morbidities:** NR

All Cause/COVID-19: NR

#### **Variants of Concern**

NR

#### Subgroups:

Effectiveness against hospitalization \$ :

≥50 yr of a	ge	89%	(95%	CI: 87	7 to 91)
≥85 yr of a	ge	83%	(95%	CI: 7	7 to 87)
≥50 yr of a with no chronic condition	ge	92%	(95%	CI 86	to 96)
≥50 yr of a with ≥1 chronic respiratory condition	ge	90%	(95%	CI: 88	8 to 92)
≥50 yr of a with ≥1 chronic nonrespirat condition		88%	(95%	CI: 86	5 to 90)

Effectiveness against ICU admission

≥50 yr of age	90% (95% CI: 86– 93)

	Effectiveness against SARS-CoV-2 Infection Leading to an Emergency Department or Urgent Care Clinic Visit
	≥50 yr of age 91% (95% CI:89 to 93)
	≥85 yr of age 84% (95% CI:73 to 91)
	≥50 yr of age with ≥1 chronic respiratory condition  90% (95% CI:86 to 93)
	≥50 yr of age with ≥1 chronic nonrespiratory condition 90% (95% CI: 87 to 92)
	Efficacy/effectiveness over time:  Effectiveness against hospitalization ≥50 yr of age
	42–55 Days
	after dose 2 90% (95% CI: 87 to 93) 56–69 Days 86% (95% CI: 82 to 90)
	after dose 2
	70–83 Days 93% (95% CI: 89 to 95) after dose 2
	84–97 Days 86% (95% CI: 79 to 91)
	after dose 2
	98–111 Days 82% (95% CI: 72 to 89) after dose 2
	≥ 112 Days 86% (95% CI: 74 to 93) after dose 2

							gainst emergency departi it ≥50 yr of age	ment or
						42–55 Days after dose 2	97)	
						56–69 Days after dose 2		. to
						70–83 Days after dose 2	86% (95% CI: 78	3 to
						84–97 Days after dose 2	92% (95% CI: 87	' to
						98–111 Day after dose 2	s 86% (95% CI: 77	' to
						≥ 112 Days after dose 2		to
messenger RNA (mRI	NA) vaccine effectiv	eness (VE)		D-19-associat	ed hospitaliza		st most recent dose. V	
	14-27 days post dose 2	28 to 41 days post dose 2	42-55 days post dose-2	56 to 69 days post dose 2	70 to 83 days post dose 2	84 to 97 days post dose 2	98 to 111 days post dose 2	(≥112 days post dose-2
Pfizer-BioNTech	87% (80 to 91)	95% (91 to 97)	86% (79 to 91)_	83 (75 to 89)	90% (82 to 94)	87% (76 to 93)	75% (57 to 85)	83% (64 to 92)
	14-27 days post dose 2	28 to 41 days post dose 2	42-55 days post dose-2	≥ 56 days post dose 2	56 to 69 days post dose 2	70 to 83 days post dose 2	84 to 97 days post dose 2	(≥112 days post dose-2)
Moderna	90% (81 to 94)	89% (83 to 93)	93% (87 to 97)	(91% (85% to 94)	96%(92 to 98)	86% (75 to 92)	93%(82 to 97)	95% (79 to 99)
	14-27 days post dose	28 to 41 days post dose	42-55 days post dose	≥56 days post dose				
Janssen	72% (38 to 88)	69% (34 to 86)	68%(18 – 87)	79% (48 to 91)			-	

Pfizer-BioNTech	14-27 days post dose 2	28 to 41 days post dose 2	42-55 days post dose-2	56 to 69 days post dose 2	70 to 83 days post dose 2	84 to 97 days post dose 2	98 to 111 days post dose 2	(≥112 days post dose-2
	93% (87 to 96)	94% ( 90 to 97)	93% (81 to 87)	82% (68 to 90)	80% (66 to 88)	91% (82 to 96)	78% (61 to 87)	83% (64 to 92)
	14-27 days post dose 2	28 to 41 days post dose 2	42-55 days post dose-2	≥ 56 days post dose 2	56 to 69 days post dose 2	70 to 83 days post dose 2	84 to 97 days post dose 2	(≥112 days post dose-2)
Moderna	90% (81 to 95)	96% (92 to 98)	93% (85- 96)	90% (79-95)	91%(79 – 96)	91% (79 – 97)	not recorded due to no breakthrough cases	90% (52 to 98)
	14-27 days post dose	28 to 41 days post dose	42-55 days post dose	≥ 56 days post dose				
Janssen	67% (30 to 84)	80% (52 to 92)	58% (5 to 81)	87% (71 to 94)				

<sup>\*</sup> Index date defined for each medical visit was defined as either the date of collection of a respiratory specimen associated with the most recent positive or negative SARS-CoV-2 test result before the medical visit or the date of the medical visit (if testing occurred only after the admission or visit date).

Study characteristics	Intervention and Comparators Or Exposure and Controls	Population and Patient demographics	Primary outcome results	Secondary outcome results
Author (Year): Uschner (2021)  Title: Breakthrough SARS- CoV-2 Infections after Vaccination in North Carolina  DOI: doi.org/10.1101/2021.10.10. 21264812  NCT: NCT04342884  Study Design: Prospective observational Study  Country: US  Setting: Six healthcare systems  Time Period: January 15, 2021 to September 24, 2021  Variants of Concern: The study period included a statewide surge in cases driven by the Delta variant,	Intervention/Exposure: BNT162b2 (Pfizer/BioNTech) mRNA-1273 (Moderna) Ad26.COV2.S (Janssen)  Comparator/Control:	N: 16,020  Age: 18-44 - 33% 45-64 - 47% 65+ - 20%  Male = 26%  Co-morbidities/Special Populations:  HCWs - 34%  Outcome measurement: The primary outcome was weeks until first self reported infection (positive SARS-CoV-2 antigen or nucleic acid amplification test) occurring ≥ 14 days after vaccination	Severe Disease: ≥14 days after second/final dose NR	Risk of breakthrough infection  Any infection by vaccine type  Ad26.COV2.S vs BNT162b2  HR = 2.23 (1.40 to 3.56)  MRNA-1273 vs BNT162b2 HR = 0.69 (0.50 − 0.96)  Adjustments: Multivariate analyses were adjusted for; vaccination quarter before estimating HRs for breakthrough infection after vaccination. Age, sex, race/ethnicity, HCW status, vaccination brand, prior COVID-19 infection, Vaccination rate in county of residence (<60% or ≥60%), county classification

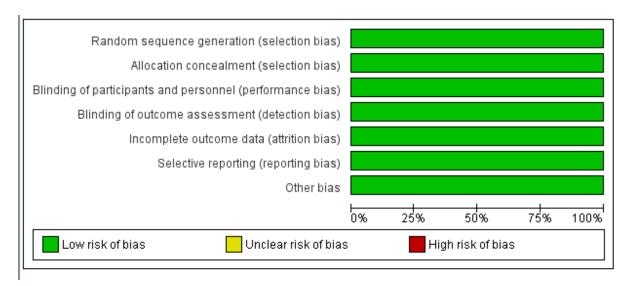
with a comparable number of new cases as during the	(Urban, suburban or rural), mask usage
winter of 2020-21	Variants of Concern:
Publication status:	NR
Pre-print	Subgroups
Supplementary Appendix: No	NR
	Efficacy/effectiveness over time.
	Cumulative incidence
	curves are presented for overall estimates, by
	vaccine and county
	setting

@ 92% of infections were symptomatic infections, defined as one or more self-reported symptom suggestive of COVID-19  $\pm$  3 days from the date of a positive test

# **Quality Appraisal**

## **Randomised Control Trial**

The included RCT (ENSEMBLE) was considered at low risk of bias across all domains.



## **Observational studies**

The quality appraisal of a cohort or a cross sectional study was assessed using tool: The National Institutes of Health (NIH) quality assessment tool for Cohort and Cross Sectional Studies, available at: <a href="https://www.nhlbi.nih.gov/health-topics/study-quality-assessment-tools">https://www.nhlbi.nih.gov/health-topics/study-quality-assessment-tools</a>

Table App.B1: Quality appraisal of cohort studies

Quality appraisal	Arregoce s et al. (2021)	Cohn (2021)	Corchado -Garcia (2021)	De Gier (2021)	Lin (2021)	Polinski (2021) <sup>(37)</sup>	Robles- Fontan (2021)	Rosenber g (2021)	Sharma (2021)	Uschner (2021)
1. Was the research question or objective in this paper clearly stated?	>	<b>√</b>	✓	✓	✓	✓	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>
2. Was the study population clearly specified and defined?	<b>√</b>	✓	<b>√</b>	✓	✓	<b>√</b>	Х	X	✓	CD
3. Was the participation rate of eligible persons at least 50%?	<	<b>√</b>	CD	<b>√</b>	✓	<b>√</b>	<b>√</b>	<	<b>√</b>	CD
4. Were all the subjects selected or recruited from the same or similar populations (including the same time period)? Were inclusion and	✓	<b>√</b>	✓	✓	✓	<b>√</b>	✓	<b>√</b>	<b>√</b>	<b>√</b>

exclusion criteria for being in the study pre- specified and applied uniformly to all participants?										
5. Was a sample size justification, power description, or variance and effect estimates provided?	✓	<b>√</b>	<b>√</b>	<b>√</b>	✓	<b>√</b>	<b>√</b>	✓	✓	✓
6. For the analyses in this paper, were the exposure(s) of interest measured prior to the outcome(s) being measured?	<b>√</b>	✓	✓	✓	✓	<b>√</b>	✓	✓	✓	CD
7. Was the timeframe sufficient so that one could reasonably expect to see an association between exposure and outcome if it existed?	✓	<b>√</b>	✓	<b>√</b>	✓	✓	<b>√</b>	✓	✓	✓
8. For exposures that can vary in amount or level, did the study examine different levels of the exposure as related to the	Х	Х	Х	✓	✓	Х	Х	Х	Х	Х

outcome (e.g., categories of exposure, or exposure measured as continuous variable)?										
9. Were the exposure measures (independent variables) clearly defined, valid, reliable, and implemented consistently across all study participants?	✓	<b>√</b>	<b>√</b>	✓	<b>√</b>	X	✓	✓	✓	Х
10. Was the exposure(s) assessed more than once over time?	CD	<b>√</b>	Х	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	Х
11. Were the outcome measures (dependent variables) clearly defined, valid, reliable, and implemented consistently across all study participants?	✓	CD	Х	CD	X	<b>√</b>	CD	Х	CD	CD
12. Were the outcome assessors blinded to the exposure status of participants?	CD	CD	CD	CD	CD	CD	CD	CD	CD	CD

13. Was loss to follow-up after baseline 20% or less?	✓	✓	<b>√</b>	<b>√</b>	✓	<b>√</b>	✓	✓	CD	CD
14. Were key potential confounding variables measured and adjusted statistically for their impact on the relationship between exposure(s) and outcome(s)?	CD	✓	X	✓	X	✓	CD	X	✓	X
Quality Rating†	Fair	Good	Fair	Fair	Poor	Poor	Poor	Poor	Fair	Poor
Comment	Some concerns due to under-reporting of comorbiditi es in the database and hence potential lack of controlling for confoundin q	Minor concerns regarding outcome ascertainm ent bias as reason for testing not provided	Some concerns regarding lack of adjustment for important confounder s	Underlying conditions or other cofounders not taken into account.	Hospitalisation and death status were known for only approximately 40% and 60% of Covid-19 cases, respectively. Lack of adjustment for education/socio economic status / test seeking behaviour.	Critical potential for bias by assuming that 40% are unvaccinate d are actually vaccinated	Limited adjustment of variables and lack of description of population under investigati on.	Underlying conditions or other cofounders not taken into account. Lack of informatio n on demograp hics of participant s.	Concern regarding outcome ascertainm ent bias, only secondary review outcomes are reported.	Self- reported vaccination status and lack of control for important confounders . Also lack of information on recruitment of participants.

The quality appraisal of a case control study was assessed using tool: The National Institutes of Health (NIH) quality assessment tool for CASE-Control studies, available at: <a href="https://www.nhlbi.nih.gov/health-topics/study-quality-assessment-tools">https://www.nhlbi.nih.gov/health-topics/study-quality-assessment-tools</a>

Table App.B2: Quality appraisal of case control studies

Quality appraisal criteria	Grannis (2021)	Self (2021)	Thompson (2021)
1. Was the research question or objective in this paper clearly stated and appropriate?	✓	✓	✓
2. Was the study population clearly specified and defined?	X	✓	✓
3. Did the authors include a sample size justification?	✓	X	✓
4. Were controls selected or recruited from the same or similar population that gave rise to the cases (including the same timeframe)?	<b>√</b>	<b>√</b>	✓
5. Were the definitions, inclusion and exclusion criteria, algorithms or processes used to identify or select cases and controls valid, reliable, and implemented consistently across all study participants?	<b>√</b>	CD	✓
6. Were the cases clearly defined and differentiated from controls?	<b>√</b>	<b>√</b>	<b>√</b>
7. If less than 100 percent of eligible cases and/or controls were selected for the study, were the cases and/or controls randomly selected from those eligible?	NA	NA	✓
8. Was there use of concurrent controls?	CD	CD	✓
<ol><li>Were the investigators able to confirm that the exposure/risk</li></ol>	✓	✓	✓

Quality appraisal criteria	Grannis (2021)	Self (2021)	Thompson (2021)
occurred prior to the development of the condition or event that defined a participant as a case?			
10. Were the measures of exposure/risk clearly defined, valid, reliable, and implemented consistently (including the same time period) across all study participants?	<b>√</b>	<b>√</b>	<b>√</b>
11. Were the assessors of exposure/risk blinded to the case or control status of participants?	CD	CD	CD
12. Were key potential confounding variables measured and adjusted statistically in the analyses? If matching was used, did the investigators account for matching during study analysis?	✓	<b>√</b>	<b>√</b>
Quality Rating†	Fair	Fair	Good
Comment	Insufficient information regarding the characteristics of the study population	Incomplete information on matching process. Most key confounding variables adjusted for. No adjustment for socioeconomic status.	

†Quality can be rated as Good, Fair or Poor. ✓Yes. x No, CD = could not be determined, NA = not applicable, NR = none repo

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For further information please contact:
Health Information and Quality Authority
George's Court
George's Lane

Smithfield

**Dublin 7** 

**D07 E98Y** 

+353 (0)1 8147400

info@hiqa.ie

www.hiqa.ie